

EXTENDED REPORT

Effects and safety of rituximab in systemic sclerosis: an analysis from the European Scleroderma Trial and Research (EUSTAR) group

Suzana Jordan,¹ Jörg H W Distler,² Britta Maurer,¹ Dörte Huscher,³ Jacob M van Laar,⁴ Yannick Allanore,⁵ Oliver Distler,¹ on behalf of the EUSTAR Rituximab study group

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¹Division of Rheumatology, University Hospital Zurich, Zurich, Switzerland

²Department of Internal Medicine, University of Erlangen-Nuremberg, Erlangen, Germany

³Charité University Hospital and German Rheumatism Research Centre, Berlin, Germany

⁴Department of Rheumatology and Clinical Immunology UMC, Utrecht, The Netherlands

⁵Université Paris Descartes, Hôpital Cochin, Service de Rhumatologie A & INSERM U1016, Paris, France

Correspondence to

Dr Oliver Distler, Division of Rheumatology, University Hospital Zurich, Gloriastr. 25; Zurich 8091, Switzerland; Oliver.Distler@usz.ch

YA and OD contributed equally.

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ABSTRACT

Objectives To assess the effects of Rituximab (RTX) on skin and lung fibrosis in patients with systemic sclerosis (SSc) belonging to the European Scleroderma Trial and Research (EUSTAR) cohort and using a nested case-control design.

Methods Inclusion criteria were fulfilment of American College of Rheumatology classification criteria for SSc, treatment with RTX and availability of follow-up data. RTX-treated patients were matched with control patients from the EUSTAR database not treated with RTX. Matching parameters for skin/lung fibrosis were the modified Rodnan Skin Score (mRSS), forced vital capacity (FVC), follow-up duration, scleroderma subtype, disease duration and immunosuppressive co-treatment. The primary analysis was mRSS change from baseline to follow-up in the RTX group compared with the control group. Secondary analyses included change of FVC and safety measures.

Results 63 patients treated with RTX were included in the analysis. The case-control analysis in patients with severe diffuse SSc showed that mRSS changes were larger in the RTX group versus matched controls (N=25; $-24.0\pm 5.2\%$ vs $-7.7\pm 4.3\%$; $p=0.03$). Moreover, in RTX-treated patients, the mean mRSS was significantly reduced at follow-up compared with baseline (26.6 ± 1.4 vs 20.3 ± 1.8 ; $p=0.0001$). In addition, in patients with interstitial lung disease, RTX prevented significantly the further decline of FVC compared with matched controls (N=9; $0.4\pm 4.4\%$ vs $-7.7\pm 3.6\%$; $p=0.02$). Safety measures showed a good profile consistent with previous studies in autoimmune rheumatic diseases.

Conclusions The comparison of RTX treated versus untreated matched-control SSc patients from the EUSTAR cohort demonstrated improvement of skin fibrosis and prevention of worsening lung fibrosis, supporting the therapeutic concept of B cell inhibition in SSc.

INTRODUCTION

There is a strong rationale behind the use of B cell depletion in the treatment of systemic sclerosis (SSc), a life-threatening, connective tissue disease, characterised by vasculopathy, fibrosis and autoimmunity. Abnormalities of B cell function have been demonstrated in both animal models of SSc and in SSc patients. B cell infiltration and gene expression of B cell-related genes were found in skin biopsies from SSc patients and in lungs of

patients with SSc-associated interstitial lung disease (SSc-ILD).^{1–3} Major positive (CD19) and negative (CD22) regulators of B cell response were found to be dysbalanced in SSc patients and murine SSc.^{4–9} Moreover, B cell homeostasis has been shown to be disrupted in SSc in favour of naive B cells. Although diminished, remaining memory B cells are hyper-reactive and showed enhanced capabilities to produce immunoglobulin and autoantibodies.¹⁰ Augmented B cell activating factor signalling in SSc and murine models leads to overproduction of cytokines that promote fibrosis.^{11 12} Activated B cells regulate T cell activation and differentiation by promoting type 2 T helper cells, shifting cytokine production towards the profibrotic cytokines interleukin (IL)-6, IL-4 and IL-13.¹³ Besides, B cells produce transforming growth factor β , a strong profibrotic stimulus.^{14 15} Moreover, B cell depletion in tight skin mice-1 reduced skin fibrosis and autoimmunity.¹⁶ Taken together, there is ample evidence of B cell involvement in the pathogenesis of fibrosis in SSc, making it a promising potential target for treatment of skin and lung fibrosis in SSc.

