1	Methanolysis of epoxidized soybean oil in continuous flow conditions
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9	
10	Abstract
11	Bio-polyols synthesized from vegetables oils are a great alternative to petrochemical polyols for

polyurethanes industry. The simplest approach to bio-polyols synthesis involves epoxidation of carbon-carbon double bond of unsaturated fatty ester moieties and subsequent epoxide ring-opening by nucleophilic reagents. In order to improve the latter process by increasing both productivity and product quality, the advantages of flow chemistry were exploited, such as facile automation, reproducibility, improved safety and process reliability, investigating for the first time in the literature the methanolysis reaction of epoxidized soybean oil (ESO) in a continuous flow mode.

18 Compared with batch reaction, flow mode allowed the cut of the reaction time from 30 min to 2 19 min, and the reduction of catalyst concentration by an order of magnitude, which brought 20 significant benefits in terms of cost efficiency and eco-sustainability, rendering the method suitable 21 for industrial applications.



24 **Keywords:** ESO, Bio-based, Polyol, Soybean oil, Flow mode

25 **1. Introduction**

Oil reserve depletion and increasing market prices have led researchers worldwide to seek renewable resources to replace petrochemical derivatives (Choi et al. 2015). New green technologies have to be developed assuring the use of renewable resources as an alternative to petrochemical products. Vegetable oils such as soybean (Changa et al. 2017), palm (Khatuna et al. 2017), linseed (Calvo-Correas et al. 2015), castor (Mutlu and Meier 2010) etc., are promising alternatives since they are relatively inexpensive and renewable sources.

In this context, bio-polyols synthesized from unsaturated fatty esters are suitable substitutes of petrochemical polyols for polyurethanes industry (Desroches et al. 2012). Since from the pioneering work of Petrović on the synthesis of polyols from vegetable oils (Petrović 2008), there has been an explosion of interest on this topic (Petrović et al. 2008; Alagi et al. 2015; Tan et al. 2011; Datta and Głowinska 2014; Bloise et al. 2012; Longobardi et al. 2013; Mele et al. 2014; Annese et al. 2014;
Dai et al. 2009; Annese et al. 2011; Pantone et al. 2017; Lozada et al. 2009). The simplest approach
involves epoxidation of carbon-carbon double bond of unsaturated fatty ester chains and subsequent
epoxide ring-opening reaction (hydroxylation) by nucleophilic reagents (Scheme 1).

The epoxidation of oils is a well-known technique used in the production of epoxidized soybean oil (ESO), which is used as plasticizer, lubricant, cross-linking agent, stabilizer etc. (Fenollar et al. 2009). Petrović et al. patented the alcoholysis of epoxidized oils using tetrafluoroboric acid as a catalyst with different hydroxylated molecules like water, monoalcohols (methanol, ethanol, propanol and butanol) and their mixtures (Petrović et al. 2000; Petrović et al. U. S. Pat. 2002).

In order to improve the hydroxylation process and increase the productivity of the process itself, the
methanolysis reaction of epoxidized soybean oil (ESO) under continuous flow conditions was
investigated (Scheme 2).

In fact, while studies of the epoxidation of soybean oil in continuous mode have been reported (He
et al. 2013), the methanolysis of ESO under flow conditions remained totally unexplored (Almena
et al. 2013).

In the past two decades, flow chemistry has received a growing interest due to the several advantages provided to synthetic processes such as facile automation, secured reproducibility, improved safety and process reliability (Hartman et al. 2011; Elvira et al. 2013; Schwalbe et al. 2002; Mason et al. 2007; Razzaq and Kappe 2010; Wegner et al. 2011). Moreover, reaction parameters such as temperature, concentration, composition of reactants established for the small scale flow process can be transferred directly to larger flow reactors, without the need for chemical assessments (Wegner et al. 2011).

Note of mention, the use of pressure-resistant reactors enables to work at temperatures much greater
than the boiling point of the solvent until reaching supercritical conditions, thus leading to very
short reaction times (Razzaq et al. 2010).

In this work, reaction conditions of methanolysis of ESO carried out in flow were optimized by means of the response surface methodology (RSM), a strategy generally used for modeling and optimizing the experimental parameters in physical and chemical processes. By using this approach, relationship between the input variables temperature (T) and residence time (RT) and the response data was determined, highlighting advantages obtained by the use of flow chemistry in this process (Leardi et al. 2009; Bezerra et al. 2008).

