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The p66^{Shc} Protein Controls Redox Signaling in Liver Cells

4 **The p66^{Shc} Protein Controls Redox Signaling and Oxidation-Dependent DNA Damage in**
5 **Human Liver Cells.**

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22 Key words: oxidative stress, p66^{Shc}, hepatocyte, Akt, FoxO3a, alcoholic steatohepatitis, *Nrf2*.

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23 **Abstract**

24 The p66^{Shc} protein mediates oxidative stress-related injury in multiple tissues. Steatohepatitis is
25 characterized by enhanced oxidative stress-mediated cell damage. The role of p66^{Shc} in redox
26 signaling was investigated in human liver cells and alcoholic steatohepatitis. HepG2 cells with
27 overexpression of wild-type or mutant p66^{Shc}, with Ser³⁶ replacement by Ala, were obtained through
28 infection with recombinant adenoviruses. Reactive oxygen species and oxidation-dependent DNA
29 damage were assessed by measuring dihydroethidium oxidation and 8-hydroxy-2'-deoxyguanosine
30 accumulation into DNA, respectively. mRNA and protein levels of signaling intermediates were
31 evaluated in HepG2 cells and liver biopsies from control and alcoholic steatohepatitis subjects.
32 Exposure to H₂O₂ increased reactive oxygen species and phosphorylation of p66^{Shc} on Ser³⁶ in
33 HepG2 cells. Overexpression of p66^{Shc} promoted reactive oxygen species synthesis and oxidation-
34 dependent DNA damage, which were further enhanced by H₂O₂. p66^{Shc} activation also resulted in
35 increased Erk-1/2, Akt and FoxO3a phosphorylation. Blocking of Erk-1/2 activation inhibited p66^{Shc}
36 phosphorylation on Ser³⁶. Increased p66^{Shc} expression was associated with reduced mRNA levels of
37 anti-oxidant molecules, such as NF-E2-related factor 2 and its target genes. In contrast,
38 overexpression of the phosphorylation defective p66^{Shc} Ala³⁶ mutant inhibited p66^{Shc} signaling,
39 enhanced anti-oxidant genes, and suppressed reactive oxygen species and oxidation-dependent DNA
40 damage. Increased p66^{Shc} protein levels and Akt phosphorylation were observed in liver biopsies
41 from alcoholic steatohepatitis compared to control subjects. Conclusions: in human alcoholic
42 steatohepatitis, increased hepatocyte p66^{Shc} protein levels may enhance susceptibility to DNA
43 damage by oxidative stress by promoting reactive oxygen species synthesis and repressing anti-
44 oxidant pathways.

45 **Introduction**

46 Aberrant production of reactive oxygen species (ROS) has been recognized as a major determinant of
47 DNA damage, leading to disruption of tissue homeostasis, organ dysfunction, and onset of chronic
48 degenerative disorders (24, 27, 30). p66^{Shc} has recently emerged as a master regulator of ROS
49 production and a critical intracellular switch conveying oxidative stress signals to DNA damage in
50 multiple cells and tissues, including the vascular wall and heart (11), kidney (29), osteoblasts (1),
51 lymphocytes (25), and hepatocytes (10).

52 Rodents with genetic deletion of p66^{Shc} demonstrate a prolonged life span due to significant
53 resistance to oxidative stress (3, 21, 32) p66^{Shc^{-/-}} mice are also protected against experimental diabetic
54 glomerulopathy (19), diabetic cardiomyopathy), and hyperglycemia-induced endothelial dysfunction
55 and atherogenesis (36), confirming that p66^{Shc} mediates oxidative stress-dependent tissue damage.
56 Furthermore, phosphorylation of p66^{Shc} on Ser³⁶ has been identified as the key signaling event
57 mediating p66^{Shc} activation and promotion of its downstream cellular effects (21). In the liver, the
58 levels of total and Ser³⁶-phosphorylated p66^{Shc} protein were found to be significantly augmented in
59 the mouse non-alcoholic steatohepatitis (NASH) model (31). Conversely, ethanol-induced oxidative
60 stress was found to be attenuated in the liver of p66^{Shc^{-/-}} mice (12), suggesting that p66^{Shc} may be
61 involved in the hepatocyte damage in response to metabolic injuries. In addition, ablation of p66^{Shc}
62 gene in mouse hepatocytes suppressed cellular apoptosis and ROS production after
63 hypoxia/reoxygenation through up-regulation of Mn superoxide dismutase (*SOD*) and redox factor-1
64 (13).

65 Normally, cells adapt to increased ROS levels by up-regulating antioxidant genes (24, 30) and
66 neutralizing ROS through the low-molecular weight antioxidant and phase II detoxifying enzymes (2,
67 35). The NF-E2-related factor 2 (*Nrf2*) is a master gene involved in the regulation of phase II and
68 antioxidant enzymes (e.g., glutathione S-transferase alpha 5 [*GSTA5*], glutathione S-transferase

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69 muscle 2 [*GSTM2*], and *MnSOD*) (2, 15). Reduced expression of cardiac *Nrf2* was indeed associated
70 with significant increase in nitrosative DNA damage (5). In hepatocytes, *Nrf2* was shown to be
71 required for cell survival during liver development, its deficiency resulting in enhanced oxidative
72 stress both in the normal and injured liver (4). While the detoxifying and ROS-scavenging role of
73 *Nrf2* has been recognized in multiple cytoprotective activities (4, 15, 35), the relationship between
74 p66^{Shc} and *Nrf2* has not been explored.

75 In this study, we show that p66^{Shc} protein expression is increased in human alcoholic steatohepatitis
76 (ASH) and that in human liver cells p66^{Shc} controls intracellular ROS levels, the anti-oxidant *Nrf2*
77 and Forkhead box protein O3a (FoxO3a) pathways, and the extent of oxidative DNA damage.