Rituximab (RTX) is a chimeric monoclonal antibody that targets CD20, which is expressed from pre-B cell stage to the preplasma cell stage. It was originally approved for the treatment of non-Hodgkin's lymphoma and later for the treatment of rheumatoid arthritis and ANCA-associated vasculitis. Evidence for potential efficacy of RTX in other autoimmune diseases is growing.¹⁷

Currently, there are case reports^{18–20} and a few open-labelled, uncontrolled studies on RTX in the treatment of SSc.^{1 21–24} Although there is inconsistency due to different study designs in these data, there is evidence of an antifibrotic effect of RTX. In all case reports, there was an improvement in lung function and dyspnoea functional class.^{18–20} In one case, beneficial effects on skin fibrosis were shown,¹⁹ while in the other cases effect of RTX on skin fibrosis was not reported.^{18 20} In one smaller single centre, randomised controlled study with eight RTX treated and six controls and in a long-term RTX treatment, skin and lung function improved, as well as overall function as measured by the Health Assessment Questionnaire Disability Index score.^{21 25–28} Bosello *et al*²² and Smith *et al*²³ reported skin improvement after RTX treatment.²⁴ Lafyatis *et al* did not find

beneficial effects on skin disease and lung function of SSc patients versus baseline, but reported a complete depletion of B cell infiltrates in skin biopsies and good safety and tolerance of RTX.¹ While these studies provide important insights on the use of RTX in SSc, they are limited by the low number of patients, the lack of a sufficient control arm and the single centre design. To address these limitations, our objective was to perform a multicentre, nested case-control study to analyse effects of RTX on skin and lung fibrosis and safety in a real-life clinical setting using the European Scleroderma Trial and Research (EUSTAR) cohort.

METHODS

Study design

In all, 42 EUSTAR centres participated in this multicentre, post hoc nested case control, observational study. Data about RTX-treated SSc patients were collected from centres during a 6-month period. Patients were prospectively included in the EUSTAR database and received RTX in routine clinical practice upon the decision of their physicians. Patients for the matched-control group were chosen from the EUSTAR database according to the matching criteria outlined below and consisted of SSc patients not treated with RTX. Clinical characteristics of patients and outcome parameters were defined as in the EUSTAR online Minimal Essential Data Set and have been reported in detail.^{29 30} Additional data with a special focus on timing of RTX exposure and immunosuppressive co-treatment, immune profile and safety issues were provided retrospectively by the participating centres. Immunosuppressive co-treatment was defined as ongoing or newly started treatment at RTX treatment including a 3-month period before the first RTX infusion.

The following clinical data were included into the analysis: Patients' demographics (age, gender), disease duration from the first non-Raynaud's symptom, disease subtype (limited or diffuse SSc) and autoantibodies status (presence of anticentromere, anti-RNA polymerase III, antitopoisomerase 1 (anti-Scl-70), anti-U1 small nuclear ribonucleoprotein). Skin fibrosis was assessed by the modified Rodnan Skin Score (mRSS).³¹ EUSTAR centres are advised that the same investigator is performing the mRSS on follow-up visits in individual

patients, and EUSTAR investigators are trained on a regular basis on how to perform the mRSS.^{32 33} ILD was assessed by lung function tests including forced vital capacity (FVC) and diffusing capacity of the lung for carbon monoxide (DLCO), and by high-resolution CT (HRCT).²²

All patients agreed to participate in the EUSTAR database by signing informed consent forms approved by the local ethical committees. The study was conducted in accordance with the principles of the Declaration of Helsinki and local laws and guidelines for Good Clinical Practice.

Inclusion and exclusion criteria

Patients were included in the RTX group if they met the American College of Rheumatology (ACR) criteria for SSc,³⁴ were treated with RTX and had at least one follow-up. Patients in the matched-control group had to fulfil the ACR criteria for SSc,³⁴ did not receive RTX treatment and had at least one follow-up with report of the mRSS. Patients were excluded if they had autologous stem cell transplantation between baseline and follow-up. For the analysis of RTX on SSc-ILD, we included SSc patients with evidence for ILD, as defined by FVC of less than 70% predicted and evidence for lung fibrosis on HRCT at baseline.

Primary and secondary objectives

The primary objective for this study was to measure the change of mRSS from baseline to follow-up between the RTX and control groups. Secondary objectives were to measure the change of the FVC from baseline to follow-up between the RTX and control groups in patients with evidence for ILD and safety measures. Effects of RTX on primary and secondary objectives were analysed at the first follow-up after a single RTX course.

Statistical analysis and matching

RTX-treated patients were matched with control patients from the EUSTAR database. Matching parameters for skin fibrosis were: mRSS (max±25%), follow-up duration (max±3 months), scleroderma subtype (diffuse or limited), disease duration (best match in years) and immunosuppressive co-treatment (including ≥10 mg/day prednisone). Matching parameters for ILD were: baseline FVC (max±10%), follow-up duration (max±3 months), disease duration (best match in years) and immunosuppressive co-treatment. Subanalysis was performed for patients with severe diffuse SSc as defined by mRSS≥16/51.

