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3 Il presente lavoro è stato pubblicato su *Carbohydrate Polymers* 163(2017) 280–291 con doi:
4 <https://dx.doi.org/10.1016/j.carbpol.2017.01.064>

5 **Insight Into Halloysite Nanotubes-Loaded Gellan Gum Hydrogels For Soft** 6 **Tissue Engineering Applications**

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23 **Abstract**

24 A tri-component hydrogel, based on gellan gum (GG), glycerol (Gly) and halloysite nanotubes
25 (HNT), is proposed in this work for soft tissue engineering applications. The FDA-approved GG
26 polysaccharide has been recently exploited as biomaterial because its biomimetic features. Gly is
27 added as molecular spacer to improve hydrogel viscosity and mechanical properties. HNT
28 incorporation within the hydrogel offers the versatility to improve the GG-Gly biocompatibility
29 with potential incorporation of target biomolecules. In this work, hydrogels with different
30 composition ratios are physically crosslinked for tuning physico-mechanical properties. An accurate
31 physico-chemical characterization is reported. HNT addition leads to a water uptake decrease of 30-
32 35% and tuneable mechanical properties with a compressive Young's modulus ranging between 20
33 and 75kPa. Finally, *in vitro* study with human fibroblasts on GG-Gly hydrogels loaded with 25%
34 HNT offered the higher metabolic activities and cell survival up to 7 days of incubation.

35

36 **Keywords:** Gellan gum, Halloysite nanotubes (HNT), Hydrogel, Human fibroblasts, Tissue
37 engineering.

38

39 **Chemical compounds studied in this article**

40 Gellan gum (PubChem SID: 135330201); Halloysite nanotubes (PubChem CID: 121233131);

41 Glycerol (PubChem CID: 753); Calcium Chloride (PubChem CID: 5284359).

42 **1. Introduction**

43 In the last years, the role of biomaterials in tissue engineering has gone beyond the classic view of
44 passive support for cell growth: the knowledge of the wide array of cell-matrix interactions has led
45 to a more conscious selection of biomaterials that mimic the complex spatio-temporal cues of
46 extracellular matrix (Chen & Liu, 2016). Hydrogels represent an interesting class of materials, with
47 high water content, tunable properties and ease to be processed under physiological conditions
48 (Annabi et al., 2014; De Giglio et al., 2011). In this scenario, biopolymer-based hydrogels stand out
49 for biocompatible features, given by the remarkable similarity with extracellular matrix (Van
50 Vlierberghe et al., 2011). Polysaccharides (e.g. gellan gum, alginate and chitosan) have the further
51 advantage of releasing non-toxic monomers during degradation and, for this reason, are the most
52 investigated biopolymers for biomedical applications (Pasqui et al., 2012). Gellan gum is an
53 exopolysaccharide secreted by bacteria belonging to the *Sphingomonas* genus. Firstly isolated in
54 1979, gellan gum is currently manufactured *in vitro* by a straightforward fermentation process,
55 avoiding batch-to-batch availability often associated to biopolymers (Prajapati et al., 2013). It
56 consists of a linear chain of repeated tetrasaccharide units (L-rhamnose, D-glucose and D-
57 glucuronic acid), commercially available under different trade names (e.g. PhytigelTM, Gelrite[®],
58 Kelcogel[®]). In presence of cations, gellan gum undergoes a temperature-dependent gelation,
59 forming stable hydrogels (Smith et al., 2007). After FDA approval as a food additive, this
60 polysaccharide is widely exploited in food industry as thickening agent or emulsion stabilizer
61 (Giavasis et al., 2000). Moreover, the potential of gellan gum is being studied in order to obtain new
62 pharmaceutical formulations for oral, nasal and ophthalmic drug delivery (Osmałek et al., 2014;
63 Wang et al., 2008).

64 Furthermore, thanks to its versatility, gellan gum is attracting increasing interest in the biomedical
65 field and is being proposed for tissue engineering and regenerative medicine applications (Coutinho
66 et al., 2010; Santhanam et al., 2016). A pioneering study by Shoichet et al. exploited a gellan gum
67 matrix to support the adhesion and proliferation of neural stem cells, showing that the peptide-

68 modified gellan gum, together with the olfactory ensheathing glia, enhanced neural stem/progenitor
69 cell survival (Silva et al., 2012). Cell adhesion improvement was also the focus of other works,(da
70 Silva et al., 2014; Cerqueira et al., 2014) in which spongy-like gellan gum hydrogels were proposed
71 as off-the-shelf 3D structures able to mimic the physico-chemical properties of ECM. Further
72 application proposed recently by Mano and coworkers is the use of gellan gum as an injectable
73 biomaterial (in form of blend with type I collagen), for bone regeneration purposes (Oliveira et al.,
74 2016). The proposed biomaterial induced the differentiation of human adipose stem cells through a
75 mechanotransduction pathway, without any medium supplement. Furthermore, one of the most
76 promising strategies involving gellan gum provides the opportunity to develop composite materials
77 adding inorganic particles (e.g. bioglasses) to the polymeric matrix (Gorodzha et al., 2016). With
78 low filler loadings, the polymers mechanical features improve, as well as their bioactivity (Dawson
79 & Oreffo, 2013). Among the inorganic particles available, natural nanoclay minerals provide the
80 double advantage to be cost-effective and biocompatible (Lopes et al., 2014). Therefore, the aim of
81 this work was to propose an innovative composite biomaterial in order to: (1) obtain a composite
82 material with tunable physical and mechanical properties, and (2) evaluate its biological potential
83 for tissue engineering applications. Specifically, in this work, halloysite nanotubes (HNT) have
84 been proposed as inorganic fillers of gellan-based hydrogels. HNT are tubular aluminosilicate clays
85 with a unique combination of features which are finding applications in numerous fields (Du et al.,
86 2010). Beyond the broad availability, HNT are biocompatible and exhibit excellent mechanical
87 properties (Yuan et al., 2015; Bottino et al., 2015; Liu et al., 2012). Several studies have reported
88 the long-term stability and non-toxicity of HNT (White et al., 2012; Kamble et al., 2012). Being
89 naturally dispersed, they do not require exfoliation procedures essential to obtain nanolayers from
90 glass fibers or montmorillonite (Chalasanani et al., 2013). Moreover, HNT mesoporous lumen could
91 entrap bioactive molecules and subsequently release them, opening new frontiers in sustained drug
92 delivery (Du et al., 2010). On the other hand, the hydroxyl groups distribution on the HNT outer

93 and inner surfaces allow a finely adjustable reactivity, achieved by targeted functionalisation
94 (Zhang et al., 2016).

95 Some works on HNT composites with various polymers have been recently reported. As example,
96 Liu and coworkers prepared alginate-HNT composite sponges by freeze-drying method resulting in
97 increased scaffold stability and better fibroblast attachment (Liu et al., 2015). Similarly, chitosan
98 and HNT formed a nanocomposite with significant thermal and mechanical improvements
99 compared with the pure polymer (Liu et al., 2013). More recently, Fakhrullin et al. doped with HNT
100 a chitosan–agarose–gelatin matrix and proposed it as advanced biomaterial for tissue engineering
101 and sustained nanotube drug delivery (Naumenko et al., 2016). However, to the best of our
102 knowledge, a nanoclay-polymer composite based on HNT and gellan gum has never been
103 developed and investigated. This work aims at filling this gap, shedding light into the potential and
104 intriguing applications of this new GG-based composite in soft tissue engineering. In this study, the
105 preparation and characterization of hydrogels physically crosslinked with Ca^{2+} cations is reported.
106 The hydrogels have been prepared varying the concentrations of HNT and glycerol, used as
107 molecular spacer. Indeed, glycerol is commonly exploited as a biocompatible structural ameliorant
108 to improve the physical and mechanical properties (Zhao et al., 2014). The composite hydrogels
109 were characterized by morphological, physico-chemical and mechanical analyses. Ultimately,
110 human fibroblasts were seeded on the surface and encapsulated into the hydrogel in order to test the
111 potential applications as substrate or injectable biomaterial.

112

113 **2. Materials and methods**

114 **2.1 Materials**

115 Gellan gum (PhytigelTM, formula weight 1,000 kg/mol; low acylation degree), hereafter coded as
116 GG, halloysite nanoclay (HNT) and free flowing calcium chloride- Redi-driTM (CaCl_2) were all
117 supplied by Sigma-Aldrich (Italy). Glycerol (Gly) was provided by Baker Chemicals, Holland. The

118 ultrapure water employed throughout the experiments was obtained with a Milli-Q[®] Integral system
 119 equipped with a BioPak[®] ultrafiltration cartridge (Millipore, Merck).

