

Manuscript Number: THELANCETID-D-17-00371

Title: Critical assessment of ten-year combined molecular and epidemiological norovirus surveillance of the NoroNet network, 2005 - 2016

Article Type: Articles (Original Research)

Keywords: Calicivirus, norovirus, surveillance, molecular epidemiology, genetic diversity

Corresponding Author: Mr. Janko van Beek, MSc

Corresponding Author's Institution: RIVM

First Author: Janko van Beek, MSc

Order of Authors: Janko van Beek, MSc; Miranda de Graaf; Haider Al-hello; David Allen; Katia Ambert-Balay; Nadine Botteldoorn; Mia Brytting; Javier Buesa; Maria Cabrerizo; Martin Chan; Fiona Cloak; Ilaria Di Bartolo; Susana Guix; Joanne Hewitt; Nobuhiro Iritani; Miao Jin; Reimar Johne; Ingeborg Lederer; Janet Mans; Vito Martella; Leena Maunula; Georgina McAllister; Sandra Niendorf; Hubert G. Niesters; Alexander T Podkolzin; Mateja Poljsak-Prijatelj; Lasse Dam Rasmussen; Gábor Reuter; Gráinne Tuite; Annelies Kroneman; Harry Vennema; Marion P.G. Koopmans

Manuscript Region of Origin: NETHERLANDS

Abstract: Background

Noroviruses are the most common aetiology of acute gastroenteritis worldwide. Development of vaccines requires detailed understanding of global genetic diversity of noroviruses. This study describes trends in epidemiology and diversity based on global NoroNet surveillance data, and gives a future perspective on the global surveillance needs in light of these developments.

Methods

The study analysed n=16636 norovirus sequences with associated epidemiological metadata, shared between 2005 and 2016 through NoroNet by partners from Europe, Asia, Australia, and Africa.

Findings

We show continued global dominance and evolution of specific noroviruses, particularly of genotype GII.4, but with substantial regional differences possibly reflecting differences in epidemiology, susceptibility or both. The 2-3 year periodicity of emergence of GII.4 drift variants was not observed since 2012. Instead, the GII.4 Sydney capsid seems to persist through recombination, and we report a novel recombinant of GII.P16-GII.4 Sydney 2012 variant in Asia and Europe. The novel GII.P17-GII.17, first reported in Asia in 2014, has circulated widely in Europe. Currently used sequencing protocols rarely include the main epitopes on the viral

capsid, which will become important in view of ongoing vaccine development.

Interpretation

This study highlights the need for sustained norovirus surveillance, including assessment of possible immune escape and evolution by recombination in order to provide a full overview of norovirus epidemiology for future vaccine policy decisions.

Funding

This study was supported by the EU H2020 grant COMPARE, ZonMw TOP grant, the Virgo Consortium funded by Dutch government, and by the Hungarian Scientific Research Fund.

1 **Critical assessment of ten-year combined molecular and epidemiological**
2 **norovirus surveillance of the NoroNet network, 2005 – 2016**

3

4 Janko van Beek^{1,2}, Miranda de Graaf¹, Haider Al-hello³, David Allen⁴, Katia Ambert-
5 Balay⁵, Nadine Botteldoorn⁶, Mia Brytting⁷, Javier Buesa⁸, Maria Cabrerizo⁹, Martin
6 Chan¹⁰, Fiona Cloak¹¹, Iaria Di Bartolo¹², Susana Guix¹³, Joanne Hewitt¹⁴, Nobuhiro
7 Iritani¹⁵, Miao Jin¹⁶, Reimar Johne¹⁷, Ingeborg Lederer¹⁸, Janet Mans¹⁹, Vito
8 Martella²⁰, Leena Maunula²¹, Georgina McAllister²², Sandra Niendorf²³, Hubert G.
9 Niesters²⁴, Alexander T Podkolzin²⁵, Mateja Poljsak-Prijatelj²⁶, Lasse Dam
10 Rasmussen²⁷, Gábor Reuter²⁸, Gráinne Tuite²⁹, Annelies Kroneman², Harry
11 Vennema², Marion P.G. Koopmans^{1,2}, on behalf of NoroNet³⁰

12

13

14

15 Corresponding author

16 Marion Koopmans, m.koopmans@erasmusmc.nl, Department of Viroscience,
17 Erasmus Medical Center, 's-Gravendijkwal 230, PO Box 3015 CE, Rotterdam, the
18 Netherlands, +31107033289

19

20

21 Keywords: *Calicivirus*, norovirus, surveillance, molecular epidemiology, diversity

22

23

24

25 **Author affiliations**

- 26 1 Department of Viroscience, Erasmus Medical Center, Rotterdam, the Netherlands
27 2 Centre for Infectious Diseases Research, Diagnostics and Screening, National
28 Institute of Public Health and the Environment, Bilthoven, the Netherlands
29 3 Department of Health Security, National Institute for Health and Welfare, Helsinki, Finland
30 4 Virus Reference Department, Public Health England, London, United Kingdom
31 5 National Reference Centre for Gastroenteritis Viruses, University Hospital of Dijon,
32 Dijon, France
33 6 Scientific service of foodborne pathogens, Institute of Public Health, Brussels,
34 Belgium

35 7 Microbial Typing Unit, The Public Health Agency of Sweden, Stockholm, Sweden
36 8 Viral Gastroenteritis Research Group, University of Valencia, Valencia, Spain
37 9 Enterovirus and Viral Gastroenteritis Unit, Instituto de Salud Carlos III, Madrid,
38 Spain
39 10 Department of Microbiology, Chinese University of Hong Kong, Prince of Wales
40 Hospital, Hong Kong, China
41 11 GZV (Gastroenteric/Vectorborne/Zoonotic) Unit, Health Protection Surveillance
42 Centre, Dublin, Ireland
43 12 Department of Food Safety, Nutrition and Veterinary Public Health, Istituto
44 Superiore di Sanita, Rome, Italy
45 13 Enteric Virus Laboratory, University of Barcelona, Barcelona, Spain
46 14 Norovirus Reference Laboratory, Institute of Environmental Science and Research,
47 Porirua, New Zealand
48 15 Department of Microbiology, Osaka City Institute of Public Health and
49 Environmental Sciences, Osaka, Japan
50 16 Key Laboratory of Medical Virology and Viral Diseases, National Institute for
51 Viral Disease Control and Prevention, China CDC, Beijing, China
52 17 Department of Biological Safety, German Federal Institute for Risk Assessment,
53 Berlin, Germany
54 18 Reference Centres and Reference Laboratories, Austrian Agency for Health and
55 Food Safety, Vienna, Austria
56 19 Department of Medical Virology, University of Pretoria, Pretoria, South Africa
57 20 Department of Veterinary Medicine, University of Bari, Bari, Italy
58 21 Department of Food Hygiene and Environmental Health, University of Helsinki,
59 Helsinki, Finland
60 22 Specialist Virology Centre, Royal Infirmary Edinburgh, Edinburgh, United
61 Kingdom
62 23 Consultant Laboratory for Noroviruses, Robert Koch Institute, Berlin, Germany
63 24 Medical Microbiology, Division of Clinical Virology, University of Groningen,
64 University Medical Center Groningen, Groningen, the Netherlands
65 25 Russian Federal Service for Surveillance on Consumer Rights Protection and
66 Human Wellbeing (Rospotrebnadzor), Central Research Institute of Epidemiology,
67 Moscow, Russia

- 68 26 Institute of Microbiology, Faculty of Medicine, University of Ljubljana, Ljubljana,
69 Slovenia
- 70 27 Department of Virus & Microbiological Special Diagnostics, Statens Serum
71 Institut, Copenhagen, Denmark
- 72 28 Department of Medical Microbiology and Immunology, University of Pécs, Pécs,
73 Hungary
- 74 29 National Virus Reference Laboratory, University College Dublin, Dublin, Ireland
- 75 30 <http://www.noronet.nl>

76 **[BOX] Research in context**

77

78 **Evidence before this study**

79 Norovirus is a major cause of acute gastroenteritis causing high disease burden and
80 related costs globally. While numerous studies cited in PubMed report on norovirus
81 genetic diversity in a limited setting, geographic area, or timeframe, studies
82 presenting the long-term global norovirus diversity trends are scarce.

83

84 **Added value of this study**

85 This study reports trends in norovirus genetic diversity combined with
86 epidemiological metadata, obtained from reports from 19 countries across four
87 continents shared through a jointly owned database. It shows the continued
88 dominance and evolution of specific noroviruses, but with substantial regional
89 differences possibly reflecting differences in epidemiology, susceptibility or both. We
90 critically assess current norovirus global surveillance and give recommendation for
91 improvements to fulfil surveillance needs in light of vaccine development and other
92 future interventions.

93

94 **Implications of all the available evidence**

95 This study highlights the need for optimized protocols in order to have full use of the
96 available system for international data sharing, which was developed when
97 sequencing was less accessible. We recommend to explore the use of novel next-
98 generation sequencing techniques combined with the development of standardised
99 approaches to measuring immunity to novel norovirus variants, to provide data on
100 antigenic evolution and escape to herd immunity needed for vaccine updates.