Statistical analysis was performed using GraphPad Prism V.5 software and a single sample test on mean of 0 by SPSS software. Normal distribution was analysed by the d'Agostino and Pearson omnibus normality test. Normally distributed data are shown as mean±SEM and were analysed by paired t test. Non-parametric data including the mRSS are shown as median and IQR and were analysed by Wilcoxon matched paired signed rank test. Categorical variables were analysed by Fisher's exact test. Percentual change between baseline and follow-up was calculated by single sample test on mean of 0. p Values ≤0.05 were considered statistically significant.

RESULTS

Baseline

In all, 42 EUSTAR centres contributed to this study and data from 72 SSc patients with RTX therapy were collected. One patient was excluded due to an interfering therapy (autologous stem cell transplantation) between baseline and follow-up and one did not fulfil ACR criteria. Seven patients were excluded

Table 1 Baseline demographics and clinical characteristics of all analysed SSc patients (N=63)

Baseline characteristics (N=63)		
Age (years) mean±SEM	N=63; 50.9±1.6	
Sex	n	(%)
Female	45	71.4
Scleroderma subtype		
Diffuse	46	73.0
Limited	17	27.0
Disease duration in years median (IQR)	N=60; 6 (3–11)	
Follow-up in months (IQR)	7 (4–9)	
Autoantibodies positive	n/N	(%)
ACA	3/62	4.8
Anti-RNA polymerase III	3/52	5.8
Anti-Scl-70	42/61	68.9
Anti-U1-snRNP	2/50	4.0

Autoantibodies were measured and interpreted according to local standards. Demographics and clinical characteristics are defined according to EUSTAR criteria.³⁰ ACA, anticentromere antibody; anti-Scl 70, antitopoisomerase 1 antibody; anti-U1-snRNP, anti-U1 small nuclear ribonucleoprotein antibody; EUSTAR, European Scleroderma Trial and Research; N, number of patients available for analysis; n, number of patients with the specific feature; SSc, systemic sclerosis.

because the follow-up visit was not available. Baseline demographics and clinical characteristics of the remaining 63 SSc patients included in the study are shown in table 1.

As expected for patients who received RTX on the decision of their treating physician in routine practice, patients were enriched for diffuse SSc (n=35/63).

RTX application scheme

We analysed the effects after one course of RTX. The most frequent application was two infusions of 1000 mg in 2 weeks (in 75% of patients), but there were also other application schemes (table 2). Overall, 31 patients (31/63 or 49%) received co-treatment with methylprednisolone during RTX application, all of them in a dose of 100 mg per infusion according to the general recommendations for RTX pretreatment. A total of 41 patients (65%) received co-treatment with disease modifying antirheumatic drugs (DMARDs) (table 2).

Effects on skin fibrosis

We started the analysis with the whole group of patients including patients with limited SSc. There were 46/63 SSc patients who had mRSS reported at baseline and follow-up available for this analysis. Disease duration was 5 (3–10) years, and 35 had diffuse SSc and 11 limited SSc. After a follow-up of 7 (5–9) months, the mRSS decreased significantly from 18.1 ± 1.6 to 14.4 ± 1.5 ($p=0.0002$) (figure 1A). Percentage change of mRSS versus baseline in this group was $-15.0 \pm 5.3\%$ ($p=0.008$) (figure 1B).

Patients with limited SSc are not an optimal study population for clinical trials on skin fibrosis and their inclusion might mask

real clinical effects. Therefore, we focused next our analysis on patients with diffuse SSc (n=35) who had a disease duration of 5 (3–6) years. Those patients showed a significant decrease in mean mRSS from 22.1 ± 1.6 to 17.7 ± 1.6 ($p=0.0005$) after 6 (3–9) months follow-up (figure 1C). Percentage change of mRSS versus baseline was $-16.7 \pm 5.5\%$ ($p=0.005$) (figure 1D).

These effects became even more evident when we enriched the population for severe, diffuse SSc patients ($mRSS \geq 16$), who are the standard population to be included in clinical trials on skin fibrosis. In these patients (n=25), the mean mRSS decreased from 26.6 ± 1.4 to 20.3 ± 1.8 ($p=0.0001$) after 6 (5–9) months follow-up (figure 1E). Disease duration in this subgroup of patients with severe diffuse SSc was 5 (3–7) years. Percentage change of mRSS versus baseline was $-24.0 \pm 5.2\%$ ($p=0.0001$) (figure 1F).

Uncontrolled trials in SSc skin fibrosis are difficult to interpret because of the spontaneous decrease of the mRSS over time particularly in severe diffuse SSc. We therefore compared the patients with severe diffuse SSc treated with RTX with control patients from the EUSTAR database not treated with RTX. Controls were matched as described in the Methods section. Baseline characteristics of patients with severe diffuse SSc and matched controls are shown in table 3.

