

1 **Geographical origin discrimination of lentils (*Lens culinaris* Medik.) using <sup>1</sup>H NMR**  
2 **fingerprinting and multivariate statistical analyses**

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27 **Abstract**

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29 Lentil samples coming from two different countries, i.e. Italy and Canada, were analysed using  
30 untargeted  $^1\text{H}$  NMR fingerprinting in combination with chemometrics in order to build models able  
31 to classify them according to their geographical origin. For such aim, Soft Independent Modelling  
32 of Class Analogy (SIMCA), k-Nearest Neighbor (k-NN), Principal Component Analysis followed  
33 by Linear Discriminant Analysis (PCA-LDA) and Partial Least Squares-Discriminant Analysis  
34 (PLS-DA) were applied to the NMR data and the results were compared. The best combination of  
35 average recognition (100%) and cross-validation prediction abilities (96.7%) was obtained for the  
36 PCA-LDA. All the statistical models were validated both by using a test set and by carrying out a  
37 Monte Carlo Cross Validation: the obtained performances were found to be satisfying for all the  
38 models, with prediction abilities higher than 95% demonstrating the suitability of the developed  
39 methods. Finally, the metabolites that mostly contributed to the lentil discrimination were indicated.

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50 **Keywords:**  $^1\text{H}$  NMR fingerprinting; Lentils; Geographical origin; Chemometrics

51 **1. Introduction**

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53 Lentil (*Lens culinaris* Medik.) is the fourth most important pulse crop in the world after bean  
54 (*Phaseolus vulgaris* L.), pea (*Pisum sativum* L.), and chickpea (*Cicer arietinum* L.). Lentils are  
55 characterised by a high energy value and a high content of complex carbohydrates, proteins, dietary  
56 fibers, vitamins, minerals (de Almeida Costa, da Silva Queiroz-Monici, Pissini Machado Reis, & de  
57 Oliveira, 2006; Wang & Daun, 2006; Wang, Hatcher, Toews, & Gawalko, 2009) even if some anti-  
58 nutritional constituents are also present (Thavarajah, Thavarajah, See, & Vandenberg, 2010; Wang  
59 et al., 2009).

60 FAOSTAT reported that the world production of lentils was about 4.9 million of tons, primarily  
61 coming from Canada, India, Australia and Turkey; in particular, about a quarter of the production is  
62 from India but most of it is consumed in the domestic market, while Canada is the largest export  
63 producer of lentils in the world (FAOSTAT database 2014).

64 In Italy during the last years the lentil production declined from 14 k tons in the 60's to 1.9 k tons in  
65 2014 due to several causes; therefore, as consequence, Italy annually imports about 29.6 million kg  
66 of lentils, mainly coming from Canada, USA, Turkey and China (Piergiovanni, 2000; Bacchi,  
67 Leone, Mercati, Preiti, Sunseri & Monti, 2010). However, Italian lentils, being cultivated mainly in  
68 specific localities, present unique and characteristic sensory and nutritional properties giving them a  
69 higher value; in fact, many Italian lentils gained international and national marks linked to their  
70 geographical origins, such as “protected geographical indication” (PGI), “traditional agricultural  
71 food products” (PAT) and Slow Food Presidium. Such labels allow to improve the commercial  
72 value of the food products, by guaranteeing a high quality level, and protect their typicality.  
73 Nevertheless, unscrupulous producers, driven by high illicit profits, often sell products that recall  
74 the “Italian Sounding” but are actually obtained blending or substituting the Italian products with  
75 foreign ones having low qualitative levels and commercial values.

76 Obviously, this kind of problems concerns not only the lentil production but all the traditional foods  
77 from raw materials to finished products. Therefore, it is clear why there is an increasing demand to  
78 have analytical methods able to certify the declared geographical origin of food products, in order to

79 protect consumers and honest producers from fraud and unfair competition, respectively;  
80 consequently, during recent years, several food authentication techniques have been proposed (de la  
81 Guardia & Gonzalez Illueca, 2013).