78 Glossary: ROS, reactive oxygen species; NASH, non-alcoholic steatohepatitis; *SOD*, superoxide
79 dismutase; *Nrf2*, NF-E2-related factor 2; *GSTA5*, glutathione S-transferase alpha 5; *GSTM2*,
80 glutathione S-transferase muscle 2; FoxO3a, Forkhead box protein O3a; 8-oxodG, 8-oxo-7,8-
81 dihydro-2'-deoxyguanosine; DAPI, 4',6-diamidino-2-phenylindole; ASH, alcoholic steatohepatitis;
82 HepG2/p66^{Shc}, HepG2 cells overexpressing p66^{Shc}; HepG2/mock, HepG2 cells expressing a control
83 empty adenovirus; HepG2/p66^{Shc}-Ala³⁶, HepG2 cells overexpressing a mutant p66^{Shc} protein with
84 replacement of Ser³⁶ by Ala; ARE, anti-oxidant response element; *CYP*, cytochrome P450; NAFLD,
85 non-alcoholic fatty liver disease.

86 **Materials and Methods**

87 **Antibodies and reagents.**

88 *Antibodies and reagents.*

89 *Anti-Shc monoclonal antibody was from BD Transduction Laboratories (Lexington, KY). Anti-*
90 *Shc/p66 (pSer³⁶) antibody was from Calbiochem (Darmstadt, Germany). Anti-MAP kinase (ERK-*
91 *1/2) antibodies were obtained from Zymed Laboratories (San Francisco, CA). Anti-GAPDH antibody*
92 *(FL-335) was from Santa Cruz Biotechnology (Santa Cruz, CA). Phospho-Akt (Ser⁴⁷³), total Akt,*
93 *phospho-p42/p44 MAP kinase (Thr²⁰²/Tyr²⁰⁴), phospho-FoxO1a(Thr²⁴)/FoxO3a(Thr³²), total*
94 *FoxO3a, phosphorylated Thr¹⁸³/Tyr¹⁸⁵-SAPK/JNK, total SAPK/JNK, phosphorylated Thr¹⁸⁰/Tyr¹⁸²-*
95 *p38 MAPK and total p38 MAPK antibodies were purchased from Cell Signaling Technology*
96 *(Beverly, MA, USA). MEK inhibitor U0126 was obtained from Calbiochem (La Jolla, CA, USA).*
97 *Anti-8-oxoguanine monoclonal antibody was purchased from Millipore (MAB3560; Millipore,*
98 *Billerica, MA, USA). Alexa Fluor⁵⁴⁶ anti-rabbit antibody and the fluorescent dye dihydroethidium*
99 *(DHE) were obtained from Invitrogen (Invitrogen, Carlsbad, CA). H₂O₂ was from Sigma Aldrich (St.*
100 *Louis, MO, USA).*

101 **Cell cultures.**

102 HepG2 human hepatoma cells were from American Type Culture Collection (Rockville, MD, USA)
103 and were cultured in MEM supplemented with 10% FCS (both from GIBCO, Invitrogen, Paisley,
104 UK), 100 U/ml penicillin, 100 mg/ml streptomycin (LONZA, MD, Iquique, Chile) and non-essential
105 amino acids (NEA) (GIBCO, Invitrogen, Paisley, UK).

106 **Adenoviral transfection studies.**

107 The recombinant adenoviruses were generated by cloning either the wild type p66^{Shc}-encoding cDNA
108 or the Ala³⁶ p66^{Shc} mutant into the shuttle vector pAdTrack-CMV, containing a green fluorescent

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109 protein epitope. Adenovirus production and cells infection were performed as previously described
110 (18, 23).

111 **Immunoblotting analysis.**

112 Cell lysate preparation and immunoblotting analysis were performed as previously described (22,23).
113 Briefly, HepG2 cells mechanically detached in ice-cold lysis buffer, containing 50 mM HEPES pH
114 7.5, 150 mM NaCl, 1 mM MgCl₂, 1 mM CaCl₂, 4 mM EDTA, 1% Triton X-100, 10% glycerol, 50
115 mM NaF, and 10 mM NaPP, supplemented with 100 μM PMSF, 5 ng/ml leupeptin, 1 μg/ml
116 aprotinin, and 2 mM Na₃VO₄. Cell lysates were cleared by centrifugation. Protein concentration was
117 determined by the Bradford assay (Bio-Rad, Hercules, CA, USA), and equal protein samples (60 μg)
118 were separated on 7%-10% SDS-PAGE gels, as appropriate, and electrotransferred onto Hybond-P
119 polyvinylidene difluoride filters (Amersham Life Science, Arlington Heights, IL, USA). The filters
120 were then probed with the specific primary antibodies, and the immuno-reactive bands were
121 visualized with horseradish peroxidase-conjugated goat anti-mouse or anti-rabbit IgG (H+L) (Bio-
122 Rad, Hercules, CA, USA), as appropriate, using an ECL Plus Immunoblotting Detection System
123 (Amersham Life Science, Arlington Heights, IL, USA), and quantified by densitometric analysis
124 using the Versadoc imaging system (Bio-Rad, Hercules, CA, USA).

125 **Immunofluorescence analysis of FoxO3a.**

126 To visualize FoxO3a translocation, immunofluorescence analysis was performed, as previously
127 described (7). Briefly, HepG2 cells were grown on coverslips in complete medium for the indicated
128 times, then fixed with 3.7% formaldehyde at room temperature for 45 min, and permeabilized at
129 room temperature with 0.1% Triton X-100. Subsequently, coverslips were incubated with primary
130 antibodies (1:250 dilution) in PBS containing 2% BSA (16 h at 4 C), followed by 1 h of incubation
131 with secondary Alexa546 Fluor anti-mouse goat antibody (1:500; Molecular Probes, Eugene, OR) or
132 Alexa488 Fluor anti-rabbit goat antibody (1:500; Molecular Probes). Coverslips were mounted on
133 glass slides with Vectashield (Vector Laboratories, Burlingame, CA). Images were acquired on a

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134 Leica DM IRE2 confocal microscope or on Leica fluorescence microscope DM RXA2 (Leica
135 Microsystems, Heerbrugg, Switzerland), as appropriate.