120 **2.2 Sample preparation**

121 An aqueous solution of Gly (2 or 6% w/v) was heated at 90 °C and GG powder (2% w/v) added
 122 under vigorous stirring. To obtain the composite material, the dissolution step was followed by
 123 mixing with an aqueous suspension of HNT (final concentration 0, 0.2, 0.5 or 1% w/v), previously
 124 sonicated in cold water for 15 min. The codes and nominal compositions of the hydrogels are
 125 reported in Table 1.

126 *Table 1.* Nomenclature and nominal composition of the prepared hydrogels. All the % w/v are
 127 expressed in respect to the water.

128

Sample Code	GG content [%w/v]	Gly content [%w/v]	CaCl ₂ content [%w/v]	HNT content [%w/v]
GG	2	--	0.025	--
GG-Gly(1:1)	2	2	0.025	--
GG-Gly(1:1)HNT10	2	2	0.025	0.2
GG-Gly(1:1)HNT25	2	2	0.025	0.5
GG-Gly(1:1)HNT50	2	2	0.025	1
GG-Gly(1:3)	2	6	0.025	--
GG-Gly(1:3)HNT10	2	6	0.025	0.2
GG-Gly(1:3)HNT25	2	6	0.025	0.5
GG-Gly(1:3)HNT50	2	6	0.025	1

129

130 The obtained hydrogels were poured into 24-well plates (15.6 mm well diameter) and crosslinked
 131 with CaCl₂ (0.025% w/v) using the external gelation method described by Kaklamani et al. (2014).
 132 Briefly, two parallel porous microcellulose sheets, previously soaked in CaCl₂, were placed at the
 133 top and bottom of the polymer, providing the Ca²⁺ ions required to promote a reproducible and
 134 uniform gelation of the polymer. After 24 h, the gelled samples destined to chemical, thermal and
 135 morphological analyses were obtained by freezing at -20 °C for 24 h, followed by freeze-drying for
 136 48 h (Christ ALPHA 1-2/LD Plus, Martin Christ, Germany). Gelled samples employed for water
 137 uptake, mechanical and biological measurements were conditioned for 1 h in Phosphate-buffered

138 saline (PBS) solution prepared accordingly with Cold Spring Harbor Protocol. Samples used for the
139 water uptake evaluation were dried as above mentioned.

140 **2.3 Physico-chemical characterization**

141 *2.3.1 Scanning Electron Microscopy (SEM)*

142 Scanning electron microscope (Hitachi TM3030 Tabletop SEM) equipped with Energy Dispersive
143 Spectroscopy (EDS) was utilized to study the nanoclay dispersion into the composites, as well as its
144 dried morphology. The samples were cut into small cylinders (1.5 cm of diameter and 2 cm of
145 height), fixed on the aluminium stub using carbon tape. For pore size evaluation, 20 random pores
146 from at least three SEM images were analyzed by ImageJ software.

147 *2.3.2 Fourier Transform Infrared Spectroscopy in Attenuated Total Reflection mode (FT-IR/ATR)*

148 FT-IR/ATR analysis was performed on a Spectrum Two PE instrument using the Universal ATR
149 accessory (Single Reflection Diamond) (PerkinElmer Inc., Waltham, MA) at 4 cm⁻¹ resolution.
150 Dried samples were analyzed without any preliminary preparative step.

151 *2.3.3 X-ray Photoelectron Spectroscopy (XPS)*

152 XPS analysis was performed by a scanning microprobe PHI 5000 VersaProbe II (Physical
153 Electronics, Chanhassen, MN), equipped with a monochromatized AlK α X-ray radiation source.
154 The base pressure of the instrument to ensure vacuum in the analysis chamber was 10⁻⁹ mbar. The
155 X-ray take-off angle was 45° and the samples were analyzed in HP mode (scanned size about
156 1400x200 μ m). Survey scans (binding energy (BE) range 0–1200 eV, FAT mode, pass energy 117.4
157 eV) and high-resolution spectra (FAT mode, pass energy 29.35 eV) were recorded for each sample.
158 Data analysis of the latter was performed using the MultiPak software package (version 9.6.1.7,
159 which consists of a non-linear least-squares fitting program. The experimental points of the detailed
160 spectra were fitted using Gaussian–Lorentzian peaks having the same full width at half maximum
161 (FWHM). Charge referencing was performed by setting the lower binding energy C1s photo-peak
162 (i.e., C1s hydrocarbon peak) at 284.8 eV. Quantification (atomic percentage, At%) was made using
163 normalized peak area. The normalization of the peak area and comparison of data from different

164 elements was enabled by correction with empirically derived sensitivity factors according to
165 MultiPak library.

166 2.3.4 *Water uptake evaluation*

167 Dried polymeric samples were firstly weighed (m_{di}), successively placed in tea bags. The tea bags
168 containing the samples were sealed and immersed in PBS to determine the water uptake profile up
169 to 24 hours at 37°C. The tea bags containing samples were weighted prior (m_i^0) and after each time
170 point (m_i^t). Moreover, in order to guarantee that the amount of the measured water was only
171 ascribable to the samples swelling, the weight of empty wet tea bags after each time point (m_b^t) was
172 also considered. Therefore, the percentage of water uptake for each sample, along the time, was
173 calculated using the Equation 1 reported below:

$$174 \quad (WC\%)_i^t = \{[(m_i^t - m_b^t) - m_i^0] / m_{di}\} \times 100 \quad (1)$$

175 The test was performed in triplicate and results were reported as mean \pm standard deviation.

176 2.3.5 *Thermogravimetric Analysis (TGA)*

177 Thermogravimetric measurements were carried out by TGA TA-Instrument Q500, (Waters S.p.A.
178 Milan, Italy) in air atmosphere, following the thermic program: stabilisation at 30°C and isothermal
179 scan at 10°C min⁻¹ in the range 30-1000°C. Thermogravimetric (TG) and derivative
180 thermogravimetric (DTG) curves were evaluated.

181 2.3.6 *Mechanical tests: compression and stress-relaxation tests*

182 Mechanical tests of the gellan-based hydrogels were performed using a mechanical testing machine
183 (EZ-SX, Shimadzu, Japan). Test specimens were cylinder-shaped hydrogels with 1.6 cm diameter
184 with an average height of around 2 cm. Compression resistance of five samples for each
185 composition was evaluated at room temperature. The crosshead speed was set at 1 mm min⁻¹, and
186 the load was applied until the specimen was compressed to around 35% of its original height before
187 break. The compressive stress–strain curves were thus obtained from the average compressive
188 modulus and standard deviation were calculated for each composition. Precisely, compressive

189 modulus was calculated as the slope of the initial linear portion of the stress–strain curve (0-15%),
190 as reported by Mattioli-Belmonte et al. (2015). Moreover, as previously described (Gentile et al.,
191 2012; Ciardelli et al., 2010; Pfeiffer et al., 2008) the values of collapse strength and strain (σ^* and
192 e^* , respectively) were calculated. For evaluating stress relaxation properties the hydrogels disks
193 were deformed with impermeable plates to a compressive strain of 10% with a deformation rate of
194 50 mm min^{-1} , in order to approximate an instantaneous deformation. Within 10% compression, the
195 stresses versus strain relations of the gels were almost linear. Subsequently, the strain was held
196 constant for 1200 s, while the load was recorded as a function of time. The obtained data were
197 analyzed using the software MATLAB R2015a. By fitting a third order exponential decay
198 (Equation 2) to the relaxation curves the three relaxation times were acquired. The increase in stress
199 during straining was not included when fitting the relaxation curves.

$$200 \quad \sigma(t) = A1 * e^{-t/\tau_1} + A2 * e^{-t/\tau_2} + A3 * e^{-t/\tau_3} + y0 \quad (2)$$

201 Finally, in order to evaluate the viscosity of the gels, a first order exponential decay (Equation 3)
202 was fitted through the relaxation curves of every measurement.

$$203 \quad \sigma(t) = A * e^{-t/\tau} + y0 \quad (3)$$

204 In particular, the viscosity (η) is found by multiplying the relaxation time τ with the linear modulus
205 E_0 , obtained by fitting the initial linear part of the strain curve of the straining protocol and creating
206 a linear fit. The gels were tested as prepared and soaked in PBS during the stress-relaxation tests to
207 prevent dehydration (Zhao et al., 2010).