82 Among these techniques, the Nuclear Magnetic Resonance (NMR) has been considered a versatile  
83 and useful tool, due to its ability to provide a complete view of food metabolites, providing  
84 qualitative and quantitative information either on major and minor compounds (Mannina, Sobolev,  
85 & Viel, 2012). NMR has been regarded, in combination with multivariate statistical analysis, as a  
86 powerful tool for determining food quality and geographical origin, especially when used as  
87 untargeted method, where the whole spectra are used as fingerprints without assigning particular  
88 resonances to specific metabolites (Baiano, Terracone, Longobardi, Ventrella, Agostiano, & Del  
89 Nobile, 2012; Ferrara et al., 2013; Fiehn, 2001; Longobardi et al., 2012; Longobardi et al., 2013;  
90 Mannina, Patumi, Proietti, Bassi, & Segre, 2001; Vlahov, Del Re, & Simone, 2003).

91 As far as lentil authenticity is concerned, some studies are reported in literature. In particular,  
92 accessions of lentils from different countries were examined on the basis of some morphological  
93 characters by discriminant analysis and canonical analysis, showing regional grouping, even if  
94 misclassifications of individuals within groups were frequent (Erskine, Adham, & Holly, 1989).  
95 Moreover, the proteome of lentil seeds was used to identify specific markers and discriminate  
96 different plant landraces, through multivariate statistical analyses (Scippa et al., 2010).

97 In addition, DNA-based methods combined with high resolution melting analysis (Bosmali,  
98 Ganopoulos, Madesis, & Tsiftaris, 2012) were used to identify a particular lentil variety amongst  
99 other Greek varieties or admixtures, reaching a clear discrimination.

100 However, only few studies on geographical differentiation of lentil samples have been done; in  
101 particular, Diffuse Reflectance Fourier Transform Infrared Spectroscopy combined with  
102 discriminant analysis was proved to be convenient and fast, but the study, involving 27 samples  
103 grouped in two classes, i.e. "Greek" and "imported", was carried out without performing a  
104 validation procedure, reducing the real applicability of the proposed method (Kouvoutsakis, Mitsi,

105 Tarantilis, Polissiou, & Pappas, 2014). Other studies involved stable isotope ratios of  $\delta^{13}\text{C}$ ,  $\delta^{15}\text{N}$ ,  
106 whose values may depend on several factors, such as climatic parameters typical of the region  
107 (Zhang, Emeriau, & Martin, 1991); however, the  $\delta^2\text{H}$ ,  $\delta^{18}\text{O}$ ,  $\delta^{34}\text{S}$  ratios are most linked to  
108 geographical origin (Rossmann, Reniero, Moussa, Schmidt, Versini, & Merle, 1999; Stöckigt,  
109 Schmidt, Rossmann, & Christoph, 2005; Ziegler, Osmond, Stichler, & Trimborn, 1976) and were  
110 analysed, in combination with chemometrics, to successfully discriminate geographical origin of  
111 lentils (Longobardi et al., 2015).

112 To the authors' knowledge, no study based on "NMR fingerprinting - multivariate statistical  
113 analysis" approach has been reported; thus, in this paper different statistical strategies, i.e. Principal  
114 Component Analysis followed by Linear Discriminant Analysis (PCA-LDA), k-Nearest Neighbor  
115 (k-NN), Partial Least Squares-Discriminant Analysis (PLS-DA), and Soft Independent Modeling of  
116 Class Analogy (SIMCA) were tested on  $^1\text{H}$  NMR data of lentil samples aiming at discriminating  
117 them on the basis of their different geographical origin, i.e. Italy and Canada.

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## 120 **2. Materials and methods**

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### 122 *2.1 Sample collection, sample preparation and NMR experiments*

123 Lentil samples of the 2013 crop season were collected (as portions of about 500 g of seeds) from  
124 producers and supermarkets; the total number of samples was 85, subdivided into 43 Canadian (15  
125 macrosperma and 27 microsperma subspecies) and 42 Italian (11 macrosperma and 31  
126 microsperma) samples.

127 Herein, the sample preparation was carried out according to the procedure reported by Wu, Li, Li,  
128 & Tang (2014) with slight modifications, as reported in the following. After removing the foreign  
129 material, the lentil seeds were finely ground by using the Retsch ZM 200 (Retsch, Haan, Germany)  
130 laboratory mill equipped with 500- $\mu\text{m}$  sieve and stored in sealed bags under vacuum until analysis.