101 **Background**

102 Acute gastroenteritis is the second greatest burden of all infectious diseases and
103 norovirus is responsible for almost one fifth of all cases worldwide¹. For healthy
104 individuals, norovirus illness is typically self-limiting and of short duration, but risk
105 groups like young children, elderly, and immunocompromised patients can suffer
106 from prolonged symptoms, incidentally resulting in death². In order to better
107 understand the epidemiology and impact of norovirus and to identify (international)
108 outbreaks, surveillance networks have been set up in some countries in the last two
109 decades. These efforts have been challenging as norovirus surveillance is not
110 mandatory in many countries, and if available does not always include genetic data.
111 Despite these challenges, collaborative studies have identified international food-
112 borne outbreaks, and substantially increased our knowledge on the norovirus diversity
113 and antigenic evolution with the voluntary adoption of sequence-based typing^{3,4}. The
114 genus *Norovirus* is highly diverse and divided in seven genogroups (G) of which GI,
115 GII, and GIV have been found among humans. Genogroups are further subdivided in
116 more than 40 genotypes⁵. The epidemiology and human health impact are strongly
117 shaped by norovirus evolution through recombination or accumulation of mutations,
118 known as genetic drift⁶. To capture this diversity, norovirus nomenclature is based on
119 two parameters describing the genetic lineages of the capsid proteins (the external
120 surface of the virus particles), and of genes encoding the viral polymerase. This dual
121 typing approach allows for tracking of noroviruses, including recombinant forms⁷. In
122 2002, an informal international data sharing network was established to study
123 noroviruses and their diversity in relation to human health impact⁸. The work from
124 NoroNet has contributed to the understanding that noroviruses from different genetic
125 lineages may behave differently. Genogroup II genotype 4 (GII.4) has been the
126 predominant strain globally and responsible for approximately 70% of outbreaks
127 since the start of NoroNet⁹⁻¹¹. It escapes population immunity by altering the
128 antigenicity of the capsid surface in a stepwise manner – a process called epochal
129 evolution³. In addition, frequent mixing of genes (recombination) results in
130 emergence of novel noroviruses. There is currently no licensed norovirus vaccine on
131 the market, but potential candidates have been tested in phase I and II clinical trials
132 ^{12,13}. Vaccine design is complicated by the large antigenic variation within the genus,
133 and currently targeting most commonly found genotypes. In view of the above, most
134 likely, a future vaccine would need to be updated on a regular basis given the

135 flexibility of norovirus to escape herd immunity, hence requiring improved coverage
136 of surveillance¹⁴. In addition, the rapid development of novel methods for genomic
137 epidemiology may change the surveillance landscape, opening up opportunities for
138 higher coverage of surveillance, for instance when next-generation sequencing is
139 implemented in clinical laboratories, or environmental laboratories that may monitor
140 which viruses circulate in communities¹⁵. In view of these developments, we analysed
141 how data obtained via the NoroNet surveillance network can be used to address the
142 following outstanding questions regarding the impact of virus evolution on norovirus
143 disease:

- 144 1. What are the trends in norovirus reporting and genomic diversity?
- 145 2. Is there evidence for differences by genotype in time, region, setting and mode
146 of transmission?
- 147 3. Where do new variants of norovirus emerge?
- 148 4. Can emerging variants be predicted from globally linked surveillance data?
- 149 5. What is the impact of recombination on the reported trends?

150

151 **Methods**

152

153 *NoroNet surveillance network*

154 NoroNet links clinical-, public health-, and food microbiology laboratories willing to
155 share norovirus molecular and epidemiological data on outbreaks and sporadic cases,
156 and has been in existence since the mid-1990s^{8,10,16}. The network started as EU
157 funded network in 1999, continuing since 2002 as global NoroNet⁸. A jointly owned
158 web-based database with online analysis tools was developed in which participants
159 share and compare their data. Participation is on a give and take basis and partners
160 have signed a code of conduct on uses of the data, after which they are granted full
161 access to the data. Partners are expected to contribute to joint reports, and the joint
162 database has been used for in depth studies following approval of partners.

163

164 *Samples and study area*

165 All sequences were derived from human faecal samples. Data from partners with less
166 than 50 submitted sequences during the study period were excluded. Based on these
167 criteria, the study included norovirus sequences obtained from samples collected in 19
168 countries: Austria, Belgium, China, Denmark, Finland, France, Germany, Hungary,

169 Ireland, Italy, Japan, the Netherlands, New Zealand, Russia, Slovenia, South Africa,
170 Spain, Sweden, and the United Kingdom. Less entries had been obtained from
171 partners in Australia, Chile and Norway.

172

173 *Data analysis*

174 All entries submitted from January 1st 2005 to November 17th 2016 were downloaded
175 on November 18th 2016. Records without sample date or with a sample date prior to
176 2005 were removed from the analysis. A subset of the data was selected based on the
177 availability of data needed for each individual analysis. Norovirus sequences were
178 genotyped by the online norovirus typing tool¹⁷. Sequences overlapping the
179 ORF1/ORF2 for which ORF1 and ORF2 genotypes could be assigned were analysed
180 separately.

181

182 *Role of the funding source*

183 The funders had no role in designing the study, data collection, data analysis or
184 interpretation of data, writing the report, or in the decision to submit the paper for
185 publication. The corresponding author had full access to all data in the study and had
186 full responsibility for decision to submit for publication.

187

188 **Results**

189

190 *Surveillance coverage*

191 Sixteen countries submitted norovirus sequences in five or more successive years of
192 which six countries submitted sequences during the entire study period (Finland,
193 France, Germany, Hungary, Italy, and the Netherlands). The NoroNet surveillance
194 network is well represented in Europe and has a smaller number of collaborators in
195 Asia, Australia, and Africa (Table S1).

196

197 *Number of reported sequences, sequence length and genome position*

198 The total number of reported sequences fluctuated from 429 to 1403 per year for
199 ORF1 and from 188 to 893 for ORF2 and has increased in recent years, especially for
200 ORF2 sequences (Figure 1A and 1B). Sequence reads had an average length of 351
201 bases and the majority of sequences were located in the RNA-dependent RNA
202 polymerase region of ORF1 or 5' side of ORF2 (Figure 2). Only 2.7% of sequences

203 covered the main antigenic sites located at the P2 domain of VP1. During the study
204 period, 154 full VP1 sequences were reported including three full genome sequences.
205 An increased number of reported ORF1 sequences was observed in years of or post
206 introduction of new GII.4 variants (Den Haag 2006b in 2006, New Orleans 2009 in
207 2009, and Sydney 2012 in 2012) which could be primarily attributed to GII.P4 and
208 GII.Pe, indicated by separate lines in Figure 1A.

209

210 *Norovirus diversity at the genotype level*

211 The number of reported sequences and GI versus GII ratio per country was analysed
212 to get a better understanding of the genogroup coverage and diversity (Table S1).
213 Countries in Asia and South Africa only reported GII strains while other countries
214 showed a GI proportion up to 22.3%. Overall, 1372 of 16636 (8.2%) sequences
215 belong to norovirus GI, 15256 of 16636 (91.7%) sequences belong to GII.
216 Additionally, eight sequences were submitted belonging to GIII or GIV (n=1, n=7,
217 respectively). Trends per genotype per year for GI and GII are shown in Figures 1A
218 and 1B. The most consistently and commonly detected genotypes was GII.P4 with
219 6125 of 11252 (54.8%) ORF1 sequences and 4184 of 6423 (65.1%) ORF2 sequences
220 listed as GII.4 by the phylogeny based typing tool. The remaining ~40% is a diverse
221 mixture of 31 ORF1 and 25 ORF2 genotypes with some genotypes only detected
222 incidentally, while other genotypes were detected more often or with increased
223 prevalence in some years.

224

225 *Emergence of novel GII.17 genotype*

226 Recent studies from Asia reported a major shift in genotype composition from the
227 predominant GII.4 to the novel GII.P17-GII.17 norovirus strain (GII.17 Kawasaki
228 2014) late 2014 and onwards^{18,19}. NoroNet detected a sharp increase in the number of
229 GII.P17 and GII.17 strains in 2015 - 2016 (Figure 1A and 1B). Asian countries (China
230 and Japan) submitted in total n=10 ORF1 and n=73 ORF2 sequences to NoroNet in
231 2015 - 2016, and China reported n=1 GII.17 strain (data not shown). GII.P17 and
232 GII.17 were widely detected among several European countries (Belgium, Finland,
233 France, Germany, Hungary, Italy, the Netherlands, Russia, and Slovenia) in 2015 –
234 2016, but not in all (Ireland, Spain, and United Kingdom). The GII.P17 / GII.17
235 fraction is smaller than GII.Pe / GII.P4 / GII.4 in the majority of European countries
236 except for France (ORF1) and Russia (ORF1 and ORF2). Nevertheless, the viruses

237 were co-circulating with GII.4 strains that remained the most commonly reported
238 genotype, (data not shown).

239

240 *Trends in GII.4 variants*

241 The NoroNet GII.4 variant distribution time trends are shown in Figure 3. In 2006,
242 GII.4 Hunter 2004 was replaced by GII.4 Den Haag 2006b, succeeded by GII.4 New
243 Orleans 2009 and GII.4 Sydney 2012 in the Northern hemisphere winter seasons of
244 2009/2010 and 2012/2013, respectively. The GII.4 Sydney ORF2 variant circulated as
245 a recombinant with GII.Pe or GII.P4 New Orleans 2009 since it emerged in 2012 and
246 has not (yet) developed a new ORF1 variant. The GII.4 New Orleans 2009 ORF2
247 variant almost disappeared as of 2013, while the corresponding GII.P4 New Orleans
248 ORF1 variant was still widely detected due to recombination with the GII.4 Sydney
249 2012 ORF2 variant. The GII.4 variant group ‘other’ represents variants that were only
250 detected with limited geographic distribution and at low level incidence or sequences
251 that could not be typed to the variant level by the norovirus genotyping tool i.e. due to
252 a short sequence length. Variants that were detected infrequently during the study
253 period are: Camberwell 1994, Farmington Hills 2002, Asia 2003, Kaiso 2003,
254 Yerseke 2006a, Apeldoorn 2007, and Osaka 2007. A novel GII.P16-GII.4 Sydney
255 2012 recombinant was detected in 2014 (n=2) (Germany and the Netherlands), not
256 detected in 2015, and detected in Japan, China, and the Netherlands (n=13) in 2016
257 (see paragraph recombination).