208 **2.4 Biological evaluation**

209 *2.4.1 Cell culture*

210 Neonatal Normal Human Dermal Fibroblasts (NHDF-Neo) were purchased from Lonza Biosciences
211 (Switzerland) and cultured as recommended by the seller. Briefly, fibroblasts were grown at 37°C ,
212 $5\% \text{ CO}_2$, in Dulbecco's Modified Eagle Medium (DMEM, Sigma) supplemented with 10% fetal

213 bovine serum (FBS), 2 mM L-glutamine and a 1% antibiotic mixture containing penicillin and
214 streptomycin (100 U mL⁻¹).

215 In order to perform biocompatibility assays, thin disks of gels (15 mm diameter and 25 of height)
216 were prepared in 24-well plates and UV-sterilized for 15 min on each side. A further incubation
217 with FBS was performed as previously described (Barbucci, 2009). A suspension of 2x10⁴ cells in
218 DMEM was seeded dropwise on the top surface of gels and incubated at 37 °C, 5% CO₂ for 30 min.
219 Then, fresh DMEM was added up to 500 µL volume.

220 2.4.2 Cell encapsulation

221 To encapsulate cells, the GG solutions were kept under agitation and slowly cooled until a
222 temperature of 37 °C was reached. In a 24-multiwell plate, each sample solution was rapidly mixed
223 with an equal volume of a cell suspension in warm DMEM. The final cell density was 2x10⁵ cells
224 mL⁻¹. When the gelation occurred, the encapsulated hydrogels were covered with additional DMEM
225 and incubated for 1, 3 and 7 days at 37°C.

226 2.4.3 Cytocompatibility studies

227 Cell viability was assessed with the live/dead staining (LIVE/DEAD® Cell Imaging Kit, Life
228 Technologies, Thermo Scientific, USA) at days 1, 3 and 7. According to the manufacturer's
229 protocol, samples were washed with phosphate buffered saline (PBS, Sigma-Aldrich, UK) and
230 stained with 150 µL solution of 4 µM Ethidium homodimer-1 and 2 µM calcein in PBS. After 35
231 minutes of incubation at room temperature, cells were imaged with a Leica DM/LB fluorescence
232 microscope using FITC and Rhodamine filters to detect calcein (ex/em 488 nm/515 nm) and
233 Ethidium homodimer-1 (ex/em 570 nm/602 nm), respectively. The Presto Blue assay was exploited
234 to test the metabolic activity of cells seeded on the gels after 1, 3, 5 and 7 days of culture. A LS-50B
235 Luminescence Spectrometer (Perkin Elmer, Waltham, MA) was used to measure the fluorescence
236 (560nm excitation and 590nm emission) after 5 h of incubation with a 10% aliquot of Presto Blue
237 (Thermo Scientific, USA). The obtained values were corrected subtracting the average fluorescence
238 of control wells. Histograms reported the percentage difference between samples and control

239 cultures. Results were expressed as mean \pm standard deviation. Samples for fluorescence
240 microscopy were fixed with paraformaldehyde 4% in PBS (15 min at room temperature),
241 permeabilized washing them in PBS/0.1% Tween 20 and blocked in goat's serum 3% in PBS (1 h at
242 room temperature). The samples were labelled with Phalloidin-FITC (Sigma, UK) for 20 min at RT
243 to stain F-actin fibers. After PBS washings, nuclei were counterstained with 4',6-diamidino-2-
244 phenylindole (VECTASHIELD[®] Antifade Mounting Medium with DAPI, VECTOR
245 LABORATORIES LTS, UK). Samples were observed with an A1 Confocal Laser Microscope
246 (Nikon, UK).

247 *2.4.4 Statistical analysis*

248 The significance of the results of PrestoBlue assay were assessed by a two-way repeated measures
249 ANOVA. The test was replicated three times for each sample. All data were expressed as mean \pm
250 SD. Statistical analysis was performed with GraphPad Prism 7.01 software. The statistical
251 differences between the tissue culture plastic control and the hydrogels were calculated using
252 Dunnett test. Statistical significance was declared at * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$ and
253 **** $p < 0.0001$, where the asterisks labelled the samples with a cell metabolic activity significantly
254 higher than the control.

255

256 **3. Results and discussion**

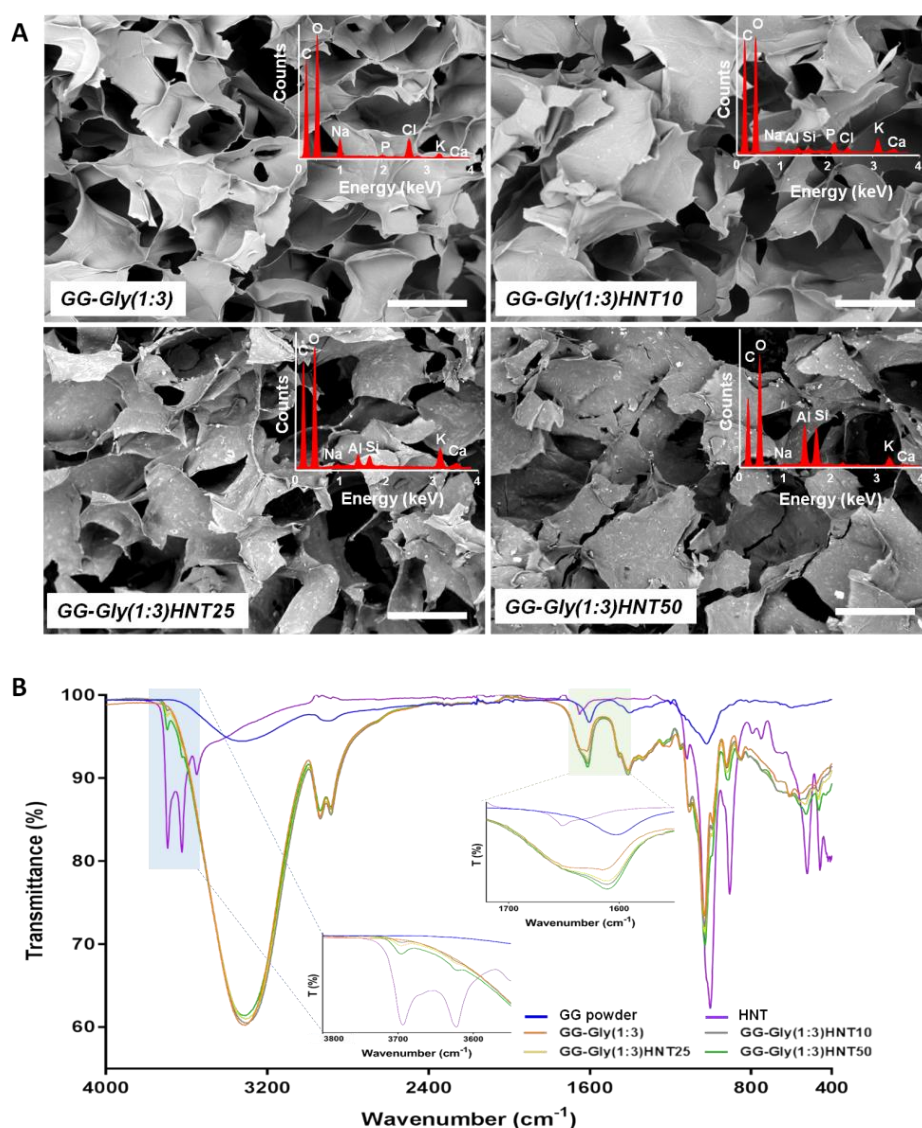
257 The physico-chemical characterization was carried out to evaluate the HNT influence and
258 interaction within the polymeric gels. However, some tests were performed on dried samples that,
259 during the PBS-conditioning and/or freeze-drying steps, lost not only water but also an appreciable
260 amount of glycerol. Therefore, the glycerol content detected in dried samples by FT-IR/ATR, XPS
261 and TGA techniques does not correspond to that present in the as prepared samples. Their
262 mechanical properties have been studied, as well as their citocompatibility. The biological behavior
263 of the hydrogels was evaluated for testing: (1) cell viability, metabolic activity and adhesion after
264 seeding fibroblasts on the gel top surface and (2) their ability to encapsulate cells for future

265 applications in 3D printing. Finally, for a better comprehension of the work, we decided to add
266 some figures/spectra of GG-Gly(1:1)HNTx samples in the *Supporting Information*, when they did
267 not show any significant differences respect with GG-Gly(1:3)HNTx samples.

