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Abstract: Polymeric protein-based biocomposites were used as water dispersions to generate, in situ, biobased mulching coatings by the spray technique, as alternative to low density polyethylene films used for soil mulching. At the end of their lifetime, these biodegradable coatings degrade in soil thank to the microbial community that mineralizes them. Protein hydrolysates (PH), derived from waste products of the leather industry, poly(ethylene glycol) diglycidyl ether (PEG) and epoxidized soybean oil (ESO) were used to make the biodegradable spray coatings. A field study was carried out using plant test plots in order to investigate the performance of the spray coatings and their possible influence on some aspects of leaf growth, functionality and nutritional quality of the test-plants and on soil properties. The biodegradable coatings showed agronomic performances comparable with the ones of a commercial low density polyethylene mulching film, maintaining the mulching effect for the requested cultivation period and ensuring a similar rate of plant growth and dry matter accumulation. At the end of the field test, the soil mulched with the polyethylene film recorded an EC value lower with respect to the soil mulched with the sprayed coatings, which release nutrients in the soil during their decomposition.

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To the Editor of  
Science of the Total Environment

Subject: Paper "Preparation and application of spray mulching coatings based on hydrolyzed proteins: effects on soil and plants"

Authors: L. Sartore, E. Schettini, L. de Palma, G. Brunetti, C. Coccozza, G. Vox

Dear Editor,

I would like to submit the research paper entitled "Preparation and application of spray mulching coatings based on hydrolyzed proteins: effects on soil and plants", Authors: L. Sartore, E. Schettini, L. de Palma, G. Brunetti, C. Coccozza, G. Vox, for publication in Science of the Total Environment.

The use of plastic materials in agriculture causes the serious drawback of huge quantities of waste to be disposed. The paper presents the results concerning the creation and application in field of an innovative material for soil mulching. It is easily applicable, releases nutrients to the soil, uses renewable raw materials and can be disposed in the soil. The development of a new generation of materials can overcome critical environmental problems.

Best regards,

Evelia Schettini

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PREPARATION AND APPLICATION OF SPRAY MULCHING COATINGS BASED ON  
HYDROLYZED PROTEINS: EFFECTS ON SOIL AND PLANTS

***Polyethylene for  
soil mulching***



***Environmental  
Problem!***

***Polymeric protein bio-based and  
biodegradable composites***



***Environmental  
Sustainability***

**\*Highlights (for review : 3 to 5 bullet points (maximum 85 characters including spaces per bullet point)**

- 1 • New biodegradable products manufactured from residues of the leather industry
- 2 • Biodegradable mulching coatings made by means of spray technique
- 3 • Agronomic performances of biodegradable coatings comparable to those of LDPE films

1 PREPARATION AND APPLICATION OF SPRAY MULCHING COATINGS BASED ON  
2 HYDROLYZED PROTEINS: EFFECTS ON SOIL AND PLANTS

3

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18

19 **Abstract**

20 Polymeric protein-based biocomposites were used as water dispersions to generate, in situ, biobased  
21 mulching coatings by the spray technique, as alternative to low density polyethylene films used for  
22 soil mulching. At the end of their lifetime, these biodegradable coatings degrade in soil thank to the  
23 microbial community that mineralizes them.

24 Protein hydrolysates (PH), derived from waste products of the leather industry, poly(ethylene glycol)  
25 diglycidyl ether (PEG) and epoxidized soybean oil (ESO) were used to make the biodegradable spray  
26 coatings.

27 A field study was carried out using plant test plots in order to investigate the performance of the spray  
28 coatings and their possible influence on some aspects of leaf growth, functionality and nutritional  
29 quality of the test-plants and on soil properties.

30 The biodegradable coatings showed agronomic performances comparable with the ones of a  
31 commercial low density polyethylene mulching film, maintaining the mulching effect for the  
32 requested cultivation period and ensuring a similar rate of plant growth and dry matter accumulation.

33 At the end of the field test, the soil mulched with the polyethylene film recorded an EC value lower  
34 with respect to the soil mulched with the sprayed coatings, which release nutrients in the soil during  
35 their decomposition.

36

37 Keywords: soil properties; physical properties; plant nutritional quality factors; PEG; ESO;  
38 biodegradable materials

## 39 **1 Introduction**

40 The agricultural practice of soil mulching consists in laying a continuous coating over the soil in order  
41 to suppress weed, decrease the loss of moisture from the soil and keep plants and edible products  
42 clean. Low density polyethylene (LDPE) mulching films are worldwide used due to their mechanical  
43 properties appropriate to assure an easy handling and installation, to their functionality and resistance  
44 throughout the cropping cycle and to the low cost. LDPE mulching films are generally monolayer  
45 films with a thickness ranging from 10  $\mu\text{m}$  to 100  $\mu\text{m}$  and an average lifetime of 2 - 12 months.  
46 Mulching films used for weed control must be opaque to prevent the passage of the photosynthetically  
47 active radiation (PAR) (Vox and Schettini, 2007). China, Japan and South Korea use about 80% of the  
48 worldwide mulching films production in horticulture, with a consumption of about 700,000 tonnes per  
49 year.

50 After their use, the mulching films are dirty of soil, organic matter and agro-chemicals thus they need  
51 a correct collection, disposal or recycling process with high costs for the growers. Agricultural plastic  
52 waste is often abandoned in illegal dumps, in river basins or burned; as a consequence, plastic residues



53 accumulate in the environment and toxic emissions give off in the atmosphere becoming a  
54 considerable threat to human life as well as for terrestrial and aquatic wildlife (Lanorte et al., 2017;  
55 Mugnozza et al., 2016).

56 In order to overcome the problem of huge quantity of plastic wastes, biodegradable and renewable raw  
57 materials can be used to make mulches. At the end of their lifetime, biodegradable materials degrade  
58 in soil thank to the microbial community that mineralizes them. The lifetime of the biodegradable  
59 mulches can be tailored differently through the variation of the thickness in relation with the crop  
60 cycle and/or cultivation needs.

61 Biodegradable mulches can be performed by employing thermo-plasticizing, casting and spraying  
62 processes using renewable and biodegradable raw materials such as starch, cellulose, chitosan,  
63 alginate and glucomannan (Malinconico et al., 2008; Briassoulis, 2007).

64 The most recent technique is based on spraying water solutions onto soil forming a biodegradable  
65 mulching coating directly in field. Presently, spray mulching coatings based on polysaccharides that  
66 are potentially polluting residues of marine and agricultural origin can be obtained, even if they need  
67 to be improved mainly in their mechanical properties (Immirzi et al., 2009; Malinconico et al., 2008;  
68 Johnston et al, 2016).

69 Sartore et al. (2013) studied the possibility to obtain spray mulching coatings using protein  
70 hydrolysates (PH), derived from waste products of the leather industry, for their intrinsic agronomic  
71 values due to their high nitrogen content (Sartore et al., 2016; Schettini et al., 2012). Biodegradable  
72 coatings can be obtained by the modification of PH with poly(ethylene glycol) diglycidyl ether (PEG),  
73 a water soluble and highly reactive epoxy compound largely used as a cross-linking and insolubilizing  
74 agent in water-based systems. They showed very good mechanical and agronomical performances in  
75 the field, maintaining their mulching effect for even 12 months (Sartore et al., 2013, Hiroyuki 2014).