67

68 2. Experimental section

69 2.1 Materials and Methods

A Vapourtec R-series flow reactor was used for flow chemistry experiments (Vapourtec. https://www.vapourtec.com/it/ (accessed May 20, 2017)). The reactor consists of a PFA tube having a total volume of 10 mL, wrapped in spire and housed inside a quartz enclosure, which is connected to the heating unit and to the temperature sensor for continuous monitoring of this parameter.

The "reagent in" port of the reactor coil was connected to the pump with a length of PFA tubing.
The "reagent out" port was then directly interfaced with a 8 bar back pressure regulator after which
there is a length of PFA tubing leading to a collection flask.

77 The instrument has several digital control displays for all variables. For example, it is possible to 78 control the flow rate from which the residence time of reagents is dependent on. The RT can be 79 varied in the range of 10 seconds - 200 minutes.

80 Temperature can be accurately controlled (± 1°C), through a feedback system, in the range of room
81 temperature - 150°C.

¹H-NMR spectra were recorded on a Agilent 500 MHz spectrometer, operating at 11.74 Tesla, corresponding to the resonance frequency of 499.73 MHz for the ¹H nucleus. The NMR samples were prepared by dissolving 0.2 mL oil in 0.7 mL CDCl₃ and analyzed in 5 mm NMR tubes. The chemical shifts are reported in ppm referenced to residual isotopic impurity of CDCl₃ (7.26 ppm). Typical parameters for ¹H-NMR spectra were: 45° pulse, 4 s acquisition time, 8.01 kHz spectral
window, 8 scans, 16 K data points. The FID was not processed prior to Fourier transformation.

Conversions of ESO in bio-polyol were evaluated based on the ¹H-NMR signal integral of residual epoxide protons at 3.15-2.74 ppm (Fig. 1b). All integral values were determined assuming the signal at 2.2 ppm as reference (with integral value equal to 6), being it assigned to protons of the α position of fatty acyl groups (-O-CO-CH₂-) that remain unvaried during methanolysis. On these bases, the integral value of epoxide protons (at 3.15-2.74 ppm) in the spectrum of the starting material (ESO) was found to be 7.94 (Fig 1a).

In a typical calculation, the conversion of epoxide in polyol was evaluated by equation (1):

95 Conversion% =
$$\left(1 - \frac{I_{3.15-2.74}}{7.94}\right) \times 100$$
 (1)

96 where $I_{3.15-2.74}$ is the integral value of residual epoxide protons at 3.15-2.74 ppm in the spectrum of 97 reaction mixture (Fig. 1b).

98 A Viscometer Cannon-Fenske reverse flows YNT instrument was used to determine the viscosity 99 using the standard method ASTM D445 (Standard Test Methods for Kinematic Viscosity of 100 Transparent and Opaque Liquids). The determination of density was obtained with the pycnometer 101 method EN ISO 1675. Iodine number value, oxirane number (ON) and hydroxyl number were 102 determined by EN ISO 661, ASTM D 1652, and ASTM D4274 methods, respectively. (Dai et al. 103 2009, Pantone et al. 2017)

104 Commercial starting materials were purchased in highest purity available from scientific chemicals 105 suppliers (Aldrich) and were used without purification. ESO was provided by Greenswitch S.r.l. 106 (iodine number of 1.2 g $I_2/100$ g, oxirane number of 6.3 g O/100 g, mean Molecular Weight Mn of 107 943.80656 g/mol).

108

109 2.2 General batch mode methanolysis of ESO

110 Methanol 8.2 mL (0.2031 mol) and 34 μ L (2.7 \cdot 10⁻⁴ mol) of 48% aqueous solution of HBF₄ (or 111 other catalyst such as H₃PO₄ 85%, p-toluenesulfonic acid monohydrate 98%, HCOOH and 1,8-112 Diazabicyclo[5.4.0]undec-7-ene DBU) were added to a 50 mL, two-necked flask equipped with a 113 condenser, a stirrer, and a thermometer. (Dai et al. 2009, Pantone et al. 2017) To the refluxing 114 mixture, heated with a bath, 5 mL (5.3 \cdot 10⁻³ mol) of ESO (oxirane oxygen 6.3 g O/100 g) were 115 added and left to react for 30 min.