268 **3.1. Physico-chemical characterization**

269 *3.1.1. Scanning electron microscopy (SEM)*

270 In Figure 1A and Figure S1, the SEM micrographs of the freeze-dried gel cross-sections relevant to
271 GG-Gly(1:3), GG-Gly(1:3)HNT10, Gly(1:3)HNT25 and GG-Gly(1:3)HNT50 were reported.



272
273 *Figure 1. SEM/EDS and FT-IR/ATR characterization. (A) SEM micrographs of GG-Gly(1:3)HNTx*
274 *samples, with x= 0, 10, 25 and 50. Scale bars: 500 μ m. Insets: EDS spectra of the relevant samples.*
275 *(B) FT-IR/ATR spectra of HNT and GG powders, and GG-Gly(1:3)HNTx samples, with x=0, 10,*
276 *25 and 50.*

277

278 The SEM images provided insights into HNT dispersion within the polymeric network. No
279 significant changes in the sample microarchitecture could be highlighted after HNT addition. The
280 HNT presence was monitored through EDS analysis (see insets in Figure 1A and in Figure S1) by
281 the calculation of the amount of aluminium and silicon, the characteristic elements of the nanotubes.
282 Particularly, in the GG-Gly(1:3)HNT10 sample the relative aluminium abundance was 0.19 ± 0.04 ,
283 while the silicon amount was 0.17 ± 0.04 . For the GG-Gly(1:3)HNT25 sample, aluminium and
284 silicon were 0.56 ± 0.07 and 0.51 ± 0.07 . On the other hand, in the GG-Gly(1:3)HNT50 sample, the
285 aluminium and silicon elements were respectively 2.6 ± 0.2 and 2.5 ± 0.2 . However, at this
286 concentration, the nanoclays were more prone to aggregate and their dispersion in the polymeric
287 matrix was not as homogeneous as in GG-Gly(1:3)HNT10 and GG-Gly(1:3)HNT25 samples.
288 Moreover, since the combination of the selected polymer, molecular spacer and nanoclay was not
289 previously described, it was interesting to observe the texture achieved. The presence of glycerol
290 may have a role in enhancing the porosity of the system, as it could be observed comparing the
291 structure of all the other samples. Zhao et al. previously reported the impact of glycerol presence
292 during fabrication of different polymeric scaffolds, describing its role as porogen molecule at the
293 macroscopic level and as nanostructure ameliorant at the nanometric level. These effects were
294 ascribed to a decrease in flexibility of glycerol-interacted polymer chains, that led to the reduction
295 of polymeric conglomeration (Zhao et al., 2014). Finally, an evaluation of the systems porosity was
296 performed applying the same freeze-drying protocol for all the samples (see *par.* 2.2.1). GG-
297 Gly(1:3) showed pores of around $365 \pm 87 \mu\text{m}$, while HNT addition had no impact onto the
298 porosity, since the pore size for GG-Gly(1:3)HNT10, GG-Gly(1:3)HNT25 and GG-Gly(1:3)HNT50
299 were 290 ± 99 , 314 ± 84 and $285 \pm 82 \mu\text{m}$ respectively.

300 3.1.2. Fourier Transform Infrared Spectroscopy in Attenuated Total Reflection mode (FT-IR/ATR)

301 FT-IR/ATR spectra recorded on pure HNT and GG powder, and GG-Gly(1:3)HNT_x (with $x = 0$,
302 10, 25 and 50) samples were shown in Figure 1B. GG powder and the GG-based samples spectra

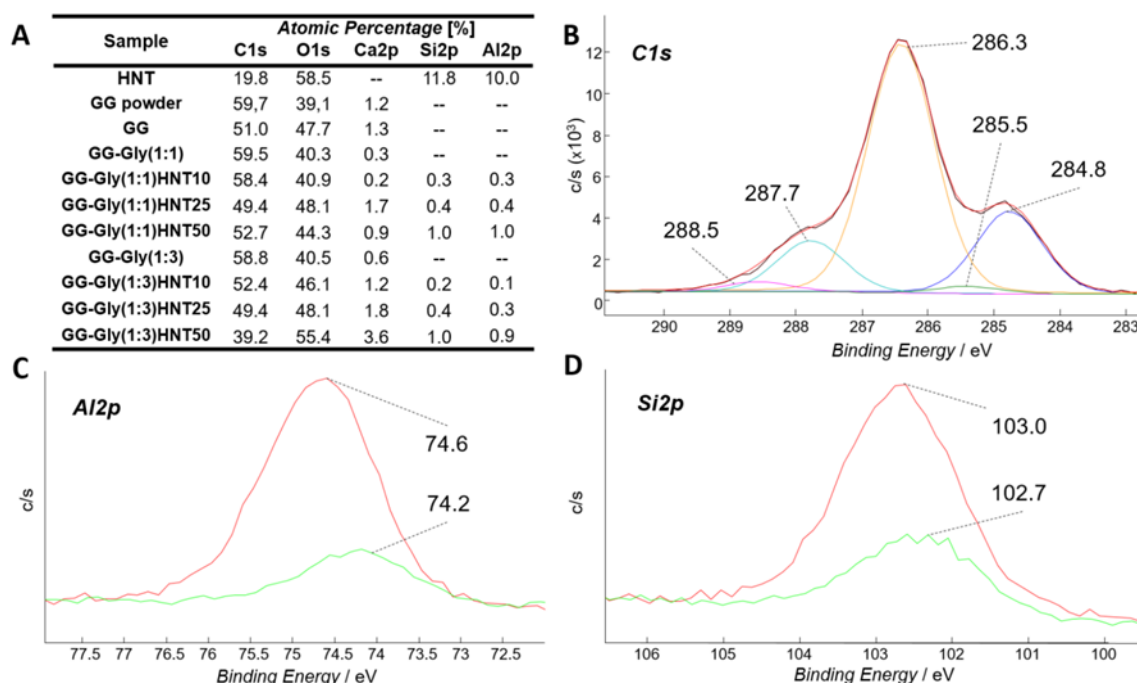
303 showed the band at 3311 cm^{-1} due to the presence of $-\text{OH}$ groups of glucopyranose ring. The band
304 at 2899 cm^{-1} was due to the stretching vibrations of $-\text{CH}_2$ groups, while those appearing at 1602 and
305 1403 cm^{-1} were due to asymmetric and symmetric stretching of $-\text{COO}^-$ groups. The absorption band
306 at 1145 cm^{-1} corresponded to antisymmetric C-O-C stretching of glycoside bonds, and the peak at
307 around 1010 cm^{-1} was attributable to C-OH stretching. In the case of the GG-based samples
308 crosslinked by Ca^{2+} , the presence of an additional band at 1656 cm^{-1} , visible as a shoulder on the
309 left of the main C=O stretching band falling at 1611 cm^{-1} and absent in pure GG powder, suggested
310 the interaction of $-\text{COO}^-$ groups with Ca^{2+} ions.

311 As far as HNT spectrum is concerned, the absorption peaks at 3693, 3622 and 1652 cm^{-1} can be
312 associated to O-H stretching of inner hydroxyl groups and deformation of physisorbed water
313 molecules, as already reported (Chen, 2016). These features were also evident in the GG-
314 Gly(1:3)HNTx samples, in particular at higher HNT percentages (see insets in Figure 1B).
315 Furthermore, the samples based on GG-Gly(1:1) presented similar information observed on the GG-
316 Gly(1:3) series with only slight differences in the intensity of the chemical bands in the range 1500-
317 1200 cm^{-1} and 1110-1000 cm^{-1} , due to the different glycerol content. Whereas, a more intense band
318 (at about 1610 cm^{-1}) in the GG-Gly(1:1) than in GG-Gly(1:3) series was related to the relative
319 higher GG weight in the composition of the former hydrogel (See Figure S2).