76 PEG is a derivative of petroleum, therefore a sustainable solution can be its substitution with a  
77 renewable resource such as the epoxidized soybean oil (ESO). Although soybean oil is primarily used  
78 as edible oil, there is an increasing demand for its use in industrial applications, e.g. inexpensive and  
79 renewable plasticizer, stabilizer, reactive modifier and diluent (Niedermann et al., 2014).

80 In order to improve the thermo-mechanical properties and decrease water sensitivity while preserving  
81 biodegradability, natural fillers from renewable resources, such as wood flour and lignin, were  
82 incorporated in mulching coatings obtaining biocomposite materials (Sartore et al., 2016).  
83 Lignocellulosic products, mainly cellulose and lignin based materials, have recently attracted much  
84 attention due to their renewable nature, wide variety of source materials available throughout the  
85 world, low cost and density, high surface functionality and reactivity. Lignin, a byproduct of important  
86 processes like paper or biodiesel production, is a natural polymer found in wood and in the secondary  
87 cell walls of plants and some algae; it is the second most abundant biopolymer on Earth (Holmgren et  
88 al. 2006). Because of its polyphenolic structure, lignin shows antimicrobial (Holmgren et al. 2006;  
89 Fortunati et al. 2016) and antioxidant properties (Lora et al. 2002; Rahman et al. 2013) which can be  
90 very useful for agriculture applications or packaging with improved life-time.

91 The aim of this paper is to describe the development and the application of novel biodegradable  
92 polymeric materials, based on protein hydrolysate and reagents from renewable sources, for the  
93 creation of mulching coatings alternative to LDPE films. Two blends using PH, PEG or ESO and  
94 natural fillers were developed. A field study was carried out using plant test plots in order to  
95 investigate the performance of the spray coatings and their possible influence on some aspects of leaf  
96 growth, functionality and nutritional quality of the test-plants and on soil properties.

97

## 98 2 Materials and methods

### 99 2.1. The biodegradable coating materials preparation

100 The raw materials utilized for the preparation of the mulching coatings were: PEG (molecular  
101 weight=526 Da), ESO, ethylene diamine (EDA) and pyromellitic dianhydride (PMDA), supplied by  
102 Sigma- Aldrich Co (Milan, Italy) and used as received.

103 Carbon black (CB) was supplied by Degussa-Hüls (Dusseldorf, Germany) with an average primary  
104 particle size of 23 nm.

105 Protein hydrolysate (PH) was a slightly yellow, chromium-free, product supplied by Sicit Chemitech  
106 S.p.A (Vicenza, Italy) as a by-product of chemical hydrolysis in the leather production process. PH is  
107 generated from alkaline hydrolysis of the solid residues generated downstream of leather chrome  
108 tanning stage (leather shavings). PH is composed of a mixture of oligopeptides with the typical amino  
109 acid composition of collagen and an average molecular weight between 6.000 and 8.000.

110 The lignin used was Indulin® AT, provided by MeadWestvaco Corporation (Charleston Heights, SC,  
111 USA), a commercially available purified powder form of pine Kraft lignin, free of hemicelluloses,  
112 characterized by a relatively high degree of purity (97%). This type of softwood Kraft lignin has a  
113 specific density of  $1.24 \text{ g cm}^{-3}$  and an average particle size of  $8 \mu\text{m}$ .

114 Beech wood flour, characterised by particle size  $< 180 \mu\text{m}$  and apparent density equal to 160-210 kg  
115  $\text{m}^{-3}$ , was supplied by La.So.Le Srl (Udine, Italy) and used as raw material.

116 Biodegradable polymeric materials were prepared starting from protein-based aqueous solutions,  
117 obtained by dissolving the proper amount of PEG or ESO in a 25% (w/v) solution of HP. The desired  
118 amount of lignin, natural fillers and water, up to a final 18 wt % concentration, were added under  
119 stirring at  $50 \text{ }^\circ\text{C}$ .

120 Water suspensions were used to made the mulching coatings in field and the specimens for the  
121 laboratory tests. The suspensions, kept under stirring for few minutes at  $50 \text{ }^\circ\text{C}$ , were sprayed onto the  
122 soil surface after the addition of the proper crosslinking agent. Casted coatings were prepared by slow  
123 evaporation of the water suspension of the ingredients in glass trays at room temperature. The dry  
124 formulations were hot pressed at  $50 \text{ }^\circ\text{C}$  to make 2 mm thick sheets, from which the specimens for  
125 mechanical and radiometric test were obtained.

126

## 127 **2.2.** The biodegradable coating materials characterization

128 Tensile tests were performed by an Instron Model 3366 Universal Testing Machine at the University  
129 of Brescia. Elastic modulus (E), strength ( $\sigma_b$ ) and elongation at break ( $\epsilon_b$ ) were determined on 2 mm

130 thick and 10 mm wide bars, with a gauge length of 80 mm; crosshead speed was 2 mm min<sup>-1</sup>. Tensile  
131 tests were performed at room temperature after specimen conditioning at 55 °C for 2 h under vacuum.  
132 Weight loss was evaluated measuring the weight of dry specimen after immersion in water for fixed  
133 intervals as:

134

$$\text{Weight loss} = \frac{W_1 - W_3}{W_1} 100 \quad (1)$$

136

137 where  $W_1$  and  $W_3$  denote the weight of the starting dry specimen and of the dry specimen after water  
138 immersion respectively.

139 Radiometric tests on the mulches were carried out at the University of Bari by means of  
140 spectrophotometers in order to evaluate the spectral transmissivity  $\tau(\lambda)$ , i.e. the fraction of the incident  
141 energy radiant flux that is transmitted at a specific wavelength  $\lambda$ . The total transmissivity, in the  
142 wavelength range between 200 nm and 2500 nm, was measured by means of a double beam UV-VIS-  
143 NIR spectrophotometer (Lambda 950, Perkin-Elmer Instruments, Norwalk, CT, USA) equipped with  
144 an integrating sphere (diameter 60 mm). Radiometric coefficients of the materials were calculated as  
145 average values of the spectral transmissivity over different wavelength bands: the solar wavelength  
146 range (300-2500 nm), the PAR range (400-700 nm) and the long wave infrared radiation range  
147 (LWIR; >3000 nm). The transmissivity coefficient in the solar range was calculated as the weighted  
148 average value of the spectral transmissivity using the spectral distribution of the solar radiation at the  
149 ground level as weighting function (Vox and Schettini, 2007). Transmissivity in the LWIR range  
150 between 2500 nm and 25000 nm was measured by a FT-IR spectrophotometer (1760X, Perkin-Elmer  
151 Instruments, Norwalk, CT, USA). The LWIR transmissivity coefficient was calculated as the average  
152 value over the wavelength interval between 7500 nm and 12500 nm (Vox and Schettini, 2007).

153

## 154 2.3 The field test

### 155 2.3.1 The mulching coatings in the field

156 The field test was carried out from November 2013 to January 2014 inside a North-South oriented  
157 tunnel greenhouse at the experimental farm of the University of Bari, Italy, latitude 41° 05' N. The  
158 steel-constructed tunnel (length of 30.00 m, width of 8.00 m, ridge height of 3.20 m) was covered with  
159 an ethylene-vinyl acetate (EVA) film (PATILUX, P.A.T.I. Company, San Zenone degli Ezzelini,  
160 Treviso, Italy). The film had thickness of 200 µm and the following radiometric coefficients: solar  
161 total transmissivity equal to 90.9%, solar direct transmissivity equal to 56.7%, LWIR transmissivity  
162 equal to 22.5%.