Reactions performed in batch mode at a higher temperature than the boiling temperature of 116 methanol were carried out in a 55.6 mL stainless steel autoclave mounted in an electrical oven 117 having a magnetic stirrer on its base. Reagents were introduced in a glass vial (~20 mL) and placed 118 119 into the autoclave, in order to avoid any contact with metal walls. In a typical experiment the glass vial was charged with methanol (8.2 mL, 0.2031 mol), ESO (5 mL, 5.3 · 10⁻³ mol) and HBF₄ (or 120 other catalysts), the vial was introduced into the autoclave which was sealed (Casiello et al. 2015). 121 After cooling to room temperature, the reaction mixture was neutralized with small amounts of 122 aqueous ammonia (30%) and then washed into a separating funnel with water (to remove inorganic 123 by-product NH₄BF₄). The organic layer was subjected to heating at 98°C under a low vacuum on a 124 rotatory evaporator to remove the excess of methanol and the water residue. (Dai et al. 2009, 125 Pantone et al. 2017). The reaction progress was monitored by ¹H-NMR and by evaluating both the 126 127 hydroxyl and oxirane numbers.

128

129 2.3 General Procedure for the methanolysis in flow conditions

ESO (5 mL, $5.3 \cdot 10^{-3}$ mol) and methanol (4.4 mL, 0.1084 mol) were placed in a bottle labeled as "Reagent A". Tetrafluoroboric acid 48% (16 µL, $1.2 \cdot 10^{-4}$ mol) and methanol (4.4 mL, 0.1084 mol) were placed in a bottle labeled as "Reagent B". Two additional bottles containing methanol were labeled as "solvent" (Scheme 3). Two pumps were primed using the equipment manufacturer's suggested start-up sequence. Methanol was pumped through the reactor coil heated at 100°C at the flow rate of 0.5 mL/min. Then, by means of switches on the unit, both the Reagents "A and B" were flowed simultaneously in a 1:0.45 volumetric ratio, at the overall flow rate of the reaction mixture of 0.388 mL/min, with a calculated residence time of 25.8 min. When the set amount of reaction mixture had been processed, the flow was switched back from reagent to solvent. The reaction mixture collected at the exit of the reactor coil, was purified with the same procedure used for the reaction conducted in batch.

For reactions carried out with small amounts of methanol, the procedure was slightly modified to circumvent the problem of high viscosity of ESO. In these cases (see Table 4), both the reagents (ESO, CH_3OH) and catalyst HBF₄ were preliminary mixed in an unique bottle prior to be pumped into the reactor (Negus et al. 2015).

145

146 2.4 Experimental design and data analysis

The two specific reaction parameters "temperature and residence time" were optimized by means of
experimental optimization design (DOE) method, using the central composite design (CCD)
elaborated by the Statgraphic® Centurion XV software.

A central composite design " 2^2 + star" was created for studying the effects of 2 factors in 11 runs (reported in Table 2). The drawing was executed in a single block and the order of the experiments was completely randomized to have protection against the effects of latent variables.

DOE provided information on the influence of the temperature and residence time and any interactions between the variables according to a quadratic polynomial model of general formula (2):

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157
$$Y = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_{12} x_1 x_2 + \beta_{11} x_1^2 + \beta_{22} x_2^2$$
(2)

159 where x_1 and x_2 are the experimental variables (T and RT), while β_0 , β_1 , β_2 , β_{11} , β_{12} , and β_{22} are 160 the coefficients of polynomial model and *Y* is the response (reaction conversion).