320 3.1.3. X-ray Photoelectron Spectroscopy (XPS)

321 XPS was employed to investigate the chemical composition of GG and HNT-containing hydrogels
322 after freeze-drying. Atomic percentages (At%) recorded on the different samples were reported in
323 Figure 2A. The analysis of GG powder showed the presence of the main elements of the polymer
324 (i.e., carbon and oxygen), in addition to minor contributions (i.e., potassium, calcium, magnesium
325 and sulfur), typical of gellan gum matrix as already reported by de Souza et al.(2016). For
326 simplicity these minor elements, falling in the range of 0.4 – 2.0 At%, were not reported, while
327 calcium signal, derived both from GG powder and the cross-linker, was considered. Beyond the
328 abundance of carbon and oxygen, it is worth to note the presence of silicon and aluminium in the

329 HNT-containing samples whose signal area ratios were about 1, as expected on the basis of the
 330 HNT stoichiometry.
 331 No XPS literature data are available on HNT-containing GG-based systems, therefore, an accurate
 332 curve fitting of C1s signals relevant to the investigated samples was carried out. In Figure 2B, high
 333 resolution C1s spectrum of GG-Gly(1:3)HNT25 was reported. No significant differences in C1s
 334 spectra relevant to the different HNT-based systems were detected. C1s spectra may be
 335 deconvoluted into five peak components associated with the following species: C-H (284.8 eV), C-
 336 COOR(H) (285.5 eV), C-OH (286.3 eV), O-C-O (287.7 eV) and COOR(H) (288.5 eV).



337 *Figure 2. XPS Analysis. (A) Atomic percentages (At%) recorded on pure HNT (casted from an*
 338 *aqueous solution on Au sheet), GG powder and on freeze-dried GG, GG-Gly(1:1)HNTx and GG-*
 339 *Gly(1:3)HNTx (with x=0, 10, 25, and 50) samples. (B) XPS curve fitting of high resolution C1s*
 340 *spectrum related to GG-Gly(1:3)HNT25 sample. (C) High-resolution XPS spectra of aluminium in*
 341 *pure HNT (red) and GG-Gly(1:3)HNT50 sample (green). (D) High-resolution XPS spectra of silicon*
 342 *in pure HNT (red) and GG-Gly(1:3)HNT50 sample (green).*
 343

344 The first peak, attributed to aliphatic carbon, did not belong only to gellan matrix but also to sample
 345 contamination as a result of adsorbed hydrocarbons monolayers. The last peak was slightly shifted
 346 from 288.8 (typical of carboxylic acid groups) to 288.5 eV, indicating a coordination between Ca²⁺
 347 and carboxylic acid groups, as already reported (Kang et al., 2015). Glycerol did not introduce

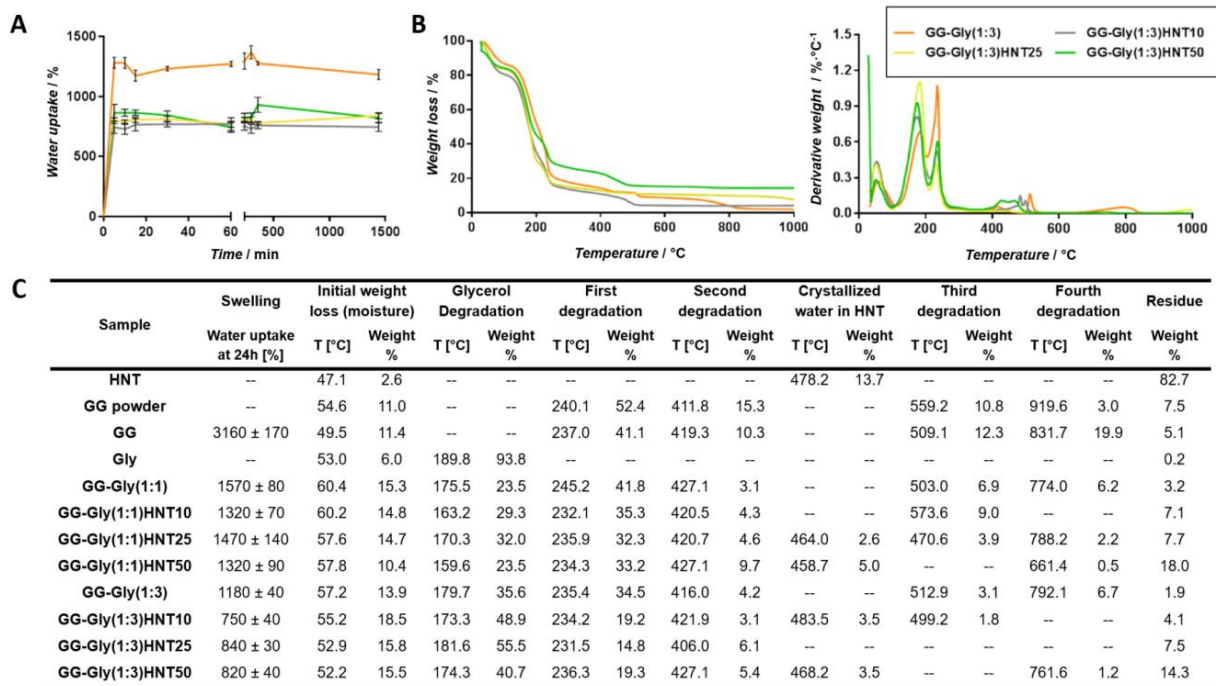
348 further peaks to C1s spectrum but its C-OH functionalities fell at 286.3 eV; anyway, its contribution
349 was markedly lower than that calculable from the starting amount due to the freeze-drying
350 procedure, as expected.

351 Figure 2C-D shows the high-resolution XPS spectra of aluminium and silicon in HNT (red line) and
352 GG-Gly(1:3)HNT50 sample (green line), where these contributions were more intense. It can be
353 observed a decrease (about 0.3-0.4 eV) in binding energy values of both silicon and aluminium
354 when HNT were loaded in the hydrogel matrix. This finding is related to the formation of hydrogen
355 bonds between the oxygen of Si-OH or Al-OH groups present in the nanoclays and hydrogen of the
356 organic network. A typical crystalline unit of HNT contains two types of hydroxyl groups, i.e., the
357 outer hydroxyl groups of the siloxane structure, where only a few of Si-OH groups are located in
358 HNT ends and surface defects, and the inner hydroxyl groups, due to the Al-OH groups situated in
359 the inner side. It can be hypothesized that the formation of hydrogen bonds between HNT and GG
360 and/or Gly involved not only most of the outer groups, but also the inner aluminols. An XPS
361 investigation of H-bond interactions in HNT-based polymer nanocomposites has been already
362 reported (Du et al., 2008), evidencing only interfacial interactions between the polymer matrix and
363 the Si-OH groups in HNT. In our case, glycerol intercalation into the HNT lumen could be invoked,
364 thus justifying the interaction between the inner Al-OH groups and glycerol hydroxyl groups (Liu et
365 al., 2011).

366 *3.1.4. Water uptake evaluation*

367 GG-based freeze-dried hydrogels were examined in terms of their swelling performances in PBS at
368 37 °C. Although the swelling measured on freeze-dried systems was not indicative of the real water
369 content of the as-prepared samples, this study allowed us to understand how the amount of HNT
370 can influence the water uptake properties of the proposed composites. The water uptake profile over
371 time of GG-Gly(1:3)HNTx specimens was reported in Figure 3A. The water uptake data at 24 hours
372 of all the examined samples were reported in Figure 3C. As shown in Figure 3A, the GG-Gly(1:3)-
373 based samples rapidly swelled upon contact with PBS, reaching a maximum just after five minutes

374 (i.e., around 800% and 1200% for samples with or without HNT respectively). This trend was
 375 observed also in the GG-Gly(1:1)HNTx series (Figure S3A). The presence of HNT, independently
 376 from their amount, was responsible in the GG-Gly(1:3) systems of an appreciable decrease of water
 377 uptake (reduction between 29 and 36%). Conversely, in the case of GG-Gly(1:1) samples, this
 378 reduction was lower (between 6 and 16%). These decreases in water uptake by the addition of HNT
 379 can be associated to the reduced hydrophilic polymer content in the composites (Huang et al.,
 380 2016). Indeed, the obtained water uptake in the 1:3 and 1:1 series meets the gellan gum content
 381 reported for freeze-dried samples.



382 *Figure 3.* Water uptake and Thermogravimetric Analysis. (A) Water uptake of GG-Gly(1:3)HNTx
 383 samples. (B) TGA and DTGA curves of GG-Gly(1:3)HNTx samples. (C) Water uptake data and
 384 thermal degradation steps of all the investigated samples.
 385

386 It is interesting to note that, after swelling, GG-Gly samples released high amounts of water upon
 387 contacting with blotting paper while GG-Gly-HNT samples showed a significantly high water
 388 retention, as shown in the photograph reported in Figure S3B. Since in both bare and HNT-loaded
 389 gels a comparable pore size was observed (see Figure 1A), the higher water retention capability of

390 HNT-loaded gels could be ascribed to a water intercalation into the HNT lumen. This feature makes
391 the proposed hydrogel an intriguing candidate as drug delivery system (Gupta & Shivakumar,
392 2010): the mesoporous HNT architecture could be exploited to intercalate different bioactive
393 molecules, delaying the release kinetics typical of highly porous polymers.