163 Greenhouse air temperature was controlled by means of two electric fans positioned in the South end  
164 wall and of two sliding shutters in the North end wall; the system was automatically driven in order to  
165 maintain internal air temperature under the set point value of 27 °C.

166 Clay-loam textured soil plots, East-West oriented, each of 0.4 m<sup>2</sup> (0.8 m x 0.5 m), were prepared for  
167 transplanting lettuce seedlings (*Lactuca sativa* L., Mortarella selection Romanella variety Duende) as  
168 test-plants chosen for their growth readiness.

169 On 27 November 2013 two different aqueous dispersions, coded PH-ESO and PH-PEG-ESO, were  
170 sprayed on the soil to form coatings resistant for the time required by the crop cycle (Figure 1a). The  
171 quantity of the sprayed solution determined coating's lifespan. The side slope of the raised bed of each  
172 plot was limited in order to avoid possible sliding of the spray mulching coating at the liquid state  
173 before the dry process. The solutions, in quantity of 2.25 kg m<sup>-2</sup>, were distributed on the top of the  
174 plots by an airbrush with a nozzle having an internal diameter of 3 mm, using a spray machine at 0.9  
175 MPa of pressure. Control plots coded as LDPE were mulched with a black LDPE film, characterised  
176 by a thickness of 40 µm.

177 The experiment was carried out using a randomised block design with three treatments (PH-ESO, PH-  
178 PEG-ESO and LDPE) and ten replications for each treatment.

179 During the field test, greenhouse air temperature and relative humidity were measured by means of a  
180 Hygroclip-S3 sensor (Rotronic, Zurich, Switzerland); solar radiation was measured in the wavelength  
181 range 0.3-3.0  $\mu\text{m}$  by a pyranometer model 8104 (Schenk, Wien, Austria). The data, measured with a  
182 frequency of 60 s, were averaged every 15 minutes and stored in a data logger (CR10X, Campbell,  
183 Logan, USA).

### 184 2.3.2 The leaf growth, functionality and factors of nutritional quality

185 On November 29<sup>th</sup> 2013, lettuce seedlings were transplanted. The cropping method was the same for  
186 all the plots. In particular, each plot received on the whole 8.0  $\text{g m}^{-2}$  of N, 2.8  $\text{g m}^{-2}$  of  $\text{P}_2\text{O}_5$  and 16.8  $\text{g}$   
187  $\text{m}^{-2}$  of  $\text{K}_2\text{O}$  and an automated drip irrigation system ensured water availability to the plants providing  
188 1.5 L  $\text{plant}^{-1}$  every two days.

189 In order to test the effects of the mulching treatments on leaf emission and expansion, the number of  
190 leaves per plant, the leaf length and width for the 2 biggest leaves per plant were measured 7 times  
191 from the transplanting to the harvesting, that occurred on January 27<sup>th</sup> 2014.

192 At transplanting, the features of plants assigned to each treatment were recorded. The average leaf  
193 number ranged from  $3.83 \pm 0.17$  in PH-PEG-ESO and LDPE to  $4.00 \pm 0.29$  in PH-ESO, leaf length  
194 from  $10.33 \pm 1.17$  cm in PH-ESO to  $10.67 \pm 0.33$  cm in LDPE, and leaf width from  $3.40 \pm 0.30$  cm in  
195 PH-PEG-ESO to  $3.80 \pm 0.40$  in LDPE.

196 Possible effects of mulches on soil and leaf temperature were also assessed, since they are critical in  
197 controlling plant growth through several biological processes, such as root growth and water uptake,  
198 rates of water vapour loss, stomatal aperture, rate of net photosynthesis, etc. (He et al., 2009). From  
199 December 10<sup>th</sup> 2013 to harvest, leaf temperature was measured using an infrared thermometer (3  
200 readings per plant on 1<sup>st</sup>-to-3<sup>rd</sup> fully expanded leaves), while soil temperature was measured at  $\sim 10$  cm  
201 deep using a thermocouple thermometer (3 readings per plot). Readings were taken 6 times (at about  
202 10-day intervals), around 10 hr. a.m., under different weather conditions.

203 Possible indirect effect of mulches on leaf chlorophyll and nitrogen relative content was estimated, on  
204 the 2<sup>nd</sup> and 3<sup>rd</sup> leaves from the plant outside (Tsiakaras et al., 2014), using a SPAD 502-meter (Konica

205 Minolta, Inc.): on the largest blade portion, two readings per leaf were taken symmetrically to the  
206 midrib.

207 At harvest, all plant heads were cut and transported to the Laboratorio di Arboricoltura of the  
208 University of Foggia. The first two leaves of each plant were collected for chemical analyses and  
209 weighted, the remaining portion was also weighed and used to assess the plant dry weight after oven-  
210 drying at 65°C until constant weight.

211 To evaluate the possible influence of mulch types on total polyphenol content (TPP) and total  
212 antioxidant activity (AA), as important factors of nutritional value, the first two leaves (used for field  
213 measuring of relative chlorophyll content) were trimmed using a tissue ruptor (Quiagen) at 33000 rpm.  
214 Leaf extracts were obtained putting in contact 5 g of grinded fresh leaves with 20 ml of methanol  
215 solution (80 MeOH: 20 H<sub>2</sub>O) for few minutes, and centrifuging for 8' at 4000 rpm (Yurttas et al.,  
216 2000). The antioxidant activity was determined using ABTS (2,2'-azinobis-(3-ethylbenzothiazoline-6-  
217 sulfonic acid) method (Re et al.,1999). ABTS<sup>•+</sup> solution was diluted with ethanol (1:88) which  
218 absorbance, at 30° C and at 734 nm, is about 0.70 (± 0.02) against ethanol as blank. 200 µL of the  
219 diluted sample were added to 2 mL of chromogen into a cuvette of p.l. 10 mm and mixed; after 15 min  
220 the absorbance at 734 nm was read. Percentage inhibition was calculated as follows:

221

$$\text{Inib.(\%)} = \left(1 - \frac{\text{Abs}_s}{\text{Abs}_b}\right) \cdot 100 \quad (2)$$

223

224 where: s = sample; b = blank.

225

226 The equivalent concentration (µ/L Trolox uM) was obtained replacing, in the Trolox standard curve,  
227 the percentage inhibition.

228 The total polyphenols content was determined using the colorimetric Folin-Ciocalteu procedure. Into a  
229 25 mL flask, 0.1 mL of extract were put followed by 1 mL of Folin-Ciocalteu reagent. After 3-4 min,  
230 10 mL of NaCO<sub>3</sub> (7%) were added and the flask filled with water till the mark. The flask was tipped

231 faster several times and then left in dark for 120' (Slinkard et al., 1977). The absorbance was read at  
232 760 nm against a blank. The absorbance was entered into the standard curve to express values as  $\mu$   
233  $\text{mL}^{-1}$  gallic acid (Oviasogie et al., 2009).  
234 Statistical analyses were carried out with CoStat software (CoHort Software, Monterey, Calif.).  
235 Analysis of variance (ANOVA) at 95 percent probability level was applied in order to examine the  
236 effect of the coatings on the agronomic responses. When F test was significant at  $p = 0.05$ , means were  
237 compared by Duncan multiple range test at  $p \leq 0.05$ . Data are presented as average of the replicates  $\pm$   
238 standard error.