161

162 **3. Results and discussion**

163 Preliminarily, starting from the literature protocol (Dai et al. 2009), the optimization of reaction conditions for methanolysis of ESO carried out in the batch mode was performed. This refinement 164 of the operating procedure allowed us to set the best reaction conditions as follows: catalyst loading 165 (HBF₄) 1% (w/w with respect to ESO), hydroxyl/epoxide ratio 11:1, refluxing temperature of 166 methanol (65°C), and reaction time 30 minutes, shorter than that reported (1 hour). The bio-polyol 167 product displayed the same physicochemical properties as the one described in the literature (Dai et 168 169 al. 2009; Pantone et al. 2017): hydroxyl number 191 ± 15 (mg KOH/g), oxirane number 0.2 (g O/100 g), viscosity 4550 (cP), and density 1.02 (kg/dm³). Moreover, based on ¹H-NMR signals of 170 residual epoxide protons (3.15-2.74 ppm) the maximum conversion was estimated to be $86 \pm 6\%$ 171 (Figure 1). 172

With the optimised conditions in hand, the methanolysis of ESO in continuous flow mode was performed obtaining a bio-polyol with the identical physicochemical properties of that obtained in batch mode, but in a much shorter reaction time (2 minutes).

Moreover, as expected from a process conducted in the flow mode, the reaction occurred with a higher selectivity. Indeed, in this case the transesterification side-products formed in batch mode (i.d. methyl esters of fatty acids, ~ 7%), were totally absent, as evidenced by the disappearance of singlet signals at 3.65 ppm due to CH₃OCO- protons in the ¹H-NMR spectrum of the methanolysis carried out in the flow mode (Figure 2).

Besides the above-mentioned advantages of higher selectivity and reaction rate, the pressureresistant reactor allowed us to adopt much harder reaction conditions. Indeed, based on the resistance of back pressure valve (8 bar), the operating temperature was raised up to the value of 184 120°C, which is much greater than the boiling point of methanol (65°C). The higher temperature 185 conditions enabled the decrease of catalyst loading (HBF₄) maintaining unchanged the conversion 186 of the entire process (flow rate elevated).

187

188 *3.1 Study on the effect of catalyst (HBF₄) concentration in flow mode*

Due to the environmental issues given by tetrafluoroboric acid disposal, to improve ecosustainability of the process the influence of concentration of HBF_4 under flow conditions was studied in deep attempting to minimize the catalyst loading without affecting conversion or prolonging too much the reaction times.

193 Results, summarized in Table 1, showed that the minimum value of catalyst concentration required 194 to maintain unchanged conversion at 86% is 0.1% (w/w with respect to ESO, Table 1, run 4). Under 195 these conditions, residence time at 65°C was 60 min, but could be halved at 30 min operating at 196 120°C (Table 1, run 5).

Based on these data, the optimization of parameters was continued operating at the concentration of HBF₄ of 0.1%, a value that can conjugate the maximum conversion of 85% with a relatively short reaction time (Table 1, run 5).

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201 *3.2 Temperature and residence time optimization with DOE method*

For the optimization of "Temperature" and "Residence time" a number of eleven reactions was statistically required by DOE method (Table 2). Based on the instrumental limits and data in Table 1, the ranges of 100 - 120°C (for T) and 2 - 30 min (for RT) were chosen.

As control experiments, the hydroxyl number of polyol products was checked for reactions occurring with highest conversions (runs 1, 4, 11). In all cases, the expected value of 191 ± 15 mg KOH/g was obtained, which is consistent with that of the analogous polyol produced in the batch mode reaction.

209 *3.2.1 Statistical analysis of experimental design*

Results of methanolysis experiments in Table 2 were analyzed by ANOVA to assess the "goodness
of fit" and statistical parameters were listed in Table 3 (Elsayed and Lacor 2012; Yuan et al. 2008).

From data in Table 3 clearly emerged that only the two parameters B and BB are statistically significant at a confidence level of 95% (since they have a *P*-value less than 0.05). Therefore, the only significant variable affecting the response is the residence time. The separate effect of the two variables can be visualized graphically in Figure 3.

216 Another graphical and direct manner to appreciate the significance of variables and their 217 interactions in experimental designs was given by Pareto chart (Figure 4) (Ferreira et al. 2017).

218

Based on the preliminary variance analysis, the RT and conversion are related by a quadratic relationship, while the temperature can be excluded from the optimization study as well as the interaction between the two parameters.

The software also provided the surface response graph (Figure 5), according to equation (3), together with the optimized RT, which resulted to be 25.8 min. As temperature did not have a significant effect in the range studied, for the successive experiments the lowest value of 100°C was used.