131 About 400 mg of lentil flour were extracted with 4 mL of a mixture methanol/water (1:1, v/v) by  
132 mixing on vortex mixer for 10 s; the mixture was kept in an ice-water bath for 10 min. After  
133 centrifugation at 13000 rpm for 15 min, 3 mL of supernatant were transferred into a vial and dried  
134 under a nitrogen stream at 40°C, with the purpose to re-dissolve the dry extract in a smaller liquid  
135 volume constituted by 900 µL of buffer solution (phosphate buffer 50mM and NaN<sub>3</sub> 1mM, pH 7.2)  
136 and 100 µL of 10 mM sodium salt of 3-(trimethylsilyl) propionic-2,2,3,3-d<sub>4</sub> acid (TSP) in D<sub>2</sub>O. This  
137 step allowed enhancing the signals related not only to major but also to minor lentil compounds.  
138 After 10 min of centrifugation at 9000 rpm, 600 µL of supernatant were transferred into NMR tubes  
139 (standard 5-mm tubes, Bruker BioSpin GmbH, Rheinstetten, Germany) for NMR measurements.  
140 All chemicals were purchased from Sigma-Aldrich (St. Louis, MO, USA).  
141 One-dimensional <sup>1</sup>H NMR spectra were recorded on a Bruker Avance III 700 MHz NMR  
142 spectrometer (Bruker BioSpin GmbH, Rheinstetten, Germany) equipped with a cryogen cooled  
143 probe (cryoprobe QCI-<sup>1</sup>H-<sup>19</sup>F/<sup>13</sup>C/<sup>15</sup>N-<sup>2</sup>H 5-mm with Z-gradient coils) using an autosampler  
144 (SampleXpress from Bruker BioSpin GmbH).  
145 The spectra were acquired at 298 K under steady state conditions with non-spinning samples, using  
146 the Bruker 1D *noesygppr1d* pulse sequence. For each sample, 32 scans of 64 k data points with a  
147 receiver gain of 32 were recorded, applying a 90° pulse with an acquisition time of 2.28 s, a spectral  
148 width of about 20 ppm and a mixing time of 10 ms; during a relaxation delay of 10 s, a 25 Hz CW-  
149 based water peak suppression was performed. The offset for water suppression was previously  
150 optimised by applying a saturation power.  
151 Each spectrum was recorded using TOPSPIN 3.1 software (Bruker BioSpin GmbH, Rheinstetten,  
152 Germany) in full automation mode in about 12 min. All NMR spectra were processed using the AU  
153 program *apk0.noe*, that automatically applied phase correction, baseline correction, and chemical  
154 shift correction referencing NMR spectra with respect to the TSP signal. NMR assignment of signal  
155 of metabolites was done through comparison with literature chemical shift data (Fan, 1996; Wu et  
156 al., 2014).

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## 158 *2.2 Bucketing and Chemometrics analysis*

159 For spectra analyses, AMIX 3.8 software (Bruker BioSpin GmbH, Rheinstetten, Germany) was  
160 used. In particular, a “bucketing procedure” was applied to the NMR spectra after scaling all of  
161 them to the total intensity. In detail, the chemical shift axis of each spectrum, in the range 0.50-  
162 10.00 ppm (with the exclusion of the spectral region containing the suppressed water signal: 4.94-  
163 4.74 ppm), was divided into segments (buckets or bin) of a fixed width of 0.04 ppm converting each  
164 single spectrum into a row of values, i.e. the values assumed by the area subtended by the NMR  
165 intensity for each bucket considered. After that, each single spectrum was merged into a final  
166 matrix called “bucket table” composed by 233 columns (bin) and 85 rows (samples).

167 Statistical analyses were performed by using Statistica 8.0 (StatSoft Italia srl, Padova, Italy), V-  
168 Parvus 2010 (<http://www.parvus.unige.it>, Genova, Italy) and Classification Toolbox (Ballabio, &  
169 Consonni, 2013) in Matlab (Mathworks Inc., Natick, Massachusetts, USA). First of all, the Kennard  
170 and Stone Duplex algorithm (Casale et al., 2012) was applied in order to generate a subdivision of  
171 the whole dataset (bucket table) into a modeling (63 samples) and a test (22 samples) set; the  
172 modeling set was represented by 31 Italian and 32 Canadian samples, while the test set consisted of  
173 11 Italian and 11 Canadian samples. Then the modeling set, after removing outliers, was analysed  
174 by multivariate statistical techniques: in particular, the data were explored by means of the Principal  
175 Component Analysis (PCA) according to the NIPALS algorithm (Jolliffe, 2002), while the samples  
176 were classified on the basis of their geographical origin carrying out discriminant statistical  
177 techniques, i.e. PCA-LDA, k-NN, PLS-DA (Barker & Rayens, 2003; Fisher, 1936; Oliveri &  
178 Downey, 2012), and also the class-modelling technique SIMCA (Wold & Sjöström, 1977). The  
179 suitability of a classification model coming from the discriminant techniques was evaluated by  
180 considering its recognition ability, i.e. its ability to correctly classify the samples used for the  
181 building of the model, and its cross-validation (CV) prediction ability, i.e. its ability to correctly  
182 classify samples of a test set generated in a V-fold cross validation (with V equal to 10). As regards