258

259 *Origin of novel GII.4 drift variants*

260 To assess when and where novel drift variants originate, we assessed the sampling
261 date and country of origin of the first reported sequence of global drift variants (Table
262 1). All assessed variants, except Hunter 2004, were detected 2-5 years before the
263 global predominance of the particular strain, which may indicate that new drift
264 variants are at low levels present in the population before their actual global
265 emergence. Hunter 2004 was firstly detected in the Netherlands in the year of
266 emergence 2004.

267

268 *Recombination*

269 To assess the influence of ORF1/ORF2 recombination on the norovirus diversity, we
270 selected all sequences (n=1047) that were overlapping the ORF1/ORF2 junction and

271 for which both sides could be genotyped by the norovirus genotyping tool. 477 of
272 1047 (45.6%) sequences were assigned as a recombinant strain (Table 2). No between
273 genogroup recombination was observed. Remarkably, some polymerase types are
274 more prone to recombine than others. Recombination within GII was most common:
275 457 recombinant sequences belong to GII of which GII.Pe–GII.4, GII.P21–GII.3, and
276 GII.P7–GII.P6 are the most commonly detected recombinants. ORF2 GII.4 has been
277 detected in combination with GII.P12, GII.P16, and GII.Pe. The GII.P12 recombinant
278 was detected in 2005 – 2006 in combination with GII.4 Asia 2003. GII.P16 and
279 GII.Pe are both only found in combination with GII.4 Sydney 2012 between 2014 and
280 2016 (data not shown). GII.P16 was found in combination with five different VP1
281 genotypes: GII.3, GII.4, GII.10, GII.12, and GII.13 which each for a separate clade in
282 a maximum likelihood tree inferred from partial GII.P16 sequences (Figure 4). Three
283 variants of GII.4 Sydney are currently co-circulating, all resulting from
284 recombination: GII.P4 Orleans 2009-GII.4 Sydney 2012, GII.Pe-GII.4 Sydney 2012
285 and GII.P16-GII.4 Sydney 2012. The antigenic regions do not contain any amino acid
286 changes that have not been observed in previously circulating GII.4 Sydney strains,
287 although the VP1 sequences of GII.P16-GII.4 Sydney 2012 cluster separately from
288 other GII.Pe-GII.4 Sydney strains (Supplementary Figure 2 and 3).

289

290 *Differences by season, region, setting, and mode of transmission*

291 The European norovirus season coincides with the Northern Hemisphere winter
292 season (Figure 5a). GII.Pe/GII.P4-GII.4 sequences show the clearest winter
293 seasonality patterns while GI and GII non GII.Pe/GII.P4-GII.4 strains are more
294 continuously present throughout the year, but never exceed the number of
295 GII.Pe/GII.P4-GII.4 sequences. The rate of norovirus submissions in Africa (all
296 reported by South Africa) shows an elevation in the months September – November
297 which coincides with the Southern Hemisphere spring season (Figure 5b). Asia
298 (reported by China and Japan) shows an elevation of the norovirus incidence in the
299 Northern Hemisphere winter season with the peak in November, two months earlier
300 compared to Europe (Figure 5c). Australia (reported by New Zealand) shows highest
301 incidence in October and November (Figure 5d).

302

303 The suspected mode of transmission was reported for n=6446 entries: 77.4% person-
304 to-person transmission (n=4990), 19.9% foodborne transmission (n=1280), 2.1%

305 waterborne transmission, and 0.7% other transmission mode (n=133, n=43,
306 respectively) (Figure 6A). GII.4 is relatively more often transmitted via person-to-
307 person compared to other genotypes.

308

309 The setting of the norovirus outbreak was reported for n=8772 entries: 29.7% hospital
310 setting (n=2603), 36.0% residential institution (n=3154), 9.3% hotel, restaurant or
311 caterer (n=819), 11.8% day care or school (n=1039), 13.2% other (n=1157) (Figure
312 6B). The majority of sequences were derived from samples obtained in health care -
313 or residential institutions. GII.4 was relatively more often detected in healthcare
314 settings compared to non-GII.4 genotypes.

315

316 **Discussion**

317 Despite differences in norovirus surveillance among countries and a lack of it in many
318 others, the current NoroNet system is able to observe global trends and major shifts in
319 the genetic composition of the virus population at the level of genotype and variant, as
320 was shown by this study and by others^{6,10,18,20}. GII.4 Sydney 2012 is the
321 predominantly detected variant since 2012 and, given the replacement cycle of two to
322 three years shown for previous variants, a new antigenic variant has been anticipated
323 for some years. While there was no evidence for antigenic evolution of the GII.4
324 Sydney capsids, it is remarkable that these capsids seem to be circulating as
325 recombinant forms, suggesting that recombination somehow favours virus
326 maintenance in the population. The driving forces for this phenomenon are currently
327 unknown. It is possible that some recombinant viruses have increased fitness due to
328 differences in replication and/or transmission efficiency²¹. For GII.4, recombination
329 has only been with closely related genotypes GII.Pe and GII.P12, which are both
330 suggested to be derived from an ancestor of GII.P4²². The novel recombinant
331 GII.P16-GII.4 Sydney 2012 may allow further exploration of antigenic diversity to
332 escape host immunity in the future.

333

334 Norovirus surveillance is done on a voluntary basis since funding for the network is
335 unavailable. This is reflected by an unstable submission behaviour of many countries.
336 Unstandardized sampling and submission affects the ability of the network to robustly
337 identify the effect of introduction of new genotypes and variants on the norovirus
338 impact and severity. Another potential use is the identification of international

339 outbreaks, which have been observed during periods of sustained funding^{4,23}. The
340 currently provided sequence data can be used to genotype a virus to the level of
341 genotype and variant, but is less suitable for phylogenetic analysis for the purpose of
342 international outbreak investigations due to the lack of standardisation of protocols.
343 To overcome this problem, we are exploring the use of next generation sequencing to
344 allow whole genome sequencing as a new standard. As a minimum, a shared protocol
345 for sequencing is needed, preferably including the ORF1 / ORF2 overlap to genotype
346 both the viral RNA-dependent RNA polymerase and VP1 protein. A protocol for
347 sequencing this particular region has been described²⁴.

348

349 Norovirus vaccine candidates are currently tested in phase I and II trials and although
350 vaccine cross-protection, efficacy, and effectiveness need to be evaluated, especially
351 in vulnerable patient populations, it seems likely that a norovirus vaccine will be
352 available in the near future. Such a vaccine will most likely need to be updated on a
353 regular basis due to the ability of the virus to escape herd immunity by altering the
354 epitopes on the surface of the capsid protein, especially by the predominant GII.4²⁵.
355 Essential data about the antigenic changes, especially those located in the P2 domain
356 of the major capsid of the virus, can be obtained via a global surveillance system.
357 Enhanced capsid surveillance or enhanced full genome sequencing via next
358 generation sequencing techniques could provide a better insight in the evolution of the
359 virus and could provide data to monitor the antigenic distance between the future
360 vaccine and circulating strains.

361

362 One of the major questions within the norovirus research field is whether we are
363 capable of predicting emerging variants in the near future. All the recent major drift
364 variants were already circulating years before they became dominant, suggesting early
365 warning surveillance for variant emergence would be possible. If we assume that new
366 variants develop in the human population and could emerge anywhere in the world, as
367 shown by this study, this would require a surveillance system with global coverage
368 including large-scale genomics to capture both capsid diversity and recombination. A
369 next step would be to predict antigenic properties from the genomic diversity,
370 although this is likely to be challenging and requires development of phenotypic
371 assays to assess antigenicity and immunity, similar to the model of the global

372 influenza virus surveillance network. More research and new funding sources are
373 needed to address these issues.

374

375 **Contributors**

376 MK, MG, and JB designed the study. MK, MG and JB analysed and interpreted the
377 data, and MG and JB prepared the tables and figures. MK, MG and JB wrote the
378 manuscript. AK, MC, HV and NI collected data and critically read the manuscript. All
379 other authors contributed by submitting data during the study period.

380

381 **Declaration of interests**

382 None of the authors declared any conflict of interest.

383

384 **Acknowledgement**

385 This study uses data from nineteen countries shared via the NoroNet network and we
386 gratefully thank all people (including Kate Templeton and Zhaojun Duan) who
387 contributed to the study by collecting and sharing of data. This study was supported
388 by the EU H2020 grant COMPARE (grant agreement number 643476), ZonMw TOP
389 grant under grant number 91213058, the Virgo Consortium funded by Dutch
390 government (FES0908), and by a grant from the Hungarian Scientific Research Fund
391 (OTKA/NKFIH K111615).

392

393 **Figure descriptions**

394

395 **Figure 1** Number of reported ORF1 (A) and ORF2 (B) sequences (n=11252 and
396 n=6423, respectively) stratified per genotype group, genotype, and year. Note that
397 n=1047 sequences overlapping ORF1/ORF2 are counted for both ORF1 and ORF2.
398

399 **Figure 2** Position of n=16628 sequence reads on the norovirus genome. Each
400 sequence represents a line in the figure. Boxes above the graph represent the
401 norovirus open reading frames (ORFs) of reference GII.Pe-GII.4 Sydney 2012
402 (Genbank accession: JX459908).

403

404 **Figure 3** GII.4 variant trends per year for ORF1 (top) and ORF2 (bottom).

405

406 **Figure 4** Maximum likelihood tree for region B of ORF1 sequences displaying the
407 genetic diversity of GII.P16 sequences that are found in combination with different
408 VP1 sequences (used sequence length 289 nucleotides, n=34). The Maximum
409 likelihood trees were inferred with PhyML version 3.1, using the general time
410 reversible (GTR) nucleotide substitution model with a proportion of invariant sites
411 and a Γ distribution of among-site rate variation²⁶. GII.P16-GII.4 Sydney 2012
412 sequences are indicated in red.