136 **Measurement of ROS.**

137 Intracellular ROS production was assessed through the evaluation of dihydroethidium oxidation
138 using a Jasco FP6200 spectrofluorimeter (Jasco, Easton, MD) (7). Cells were incubated with 20 mM
139 dihydroethidium for 0.5 h at 37°C in a serum-free medium in the dark, then washed with PBS,
140 collected and resuspended in assay buffer (100 mM potassium phosphate, pH 7.4, 2 mM MgCl₂),
141 using an aliquot for protein determination. The fluorescence increase (480 nm excitation and 567 nm
142 emission wavelengths) caused by the ROS dependent oxidation of dihydroethidium was expressed as
143 arbitrary units normalized by cell protein content.

144 **Gene expression analysis.**

145 RNA was extracted using RNeasy minikit (QIAGEN, Hilden, Germany), according to the
146 manufacturer's instructions, as described (18). After total RNA was isolated from HepG2 cells,
147 genomic DNA contamination was eliminated by DNase digestion (Qiagen, Hilden, Germany), and
148 cDNA was obtained using the High Capacity cDNA Reverse Transcription Kit (Applied Biosystems,
149 Weiterstadt, Germany). Oligonucleotide primers used for qRT-PCR: Human beta-glucuronidase:
150 forward CTCATTTGGAATTTTGCCGATT, reverse CCGAGTGAAGATCC; human rRNA 18s:
151 forward CGAACGTCTGCCCTATCAACTT, reverse ACCCGTGGTCACCATGGTA; human *Nrf2*:
152 forward AAACCAGTGGATCTGCCAAC, reverse GACCGGGAATATCAGGAACA; human
153 *CYP1A1*: forward GCTGACTTCATCCCTATTCTTCG, reverse
154 TTTTGTAGTGCTCCTTGACCATCT; human *GSTA5*: forward
155 CATTACCTGGTGGAACTTTTCTA, reverse CTGCCAGGCTGCAGAACTT; human *GSTM2*:
156 forward CCGATTTGAGGGCTTGGA, reverse CCATCTTTGTGAACACAGGTCTTG; human
157 *SOD2*: forward GTTGGCTTGGTTTCAATAAGGAA, reverse TCCCCAGCAGTGGAAATAAGG;

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158 human catalase: forward TTCGATCTCACCAAGGTTTGG, reverse

159 TGGATTCCGGTTTAAGACCAGTT.

160

161 The PCR reactions were carried out in an ABI PRISM 7500 System (Applied Biosystems,
162 Weiterstadt, Germany). The PCR reactions were carried out under the following conditions: 50°C for
163 2 min, 95°C for 10 min, 40 cycles at 95°C for 15 sec, and 60°C for 1 min. Relative gene expression
164 levels were determined by analysing the changes in SYBR green fluorescence during qRT-PCR using
165 the $\Delta\Delta C_t$ method. To confirm amplification of specific transcripts, melting curve profiles were
166 produced at the end of each reaction. The mRNA level of each gene was normalized using β -actin as
167 internal control.

168 **Assessment of oxidative DNA damage.**

169 Oxidative DNA damage in the HepG2 cells was estimated by measuring the levels of 8-oxo-7,8-
170 dihydro-2'-deoxyguanosine (8-oxodG) in DNA using the method of Polytarchou et al. (26). Briefly,
171 HepG2 cells were fixed in 4% formaldehyde, either before or 30 min after treatment with H₂O₂, and
172 then stained with an anti-8-hydroxyguanine antibody. Coverslips were mounted on glass slides, with
173 Vectashield mounting medium containing 4',6-diamidino-2-phenylindole (DAPI; Vector Labs).
174 Images were obtained using a Nikon Eclipse 80i microscope with a 10X objective and a Spot charge-
175 coupled-device camera. Images were quantified as red/blue ratios by using Adobe Photoshop (Adobe
176 Systems Inc.).

177 ***Ex-vivo* studies in liver biopsies.**

178 Liver biopsy specimens were obtained from patients admitted to the Liver Unit (Clinical Division "A.
179 Murri", Azienda Ospedaliero-Universitaria Policlinico, Bari, Italy) with clinical and analytic features
180 of alcoholic steatohepatitis (ASH), including alcohol intake >80 g/day, increased aminotransferase
181 and gamma-glutamyl transpeptidase levels, and no other identifiable cause of liver disease (9).
182 Histologic grading was as follows: (1) degree of hepatocellular damage/ ballooning (0, none; 1, mild;

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183 2, severe) and presence of Mallory bodies, mega-mitochondria, and cholestasis (0, no; 1, yes); (2)
184 degree of lymphocytic infiltration (0, none; 1, mild; 2, moderate; 3, severe); (3) degree of
185 polymorphonuclear infiltration (0, none; 1, mild; 2, moderate; 3, severe); (4) degree of steatosis (G0:
186 <10%; G1 10% - 33%; G2, 33% - 66%; G3 \geq 66%); (5) degree of lobular fibrosis (0, none; 1, mild; 2,
187 moderate; 3, severe); and (6) fibrosis stage (0, no fibrosis; 1, portal; 2, portal fibrosis and few septa;
188 3, septal fibrosis without cirrhosis; 4, cirrhosis) (9, 20). The protocol was approved by the
189 institutional Ethics Committee, and all patients gave their written informed consent.

190 **Statistical analysis.**

191 Data are presented as mean \pm SE. Normal distribution of data was assessed by the Kolmogorov–
192 Smirnov test ($p > 0.05$). Statistical analysis was performed by the Student's t test or the one-way
193 ANOVA with Tukey's multiple comparison test, as appropriate, using Minitab® 15.1. Significance
194 was assumed at a p value < 0.05 .