394 *3.1.5. Thermogravimetric Analysis (TGA)*

395 The overlay of TG (on the left) and DTG (on the right) signals, recorded on GG-Gly(1:3)HNTx
396 (with x=0,10,25 and 50) were reported in Figure 3B. The degradation steps, with the relevant
397 temperatures and weight loss percentages, were reported in Figure 3C for all examined samples
398 (i.e., the starting materials and the GG-Gly(1:1)HNTx and GG-Gly(1:3)HNTx series).

399 In particular, the GG powder thermogram indicated a weight loss of 11%, in the temperature range
400 from room to about 200°C, due to the water removal. The main degradation temperature was
401 centred at about 240°C. This first step of degradation promoted a weight loss of 52%, while the next
402 two steps promoted a weight loss of 15% at 412°C, relevant to loss of volatile components and
403 rupture of chain, and a weight loss of 11% at 559°C, relevant to fragmentation into monomers
404 (monosaccharide units). A fourth degradation step occurred at about 920°C (weight loss = 3%). The
405 remaining residue was of 7.5% of the initial weight of the sample. With regard to the GG based
406 sample, obtained by crosslinking with Ca²⁺ ions, the thermogram showed a weight loss of 11% due
407 to the water removal. The main degradation temperature was 237°C, with a weight loss of 41%. The
408 weight loss of crosslinked GG in the first stage decreased, indicating that the thermostability was
409 improved after the addition of the crosslinking agent. The next two steps promoted a weight loss of
410 10% at 419°C and 12% at 509°C, respectively. Finally, the last degradation step occurred at about
411 832°C, with a weight loss equal to 20%. The remaining residue was equal to 5% of the initial
412 weight of the sample.

413 The addition of glycerol in the samples, at different GG:Gly ratios (i.e., 1:1 and 1:3), was
414 responsible of the presence of an additional peak in the range 160-180°C, relevant to the
415 vaporization of Gly (that in pure glycerol occurred at 190°C). This thermal event caused a weight

416 loss of 24 and 36% in GG-Gly(1:1) and GG-Gly(1:3), respectively. Furthermore, as also reported in
417 XPS characterization, due to the freeze-drying procedure, the Gly contribution was markedly lower
418 than that calculable from the starting amounts.

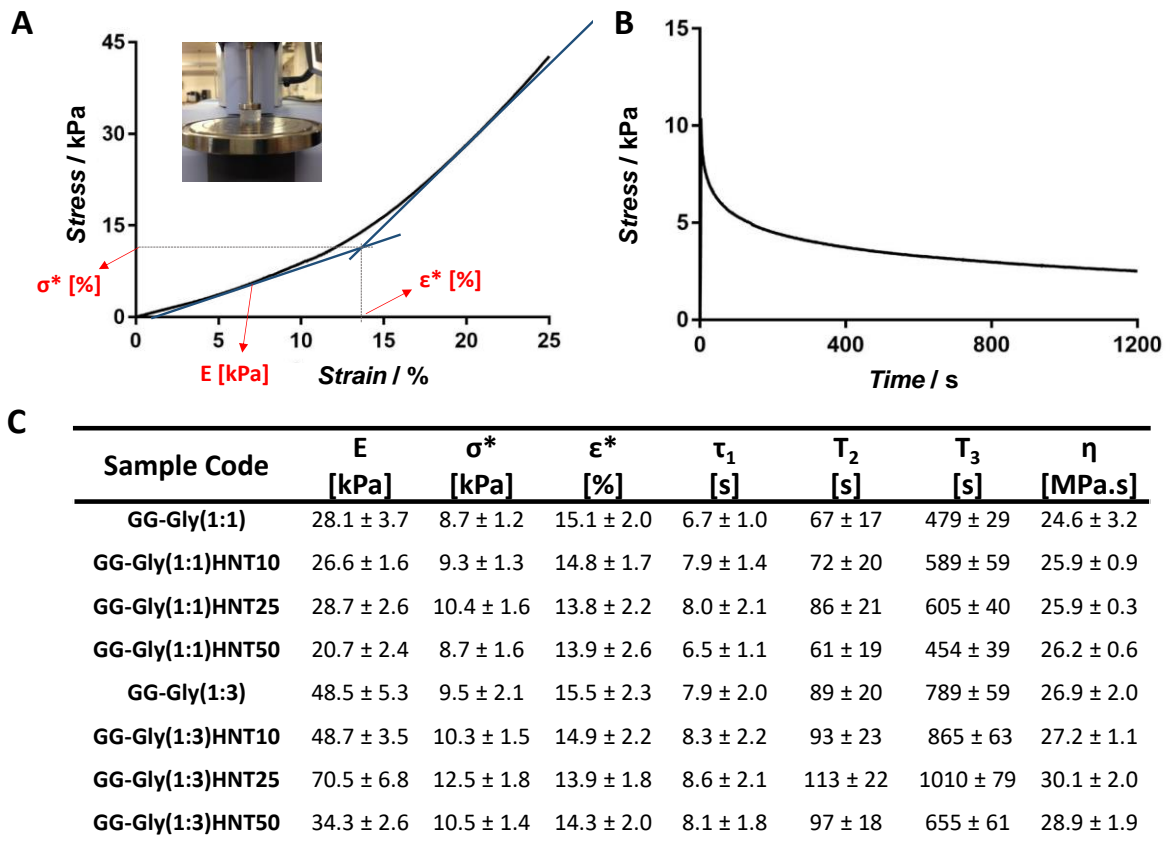
419 The HNT thermogram (plot not shown) exhibited a weight loss of 3.5% in the temperature range
420 from room to about 170°C, which was due to the loss of water and/or volatile compounds. The main
421 HNT mass loss of about 14%, centred at the temperature range of 478°C, was attributed to the
422 release of crystallization water (Jia et al., 2009). The residue at 1000°C in air was equal to 83%.
423 The HNT-containing samples revealed a residue at 1000°C in good agreement with their HNT feed
424 ratio (see the TG on the left of Figure 3B). Furthermore, in HNT-containing samples the glycerol
425 amount was markedly higher than in those without HNT. This finding substantiated the hypothesis
426 of hydrogen bonds formation between HNT hydroxyl groups and glycerol, observed by XPS
427 analysis.

428 3.1.6. *Mechanical tests*

429 The mechanical properties of the obtained hydrogels were tested using a mechanical testing
430 machine. Figure 4A showed the stress-strain curve obtained by the compression test at strain of 0-
431 25%. During the test, the hydrogels did not break but they underwent densifications. As described
432 previously by Gentile et al. (2012) the values of elastic modulus (E), collapse strength and strain
433 (σ^* and ϵ^* respectively) were calculated from the curves and listed in Figure 4C. A significant
434 improvement of the compressive modulus was observed by adding HNT (up to 25%) into the
435 polymeric gel (70.5 ± 6.8 kPa for GG-Gly(1:3)HNT25 compared with 48.5 ± 5.3 kPa for GG-
436 Gly(1:3)). The obtained values are higher or within the range of mechanical properties described for
437 other hydrogels proposed for soft tissue regeneration (Nettles et al., 2004; Balakrishnan et al.,
438 2014).

439 This mechanical reinforcement effect can be attributed to an additional energy-dissipating
440 mechanism introduced by the nanotubes in the polymeric gels. Recent molecular dynamics studies
441 suggested that this additional dissipative mechanism is a result of the mobility of the nanofillers.

442 During the deformation process, the HNT may orient and align under compression stress, creating
 443 temporary cross-links between polymer chains, thereby creating a local region of enhanced strength
 444 (Shah et al., 2005). However, when the amount of the nanotubes content increased, as in the GG-
 445 Gly(1:3)HNT50, they became less mobile. Therefore, the ability of the HNT to dissipate energy is
 446 also reduced, resulting in almost no improvement in the toughness of the material (34.3 ± 2.6 kPa).
 447 Furthermore the systems with higher Gly content demonstrated to be stiffer than those prepared
 448 with GG-Gly(1:1) with a maximum increase of 2.5-fold for the system containing 25% HNT (70.5
 449 ± 6.8 kPa for GG-Gly(1:3) respect with 28.7 ± 2.6 kPa for for GG-Gly(1:1)).