413

414 **Figure 5** Norovirus seasonality patterns in Europe (A) (n=13935), Africa (B)
415 (n=195), Asia (C) (n=262), Australia (D) (n=806) stratified per genotype group.

416

417 **Figure 6** Norovirus transmission route (n=8772) (A) and suspected outbreak setting
418 (n=6446) (B) stratified per genotype group.

419

420 **References**

- 421 1. Ahmed SM, Hall AJ, Robinson AE, et al. Global prevalence of norovirus in
422 cases of gastroenteritis: a systematic review and meta-analysis. *Lancet Infect Dis*
423 2014; **14**(8): 725-30.
- 424 2. Lindsay L, Wolter J, De Coster I, Van Damme P, Verstraeten T. A decade of
425 norovirus disease risk among older adults in upper-middle and high income
426 countries: a systematic review. *BMC infectious diseases* 2015; **15**: 425.
- 427 3. Siebenga JJ, Vennema H, Renckens B, et al. Epochal evolution of GGII.4
428 norovirus capsid proteins from 1995 to 2006. *J Virol* 2007; **81**(18): 9932-41.
- 429 4. Verhoef L, Kouyos RD, Vennema H, et al. An integrated approach to
430 identifying international foodborne norovirus outbreaks. *Emerg Infect Dis* 2011;
431 **17**(3): 412-8.
- 432 5. de Graaf M, van Beek J, Koopmans MP. Human norovirus transmission
433 and evolution in a changing world. *Nat Rev Microbiol* 2016; **14**(7): 421-33.
- 434 6. Siebenga JJ, Vennema H, Zheng DP, et al. Norovirus illness is a global
435 problem: emergence and spread of norovirus GII.4 variants, 2001-2007. *J Infect*
436 *Dis* 2009; **200**(5): 802-12.
- 437 7. Kroneman A, Vega E, Vennema H, et al. Proposal for a unified norovirus
438 nomenclature and genotyping. *Arch Virol* 2013; **158**(10): 2059-68.
- 439 8. Koopmans M, Vennema H, Heersma H, et al. Early identification of
440 common-source foodborne virus outbreaks in Europe. *Emerg Infect Dis* 2003;
441 **9**(9): 1136-42.
- 442 9. Siebenga JJ, Vennema H, Duizer E, Koopmans MP. Gastroenteritis caused
443 by norovirus GGII.4, The Netherlands, 1994-2005. *Emerg Infect Dis* 2007; **13**(1):
444 144-6.
- 445 10. Kroneman A, Verhoef L, Harris J, et al. Analysis of integrated virological
446 and epidemiological reports of norovirus outbreaks collected within the
447 Foodborne Viruses in Europe network from 1 July 2001 to 30 June 2006. *J Clin*
448 *Microbiol* 2008; **46**(9): 2959-65.
- 449 11. Vega E, Barclay L, Gregoricus N, Shirley SH, Lee D, Vinje J. Genotypic and
450 epidemiologic trends of norovirus outbreaks in the United States, 2009 to 2013. *J*
451 *Clin Microbiol* 2014; **52**(1): 147-55.
- 452 12. Bernstein DI, Atmar RL, Lyon GM, et al. Norovirus vaccine against
453 experimental human GII.4 virus illness: a challenge study in healthy adults. *J*
454 *Infect Dis* 2015; **211**(6): 870-8.
- 455 13. Treanor JJ, Atmar RL, Frey SE, et al. A novel intramuscular bivalent
456 norovirus virus-like particle vaccine candidate--reactogenicity, safety, and
457 immunogenicity in a phase 1 trial in healthy adults. *J Infect Dis* 2014; **210**(11):
458 1763-71.
- 459 14. Debbink K, Lindesmith LC, Baric RS. The state of norovirus vaccines. *Clin*
460 *Infect Dis* 2014; **58**(12): 1746-52.
- 461 15. Nordahl Petersen T, Rasmussen S, Hasman H, et al. Meta-genomic analysis
462 of toilet waste from long distance flights; a step towards global surveillance of
463 infectious diseases and antimicrobial resistance. *Sci Rep* 2015; **5**: 11444.
- 464 16. Kroneman A, Harris J, Vennema H, et al. Data quality of 5 years of central
465 norovirus outbreak reporting in the European Network for food-borne viruses. *J*
466 *Public Health (Oxf)* 2008; **30**(1): 82-90.
- 467 17. Kroneman A, Vennema H, Deforche K, et al. An automated genotyping tool
468 for enteroviruses and noroviruses. *J Clin Virol* 2011; **51**(2): 121-5.

- 469 18. de Graaf M, van Beek J, Vennema H, et al. Emergence of a novel GII.17
470 norovirus - End of the GII.4 era? *Euro Surveill* 2015; **20**(26).
- 471 19. Chan MC, Lee N, Hung TN, et al. Rapid emergence and predominance of a
472 broadly recognizing and fast-evolving norovirus GII.17 variant in late 2014. *Nat*
473 *Commun* 2015; **6**: 10061.
- 474 20. van Beek J, Ambert-Balay K, Botteldoorn N, et al. Indications for
475 worldwide increased norovirus activity associated with emergence of a new
476 variant of genotype II.4, late 2012. *Euro Surveill* 2013; **18**(1): 8-9.
- 477 21. Arias A, Thorne L, Ghurburrun E, Bailey D, Goodfellow I. Norovirus
478 Polymerase Fidelity Contributes to Viral Transmission In Vivo. *mSphere* 2016;
479 **1**(5).
- 480 22. Eden JS, Tanaka MM, Boni MF, Rawlinson WD, White PA. Recombination
481 within the pandemic norovirus GII.4 lineage. *J Virol* 2013; **87**(11): 6270-82.
- 482 23. Verhoef L, Hewitt J, Barclay L, et al. Norovirus genotype profiles
483 associated with foodborne transmission, 1999-2012. *Emerg Infect Dis* 2015;
484 **21**(4): 592-9.
- 485 24. van Beek J, van der Eijk AA, Fraaij PL, et al. Chronic norovirus infection
486 among solid organ recipients in a tertiary care hospital, the Netherlands, 2006-
487 2014. *Clin Microbiol Infect* 2016.
- 488 25. Parra GI, Squires RB, Karangwa CK, et al. Static and Evolving Norovirus
489 Genotypes: Implications for Epidemiology and Immunity. *PLoS Pathog* 2017;
490 **13**(1): e1006136.
- 491 26. Guindon S, Gascuel O. A simple, fast, and accurate algorithm to estimate
492 large phylogenies by maximum likelihood. *Syst Biol* 2003; **52**(5): 696-704.
- 493

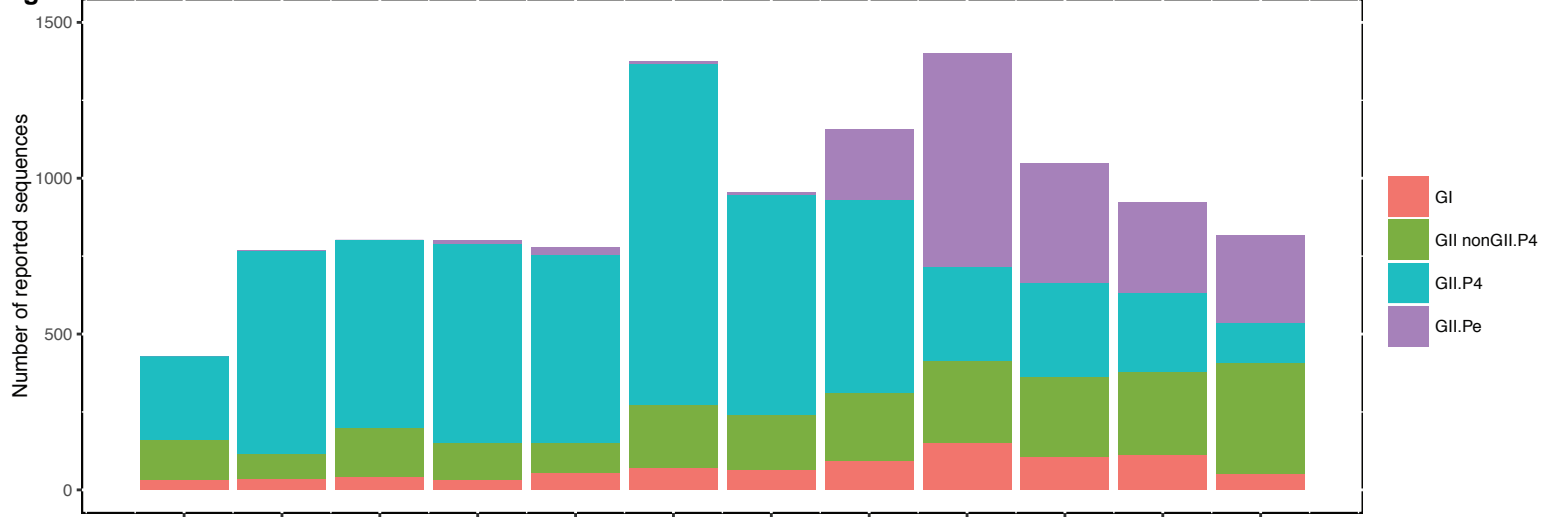
Table 1 First detections of global GII.4 drift variants

GII.4 variant	Year of emergence	First record ORF1	First ORF1 country	first record ORF2	First ORF2 country
Hunter 2004	2004	6-Apr-2004	The Netherlands	6-Apr-2004	The Netherlands
Den Haag 2006b	2006	14-Feb-2002	Germany	30-Sep-2003	Japan
New Orleans 2009	2009	12-Dec-2006	France	24-Apr-2009	South Africa
Sydney 2012	2012	-	-	Oct-2007	The Netherlands