195 **Results**

196 *p66^{Shc} is activated by oxidative stress and promotes ROS synthesis in HepG2 cells.*

197 To understand the relationship between oxidative stress and p66^{Shc}, p66^{Shc} phosphorylation was
198 examined in HepG2 cells exposed to H₂O₂. Although the endogenous p66^{Shc} levels were relatively
199 low in wild-type HepG2 cells, phosphorylation of p66^{Shc} on Ser³⁶ could be detected in a dose-
200 dependent manner upon exposure to H₂O₂ (Fig. 1A), and this was associated with increased
201 intracellular ROS levels (Fig. 1D). To investigate the effects of increased p66^{Shc} protein levels in
202 liver cells, HepG2 cells with selective overexpression of p66^{Shc} (HepG2/p66^{Shc}) were obtained by
203 infection with a recombinant adenovirus encoding p66^{Shc} (Fig. 2). In the absence of H₂O₂,
204 phosphorylation of p66^{Shc} on Ser³⁶ was found to be increased several-fold in HepG2/p66^{Shc} as
205 compared to control HepG2/mock cells (p<0.0001; Fig. 1, B and C), and it was further enhanced in a
206 dose-dependent manner upon H₂O₂ exposure (Fig. 1B), peaking at 15 min (p<0.001 vs basal, Fig.
207 1C). ROS levels were increased ~3-fold in HepG2/p66^{Shc} compared to control cells under basal
208 conditions (p<0.001 vs wild-type HepG2 and HepG2/mock; Fig. 1D). In addition, exposure of
209 HepG2/p66^{Shc} cells to H₂O₂ led to further increase in ROS synthesis (p<0.005 vs wild-type HepG2
210 and HepG2/mock; Fig. 1D). Thus, p66^{Shc} conveys extracellular oxidative stress signals to increase
211 ROS synthesis in liver cells.

212 *p66^{Shc} activates the Erk and Akt/FoxO3a pathways in HepG2 cells.*

213 The activation of Erk and of Akt/FoxO3a pathways, which have been shown to be involved in p66^{Shc}
214 signaling and oxidative stress responses (5, 11), were assessed next. Phosphorylation levels of Erk-
215 1/2 (Fig. 3A) and Akt (Fig. 3B) were found to be significantly increased in response to H₂O₂
216 treatment in both HepG2/p66^{Shc} and HepG2/mock cells (p<0.001 vs basal; Fig. 3, A and B).
217 However, Erk-1/2 and Akt phosphorylation showed higher levels and Erk-1/2 also an earlier 15-min
218 peak after H₂O₂ challenge in HepG2/p66^{Shc} than in control cells (p<0.001 vs HepG2/mock; Fig. 3, A

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219 and B). Both JNK-1/2 and p38 MAPK were also found to be activated upon H₂O₂ treatment in both
220 HepG2/p66^{Shc} and control cells; however, phosphorylation levels of these kinases were similar in
221 HepG2/p66^{Shc} and control cells (data not shown).

222 Akt-mediated FoxO3a phosphorylation on Thr³² promotes both its inactivation and translocation
223 from the nucleus to the cytoplasm (8). In both HepG2/mock and HepG2/p66^{Shc} cells, the levels of
224 Thr³² phosphorylation of FoxO3a were increased following challenge with H₂O₂ (p<0.005 vs basal;
225 Fig. 3C) and augmented in p66^{Shc} overexpressing vs control cells (p<0.005 in HepG2/p66^{Shc} vs
226 HepG2/mock; Fig. 3C), paralleling Akt phosphorylation (Fig. 3B). The subcellular distribution of
227 FoxO3a in the cytoplasmic and nuclear compartment was then investigated. In control HepG2/mock
228 cells, endogenous FoxO3a could be detected almost exclusively in the nucleus in the basal state,
229 whereas it was relocated predominantly in the cytoplasm following 15 min of H₂O₂ stimulation (Fig.
230 4, Panel A). By contrast, in HepG2/p66^{Shc} cells, FoxO3a showed reduced nuclear staining and
231 increased cytoplasmic localization already in the basal state, with minimal changes induced by
232 exposure to H₂O₂ (Fig. 4, Panel B). Thus, H₂O₂-mediated p66^{Shc} activation is followed by activation
233 of the Erk-1/2 and Akt/FoxO3a pathways and translocation of FoxO3a from the nuclear to the
234 cytoplasmic compartment. These responses are enhanced, and already under basal conditions, when
235 p66^{Shc} is overexpressed.

236 *Role of Erk in Ser³⁶ phosphorylation of p66^{Shc} and Akt/FoxO3a phosphorylation.*

237 The role of Erk-1/2 activation in p66^{Shc} phosphorylation was investigated next by using the MEK
238 inhibitor U0126. As expected, pretreatment with U0126 completely abrogated Erk-1/2
239 phosphorylation in both HepG2/mock and HepG2/p66^{Shc} cells, both under basal conditions and after
240 H₂O₂ stimulation (p<0.0001 vs cells not exposed to U0126; Fig. 5A and data not shown). This was
241 associated with a significant decrease in p66^{Shc} phosphorylation on Ser³⁶, both in the absence and
242 presence of H₂O₂ (Fig. 5B and data not shown). **Similar results were obtained using PD098059,**

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243 **another inhibitor of MEK (data not shown)**. Furthermore, inhibiting the Erk-1/2 pathway with U0126
244 also significantly reduced the phosphorylation of Akt and FoxO3a following H₂O₂ challenge (p<0.05
245 vs cells not exposed to the MEK inhibitor; Fig. 5C and 5D). Finally, treatment with U0126 markedly
246 reduced H₂O₂-induced ROS production in control and HepG2/p66^{Shc} cells, respectively (p<0.01 vs
247 cells not exposed to U0126; Fig. 5E). Altogether, these results suggest that activation of Erk-1/2
248 contributes to p66^{Shc} phosphorylation and its downstream signaling.

249 *Role of p66^{Shc} Ser³⁶ phosphorylation in Erk and Akt/FoxO3a signaling and ROS production.*

250 To assess whether phosphorylation of p66^{Shc} on Ser³⁶ is necessary for efficient signal propagation, a
251 phosphorylation-defective p66^{Shc} protein, in which Ser³⁶ was mutated to Ala, was overexpressed in
252 HepG2 cells (HepG2/p66^{Shc}-Ala³⁶). No significant differences in p66^{Shc} protein levels were observed
253 between HepG2/p66^{Shc} and HepG2/p66^{Shc}-Ala³⁶ cells (Fig. 6A), while Ser³⁶-phosphorylation of
254 p66^{Shc} was undetectable in HepG2/p66^{Shc}-Ala³⁶ cells both under basal conditions and after H₂O₂
255 stimulation (Fig. 6A and B). Interestingly, Erk-1/2 activation was significantly decreased and
256 Akt/FoxO3a phosphorylation was completely blunted in HepG2/p66^{Shc}-Ala³⁶ as compared to
257 HepG2/p66^{Shc} cells, both in the absence and presence of H₂O₂ stimulation (Fig. 7, A-C; p<0.01 vs
258 HepG2/p66^{Shc}). In addition, H₂O₂ exposure failed to increase ROS production in HepG2/p66^{Shc}-
259 Ala³⁶, differently than in control cells (Fig. 7D), indicating that Ser³⁶ phosphorylation of p66^{Shc} is
260 critical for ROS production in response to oxidative stress. Thus, Ser³⁶-phosphorylation of p66^{Shc}
261 plays an important role in activation of the Erk and Akt/FoxO3a signaling pathways and ROS
262 synthesis in HepG2 cells.