### 239 2.3.3 Soil sampling, sample preparation and soil analyses

240 At the beginning of the experiment, the soil was sampled collecting five cores using a W scheme, air-  
241 dried and 2-mm sieved before laboratory analyses.

242 In order to record any variation of selected properties, sprayed and mulched soils were also sampled at  
243 the end of the trial. Therefore, soil sample sets were characterized by conventional analytical methods  
244 for their moisture content, pH (1:2.5 w/v), electrical conductivity (EC, 1:2 w/v), total nitrogen (TN) by  
245 the Kjeldahl method, organic carbon (OC) by the Walkley and Black method, available P and total  
246 carbonates (TC) (Page et al., 1982).

247

## 248 3 Results and discussion

### 249 3.1 Laboratory spray materials characterization

250 Table 1 summarizes the mechanical and radiometric properties of the laboratory samples.

251 Results from the mechanical tests showed that both the obtained protein-based materials were  
252 adequately stiff and strong with low elongation at break (Table 1).

253 Concerning the behavior of the derivatives in the presence of water, the weight loss of the specimens  
254 immersed in distilled water at room temperature was monitored over a period of about thirty days.

255 Unlike the reagents were completely soluble, both the biocomposites possessed limited solubility and



256 degradation rate. PH-PEG-ESO as well as PH-ESO derivatives swelled but for more than 25 days, no  
257 significant differences were noticed on the integrity of the corresponding immersed specimens which,  
258 in addition, showed a slow release of material gradually increasing with time. This may suggest  
259 extensive crosslinking of the two systems and may promote slow release of proteinaceous materials.  
260 Fulfilling the radiometric requirement of a black mulch of being opaque to the PAR radiation, the PH-  
261 ESO and PH-PEG-ESO coatings showed a PAR total transmissivity coefficient of 0.00%, as the LDPE  
262 black mulching film (Table 1), so that weed growth was inhibited in the period from transplanting to  
263 harvesting. The LDPE mulching films must satisfy the EN 13655 standard (EN 13655, 2002), i.e.  
264 independently of their thickness, black plastic mulches must have a PAR total transmissivity  
265 coefficient  $< 0.01\%$ . Even if the spray coatings are materials at an experimental stage and their  
266 physical properties are not defined by international standards, in the present paper Authors reported  
267 very promising results about their PAR transmissivity coefficient, especially in comparison to the  
268 literature. In fact, Malinconico et al. (2008) and Immirzi et al. (2009) tested different biodegradable  
269 spray coatings that showed a good capacity to reduce weeds growth, even if with different PAR  
270 transmittivity coefficient. The spray coatings prepared mixing Locust bean gum and Guar gum,  
271 agarose, glycerol and carbon black were substantially opaque to the PAR radiation. In contrast, the  
272 coating films made with sodium alginate, seaweeds and bran of wheat showed a PAR total  
273 transmissivity coefficient of 6.36%. The spray coatings realized with hydrolyzed proteins by Sartore et  
274 al. (2013), with a thickness ranging from 0.6 to 0.8 mm, showed a good capacity to reduce weed  
275 growth even with a PAR total transmissivity coefficient ranging from 0.2 to 4.1 %.

276

### 277 3.2 The performance of the spray coatings in the field

278 The biodegradable spray coatings kept their mulching effect for 2 months from application to  
279 harvesting, though some cracks appeared on the surface (Figure 1b). The irregularities appeared on the  
280 surface of the coatings around the plants where water was delivered by the drip irrigation system.

281 Higher values of the humidity of the soil induce faster degradation of the biodegradable coating  
282 (Schettini et al., 2007). The LDPE film recorded no variation during the cultivation period.  
283 During the experimental test, the mulches were subjected to a cumulative solar radiation equal to 272  
284 MJ m<sup>-2</sup>. During the cold period, inside the tunnel the air temperature ranged from a maximum value  
285 equal to 29.56 °C (recorded on 16/01/2014) to a minimum value equal to 2.82 °C (recorded on  
286 8/12/2013). Greenhouse relative humidity ranged from 47 % (recorded on 8/12/2013) to 100%, typical  
287 value in greenhouse during the night.  
288 The sodium alginate-based spray mulch tested by Immirzi *et al.* (2009) was characterised by a lifetime  
289 inside a greenhouse of 6 months, subjecting to a cumulative solar radiation of 2705 MJm<sup>-2</sup>. This spray  
290 mulching coating was composed of sodium salt of alginic acid, polyglycerol, hydroxyethylcellulose,  
291 seaweeds and bran of wheat. This coating was characterised by inhomogeneous surface and irregular  
292 thickness that varied from 3 to 5 mm. Few cracks on the surface appeared within the first month  
293 (Immirzi *et al.*, 2009). Schettini et al. (2007) described a transparent spray glucomannan based  
294 mulching coating. This coating was applied by spraying a water solution of glucomannans enriched by  
295 polyamino-polymers as mulching filler. It was characterised by a thickness of 50 µm. Some little  
296 cracks appeared on the surface within the first month but it lasted for 5 months inside a greenhouse  
297 (Schettini et al., 2007). Mahmoudpour and Stepleton (1997) tested a coloured synthetic latex mulch  
298 base product ('BN 1849'; BASF. Charlotte, NC, USA), diluted 1:2 (product : water). This mulching  
299 coating was used in open air and two weeks after the application it was damaged by a hail storm.

### 300 3.3 Influence of the mulches on soil temperature, leaf growth and functionality, factors of 301 nutritional quality

302 Soil temperature measured around 10 a.m. averaged from 11.32 ± 0.2 °C (recorded on 20/12/2013) to  
303 16.25 ± 0.1 °C (recorded on 27/01/2014). Soil mulched with PH-ESO was significantly cooler (from -  
304 1.08 to -1.83 °C) than that mulched with LDPE (Figure 2a), which film was particularly efficient in  
305 warming the substrate and retaining the thermal energy. Concerning lettuce, the best dry matter  
306 accumulation can be found with 24/24 °C root media/daytime air temperature; in the present trial, the

307 soil temperature was found sub-optimal at all measurements, but PH-PEG-ESO mulch warmed the soil  
308 more than PH-ESO coating.

309 The mulch type, that can influence the surface radiation balance and the plant microclimate (Liakatas  
310 et al., 1986), did not affect the leaf temperature during the daylight hours. Leaf temperature averaged  
311 from  $4.70 \pm 0.03$  °C (21/01/2014) to  $15.15 \pm 0.2$  °C (10/12/2013) (Figure 2b). Differences among  
312 treatments were very small (from 0.11 °C to 0.56 °C) and, depending on the day of measurement, each  
313 treatment showed sometimes the highest leaf temperature and sometimes the lowest one.