Table 2 ORF1 / ORF2 combinations (n=1047) detected by NoroNet 2005 - 2016

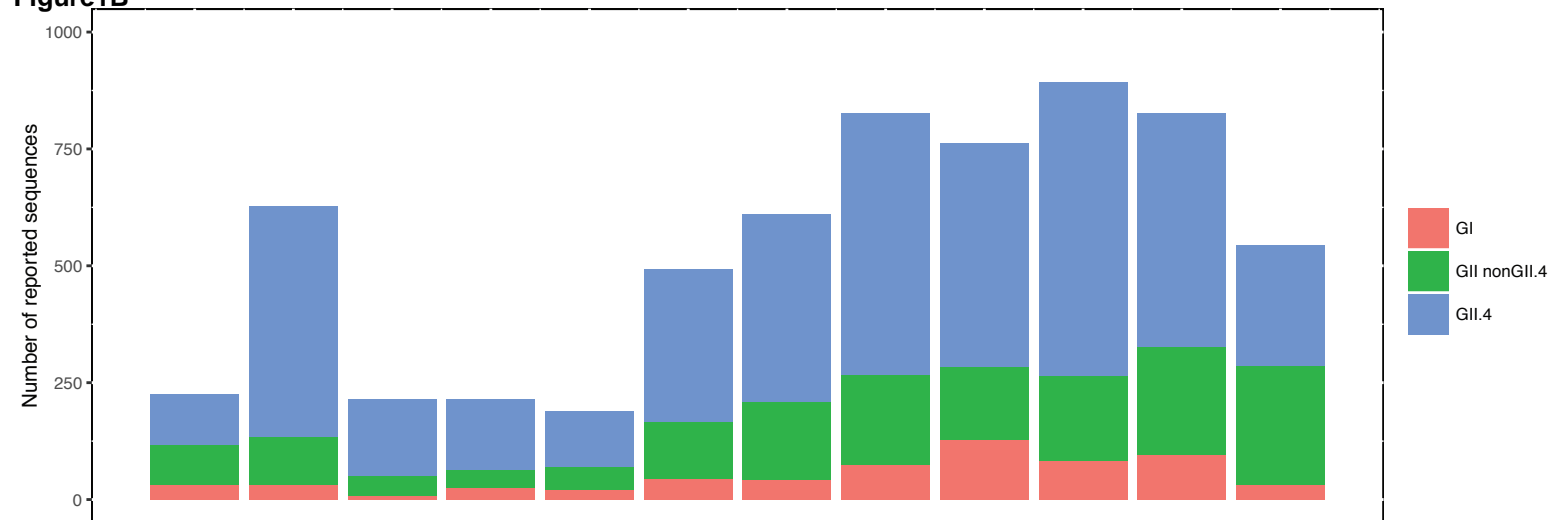
	GI.1	GI.2	GI.3	GI.4	GI.5	GI.6	GII.1	GII.2	GII.3	GII.4	GII.5	GII.6	GII.7	GII.10	GII.12	GII.13	GII.14	GII.17	Total	
GI.P1	9																			9
GI.P2		10																		10
GI.P3			26																	26
GI.P4				15																15
GI.P5					9															9
GI.P7			1																	1
GI.Pb						9														9
GI.Pd			10																	10
GII.P2								12			1									13
GII.P4										441										441
GII.P7												27	9					6		42
GII.P12									1	3										4
GII.P16									2	15				6	5	3				31
GII.P17																			39	39
GII.P21									63							2				65
GII.P22											2								1	3
GII.Pc							3													3
GII.Pe								2		301										303
GII.Pg							8								6					14
Total	9	10	37	15	9	9	11	14	66	760	3	27	9	6	11	5	6	40		1047

Figure1A



	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
GI.P1	4	0	2	0	0	1	2	0	4	3	6	1
GI.P2	3	13	5	3	0	3	3	5	2	17	10	17
GI.P3	9	7	17	18	16	4	7	10	34	39	51	7
GI.P4	9	7	5	5	33	32	21	14	40	4	10	12
GI.P5	2	1	0	0	1	0	0	0	6	4	7	3
GI.P6	0	0	0	0	0	0	0	0	4	0	0	0
GI.P7	0	0	0	0	0	20	3	6	7	2	3	0
GI.P8	2	0	0	1	0	0	0	0	1	0	0	0
GI.P9	0	0	0	0	1	0	0	0	4	4	0	1
GI.Pa	0	0	0	0	0	0	1	2	2	0	0	0
GI.Pb	2	4	13	5	2	10	25	50	43	21	22	4
GI.Pd	3	2	1	0	1	0	1	1	3	9	0	4
GI.Pf	0	0	0	0	0	0	0	6	1	2	5	1
GII.P2	15	10	9	8	11	23	11	17	39	35	34	43
GII.P3	1	2	0	0	0	0	0	0	0	0	0	0
GII.P4	269	649	603	639	603	1094	709	617	302	301	252	127
GII.P6	0	0	0	0	0	0	1	1	0	0	0	0
GII.P7	59	18	39	28	28	31	67	95	93	81	62	33
GII.P8	1	0	0	1	1	3	0	1	2	2	0	0
GII.P11	1	0	0	0	0	0	0	0	0	0	0	0
GII.P12	3	6	2	0	0	0	0	7	1	0	0	3
GII.P13	0	7	5	2	0	0	0	0	0	0	0	0
GII.P15	0	0	0	0	1	1	0	1	0	1	0	0
GII.P16	0	0	0	0	1	0	5	17	19	17	5	31
GII.P17	0	0	0	0	0	0	0	0	1	4	102	185
GII.P20	3	1	0	0	0	0	0	0	0	0	1	0
GII.P21	42	39	101	70	46	52	31	30	75	92	41	49
GII.P22	0	0	0	0	1	0	0	3	16	2	0	3
GII.Pc	0	0	0	0	0	0	0	0	4	2	0	0
GII.Pe	0	1	0	12	24	7	7	225	686	384	291	281
GII.Pg	1	0	1	7	7	93	61	47	14	22	20	12
GII.Pm	0	0	0	3	0	0	0	0	0	0	0	0
Total	429	767	803	802	777	1374	955	1155	1403	1048	922	817

Figure1B



	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
GI.1	3	6	0	0	1	4	3	0	5	2	6	7
GI.2	0	8	2	4	0	2	1	7	4	15	18	2
GI.3	11	4	4	11	5	6	8	22	42	36	40	11
GI.4	12	8	1	3	14	16	18	13	34	5	10	4
GI.5	2	2	0	1	1	1	0	0	7	3	5	5
GI.6	3	2	1	5	0	7	11	22	28	17	13	3
GI.7	0	1	0	1	0	8	2	9	4	1	4	0
GI.8	0	0	0	1	0	0	0	0	0	0	0	0
GI.9	0	0	0	0	0	0	0	1	5	3	0	0
GII.1	2	0	0	4	0	31	29	35	12	14	15	3
GII.2	6	7	7	7	9	6	15	11	30	29	34	22
GII.3	21	15	22	10	16	15	45	29	17	53	23	34
GII.4	107	493	163	151	118	327	402	559	479	628	500	257
GII.5	0	2	0	0	0	0	0	4	13	3	0	0
GII.6	23	25	9	6	8	10	42	70	34	63	35	11
GII.7	28	43	0	1	2	4	18	26	28	3	7	8
GII.8	1	3	0	1	0	1	0	1	1	0	2	0
GII.10	0	0	0	0	0	0	0	2	0	6	1	0
GII.12	0	1	3	1	9	37	4	6	1	2	8	2
GII.13	1	2	0	1	0	13	6	4	10	4	5	4
GII.14	2	0	1	5	3	1	2	2	7	1	6	6
GII.15	0	0	0	0	0	0	0	0	1	1	0	0
GII.16	1	2	0	0	0	1	1	1	0	0	0	0
GII.17	0	1	0	1	1	1	1	0	1	3	94	164
GII.20	1	3	0	0	1	0	0	0	0	0	0	0
GII.21	0	0	1	0	0	2	2	3	0	1	0	0
Total	224	628	214	214	188	493	610	827	763	893	826	543