The results from this analysis further supported the effects of RTX on skin fibrosis. Patients under treatment with RTX showed a significantly lower mRSS at follow-up than matched-control patients without RTX treatment (n=25 for each group, figure 2A). This referred to an absolute change from baseline of 6.3 ± 1.4 in the RTX group versus -1.9 ± 1.0 in the control group ($p=0.02$) (figure 2B). The percentage change in the RTX group versus baseline was above the minimal clinically important difference for the mRSS ($-24.0 \pm 5.2\%$ vs $-7.7 \pm 4.3\%$ in the control group; $p=0.03$; figure 2C).³⁵

Effects on lung fibrosis

Effects of RTX treatment on lung function were analysed in SSc patients with FVC <70% predicted, and with parallel evidence for ILD on HRCT (n=9). The median disease duration in these patients was 6 (4–12) years, 6 (66%) had diffuse SSc, mean FVC % predicted was 60.6 ± 2.4 and mean DLCO % predicted was 41.1 ± 2.8 (baseline characteristics see online supplementary table S1).

Patients were analysed after median follow-up of 6 (4–12) months. In these patients with SSc-ILD, FVC was stable after RTX treatment compared with baseline (60.6 ± 2.4 vs $61.3 \pm 4.1\%$; $p=0.5$). The DLCO was significantly improved in patients treated with RTX compared with baseline (41.1 ± 2.8 vs $44.8 \pm 2.7\%$; $p=0.03$).

As in the analysis for skin fibrosis, we next matched RTX-treated patients with control patients without RTX treatment from the EUSTAR database (baseline characteristics see online supplementary table S1). In contrast to RTX-treated patients, matched controls showed a decline in FVC at follow-up (figure 3A). This resulted in significant differences between RTX treated and matched controls in change of FVC% predicted in both the percentage (0.4 ± 4.4 vs -7.7 ± 3.6 ; $p=0.02$) and the absolute change (0.8 ± 2.2 vs -4.8 ± 1.7 ; $p=0.01$) (figure 3B). There was no significant difference in change of DLCO between RTX-treated and matched-control patients (3.7 ± 1.4 vs 6.2 ± 6.2 ; $p=0.9$).

Safety of RTX

Data on all 63 patients were available for safety analysis. We observed the following adverse events in RTX-treated patients: cardiac/renal involvement and arrhythmia was reported in one

Table 2 Rituximab (RTX) application schemes and co-treatment with potentially disease modifying antirheumatic drugs (DMARDs)

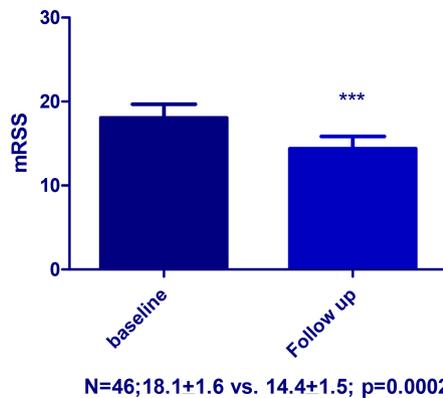
RTX application and co-treatment (N=63)	n/N	(%)
RTX application (each administration within 2 weeks)		
1000 mg	13/63	20.6
1000 mg×2	47/63	74.6
1100 mg×2	1/63	1.6
1295 mg×2	1/63	1.6
500 mg×6	1/63	1.6
Co-treatment with DMARDs		
Received one DMARD		
Methotrexate (MTX)	13/58	22.4
Azathioprine (AZA)	6/58	10.3
Prednisone \geq 10 mg/day	5/61	8.1
Mycophenolate mofetil (MMF)	4/57	7.0
Cyclophosphamide (CYC)	1/57	1.7
Sulfasalazine	1/48	2.1
Leflunomide	1/48	2.1
Colchicine	1/57	1.8
Received two DMARDs		
Prednisone \geq 10 mg/day+CYC	2/57	3.5
Prednisone \geq 10 mg/day+AZA	1/58	1.7
Prednisone \geq 10 mg/day+MTX	1/58	1.7
AZA+hydroxychloroquine	1/49	2.0
AZA+tacrolimus	1/58	1.7
MTX+TNF- α inhibitors	1/49	2.0
CYC+MMF	1/57	1.7
Received three DMARDs		
Prednisone \geq 10 mg/day+MTX+cyclosporine (CSA)	1/58	1.7

Prednisone \geq 10 mg/day has been defined as DMARD.

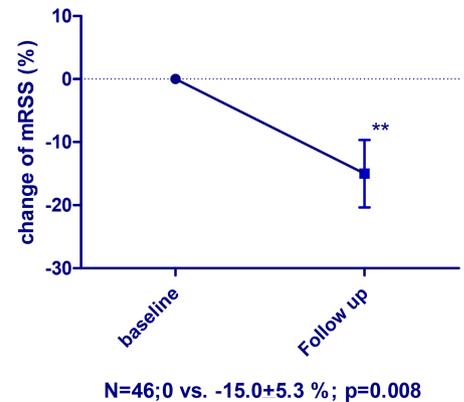
N, number of patients available for analysis; n, number of patients with the specific feature; TNF- α , tumour necrosis factor α .