314 The type of mulching did not influence the leaf emission and expansion. In fact, seedlings started with  
315 similar number of leaves and leaf dimension did not show significant differences during the growing  
316 period. At harvest, the leaf number ranged from 27.33 in PH-PEG-ESO to 28.33 in PH-ESO (Figure 3  
317 a), the leaf length from 23.5 cm in PH-PEG-ESO to 24.33 cm in PH-ESO (Figure 3 b), and the leaf  
318 width from 15.5 cm in LDPE to 16.33 cm in PH-ESO (Figure 3 c),

319 Relative chlorophyll content averaged from  $32.55 \pm 2.3$  (12/12/2013) to  $40.82 \pm 0.4$  (30/12/2013).  
320 Differences among treatments changed pattern at each measurement (Figure 4); nevertheless, at the  
321 last two dates PH-PEG-ESO leaves showed significantly higher readings than other treatments, that is,  
322 about 42 SPAD units against 37-39 SPAD units. Tsiakaras et al. (2014) found 42 SPAD units in  
323 normal mature leaf tissue of lettuce (romaine type) having similar growing cycle and tested with  
324 similar procedure used in our trial. SPAD readings are generally correlated to chlorophyll content and  
325 leaf nitrogen status (León et al., 2001). Nitrogen uptake can be stimulated by warmer daylight soil  
326 temperature (Verdial et al., 2001); however, in our case, LDPE showed higher soil temperature than  
327 PH-PEG-ESO along the growing season, except for the last measurement, but not higher SPAD units,  
328 except for the 3<sup>rd</sup> measurement. Hence, under the trial conditions, other factors should have influenced  
329 SPAD readings. This colorimetric technique is suitable to test leaf nutritional status also for other  
330 elements, such as phosphorus and calcium (Pitchay et al., 2014), whose level may decline as soil  
331 temperature increases (Verdial et al., 2001).

332 The total polyphenol content (TPP) did not differ between leaves grown in PH-ESO and PH-PEG-  
333 ESO plots, but, on average, it was 17% lower than that found in leaves of plants grown with LDPE

334 mulch. The antioxidant activity (AA) showed the same trend and was 11% lower for the two spray  
335 treatments than for the LDPE one. Mulch influence on TPP and AA was pointed out in other studies,  
336 but most of them were focused on reflective mulches. For not reflective mulches, Morra et al. (2016)  
337 found that polyphenols and other antioxidant compounds increased when MaterBi® biodegradable  
338 extruded film was used instead of LDPE, but they could not clear which type of environmental factor,  
339 or abiotic stress, was correlated to that result. It is well-known that cultural system and environment  
340 affect production and accumulation of plant antioxidant compounds (Wang et al., 2002), but, under  
341 field conditions, it is hard to separate specific elicitor effects.

342

#### 343 3.4 Soil analyses

344 The soil at the beginning of the trial (T0) was characterized by sub alkaline pH, low EC, very low TC,  
345 good endowment of OC, TN and available  $P_{av}$  (Table 2).

346 At the end of the trial, the soil mulched by LDPE showed a significant but slight increase of the pH,  
347 while the EC value of LDPE soil was significantly lower with respect to the other treatments. That  
348 could be due to the mineralization of the sprayed coatings that released nutrients in the soil during  
349 their decomposition. In addition, the soil covered with PH-PEG-ESO showed, at the end of the  
350 experiment, a significant but slight increase of the OC, possibly due to the more complex composition  
351 of that material with respect to the PH-ESO (Table 1), and that could have played a role in the release  
352 of the organic moieties from the PH-PEG-ESO during the trial.

353 The TN of the PH-PEG-ESO soil resembled the same behavior of OC since that biobased mulching  
354 coating showed more N compounds in its composition (Table 1).

355 The LDPE and PH-PEG-ESO soils showed the highest value of  $P_{av}$ . The former soil was characterized  
356 by a slight higher pH value that influenced the availability of that element, as reported by Sposito  
357 (2008). Instead, the degradation of the biobased mulching coating could have released byproducts  
358 useful for the direct complexation of P or for the complexation of calcium inducing, indirectly, a major  
359 availability of phosphorous.

#### 360 **4 Conclusions**

361 The laboratory characterization and the field test showed that novel polymeric protein-based  
362 biocomposites can be used as water dispersions to generate, in situ, biobased mulching coatings by  
363 the spray technique. The biodegradable coatings showed agronomic performances comparable with  
364 the ones of a commercial low density polyethylene mulching film, maintaining the mulching effect for  
365 the requested cultivation period and ensuring a similar rate of plant growth and dry matter  
366 accumulation. The study of the mulch influence on phytochemical content in plant edible organs  
367 should be deepened in order to identify eliciting environmental factors and, possibly, improve the new  
368 mulching materials looking also to their effect on plant nutritional value.

369 The sprayed films, in short period, did not modify the pH of the soil, while increased the soil EC value  
370 due to their biodegradable nature. Apparently, for its chemical composition and performances, the PH-  
371 PEG-ESO has to be preferred because it induced higher availability of N and P, and a temporary slight  
372 OC enrichment.

373 The use of biodegradable hydrolyzed proteins based coatings could increase the sustainability of the  
374 agricultural production, by using renewable non-oil raw materials and by enhancing the protection of  
375 the rural areas against water and soil pollution.

376 At the same time raw materials can be obtained both from natural renewable agricultural resources and  
377 from potentially polluting residues of the leather industry.

378

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385

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- 485

486 **Figure Captions**

487

488 Figure 1. Spray biodegradable coating at the experimental field of the University of Bari: (a) at the beginning of  
489 the test; 27/11/2013; (b) at harvest, 27/1/2014.

490 Figure 2: Soil temperature (a) and leaf temperature (b), measured at about 10 a.m., according to  
491 mulching treatments: PH-ESO, PH-PEG-ESO, LDPE (bars represent standard error).

492 Figure 3. Test-plant growth in terms of leaf number (a), leaf length (b), leaf width (c), according to  
493 mulching treatments: PH-ESO, PH-PEG-ESO, LDPE (bars represent standard error).

494 Figure 4. Relative chlorophyll content (as SPAD Units) during test-plant growth, according to  
495 mulching treatments: PH-ESO, PH-PEG-ESO, LDPE (bars represent standard error).

496

1 Table 1. Composition, mechanical and radiometric properties of PH-PEG-ESO, PH-ESO derivatives and of  
 2 LDPE mulching film. PH: protein hydrolysates; ESO: epoxidized soybean oil; PEG: poly(ethylene glycol)  
 3 diglycidyl ether; LDPE: low density polyethylene; PMD: pyromellitic dianhydride; EDA: ethylene diamine;  
 4 Lign: lignin; WF: wood flour; CB: carbon black; E: Young's modulus;  $\sigma_b$ : tensile strength;  $\varepsilon_b$ : elongation at  
 5 break; PAR: photosynthetically active radiation; LWIR: long wave infrared radiation.