450
 451 *Figure 4.* Mechanical characterization. (A) Stress-strain curve obtained by compression test. (B)
 452 stress-relaxation curve. (C) Elastic modulus (E), collapse strength (σ^*), collapse strain (ϵ^*), relaxation
 453 times (τ_1 , τ_2 and τ_3) and viscosity (η) calculated for the prepared hydrogels.
 454

455 This effect has been described also by Lugao et al. (2002) where glycerol, added to polyvinyl
 456 pyrrolidone hydrogels for wound healing applications, increased the elasticity of the gels as a result
 457 of the plasticising effect. Given the nature of the time dependent response of hydrogels, in addition

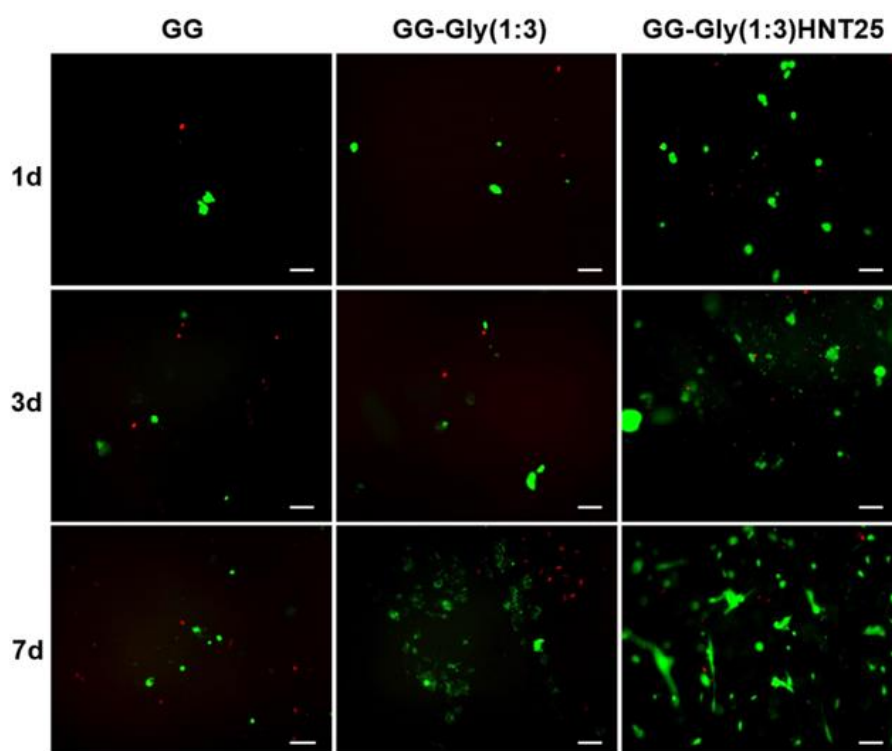
458 to simple tests at fixed rate, the samples were subjected to relaxation (load decrease at fixed strain)
459 experiments, where variation in response with time is of interest and the loading is fixed, in order to
460 evaluate the viscoelasticity behavior. These properties are fundamental, in combination with the
461 mechanical stiffness, to influence cell behavior as described recently by Chaudhuri et al. (2016)
462 where they studied how to regulate mesenchymal stem cell fate in hydrogels with tunable stress
463 relaxation. Figure 4B shows the typical stress relaxation curve obtained after 1200 s and the
464 relaxation times and viscosity values are listed in Figure 4C. Each material showed a two-stage
465 stress relaxation response: a fast decrease in stress for short times after the step strain, followed by a
466 slow relaxation covering a couple of decades of time. A generalized Maxwell model was used to
467 investigate whether the behavior could be explained by linear viscoelasticity, providing a range of
468 relaxation times and associated amplitudes that can approximate the viscoelastic behavior of
469 biological materials.

470 In literature, the generalized Maxwell model developed consisted of three relaxation times ($\tau_1 = 1$ -
471 10 s, $\tau_2 = 10$ -100 s and $\tau_3 > 1000$ s) for modelling soft biological tissues (Wagenseil et al., 2003).
472 In our work, relaxation times ranging from $\tau_1 = 6$ -9 s, $\tau_2 = 60$ -120 s and $\tau_3 = 600$ -1100 s were
473 comparable with the literature. Finally, it was observed that the addition of HNT did not influence
474 significantly the viscosity of the composite hydrogels (26.9 ± 2.0 MPa.s for GG-Gly(1:3) and 30.1
475 ± 2.0 MPa.s for GG-Gly(1:3)HNT25). However, the gels with higher content of glycerol revealed to
476 be more viscous comparing each system with the same content of HNT (25.9 ± 0.3 MPa.s for GG-
477 Gly(1:1)HNT25), due to the intrinsic glycerol capability to increase the viscosity of aqueous
478 solutions. Indeed, the addition of glycerol to synthetic and natural polymers enhanced hydrogels
479 viscosity, as already reported in literature (Fernandez-Diaz et al., 2001; Payen, 2007).

480 **3.2. Biological tests**

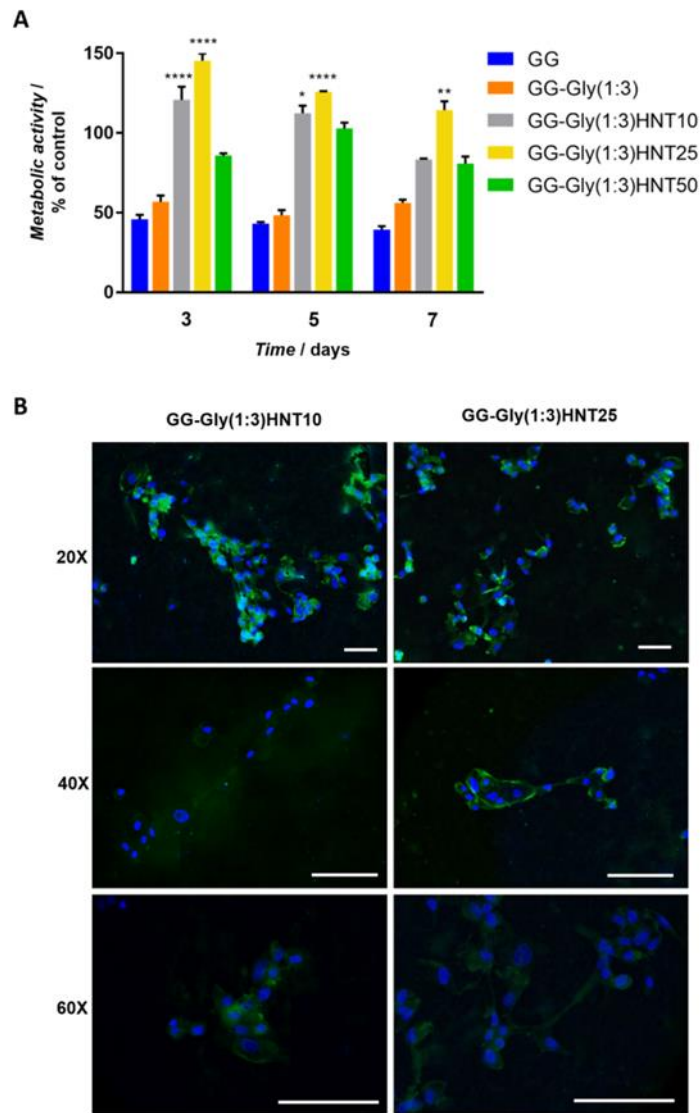
481 *3.2.1. Biocompatibility of the HNT-loaded hydrogels*

482 NHDF-Neo were seeded onto the surface of GG, GG-Gly (1:1)HNTx and GG-Gly (1:3)HNTx
483 hydrogels in order to evaluate the cytocompatibility of these materials. Cell viability was evaluated
484 after 1, 3 and 7 days by live/dead assay, as shown in Figure 5 and S3.
485 The bare gellan gum matrix GG showed a low cell viability and poor ability to promote cell
486 attachment. As reported in literature (Shin et al., 2014), the lack of adhesive signals in gellan gum
487 material do not favour cellular adhesion.. However, the addition of glycerol improved cell survival
488 on all the GG-Gly hydrogels studied. Furthermore, , it was found that the HNT addition to GG-Gly
489 hydrogels enhanced the cell viability, thanks to their biocompatibility and the increase in surface
490 roughness (Figure 6B) (Zhou et al., 2010; Kommireddy et al., 2005; Hughes et al., 2015; Huang et
491 al., 2016).