Figure 1 Change of modified Rodnan Skin Score (mRSS) after rituximab treatment in the whole available cohort (N=46) including patients with limited systemic sclerosis (SSc) (A and B), in diffuse SSc patients (N=35; C and D) and in diffuse severe SSc patients (mRSS>16 at baseline, N=25) (E and F). (A, C and E) Absolute mRSS values; (B, D and F) percental changes from baseline.

Whole Cohort

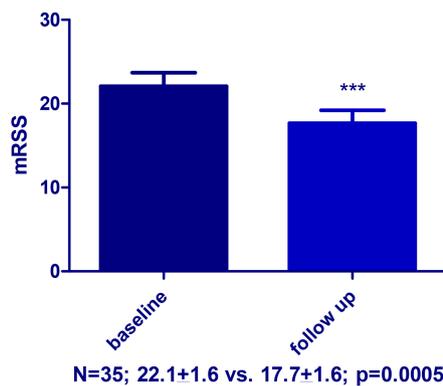


A

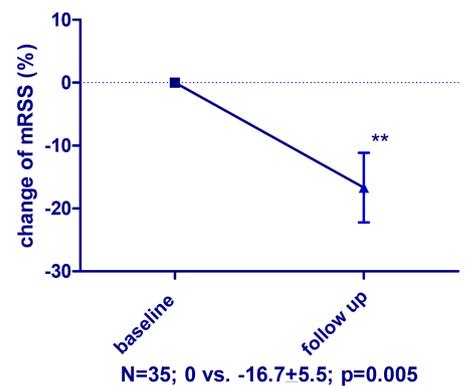


B

Diffuse SSc

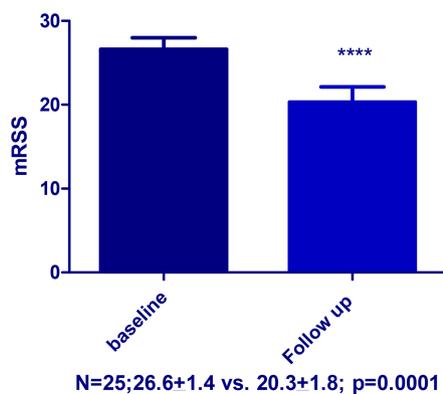


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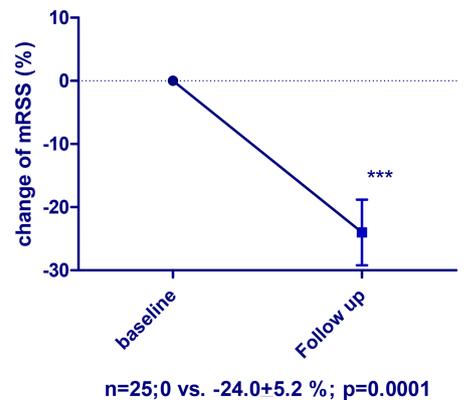


D

Severe diffuse SSc



E



F

patient each. Fatigue was noted in 14/56 (25%), infections (as defined by experts) in 11/53 (21%), nausea in 2/48 (4%) and rigour in 3/48 (6%). Serum sickness/hypersensitivity reaction was observed in 2/54 (4%) patients. Both patients received co-treatment with MTX (15 and 20 mg/week, respectively). No renal crisis was reported despite the frequent use of methylprednisolone during RTX application. Serious adverse events were not reported.

DISCUSSION

This is the first multicentre nested case-controlled study with RTX in SSc. Although there is a potential selection bias in this

study, treatment with RTX improved skin fibrosis and stabilised lung function parameters in patients with SSc-associated lung fibrosis. It is noteworthy that effects of RTX could be shown in both skin and lung fibrosis. These effects on two different organ manifestations of fibrosis underline the potential of RTX as an antifibrotic agent in SSc. The most important advantage over previous studies on RTX in SSc was the inclusion of a large control group using a nested case-control design. Inclusion of a matched-control group is of particular importance in studies on skin fibrosis in SSc, as spontaneous improvements of the mRSS over time occur frequently. Thus, previous reported effects of RTX in uncontrolled studies might have been due to the natural

Table 3 Baseline demographics and clinical characteristics of diffuse, severe SSc patients treated with RTX matched with diffuse, severe control SSc patients (N=25 pairs)

Baseline characteristics of SSc patients with diffuse severe skin fibrosis (N=25 each group)					
	RTX treated		Matched control		p Value
Age (years) mean±SEM	N=25; 45.0±2.4		N=25; 50.0±3.0		0.2
Sex	n/N	%	n/N	%	
female	16	64.0	19	76.0	0.5
mRSS	N=25; 26.6±1.4		N=25; 25.0±1.2		0.03*
Disease duration in years median (range)	5 (3–7)		5 (3–7)		0.9
Follow-up in months (range)	6 (5–9)		7 (4–9)		0.4
Autoantibodies positive	19/25	76.0	15/20	75.0	1.0
ACA	1/25	4.0	1/18	5.5	1.0
Anti-RNA polymerase III	3/21	14.3	1/11	9.1	1.0
Anti-Scl-70	16/23	69.6	14/20	70.0	1.0
Anti-U1-snRNP	0/22	0	1/15	6.7	0.4
DMARDs treatment	20/24	83.3	16/22	72.7	0.5