Figure2

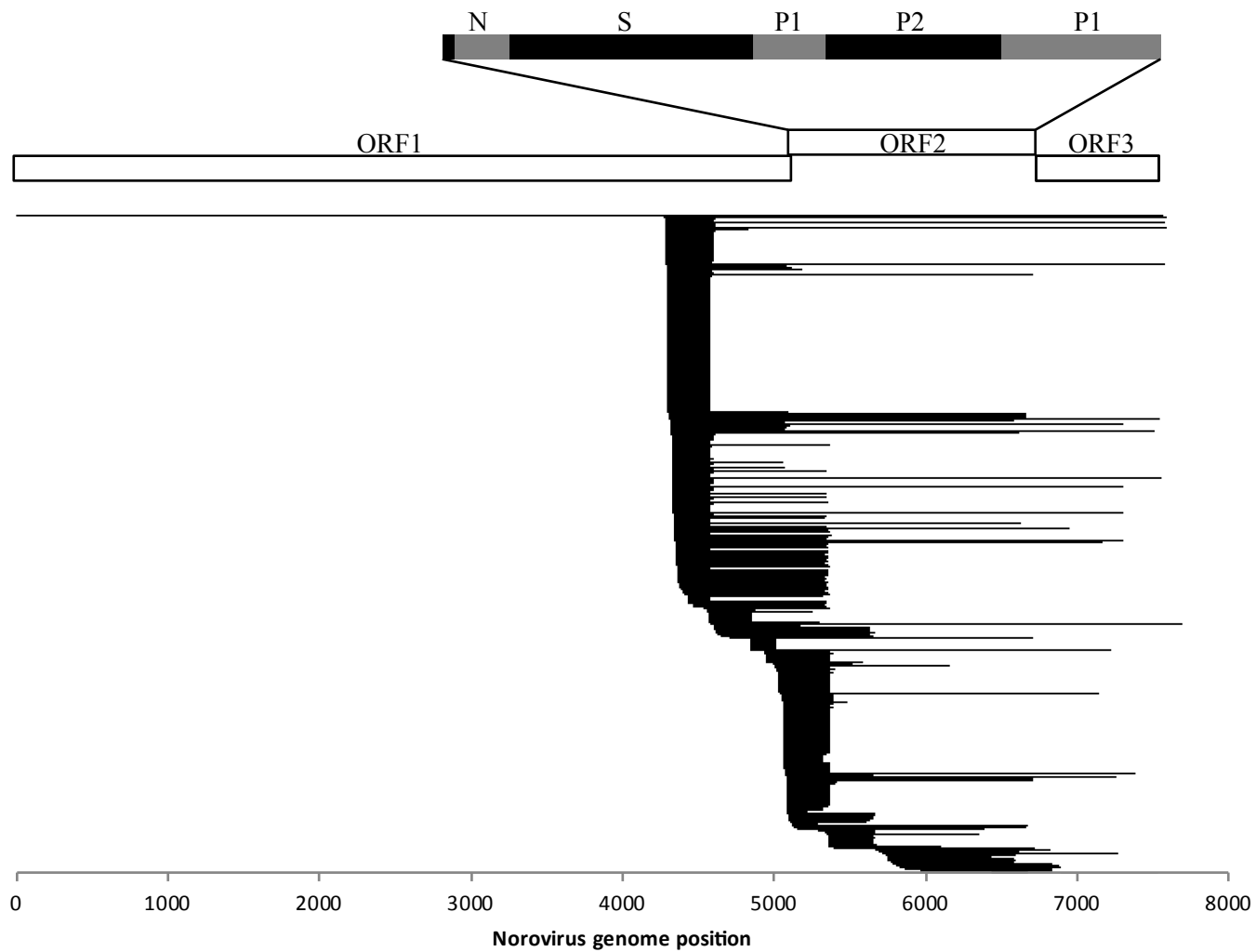


Figure3

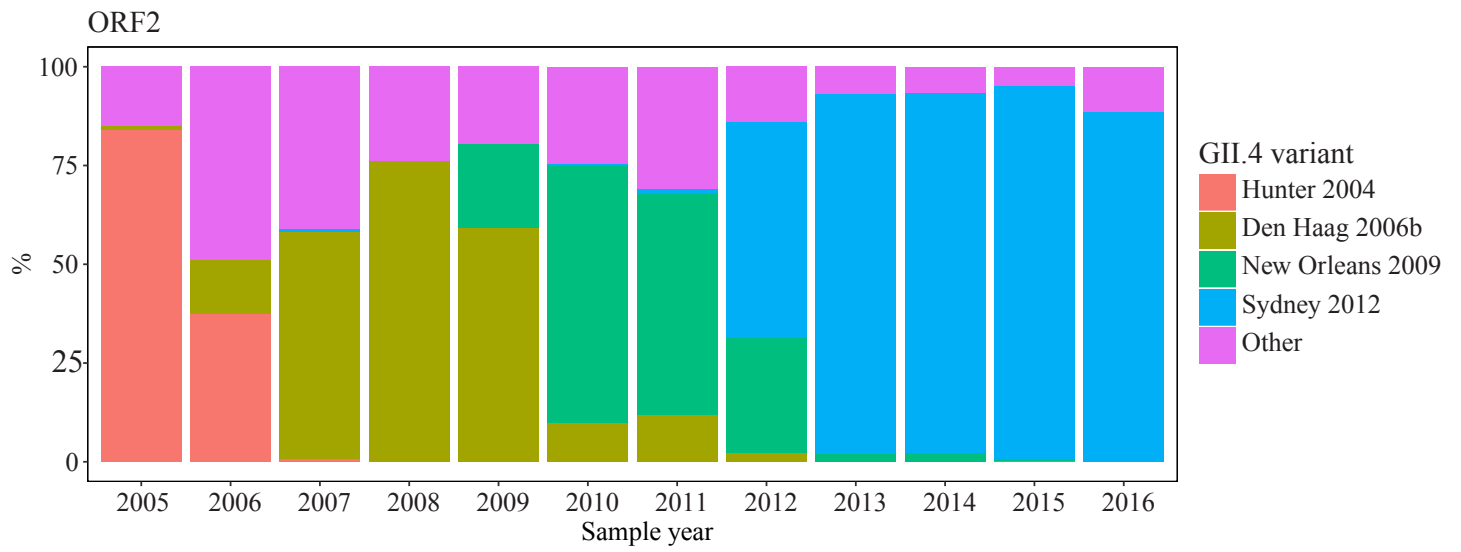
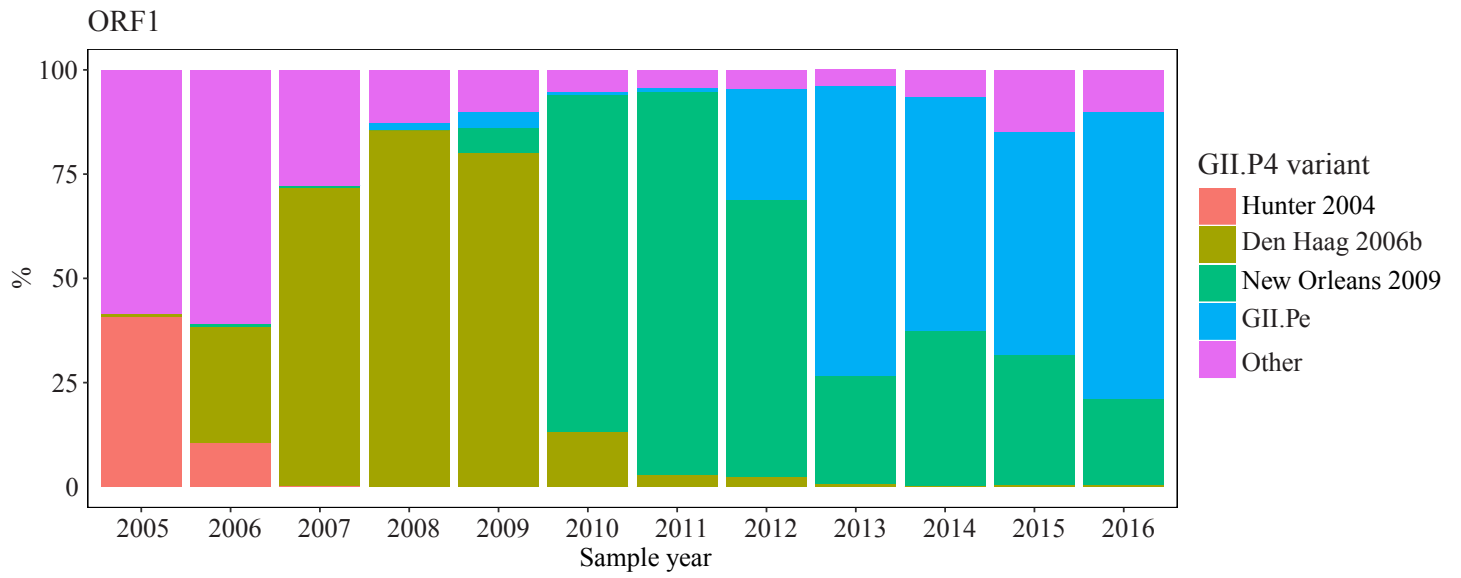
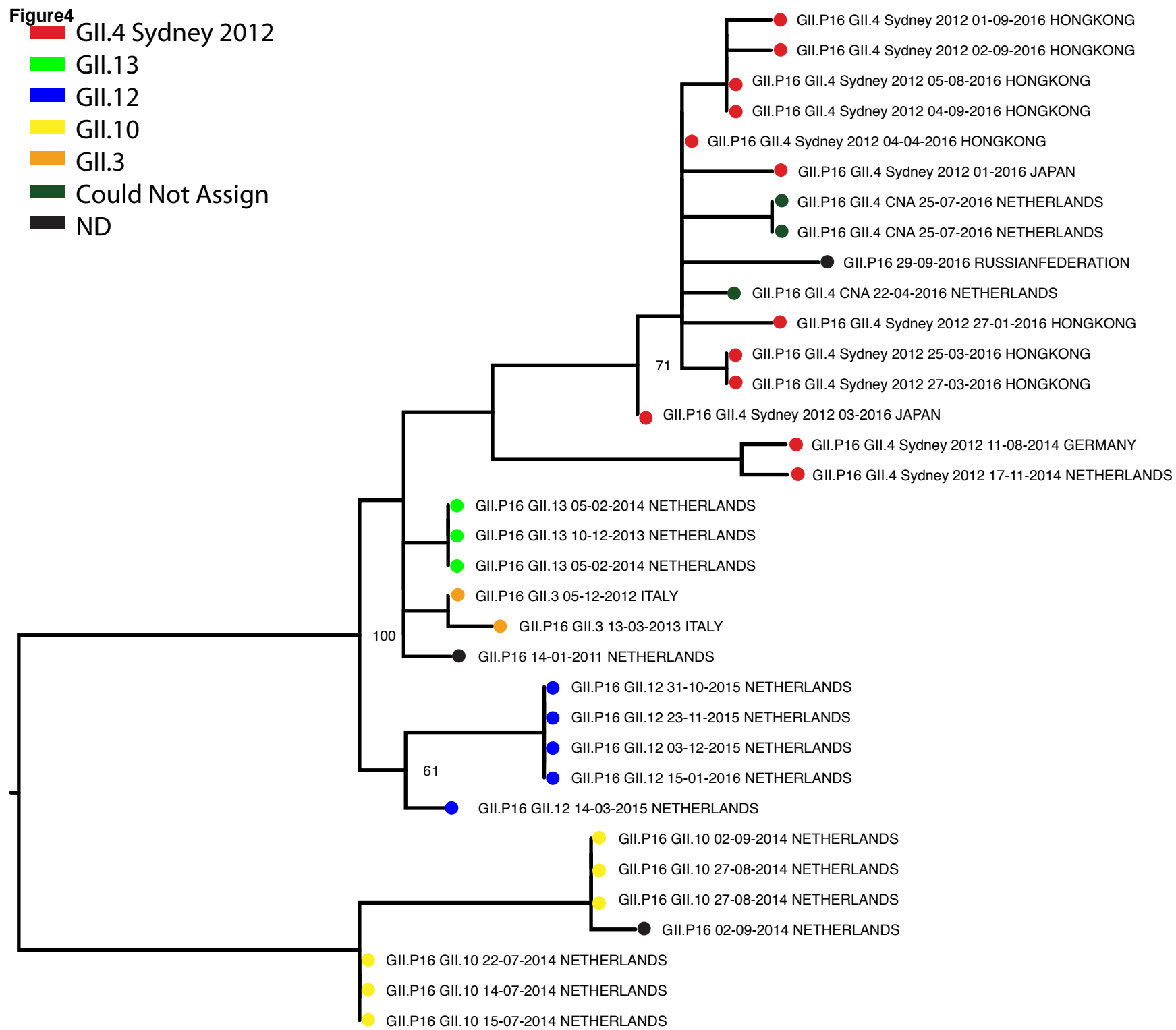