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- 227 228

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 $Y = 30.2721 + 4.55884 x - 0.0891156 x^2$ (3) (where x = residence time, X = reaction conversion, P² = 0.073021)

(where x = residence time, Y = reaction conversion, R² = 0.973021)

The control experiment performed to validate the optimized conditions obtained with the DOE method (HBF₄ 0.1% w/w with respect to ESO, temperature 100°C, reaction time 25.8 min, hydroxyl/epoxide ratio 11:1) leaded to a bio-polyol product with a conversion of 89 \pm 7% (evaluated by ¹H-NMR) and the expected hydroxyl number of 192 \pm 12 mg KOH/g.

Under the same conditions, reaction carried out in batch mode resulted in a conversion of $70 \pm 5\%$.

235

236 *3.3 Effect of hydroxyl/epoxide ratio*

With the aim to enhance the cost efficiency of the process, the decrease of the excess amount of methanol was attempted. At this end, the study on the influence of hydroxyl/epoxide ratio was carried out by adopting the experimental conditions optimized above. For comparison, reactions in batch mode were also investigated.

Results showed that the molar excess of methanol could be reduced from 11 to 2 without affecting both conversion and selectivity. Note of mention, a similar trend was also observed for reactions in batch, even if in these latter cases both conversions and selectivities were always inferior, thus demonstrating the higher efficiency of the flow mode reactions (Table 4).

245

246 *3.4 Study of other catalysts*

As HBF₄ has been shown to be the most efficient catalyst for ESO alcoholysis reaction in batch [Lozada et al. 2009; Razzaq and Kappe 2010], a comparison of its performances, under the optimized flow conditions, with those of the other Brønsted acids commonly used for catalyzing this process was conducted (e.g. H₃PO₄ 85%, TsOH monohydrate 98%, and HCOOH 85%) and the screening was also extended to the batch mode (Table 5).

Results confirmed the expected trend, in which performances were dependent on the acid strength of catalysts according to the pKa values scale: HBF₄ (- 4.60) > TsOH (- 2.80) > H₃PO₄ (+ 2.15) > HCOOH (+ 3.75).

From data in Table 5 emerged that HBF₄ is the best catalyst especially in flow mode, where concentration required to give almost complete conversion is 0.1%, which is almost twenty times smaller than of p-toluensulfonic acid (1.75%), the next catalyst in the acidity scale (Table 5, run 2). The difference in catalytic activity between HBF₄ and the other acids is more evident in batch mode, where the latters provided conversions lower than 43%, demonstrating once again the superior efficiency of the flow mode reactions.

Finally, DBU was also investigated as basic catalyst [Lozada et al. 2009], but in this case the transesterification was the sole process observed (Table 5, run 5).

4. Conclusions

In conclusion, the replacement of batch process by continuous flow synthesis in preparation of polyols from methanolysis of ESO resulted in notable progress in terms of both process intensification and product quality. Compared with batch reaction, flow mode could cut the reaction time from 30 min to 2 min, which brought significant benefit for energy consumption. A further advantage of this method concerns the catalyst loading. Indeed, HBF₄ catalyst can be reduced by an order of magnitude respect to the batch experiments, passing from 1% to 0.1%, while maintaining unchanged the process productivity.

Finally, as an additional benefit of these investigations, the large excess of methanol (11 equiv.) can be eliminated in both batch and flow experiments until reaching the almost stoichiometric conditions (2 equiv.). All these improvements indicate that this new procedure of methanolysis of ESO in flow mode, which has no precedent in the literature, brings significant benefits in terms of cost efficiency and eco-sustainability and has a good potential for industrial applications.

276

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Scheme 1. Representation of a typical chemical approach to the conversion of soybean oil into polyol.





Scheme 2. Representation of ESO methanolysis reaction.



Scheme 3. Representative scheme of the continuous flow reactor.



Figure 1. ¹H-NMR (CDCl₃, 500 MHz) spectrum of (a) ESO; (b) bio-polyol from HBF₄-catalyzed (1% w/w
 with respect to ESO) methanolysis of ESO in batch mode at 65°C with a reaction time of 30 min.



Figure 2. ¹H-NMR (CDCl₃, 500 MHz) spectrum of reaction mixture of HBF₄-catalyzed (1% w/w)
 methanolysis of ESO carried out at 65°C in (a) continuous flow mode (reaction time 2 min), and (b) batch mode (reaction time 30 min).