263 *Nrf2 and Nrf2 target genes in HepG2 cells overexpressing p66^{Shc}.*

264 In the light of the protective role of Nrf2 against oxidative stress (17-19), whether Nrf2 and the Nrf2-
265 induced anti-oxidant response element (ARE) target genes would be affected by changes in p66^{Shc}
266 protein levels in HepG2 cells was examined next. Interestingly, Nrf2 mRNA levels were significantly

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267 lower in HepG2/p66^{Shc} than in control HepG2/mock cells in the absence of H₂O₂ (p<0.05 vs basal
268 control cells; Fig. 8), and remained significantly reduced by >50% at multiple times following
269 induction of oxidative stress with H₂O₂ up to 240 min (p<0.05 vs control cells at 15-60 min; Fig. 8).
270 Changes in *Nrf2* mRNA levels were paralleled by similar changes in gene expression of major Nrf2
271 target genes. Indeed, mRNA levels of cytochrome P450 (*CYP1A1*), *GSTM2*, and *GSTA5* were
272 significantly reduced in HepG2/p66^{Shc} as compared to HepG2/mock cells both under basal conditions
273 and at multiple time-points following exposure to H₂O₂ (p<0.05 vs HepG2/mock; Fig. 8).
274 Furthermore, *Nrf2* and Nrf2-induced ARE genes showed markedly reduced mRNA levels in
275 HepG2/p66^{Shc} compared to HepG2/p66^{Shc}-Ala³⁶ cells (p<0.05 vs HepG2/p66^{Shc}-Ala³⁶; Fig. 8).
276 Finally, HepG2/p66^{Shc}-Ala³⁶ cells exhibited higher mRNA levels of *CYP1A1*, *GSTM2*, *GSTA5* and
277 *SOD2* than control HepG2/mock cells (p<0.05 vs HepG2/mock; Fig. 8), consistent with the mutant
278 p66^{Shc} Ala³⁶ variant acting as a dominant-negative protein.

279 *Overexpression of p66^{Shc} promotes oxidative DNA damage.*

280 8-OxodG is a sensitive marker of ROS-induced DNA damage (27). To investigate the possibility that
281 forced expression/activation of p66^{Shc} may lead to DNA damage via increased ROS synthesis, the
282 extent of 8-oxodG accumulation was assessed in individual cells infected with the distinct adenoviral
283 constructs by immunofluorescence. Expression of green fluorescent protein by the adenovirus
284 allowed identification of infected cells. With this method, 8-oxodG staining was found to be
285 increased in HepG2/p66^{Shc} as compared to HepG2/mock and HepG2/p66^{Shc}-Ala³⁶ cells in the absence
286 of H₂O₂ (Fig. 9, A-C). Treatment of HepG2/p66^{Shc} with H₂O₂ for 60 min further increased 8-oxodG
287 accumulation at 15 min (data not shown) and 30 min (Fig. 9B). By contrast, 8-oxodG staining was
288 almost undetectable in H₂O₂-treated HepG2/p66^{Shc}-Ala³⁶ as compared to both HepG2/p66^{Shc} and
289 HepG2/mock cells (Fig. 9, A-C). Overall, these data demonstrate that p66^{Shc} promotes ROS-induced
290 DNA damage in liver cells, and that this is inhibited by the phosphorylation-defective p66^{Shc} protein.

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291

292 *p66^{Shc} in human liver biopsies from subjects with ASH.*

293 Finally, protein expression and phosphorylation levels of p66^{Shc} were examined in liver biopsies of
294 subjects with ASH. The severity of liver injury was graded according to the presence and extent of
295 steatosis, fibrosis, and lymphocytic/polymorphonuclear infiltration (9, 20), ranging from G0/F0 to
296 G3/F4. The protein levels of p66^{Shc} were found to be increased in the human liver biopsies in parallel
297 with the severity of liver injury (Fig. 10A), in the absence of changes in p52^{Shc} and p46^{Shc} protein
298 abundance (Fig. 10A). Furthermore, p66^{Shc} expression was found to be significantly increased in the
299 group with higher extent of steatosis (>33%) and presence of fibrosis and
300 lymphocytic/polymorphonuclear infiltration ($p < 0.05$, G2-3/F1-4 vs G0-1/F0; Fig. 10A). Furthermore,
301 increased p66^{Shc} protein levels were associated with significant augmentation of Akt
302 phosphorylation, in the absence of significant changes in Akt protein levels ($p < 0.05$, G2-3/F1-4 vs
303 G0-1/F0; Fig. 10B). Other signaling reactions, including p66^{Shc} phosphorylation on Ser³⁶ and FoxO3a
304 phosphorylation on Thr³², could not be assessed since they were below the sensitivity of the
305 immunoblotting technique (data not shown).

306 **Discussion**

307 The adapter protein p66^{Shc} has been shown to mediate oxidative stress-related injury in multiple cell
308 types and under a variety of pathophysiological conditions, including obesity, diabetes, and
309 steatohepatitis (1, 10–13, 19, 21, 24, 25, 27–32, 36). Specifically, p66^{Shc} has been involved in
310 hepatocyte lipid accumulation and cytotoxicity in both experimental ethanol intoxication and NASH
311 (17, 31). The results from this study demonstrate for the first time that p66^{Shc} is both a target and an
312 enhancer of oxidative stress in liver cells and that p66^{Shc} protein levels are increased in the liver of
313 individuals with ASH. In addition, forced expression of p66^{Shc} promotes ROS synthesis and reduces
314 expression of Nrf2 and Nrf2-induced ARE genes, increasing incorporation of 8-oxodG into cellular
315 DNA, a marker of oxidative stress-related DNA damage. All of these effects require phosphorylation
316 of p66^{Shc} on Ser³⁶.