Figure 4

- GII.4 Sydney 2012
- GII.13
- GII.12
- GII.10
- GII.3
- Could Not Assign
- ND



0.02

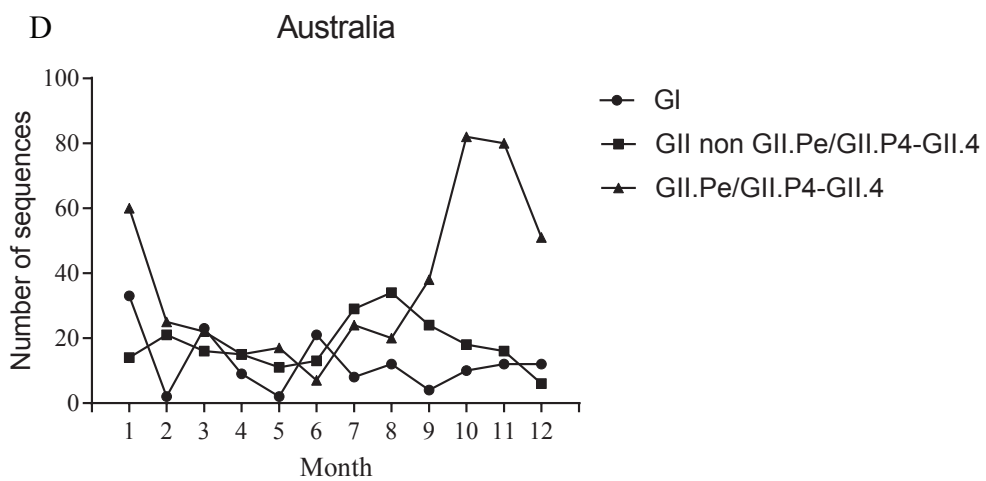
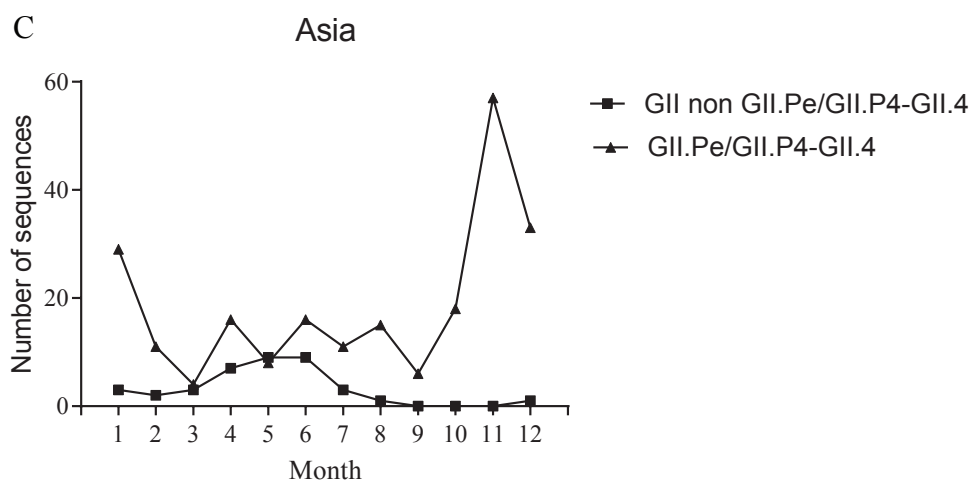
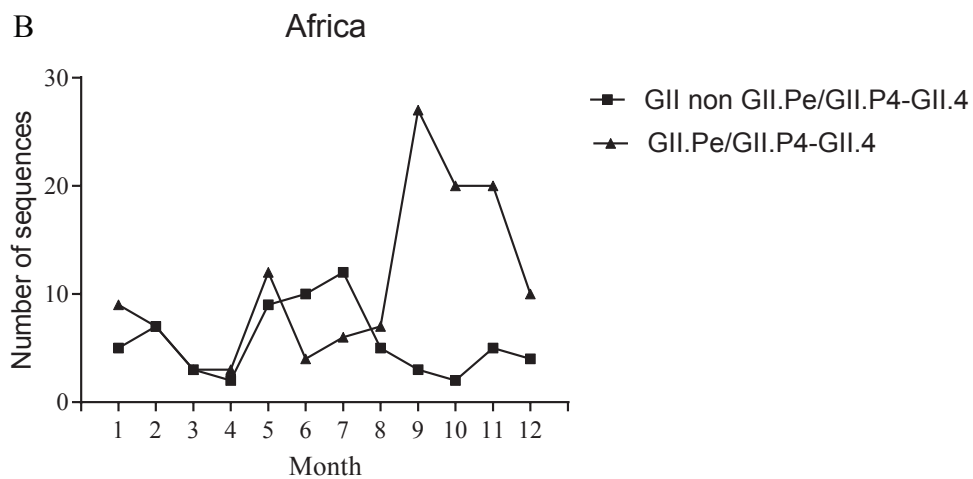
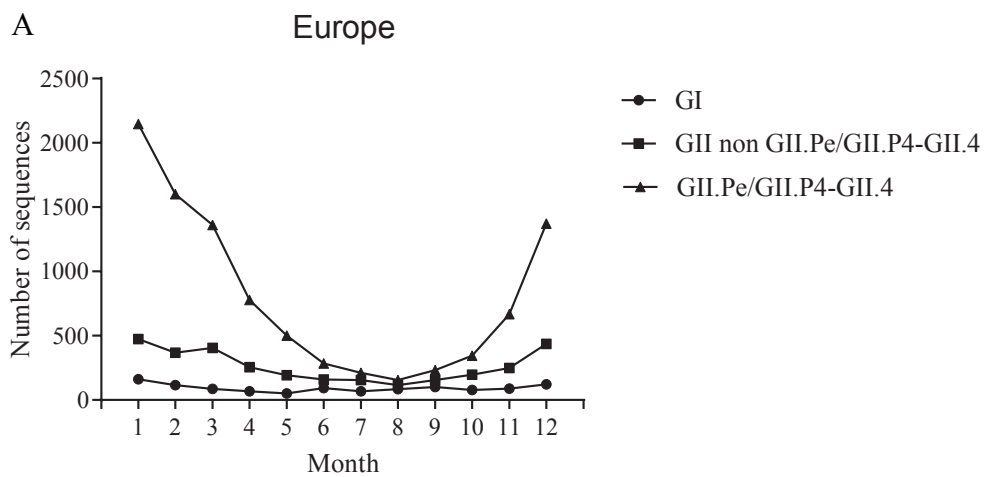
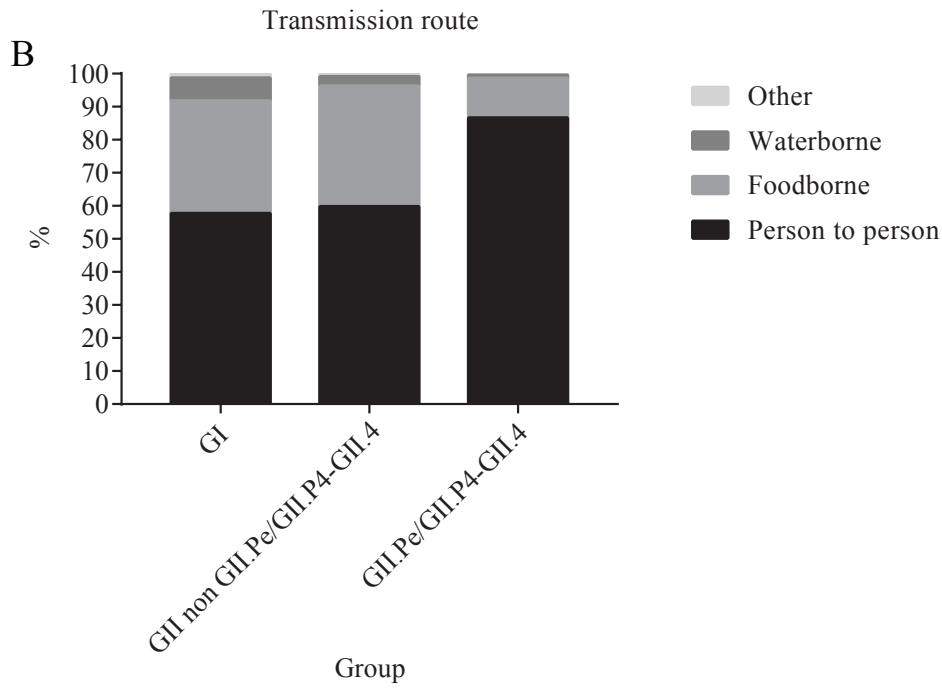
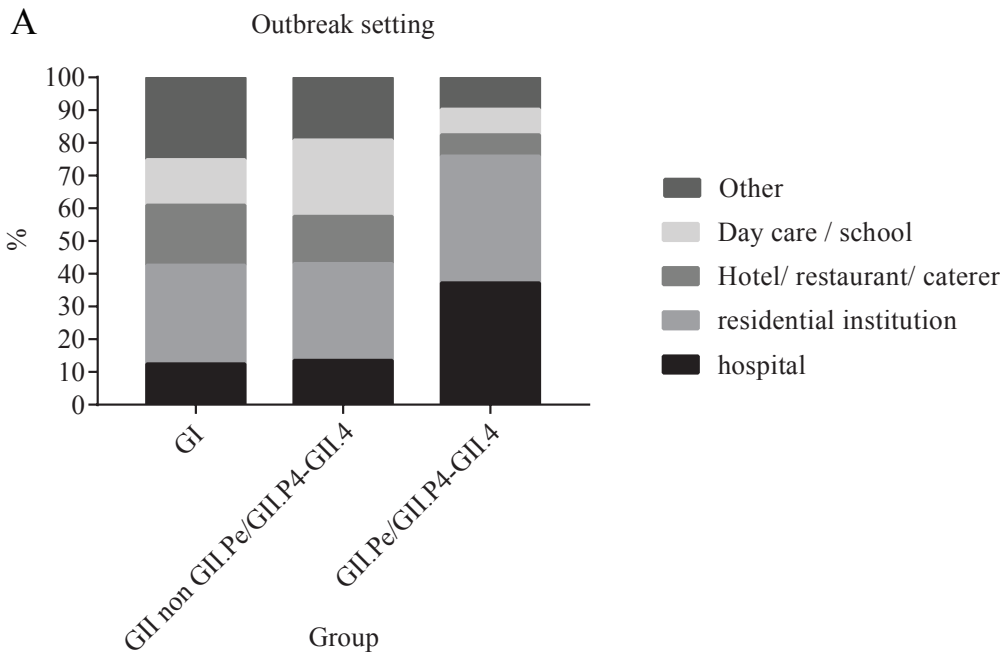
Figure5