		PH-ESO	PH-PEG-ESO	LDPE
Composition	PH [%]	41.2	41.4	
	ESO [%]	10.3	5.2	
	PEG [%]	-	5.2	
	PMD [%]	0.6	-	
	EDA [%]	-	0.3	
	Lign [%]	24.7	24.8	
	WF [%]	21	21	
	CB [%]	2	2.1	
Mechanical properties	E [MPa]	1030±170	2550±190	270±135
	$\sigma_b$ [MPa]	5.8±0.7	7.6±1.1	20±14
	$\varepsilon_b$ [%]	0.7±0.1	0.37±0.1	580±170
Radiometric properties	Solar total transmissivity (%)	0.10	0.09	0.02
	PAR total transmissivity (%)	0.00	0.00	0.00
	LWIR transmissivity (%)	0.01	0.01	11.17

6

7 Table 2. Dry matter percentage, total polyphenol content, antioxidant activity in leaves, and soil properties,  
 8 according to mulching treatments. fw: fresh weight; TPP: index of polyphenol content; AA: index of antioxidant  
 9 activity; T0: soil at the beginning of the trial; EC: electrical conductivity; TC: total carbonates; OC: organic  
 10 carbon, TN: total nitrogen; C/N: carbon/nitrogen ratio; P<sub>av</sub>: available phosphorous. Mean value ± standard error;  
 11 within row , different letters indicate different mean values at  $p \leq 0.05$ .

	PH-ESO	PH-PEG-ESO	LDPE	T0
Dry matter (% fw)	5.01 ± 0.58 a	5.00 ± 0.19 a	4.94 ± 0.24 a	
TPP (mg gallic acid/100 g dry matter)	81.01 ± 6.511 b	83.95 ± 7.01 b	98.88 ± 7.45 a	
AA (mg Trolox/100 g dry matter)	1714.88 ± 40.79 b	1683.33 ± 41.21 b	1914.65 ± 87.54 a	
pH	7.85 b	7.85 b	8.15a	7.80 b
EC [dS m <sup>-1</sup> ]	0.83 a	0.64 a	0.20 b	0.74 a
TC [g kg <sup>-1</sup> ]	15 a	18 a	15 a	14 a
OC [g kg <sup>-1</sup> ]	18.7 b	19.7 a	18.6 b	19.0 b
TN [g kg <sup>-1</sup> ]	1.97 ab	2.05a	1.81 b	1.86 ab
C/N	9.5	9.6	10.3	10.2
P <sub>av</sub> [mg kg <sup>-1</sup> ]	35.4 b	41.3 a	48.2 a	36.7 b

12

13

Figure 1a  
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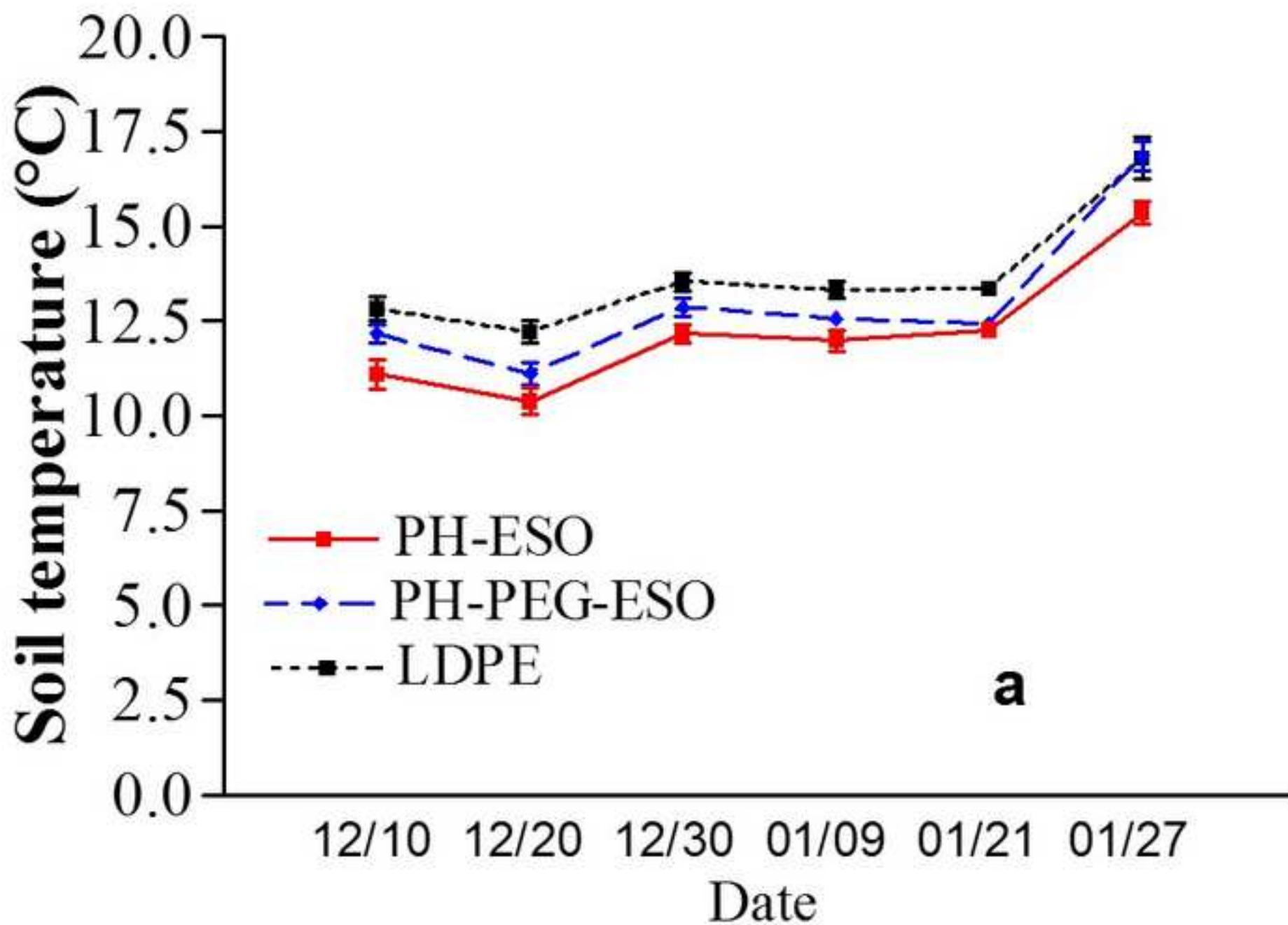