317 In control HepG2 cells the levels of p66^{Shc} were relatively low, yet phosphorylation of the protein
318 could be induced by exposure to H₂O₂. However, when p66^{Shc} was overexpressed by adenoviral-
319 mediated gene transfer its phosphorylation on Ser³⁶ was increased (Fig. 1C) and this was associated
320 with increased phosphorylation of Erk-1/2 and Akt/FoxO3a (Fig. 3 A-C) and ROS synthesis (Fig.
321 1D).

322 While overexpression of p66^{Shc} was associated with increased Erk-1/2 phosphorylation, which was
323 reduced in HepG2 overexpressing a defective p66^{Shc}-Ala³⁶ (Fig. 7A), inhibition of the Erk pathway
324 using **various MEK inhibitors** significantly reduced Ser³⁶ phosphorylation of p66^{Shc} both in the
325 absence and presence of H₂O₂ stimulation. Altogether, these results suggest that p66^{Shc} and Erk-1/2
326 are involved in a reciprocal “regulatory loop”: increased p66^{Shc} expression/signaling results in Erk-
327 1/2 activation that in turn promotes Ser³⁶-phosphorylation of p66^{Shc} (Fig. 11). These data are in line
328 with previous observations in breast and prostate cancer cells, in which increased p66^{Shc} protein
329 levels were positively correlated with Erk-1/2 phosphorylation (16, 34), and p66^{Shc} knockdown led to

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330 reduced Erk-1/2 activation (34), but not with other studies showing inhibition of Erk signaling by
331 p66^{Shc} (32). Conversely, Erk-dependent phosphorylation of p66^{Shc} on Ser³⁶, which is then responsible
332 for p66^{Shc}-dependent phosphorylation of FoxO3a, as observed in this study (Fig. 5), was previously
333 shown in mouse embryo fibroblasts (32). However, the phosphorylation levels of p66^{Shc} on Ser³⁶
334 were not completely abolished when Erk-1/2 was fully inhibited (Fig. 5), suggesting that additional
335 protein kinases other than Erk-1/2 may be involved in Ser³⁶-phosphorylation of p66^{Shc}. Indeed,
336 depending on the cell type and stimulus (e.g., H₂O₂, TGF- β , various cytokines), protein kinase C
337 (PKC) β and δ , and β 1Pix (Pak-interacting exchange factor) have also been shown to be involved in
338 p66^{Shc} phosphorylation on Ser³⁶ (6, 22, 31). Furthermore, we have recently reported that TNF α
339 promotes p66^{Shc} phosphorylation on Ser³⁶ via the stress-kinase JNK in human endothelial cells (23).
340 However, both JNK-1/2 and p38 MAPK activities were not affected by p66^{Shc} overexpression in
341 HepG2 cells (data not shown), suggesting that they are not part of the same regulatory loop as Erk-
342 1/2.

343 The involvement of the *Nrf2* signaling pathway in eliciting cell survival and resistance to oxidative
344 stress has been recently reported (2, 5, 35). *Nrf2* plays a central role in cytoprotection, by detoxifying
345 and eliminating ROS, xenobiotics and electrophilic carcinogens, as well as by removing damaged
346 proteins and organelles (35). When compared to control cells, *Nrf2* knockout cardiomyocytes showed
347 significantly higher ROS levels under basal conditions, which were further enhanced upon exposure
348 to high glucose concentrations (14). Similarly, in primary mouse hepatocytes *Nrf2* gene ablation
349 resulted in enhanced oxidative stress, impaired activation of the MAPK pathway and reduced mRNA
350 expression of ROS-detoxifying enzymes (4). In line with these findings, our results show for the first
351 time a link between the redox protein p66^{Shc} and the *Nrf2* pathway. Liver cells overexpressing p66^{Shc}
352 showed reduced mRNA levels of *Nrf2*, and of its downstream detoxifying target genes, such as
353 *CYP1A1*, *GSTM2*, and *GSTA5*, in association with enhanced ROS synthesis and increased oxidative
354 DNA damage. Conversely, cells overexpressing the phosphorylation defective p66^{Shc} mutant

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355 displayed augmentation of gene expression of *Nrf2* and its downstream target genes, reduced ROS
356 levels and minimal 8-oxodG accumulation. Thus, p66^{Shc} appears to foster cellular oxidative stress
357 responses by suppressing *Nrf2* expression (Fig. 11). The Akt/FoxO3a signaling pathway is also
358 involved in preventing accumulation of ROS and consequent cell damage by up-regulating anti-
359 oxidant enzymes (33). Since forced activation of p66^{Shc} resulted in increased FoxO3a
360 phosphorylation and nuclear exclusion (Fig. 3 and 4), and this was not observed following
361 overexpression of p66^{Shc} Ala³⁶ (Fig. 7), enhanced p66^{Shc} signaling may potentially promote oxidative
362 DNA damage by both repressing *Nrf2* and inactivating FoxO3a (Fig. 11).

363 Significant elevations of *p66^{Shc}* mRNA and protein levels were recently reported in liver biopsies
364 from individuals with non-alcoholic fatty liver disease (NAFLD) and NASH, in comparison with
365 normal liver samples (31). In line with these findings, we found increased p66^{Shc} protein levels in
366 liver biopsies of subjects with ASH, a well-characterized condition of oxidative stress-induced
367 cellular damage (10,29). Moreover, p66^{Shc} protein abundance correlated with the degree of
368 histological abnormalities and disease severity, being increased to a greater extent in subjects with
369 higher degree of fibrosis and steatosis (Fig. 10), in line with the results in NAFLD/NASH (14).
370 Increased protein expression of p66^{Shc} in liver biopsies with more severe grading was associated with
371 augmented Akt phosphorylation, suggesting functional relevance of these findings (Fig. 10).