Figure6



Supplementary Table 1[Click here to download Necessary additional data: Supplementary table 1.docx](#)**Supplementary Table 1** Number of reported GI and GII sequences per continent and country

Continent	Country	GI (%)	GII (%)	Total
Europe	Austria	6 (3,2)	180 (96,8)	186
Europe	Belgium	41 (11,4)	319 (88,6)	360
Asia	China	0 (0)	142 (100)	142
Europe	Denmark	67 (10,4)	580 (89,6)	647
Europe	Finland	96 (8,5)	1037 (91,5)	1133
Europe	France	267 (8,2)	3004 (91,8)	3271
Europe	Germany	183 (16,4)	932 (83,6)	1115
Europe	Hungary	43 (5,2)	791 (94,8)	834
Europe	Ireland	11 (7)	147 (93)	158
Europe	Italy	23 (7,7)	276 (92,3)	299
Asia	Japan	0 (0)	293 (100)	293
Europe	Netherlands	327 (6)	5100 (94)	5427
Australia	New Zealand	148 (18,4)	658 (81,6)	806
Europe	Slovenia	15 (6,7)	209 (93,3)	224
Africa	South Africa	0 (0)	195 (100)	195
Europe	Spain	16 (5,5)	274 (94,5)	290
Europe	Sweden	69 (22,3)	241 (77,7)	310
Europe	United Kingdom	37 (5,9)	595 (94,1)	632
Europe	Russia	23 (7,5)	283 (92,5)	306

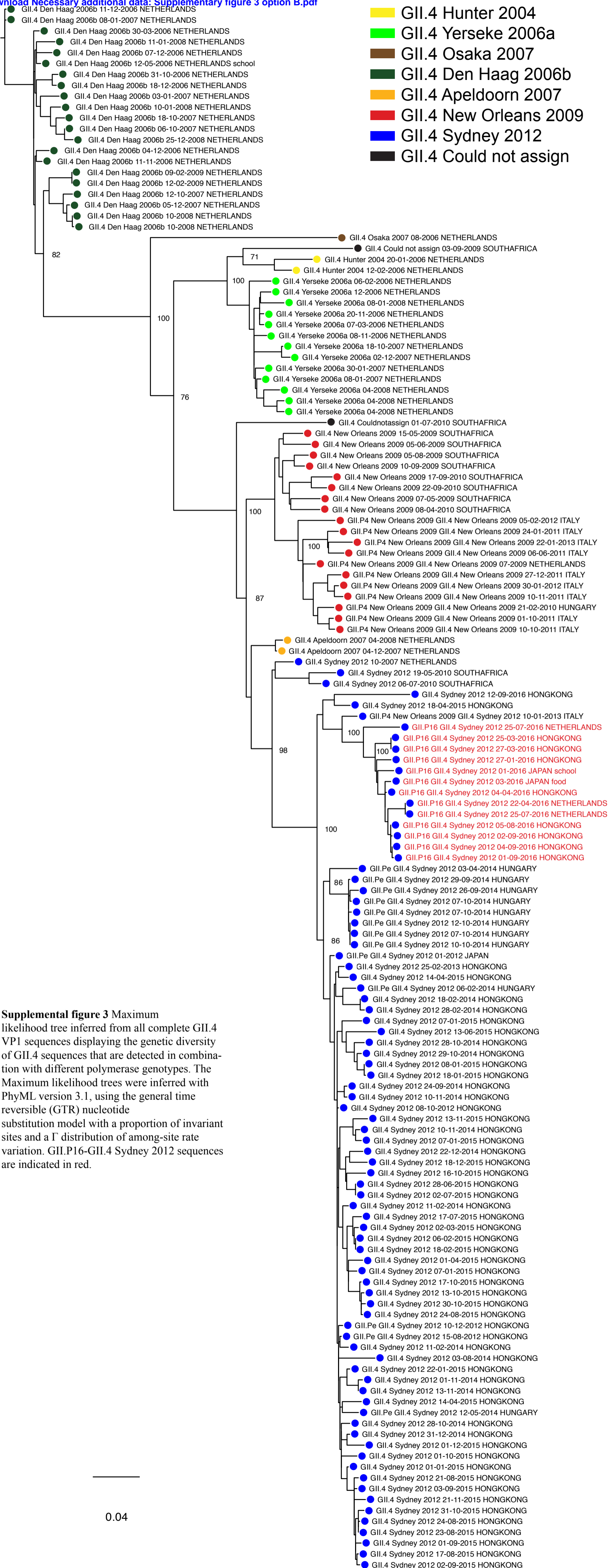
Supplementary Figure 2[Click here to download Necessary additional data: Supplementary figure 2.pdf](#)

Accession nr	Sample location	Sample date	GII.4 ORF2 variant	A 294	A 296	A 297	A 298	A 368	A 372	D 393	D 394	D 395	E 407	E 412	E 413
JX459908.1	Australia	Mar-12	GII.Pe - GII.4 Sydney 2012	T	S	R	N	E	D	G	T	T	S	N	T
JX459907.1	Australia	May-12	GII.Pe - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
Outbreak number	Sample location	Sample date	Recombinant	294	296	297	298	368	372	393	394	395	407	412	413
OH16002	Japan	Jan-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
CUHK-NS-886	Hong Kong	Jan-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
OC16023	Japan	Mar-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
CUHK-NS-937	Hong Kong	Mar-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
CUHK-NS-938	Hong Kong	Jul-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
5061600252	Netherlands	Jul-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
5061600253	Netherlands	Jul-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
5061600205	Netherlands	Apr-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	G	T	T	S	N	T
CUHK-NS-943	Hong Kong	Apr-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
CUHK-NS-1002	Hong Kong	Aug-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
CUHK-NS-1037	Hong Kong	Sep-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
CUHK-NS-1038	Hong Kong	Sep-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T
CUHK-NS-1044	Hong Kong	Sep-16	GII.P16 - GII.4 Sydney 2012	T	S	R	N	E	D	S	T	T	S	N	T

Supplementary figure 2 Amino acid (aa) comparison of the blockade epitopes A, D, and E between GII.Pe-GII.4 Sydney 2012 reference strains (Genbank JX459908.1 and JX459907.1) and novel GII.P16-GII.4 Sydney 2012 recombinant. Epitope A consists of aa 294, 296, 297, 298, 368, and 372, epitope D of aa 393-395, and epitope E of 407, 412, and 413.

Supplementary Figure 3

[Click here to download Necessary additional data: Supplementary figure 3 option B.pdf](#)



Supplemental figure 3 Maximum likelihood tree inferred from all complete GII.4 VP1 sequences displaying the genetic diversity of GII.4 sequences that are detected in combination with different polymerase genotypes. The Maximum likelihood trees were inferred with PhyML version 3.1, using the general time reversible (GTR) nucleotide substitution model with a proportion of invariant sites and a Γ distribution of among-site rate variation. GII.P16-GII.4 Sydney 2012 sequences are indicated in red.

0.04