Figure 1b  
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Figure 2a

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**a**

Figure 2b  
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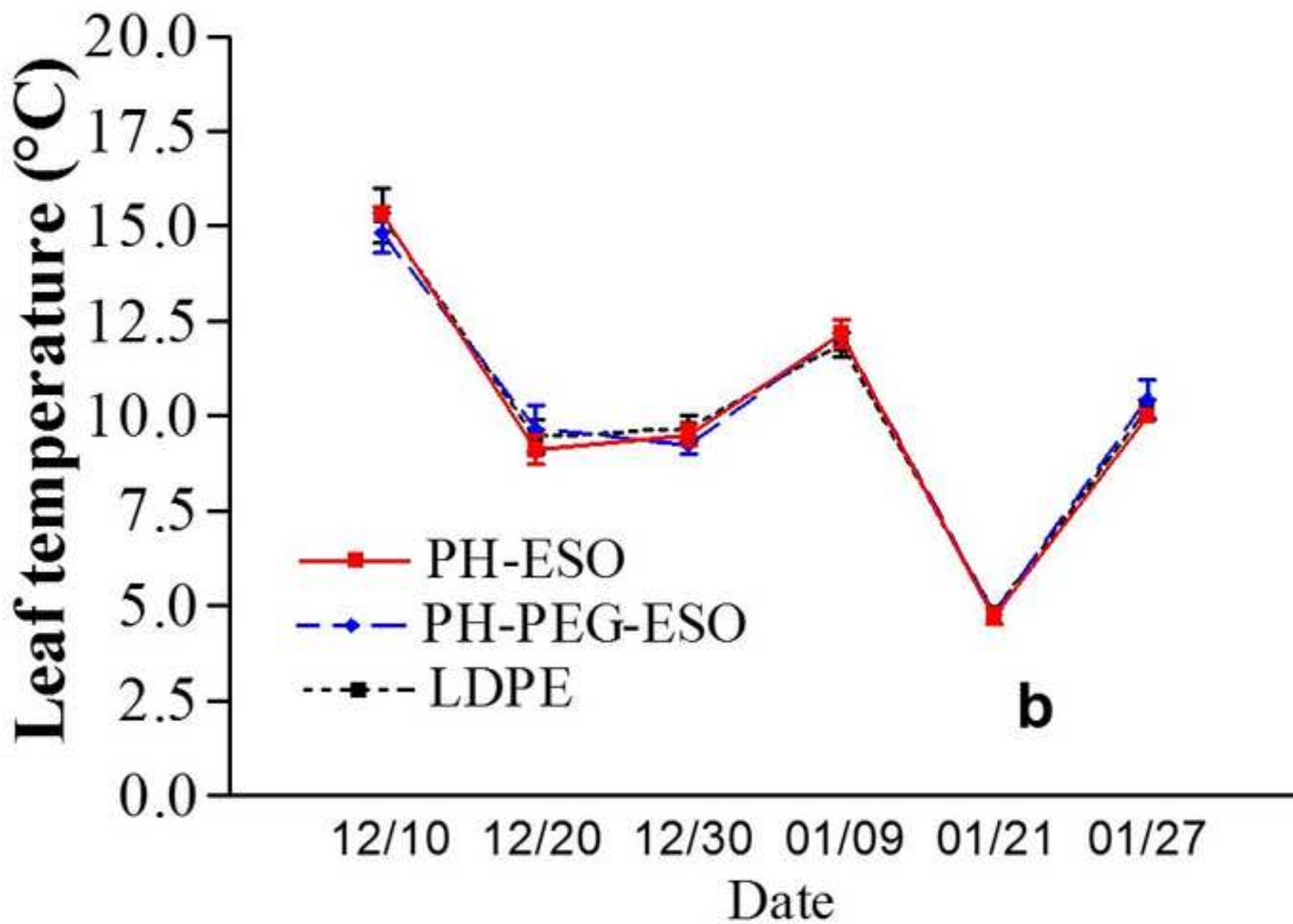




Figure 3a  
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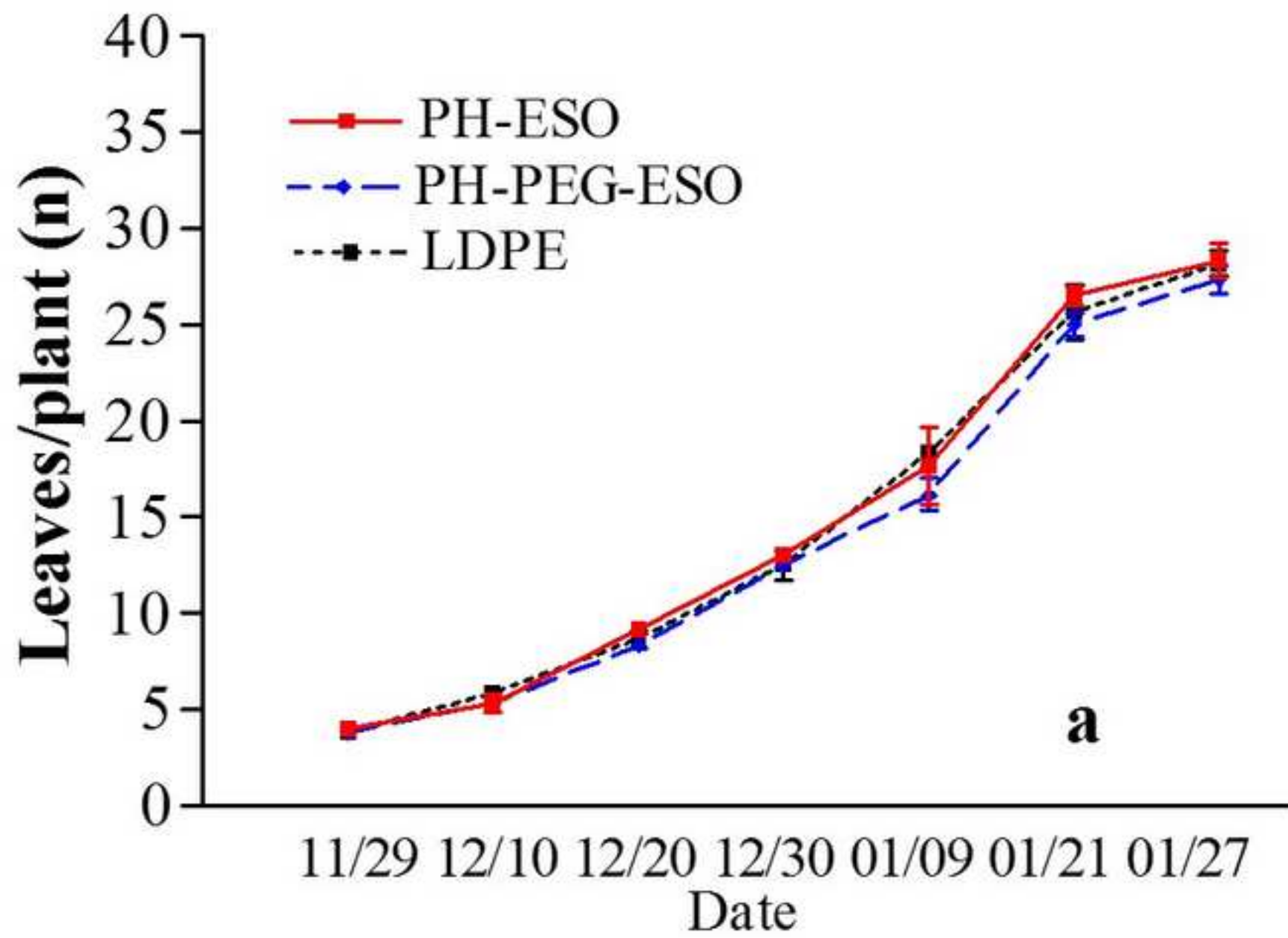