372 **Inhibition of hepatic p66^{Shc} signaling may thus represent an attractive strategy to counteract**
373 **progression of hepatocyte damage in both alcoholic and non-alcoholic fatty liver disease.**

374 In conclusion, overexpression of p66^{Shc} in human hepatocytes promotes ROS accumulation and
375 increases susceptibility to H₂O₂-induced oxidative stress, leading to reduced levels of cytoprotective
376 genes and consequently increased DNA damage. Modulation of the redox homeostasis by limiting
377 p66^{Shc} expression and/or activity in human hepatocytes may open novel therapeutic approaches for
378 oxidative stress-associated liver diseases.

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528 **Figure Legends**

529 **Figure 1. p66^{Shc} phosphorylation on Ser³⁶ and ROS synthesis in HepG2 cells.** (A) Dose-response
530 of p66^{Shc} phosphorylation on Ser³⁶ in wild-type HepG2 cells exposed to H₂O₂ for 15 min.
531 Representative immunoblots of p66^{Shc} phosphorylation (*top*) and protein content (*bottom*) are shown.
532 (B) Dose-response of p66^{Shc} phosphorylation on Ser³⁶ in HepG2/p66^{Shc} and HepG2/mock cells
533 exposed to H₂O₂ for 15 min. (C) Time-course of p66^{Shc} phosphorylation in HepG2/p66^{Shc} and
534 HepG2/mock cells exposed to 0.5 mM H₂O₂. Representative immunoblots of p66^{Shc} phosphorylation
535 on Ser³⁶ (*top*) and Shc protein content (*bottom*; all three Shc protein isoforms are shown). The
536 quantitation of phosphorylated p66^{Shc} on Ser³⁶ in multiple experiments is also shown (HepG2/p66^{Shc}
537 *black diamonds*; HepG2/mock, *black squares*). (D) Quantification of ROS levels in wild-type
538 HepG2, HepG2/p66^{Shc} and HepG2/mock cells stimulated with H₂O₂ for 15 minutes (*black bars*) or
539 left untreated (*white bars*). Results in A-D represent the mean ± SE of at least n = 5 independent
540 experiments. *p<0.05 vs basal; #p<0.05 vs wild-type HepG2 and HepG2/mock. A.U., arbitrary units.

541 **Figure 2. p66^{Shc} overexpression in HepG2 cells.** HepG2 cells were transduced with different doses
542 of Ad/p66^{Shc}, Ad/mock and Ad/p66^{Shc}Ala³⁶ adenoviral constructs, expressing a green fluorescence
543 protein, at 90% confluence, as described under Material and Methods. (A) Morphology of confluent
544 wild-type HepG2, HepG2/p66^{Shc}, HepG2/mock and Ad/p66^{Shc}Ala³⁶ cells incubated with the indicated
545 doses of adenovirus under light microscopy (*top*) and corresponding fluorescent signal assessed
546 under a fluorescent microscope (*bottom*). Magnification: X10. (B) Representative immunoblot of all
547 three Shc isoforms in HepG2/p66^{Shc}, HepG2/mock and Ad/p66^{Shc}Ala³⁶ cells incubated with the
548 indicated doses of adenovirus or left untreated. (C) Quantitation of p66^{Shc} in HepG2/p66^{Shc} incubated
549 with the indicated doses of adenovirus or left untreated. *p<0.05 vs control. A.U., arbitrary units.

550 **Figure 3. Activation of Erk-1/2 and Akt/FoxO3a in HepG2/p66^{Shc} and HepG2/mock cells.**

551 HepG2/p66^{Shc} and HepG2/mock cells were incubated with 0.5 mM H₂O₂ for the indicated times or

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552 left untreated. (A), (B), and (C) show respectively representative immunoblots of Erk-1/2, Akt, and
553 FoxO3a total protein content and phosphorylation (*left*), and the quantitation of results from multiple
554 experiments (*right*; HepG2/p66^{Shc}, *black diamonds*; HepG2/mock, *black squares*). Results represent
555 the mean \pm SE of at least n = 5 independent experiments. *p<0.05 vs basal; #p<0.05 vs HepG2/mock.
556 A.U., arbitrary units.

557 **Figure 4. FoxO3a localization in HepG2/mock and HepG2/p66^{Shc} cells.** HepG2/mock (A) and
558 HepG2/p66^{Shc} (B) cells were incubated with 0.5 mM H₂O₂ for 15 min or left untreated. The
559 magnified images show FoxO3a localization in representative HepG2/mock and HepG2/p66^{Shc} cells.
560 Adenovirus-infected cells are shown in green according to green fluorescence protein expression
561 (*green*, top images). FoxO3a was visualized with a rabbit polyclonal antibody followed by the
562 addition of ALEX488 (*red*) labeled anti-rabbit antisera. TOPRO (*blue*) was used to visualize the
563 nuclei. **In control HepG2/mock cells, endogenous FoxO3a could be detected almost exclusively in**
564 **the cell nucleus in the absence of H₂O₂ (Panel A, white arrows in images on the left), whereas it was**
565 **relocated predominantly in the cytoplasm after exiting the nucleus following H₂O₂ stimulation for 15**
566 **min (Panel A, white arrows in images on the right). In HepG2/p66^{Shc} cells, FoxO3a showed**
567 **predominant cytoplasmic localization and reduced nuclear staining already in the absence of H₂O₂**
568 **(Panel B, white arrows in images on the left), and this was not significantly modified by exposure to**
569 **H₂O₂ (Panel B, white arrows in images on the right).** Images are representative of four independent
570 experiments.

571 **Figure 5. Effects of the MEK inhibitor on p66^{Shc} phosphorylation, Akt/FoxO3a signaling, and**
572 **ROS production.** Representative immunoblots (*left*) assessing total protein content and
573 phosphorylation of Erk-1/2 (A), p66^{Shc} (B), Akt (C), and FoxO3a (D) in HepG2/p66^{Shc} cells. The
574 quantitation of results from multiple experiments is also shown (*right*). HepG2/p66^{Shc} were pretreated
575 with 20 μ M U0126 for 2 h or left untreated before exposure to 0.5 mM H₂O₂ for 15 min. (E)
576 Quantitation of ROS levels in HepG2/mock and HepG2/p66^{Shc} cells stimulated with 0.5 mM H₂O₂

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577 for 15 minutes (*black bars*) or left untreated (*white bars*). Results represent the mean \pm SE of at least
578 $n = 5$ independent experiments. * $p < 0.05$ vs basal; # $p < 0.05$ vs H₂O₂-stimulated cells treated with
579 U0126; § $p < 0.05$ vs unstimulated HepG2/mock treated with U0126; † $p < 0.05$ vs HepG2/mock. A.U.,
580 arbitrary units.