Autoantibodies were measured and interpreted according to local standards. Demographics and clinical characteristics are defined according to EUSTAR criteria.³⁰ ACA, anticentromere antibody; anti-Scl 70, antitopoisomerase 1 antibody; anti-U1-snRNP, anti-U1 small nuclear ribonucleoprotein antibody; DMARDs, disease modifying antirheumatic drugs; EUSTAR, European Scleroderma Trial and Research; mRSS, modified Rodnan Skin Score; N, number of patients available for analysis; n, number of patients with the specific feature; RTX, rituximab; SSc, systemic sclerosis. *p<0.05.

course of the disease rather than due to effects of RTX effects. Moreover, it is the largest study reported so far on the use of RTX in SSc. It also reflects clinical practice rather than potentially artificial clinical study situations, as patients and controls were derived from the observational EUSTAR registry and were treated by decisions of the local physician.

We could also observe an acceptable safety profile of RTX. Infections and respiratory tract infections in particular are one of the frequent side effects of RTX treatment. In studies with rheumatoid arthritis, respiratory tract infection occurred in 4%–9% of RTX patients. However, patients receiving placebo showed similar or even higher infection rates, indicating that such adverse events could be associated with DMARD co-treatment or the natural disease course.^{36–38} In the present study, the side effect profile was in general consistent with recent reports in other rheumatic autoimmune diseases. The exception was rigour that occurred in three patients in our study and is neither a common manifestation of SSc nor a common side effect of RTX in autoimmune disease. Rigour has been reported in patients with advanced-stage follicular lymphomas under RTX treatment and might be related to the release of cytokines.^{39–40} This needs further analysis in forthcoming trials. In general, the use of RTX in the other systemic rheumatic diseases has shown good safety profile. A major concern associated with a long-term RTX use is progressive multifocal leukoencephalopathy. This was not observed in our short-term study, but longer follow-up in a larger number of patients and comparison with a placebo group is needed to establish a side effect profile specific for SSc.^{36–41}

Our study has certain limitations: First, observational trials can be confounded by indication. This accounts for the fact that in observational trials patients with higher need for treatment are more likely to get the medication of interest. However, we accounted for this potential confounder by matching patients for