Run	HBF ₄ (%)	Temperature (°C)	Flow rate (mL/min)	Residence Time (min)	Conversion (%) ^b
1	1	65	5	2	87 ± 6
2	0.5	65	3.33	3	88 ± 5
3	0.25	65	0.33	30	89 ± 8
4	0.1	65	0.17	60	87 ± 6
5	0.1	120	0.33	30	85 ± 6
6	0.05	65	0.05	200	66 ± 7
7	0.05	120	0.05	200	69 ± 5

Table 1. Effect of catalyst loading on HBF₄-catalysed methanolysis of ESO in flow mode.^a

396

^a Reaction conditions: ESO (5 g), catalyst HBF₄ (% w/w with respect to ESO, as reported), hydroxyl/epoxide ratio of 11:1, flow rate (as reported), reaction temperature (as reported).

399 400

 $^{\rm b}$ Evaluated by $^1\text{H-NMR}.$ Values are means \pm S.D. of three replicates.

Table 2. DOE experiments for evaluation of reaction parameters "Temperature and Residence
 Time" in methanolysis of ESO carried out in flow mode.^a

Run	Temperature (°C)	Residence Time (min)	Conversion (%)
1	110	30	90
2	110	2	38
3	120	16	87
4	120	30	88
5	110	16	85
6	120	2	41
7	100	16	70
8	100	2	38
9	110	16	84
10	110	16	76
11	100	30	88

404 ^a The 11 experiments were carried out according to the procedure described in section 2.2. Catalyst (HBF₄)

405 concentration was equal to 0.1% w/w with respect to ESO. Hydroxyl/epoxide ratio equal to 11:1.

Source	Df	Mean Square	P-Value
A: Temperature	1	125.127	0.0730
B: Residence Time	1	3427.26	0.0001
AA	1	15.8333	0.4572
AB	1	1.5625	0.8103
BB	1	715.008	0.0029
Total error	5	24.4102	-
Total (corr.)	10	-	-

Table 3. Statistical parameters from ANOVA.





Figure 3. Effects plot on conversion of (A) Temperature and (B) Residence Time.











Figure 5. Estimated Response Surface.

 Table 4. Effect of hydroxyl/epoxide ratio.^a

	Hydroxyl/epoxide ratio	Flow mode		Batch mode	
Run		Conversion ^b (%)	Selectivity ^b (%)	Conversion ^b (%)	Selectivity ^b (%)
1	11	89 ± 7	100 ^c	70 ± 5	93 ^d
2	6.5	87 ± 7	100 ^c	73 ± 5	93 ^d
3 ^e	2	88 ± 6	100 ^c	71 ± 5	94 ^d

^a Reaction conditions (see section 2.2): ESO (5 g), catalyst HBF₄ (0.1% w/w with respect to ESO), hydroxyl/epoxide
 ratio (as reported), reaction time 25 min, reaction temperature 100°C.

425 ^b Evaluated by ¹H-NMR.

426 ^c Hydroxyl number of polyol product 191 ± 15 mg KOH/g.

427 ^d A 6 - 7% of transesterification products was observed.

428 ^e To circumvent the problem of high viscosity of ESO, all reagents (ESO, CH₃OH) and catalyst were preliminary mixed

429 prior to be pumped into the reactor (see section 2.2).

Table 5. Catalyst effect on methanolysis of ESO.^a

	Catalyst	Catalyst	Conversion (%) ^c		
Run		concentration (%) ^b	Flow mode	Batch mode	
1	HBF ₄	0.1	89 ± 7	70 ± 5	
2	TsOH	1.75	85 ± 7	36 ± 5	
3	H ₃ PO ₄	6.0	85 ± 5	43 ± 5	
4	НСООН	50.0	75 ± 5	34 ± 5	
5	DBU ^e	0.5	100 ^d	-	

435 ^a Reaction conditions: ESO (5 g), hydroxyl/epoxide equal to 11:1, reaction time 25 min, temperature 100°C.

437 ^b W/w with respect to ESO.

438 ^c Evaluated by ¹H NMR.

439 ^d ESO was completely transformed into the transesterification products.

440 °DBU= 1, 8-Diazabicyclo[5.4.0]undec-7-ene.