492
493 *Figure 5.* Live/Dead assay of cells seeded on hydrogel surfaces. Representative fluorescent
494 micrographs of live (green) and dead (red) cells seeded on GG, GG-Gly(1:3) and GG-Gly(1:3)HNT25
495 after 1, 3 and 7 days of culture. Scale bars 200 μ m.
496

497 As shown on the surface of GG-Gly(1:3)HNT25 sample, cell viability was maintained and cell
 498 growth was enhanced at each time point (up to 7 days), as observed by the Live/dead and metabolic
 499 activity assays. Therefore, cell behavior seemed to be led by the combination of mechanical
 500 properties and HNT content.



501
 502 *Figure 6.* Metabolic activity and morphological study of cells seeded on hydrogel surfaces. (A)
 503 PrestoBlue assay of cells cultured on GG and GG-Gly(1:3)HNTx hydrogels for 3, 5 and 7 days. (B)
 504 Fluorescence microscopy images of cells seeded on GG-Gly(1:3)HNT10 and GG-Gly(1:3)HNT25
 505 after 3 days of culture. Scale bars: 100 μ m.
 506

507 The metabolic activity of NHDF-Neo was evaluated using Presto Blue assay (Figure 6A and S4),
 508 normalized by control (cells seeded onto tissue culture plastic) at each incubation time-point. These

509 data confirmed the results observed by the live/dead assay, where glycerol presence led to an
510 increase in cellular metabolic activity when compared to the bare GG hydrogel ($p < 0.05$). Glycerol
511 plays essential roles in several intracellular metabolic pathways (i.e., it forms the backbone of fats
512 such as triglycerides, and/or takes part in glycolysis or glycogenesis processes) and has been
513 exploited as a low-cost and biocompatible monomer to design scaffolds for tissue engineering
514 (Barrett et al., 2009). NHDF-Neo metabolic activity revealed a significant increase at each time
515 point by incorporating HNT within the GG:Gly hydrogel in different ratios, as seen for GG-Gly
516 (1:3)HNT_x and GG-Gly (1:1)HNT_x in Figure 6A and S4.

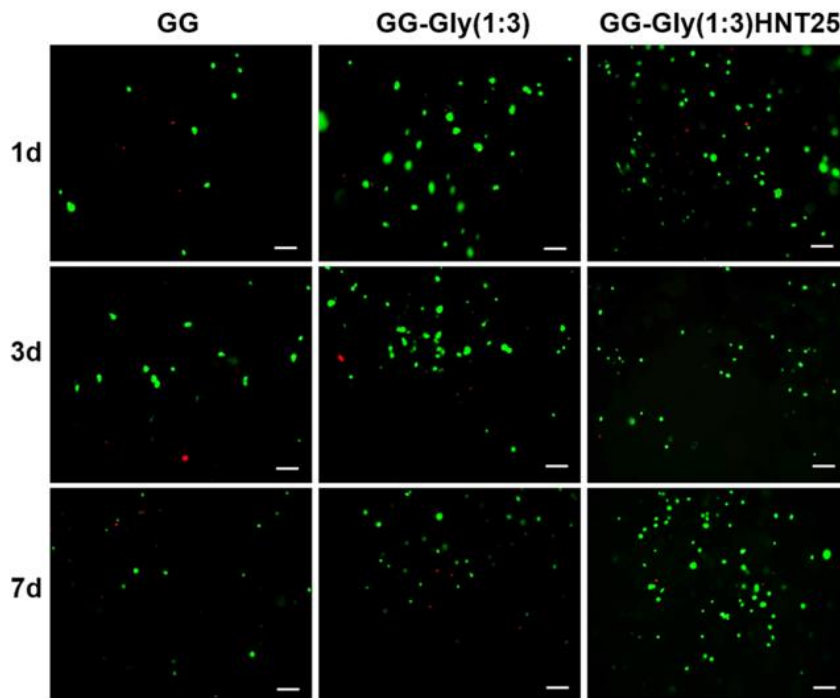
517 Interestingly, the hydrogel GG-Gly(1:3)HNT₂₅ induced the highest fibroblasts metabolic activity
518 when compared to GG, GG-Gly, GG-Gly(1:3)HNT₁₀ and GG-Gly(1:3)HNT₅₀ ($p < 0.001$). Similar
519 results to those obtained for HNT-containing GG-Gly hydrogels were observed on halloysite-doped
520 dental scaffolds (Lvov et al., 2016; Vergaro et al., 2010). The HNT-loaded samples stimulated the
521 growth and promoted a faster fibroblasts spreading. However, a significant viability reduction was
522 observed on hydrogels with high HNT content (GG-Gly(1:3)HNT₅₀), this can be explained by a
523 potential adverse effect of a concentrated halloysite, as described elsewhere (Lvov et al., 2016;
524 Vergaro et al., 2010).

525 Due to the best cell viability and metabolic activity performance, the fibroblast phenotype was
526 studied by fluorescence microscopy on GG-Gly(1:3)HNT₂₅ and GG-Gly(1:3)HNT₁₀ samples.
527 After 3 days of culture, NHDF-Neo exhibited their typically flattened and elongated morphology on
528 both hydrogels, spreading homogeneously along the sample surface and establishing many
529 intercellular contacts (Figure 6B).

530 3.2.2. Cells encapsulated

531 The NHDF-Neo were encapsulated within the GG-Gly hydrogels containing HNT and compared
532 with GG and GG:Gly control hydrogels. The cell viability of encapsulated cells within the different
533 hydrogels was assessed at 1, 3 and 7 days by Live/Dead assay (Figure 7 and Figure S6). The cells

534 encapsulated within the diverse systems showed a similar viability response to those observed when
535 seeded on the hydrogels surface (Figure 5).
536 A higher cell viability was detected within HNT-loaded samples in comparison with the bare GG
537 and GG-Gly hydrogels along the incubation period. It has been demonstrated that hydrogels
538 stiffness, microstructures and porosity, and material nature influence cellular functions such as cells
539 attachment and proliferation (Goponenko & Dzenis, 2016). As shown previously, the incorporation
540 of HNT enhanced the mechanical properties of the GG-Gly hydrogels and also improved the
541 biocompatibility of this material. Since cells were confined within the hydrogel matrix, no matter
542 how soft or stiff, the limited cell traction forces with substrate led to rounded cell morphology,
543 either within GG-Gly hydrogel and/or in presence of HNT.
544 This phenomenon has been widely reported in advanced 3D culture studies (Peyton & Putnam,
545 2005; Huebsch et al., 2010; Khetan et al., 2013; Murphy et al., 2014).



546 *Figure 7. Live/Dead assay of hydrogel-encapsulated cells. Representative fluorescent micrographs*
547 *of live (green) and dead (red) cells encapsulated in GG, GG-Gly(1:3) and GG-Gly(1:3)HNT25 after*
548 *1, 3 and 7 days of culture. Scale bars 200 μ m.*
549

550 **4. Conclusions**

551 Halloysite nanotubes have been integrated in gellan gum matrices to develop composite hydrogels
552 with tunable physical features, showing a good Human Dermal Fibroblasts biocompatibility when
553 cells were seeded on the top of the gels or encapsulated within the polymeric matrix. Fibroblasts
554 onto hydrogels with 25% HNT displayed the highest metabolic activity, which could be related to
555 the hydrogel mechanical and topographical features led by the HNT content. Gels presented suitable
556 mechanical properties to develop hydrogels scaffolds or injectable materials for different soft tissue
557 engineering applications (i.e. pancreas, liver, skin and chondral regeneration). Furthermore, the
558 hydrogels could be exploited to design *in vitro* cell culture systems and tissue models to study cell
559 behavior and interactions, mimicking the native microenvironments. In future, differentiation routes
560 of mesenchymal stem cells will be investigated, considering also the opportunity to functionalize
561 the HNT surface and mesoporous lumen with bioactive molecules able to elicit *in situ* advantageous
562 cell responses.

563

564 **Acknowledgments**

565 This work was supported by Università degli Studi di Bari Aldo Moro. Dr P. Gentile and Dr Ana
566 M. Ferreira are member of the UK EPSRC Centre for Innovative Manufacturing of Medical
567 Devices (MeDe Innovation, EPSRC grant EP/K029592/1). The authors would like to thank the
568 Bioimaging Unit at Newcastle University for supporting the confocal analysis. Dr Vincenzo
569 Schiavo is greatly acknowledged for his valuable support in TGA measurements. The authors
570 would also like to thank Mr Michael Foster for his helpful advice on SEM/EDX analysis, Mr
571 Stephen Moore for the kind support during the freeze-drying procedure and Mr Alex Boote for his
572 helpful contribution in mechanical test.

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