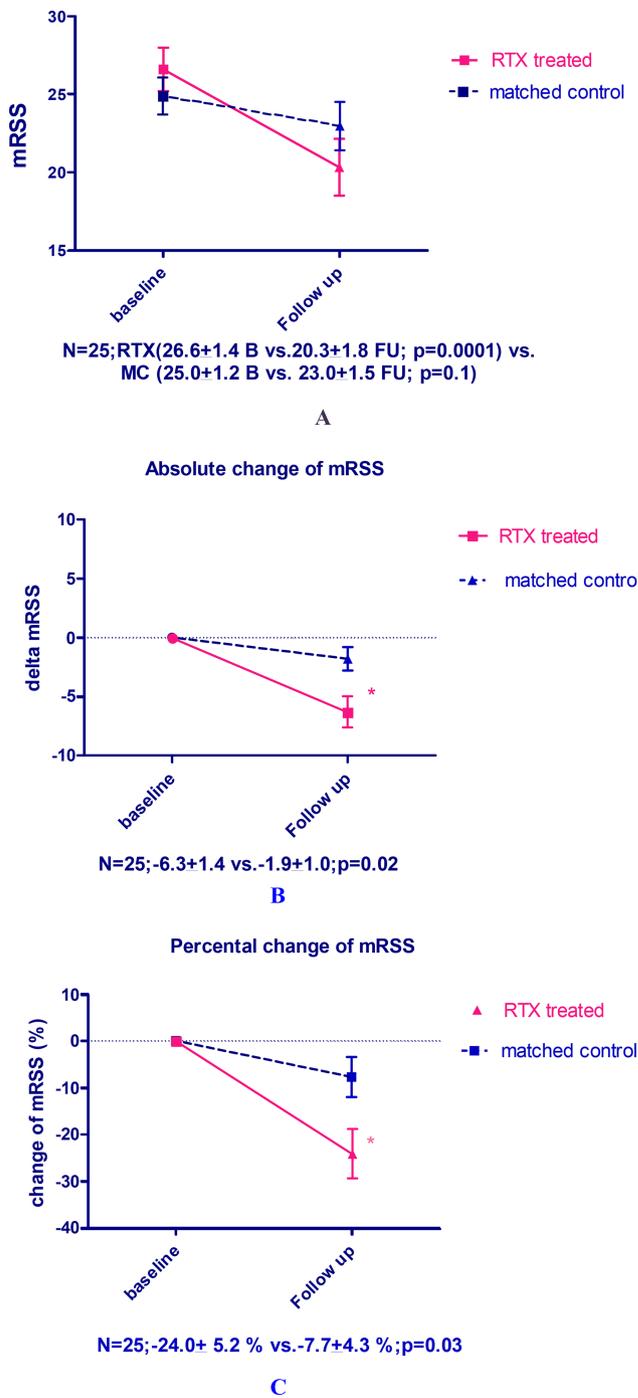


Figure 2 (A) Modified Rodnan Skin Score (mRSS) at baseline and follow-up in systemic sclerosis (SSc) patients treated with rituximab (RTX) and matched-control SSc patients; B, baseline; FU, follow-up; MC, matched control. (B) Absolute change (δ) of mRSS at follow-up in RTX treated versus matched-control group of SSc patients. (C) Percentual change of mRSS at follow-up in RTX treated versus Matched-control group of SSc patients.

major factors that influence the treatment decision for skin and lung, including severity of mRSS, disease duration and scleroderma subtype. While immunosuppressive co-treatment was matched between RTX patients and controls, we cannot exclude that the application of methylprednisolone during RTX applications had effects on skin and lung fibrosis, although this is unlikely with only one or two applications. When we compared patients with coapplication of methylprednisolone with those

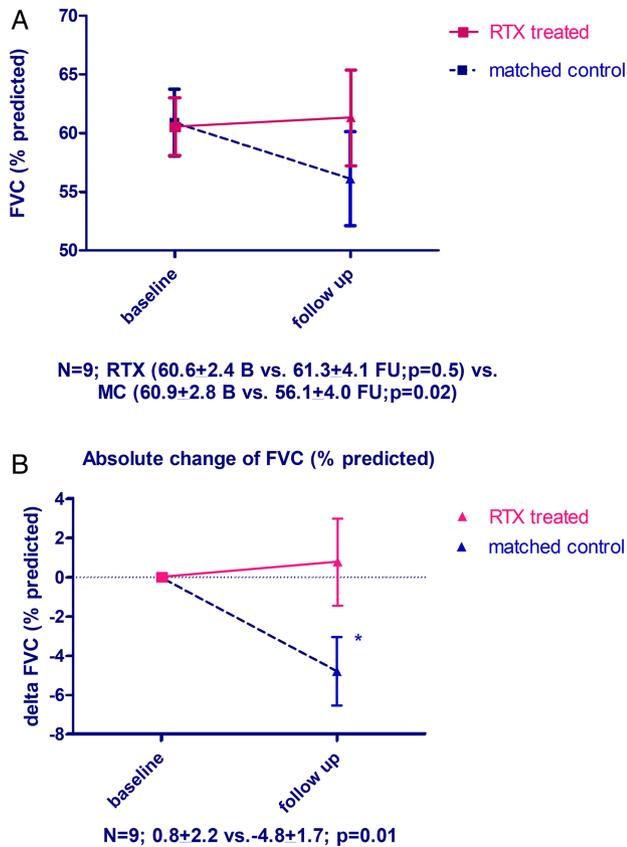


Figure 3 (A) Forced vital capacity (FVC) (% predicted) at baseline and follow-up in the systemic sclerosis (SSc) patients with lung fibrosis treated with rituximab (RTX) versus matched-control SSc patients; B, baseline; FU, follow-up; MC, matched control. (B) Absolute change of FVC (% predicted).

without, the mRSS at follow-up did not differ significantly between the two groups (data not shown). In addition, history of previous immunosuppressive treatment longer than 3 months before RTX was not available in this study for both groups. Next, the mRSS, which was the measure of skin fibrosis in this study, shows a considerable inter-rater variability and also varies between experienced and less experienced examiners. However, in the EUSTAR network, centres are requested that the same examiner performs baseline and follow-up examinations. Furthermore, EUSTAR experts are trained regularly at EUSTAR courses, which have been shown to improve standardisation, intraobserver variability and within-patient SD values of the mRSS.^{33–42} Another potential limitation was that some of the data for this study had to be collected retrospectively, because they were not captured in the otherwise prospectively collected EUSTAR database. Finally, it has to be strongly emphasised that this is not a randomised controlled trial which allows definite conclusions on the efficacy of RTX on skin and lung fibrosis in SSc and the relative safety compared with placebo treatment. Randomisation and blinded treatment are an important part of clinical study design in SSc, and these issues need to be addressed in prospective, randomised, double-blinded, placebo-controlled phase III trials. Nevertheless, our study as the largest and controlled study available so far adds important aspects to RTX and B cell targeting in general as a potential antifibrotic treatment strategy in SSc. It provides the motivation for initiation of a phase III prospective, randomised, double-blinded, placebo-controlled trial in SSc, which is now the next necessary step to prove efficacy of B cell targeting on fibrotic manifestations in SSc.