Figure 3b  
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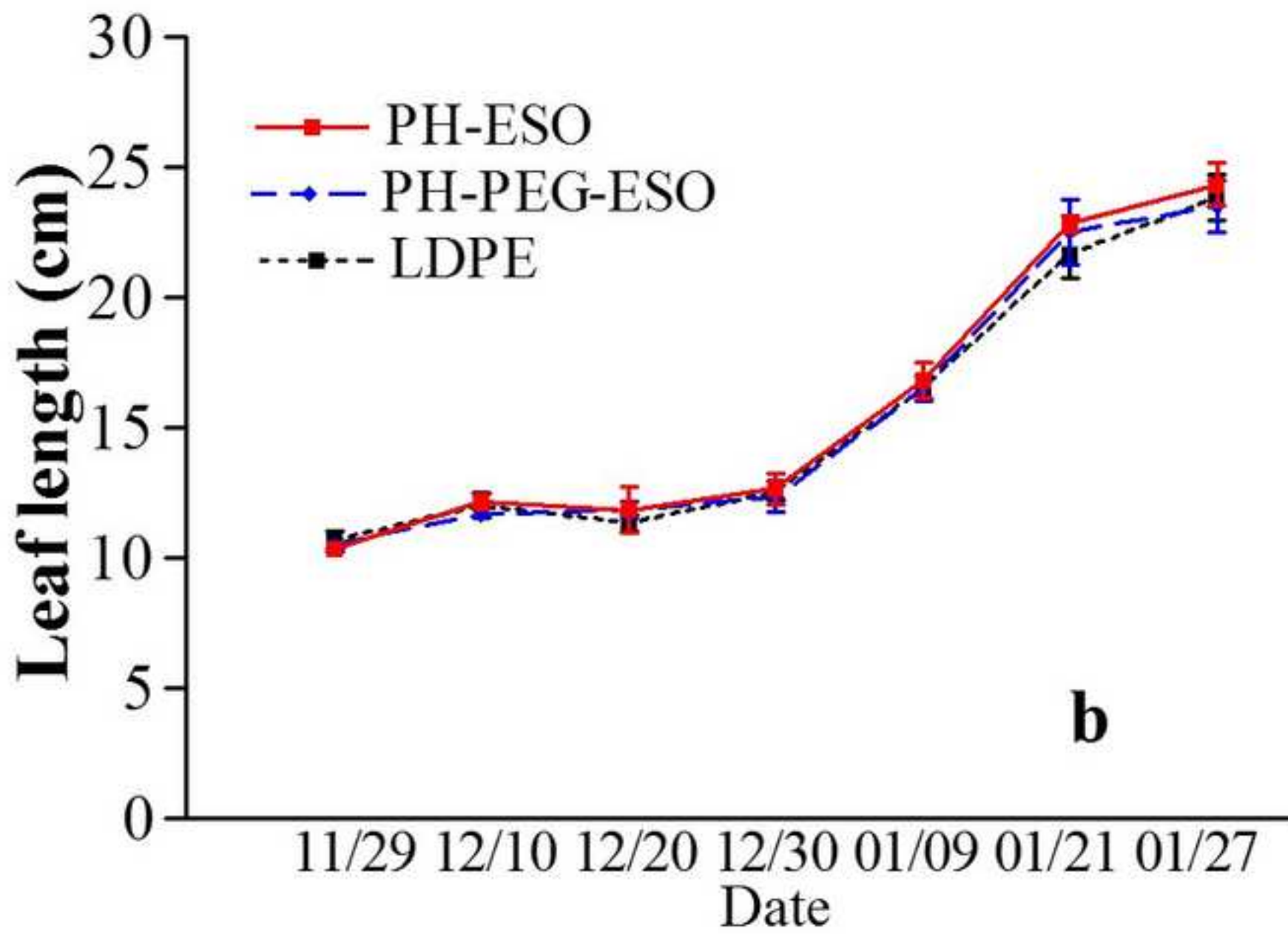
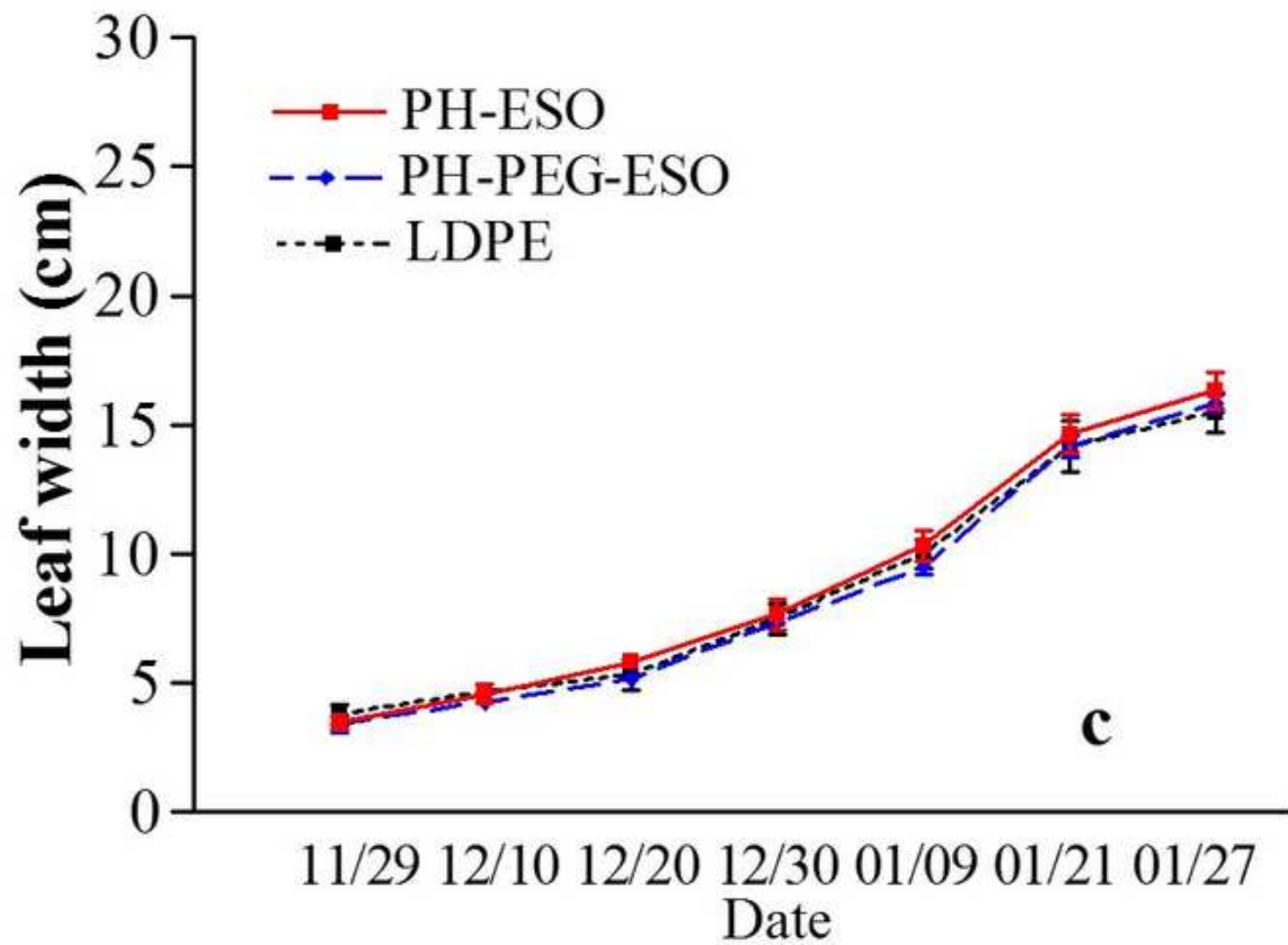


Figure 3c  
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**c**

Figure 4  
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