581 **Figure 6. p66^{Shc} phosphorylation on Ser³⁶ in HepG2 cells overexpressing the mutant p66^{Shc}Ala³⁶**
582 **protein. (A)** Representative immunoblots of Shc protein content (*top*) and p66^{Shc} phosphorylation on
583 Ser³⁶ (*bottom*). (B) Densitometric analysis of 5 independent experiments (*white bars*: untreated cells;
584 *black bars*: H₂O₂-stimulated cells). Wild-type HepG2, HepG2/p66^{Shc}, HepG2/p66^{Shc}-Ala³⁶ and
585 HepG2/mock cells were incubated with 0.5 mM H₂O₂ for 15 min or left untreated. * $p < 0.05$ vs basal;
586 # $p < 0.05$ vs wild-type HepG2, HepG2/mock and HepG2/p66^{Shc}-Ala³⁶. Data represent the quantitation
587 of at least $n = 5$ independent experiments. A.U., arbitrary units.

588 **Figure 7. Activation of Erk and Akt/FoxO3a pathways and ROS synthesis in HepG2 cells**
589 **overexpressing the phosphorylation defective p66^{Shc} Ala³⁶ mutant.** HepG2/p66^{Shc} and
590 HepG2/p66^{Shc}-Ala³⁶ cells were incubated with 0.5 mM H₂O₂ for the indicated times or left untreated.
591 Representative immunoblots (*left*) of Erk-1/2 (A), Akt (B), and FoxO3a (C) total protein content and
592 phosphorylation, respectively. The quantitation of results from multiple experiments is also shown
593 (*right*; HepG2/p66^{Shc}, *black diamonds*; HepG2/p66^{Shc}-Ala³⁶, *black squares*). Results represent the
594 mean \pm SE of at least $n = 5$ independent experiments. * $p < 0.05$ vs basal; # $p < 0.05$ vs HepG2/p66^{Shc}-
595 Ala³⁶. A.U., arbitrary units. (D) Quantification of ROS levels in wild-type HepG2, HepG2/p66^{Shc},
596 HepG2/p66^{Shc}-Ala³⁶ and HepG2/mock cells stimulated with 0.5 mM H₂O₂ for 15 minutes (*black*
597 *bars*) or left untreated (*white bars*). Data represent the quantitation of at least $n = 5$ independent
598 experiments. * $p < 0.05$ vs basal; # $p < 0.05$ vs wild-type HepG2, HepG2/mock and HepG2/p66^{Shc}-Ala³⁶.

599 **Figure 8. mRNA expression levels of Nrf2 and Nrf2-target genes in HepG2/mock, HepG2/p66^{Shc}**
600 **and HepG2/p66^{Shc}-Ala³⁶ cells.** Nrf2, CYP1A1, GSTM2, GSTA5, SOD2 mRNA expression levels

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601 were measured by qRT-PCR in HepG2/mock (*grey squares*), HepG2/p66^{Shc} (*black squares*) and
602 HepG2/p66^{Shc}-Ala³⁶ (*white triangles*) following exposure to 0.5 mM H₂O₂ for the indicated times.
603 Data represent the mean ± SE of at least n = 5 independent experiments. *p<0.05 HepG2/p66^{Shc} vs
604 HepG2/mock; #p<0.05 HepG2/p66^{Shc} vs HepG2/p66^{Shc}-Ala³⁶; §p<0.05 HepG2/p66^{Shc}-Ala³⁶ vs
605 HepG2/mock.

606 **Figure 9. 8-oxodG accumulation following p66^{Shc} overexpression in HepG2 cells. (A)**
607 HepG2/mock, (B) HepG2/p66^{Shc} and (C) HepG2/p66^{Shc}-Ala³⁶ cells were treated with 0.5 mM H₂O₂
608 or left untreated and then analyzed 30 min later by immunofluorescence, evaluating accumulation of
609 8-oxodG, a sensitive marker of DNA damage. For each condition, from left to right, the first column
610 shows DAPI nuclei staining, the second column shows the green fluorescence protein in infected
611 cells, the third column shows 8-oxodG staining, and the fourth column displays the merged staining
612 (A-C). Bar, 50 μm. Results are representative of n = 4 independent experiments.

613 **Figure 10. Protein levels of p66^{Shc} in liver from ASH subjects. (A)** Protein levels of Shc isoforms
614 in human liver biopsies. Representative immunoblots of Shc protein isoforms in liver biopsies from
615 individual subjects with various degrees of steatosis, fibrosis and lymphocytic/polymorphonuclear
616 infiltration are shown (*top*). GAPDH protein content was used as loading control. The quantitation of
617 p66^{Shc} vs p52-p46^{Shc} ratio in liver samples considered individually (*bottom left*) and grouped as G0-
618 1/F0 (steatosis <33% and absence of fibrosis and lymphocytic/polymorphonuclear infiltration) and as
619 G2-3/F1-4 (steatosis >33% and presence of fibrosis and lymphocytic/polymorphonuclear infiltration)
620 (*bottom right*) is also shown. (B) Akt in human liver biopsies. Representative immunoblots of Akt
621 phosphorylation and total protein content in liver biopsies from individual subjects with various
622 degrees of steatosis, fibrosis and lymphocytic/polymorphonuclear infiltration are shown (*top*). The
623 quantitation of phospho-Akt vs total Akt liver biopsies considered individually (*bottom left*) and

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624 grouped as G0-1/F0 and G2-3/F1-4 (*bottom right*) is also shown. *p<0.05 vs G0-1/F0. A.U., arbitrary
625 units.

626 **Figure 11. Hypothetical model of p66^{Shc}-dependent redox signaling in human liver cells.**