Taken together, in this large, multicentre, observational trial using the EUSTAR database, we could show beneficial effects of RTX on skin and lung fibrosis in patients with SSc compared with matched-control SSc patients without RTX treatment. These promising results need to be confirmed in phase III randomised-controlled trials.

Collaborators Coauthors of the EUSTAR Rituximab study group: Paolo Airo: UO Rheumatology and Clinical Immunology, Spedali Civili, Brescia, Italy; Juan José Alegre Sancho: Hospital U Dr Peset, Valencia, Spain; Lidia Ananjeva: Institute of Rheumatology, Russian Academy of Medical Science, Moscow, Russia; Codrina Michaela Ancuta: Rheumatology Department, 'Gr. T. Popa' University of Medicine and Pharmacy, Clinical Rehabilitation Hospital, Iasi, Romania; Martin Aringer: Rheumatology Department, University Hospital Dresden, Germany; Alexandra Balbir-Gurman: B. Shine Rheumatology Unit, Rambam Health Care Campus, Haifa, Israel; Francesco Paolo Cantatore: Rheumatology Unit, University of Foggia, Foggia, Italy; Paola Caramaschi: Rheumatology Unit, AOUI di Verona, Verona, Italy; Emmanuel Chatelus: Department of Rheumatology, University Hospital of Strasbourg, France; Veronica Codullo: Rheumatology Unit, Fondazione IRCCS Policlinico San Matteo and University of Pavia, Italy; Dominique Farge-Bancel: Paris-7 University Denis Diderot, Internal Medicine and Vascular Disease Unit, Inserm U976, Hôpital Saint-Louis, AP-HP, Paris, France; Rosario Foti: Rheumatology Unit, A.O.U., Policlinico Vittorio Emanuele, Catania, Italy; Armando Gabrielli: Dipartimento di Scienze Cliniche e Molecolari, Clinica Medica, Università Politecnica delle Marche, Ancona, Italy; Jörg Henes: Department of Rheumatology, University Hospital Tübingen, Germany; Ilka Herrgott: Department of Dermatology, University of Münster, Germany; Florenzo Iannone: Rheumatology Unit-DiM, School of Medicine, University of Bari, Italy; Francesca Ingegnoli: Division of Rheumatology, Department of Clinical Sciences & Community Health, University of Milan, Italy; Esthela Loyo: Reumatología e Inmunología Clínica, Hospital Regional Universitario Jose Ma Cabral y Baez, Santiago, Dominican Republic; Marco Matucci-Cerinic: Department of Biomedicine, Division of Rheumatology AOUC & Department of Experimental and Clinical Medicine, School of Medicine, University of Florence, Italy; Walid Ahmed Abdel Atty Mohamed: Unit of Rheumatology, Alexandria University, Egypt; Ulf Müller-Ladner: Department of Rheumatology and Clinical Immunology, Kerckhoff Clinic, Justus-Liebig University Giessen, Germany; Øyvind Palm: Department of Rheumatology, Oslo University Hospital Rikshospitalet, Oslo, Norway; Sergiu Popa: Department of Rheumatology, Republican Clinical Hospital, Chisinau, Republic of Moldova; Gabriela Riemekasten: Department of Rheumatology and Clinical Immunology, University Hospital Charité; German Rheumatism Research Centre (DRFZ) Berlin; Leibniz-Institute, Berlin, Germany; Simona Rednic: Rheumatology Clinic and 'Iuliu Hatieganu' University of Medicine and Pharmacy, Cluj-Napoca, Romania; Edoardo Rosato: Department of Clinical Medicine-Clinical Immunology Unit, Sapienza, University of Rome, Italy; Marta Saracco: Division of Rheumatology 'Ordine Mauriziano' Hospital, Torino, Italy; Agneta Scheja: Department of Clinical Sciences Lund, Section of Rheumatology, Lund University, Sweden; Vanessa Smith: Department of Rheumatology, Ghent University Hospital, Belgium; Carina Mihai: Department of Internal Medicine and Rheumatology Clinic, 'Ion Cantacuzino' Clinical Hospital, Bucharest, Romania; Gabriela Szucs: Institute of Medicine, Department of Rheumatology, University of Debrecen, Hungary; Matija Tomšič: Department of Rheumatology, University Medical Centre, Ljubljana, Slovenia; Gabriele Valentini: Rheumatology Unit, Second University of Naples, Naples, Italy; Ulrich A Walker: Department of Rheumatology, University Hospital Basel, Basel, Switzerland; Rene Westhovens: Skeletal Biology and Engineering Research Center, Department of Development and Regeneration KU Leuven; Rheumatology, University Hospitals Leuven, Belgium; Sule Kurhan Yavuz: Department of Rheumatology, University of Marmara, Istanbul, Turkey; Thierry Zenone: Department of Medicine, Unit of Internal Medicine, Valence, France.

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