183 the model obtained by SIMCA, its suitability was evaluated by considering its sensitivity (the  
184 percentage of samples correctly accepted by a class model) and its specificity (the percentage of  
185 samples correctly rejected by a class model). Finally, the models were validated by using the test set  
186 and a Monte Carlo Cross-Validation (MCCV) procedure. The MCCV procedure computes many  
187 models, each time creating a different evaluation set by random selection (each sample may fall  
188 many times, or even no times at all, in the evaluation set). In particular, a MCCV based on 1000  
189 runs and involving a 20% of left-out samples in the evaluation sets was applied on the whole dataset  
190 (excluding outliers).

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### 194 **3. Results and discussion**

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196 In Figure 1 a typical  $^1\text{H}$  NMR spectrum of a lentil extract is reported showing several signals,  
197 corresponding to many metabolites and in the following the main ones are commented. In  
198 particular, the triplet and the doublet observable at 0.93 ppm and 1.00 ppm can be assigned to the  
199 isoleucine methyl groups; the doublets at 0.96 and 0.94 ppm can be attributed to the methyl groups  
200 of leucine; at 0.98 and 1.01 ppm it is possible to notice the doublets attributed to the valine  
201 diastereotopic methyl groups; the lactate methyl group is responsible of the 1.33 ppm doublet, while  
202 the doublet at 1.48 ppm comes from the alanine methyl group; the multiplets at 1.56 ppm are due to  
203  $\gamma$ -methylene protons of citrulline; the singlet at 1.92 ppm are due to the methyl group of acetate; the  
204 multiplets at 2.05, 2.12 and 2.34 ppm are due to protons of glutamate; the malate residue protons  
205 generate the 2.37 and 2.66 ppm double doublets and the 4.29 ppm double doublet; the doublets at  
206 2.56 and 2.68 ppm are due to the methylene protons of citrate; the doublets observable at 2.68 and  
207 2.81 ppm are attributable to the two aspartate diastereotopic methylene protons; the doublets of  
208 doublets at about 3.06 and 3.18 ppm, along with the doublets at about 6.90 and 7.18 ppm can be



209 attributed to protons of tyrosine residue; the 3.2 and 3.22 ppm singlets can be assigned to choline  
210 and choline phosphate methyl groups, respectively; the singlet at 4.42 ppm, the triplet at 8.08 ppm,  
211 the multiplet at 8.82 ppm and the singlet at 9.11 ppm are attributed to protons of trigonelline;  
212 glucose is responsible for the intense signals in the 4.15–3.35 ppm range, for the 4.65 ppm doublet  
213 ( $\beta$  anomer C1H) and for the 5.21 ppm doublet ( $\alpha$  anomer C1H); the doublet at 4.59 ppm (C1H in  $\beta$   
214 anomer) and the doublet at 5.24 ppm (C1H in  $\alpha$  anomer) are attributable to galactose; the doublet at  
215 5.41 ppm are due to C1H of the glucose in sucrose; the doublet at 5.43 ppm are due to C1H of the  
216 glucose in raffinose family oligosaccharides (RFOs); the doublets at 5.92, 5.90 and 7.88 ppm are  
217 attributed to protons of uridine residue; the singlets at 8.25 and 8.35 ppm are due to C2H and C8H  
218 of inosine; the doublet at about 6.13 ppm, along with the singlets at 8.27 and 8.6 ppm are attributed  
219 to protons of inosine-5'-monophosphate residue; the singlet at 6.52 are due to protons of fumarate;  
220 the doublet at 7.72 is due to C4H of tryptophan; the doublet at about 7.33 ppm, along with the  
221 triplets at 7.37 and 7.43 ppm are attributed to protons of phenilalanine residue; the singlet at 8.46  
222 ppm is due to protons of formate.

223 Subsequently, with the purpose to find if any anomalous sample was observable inside the space of  
224 a single class of origin, i.e. inside the single Italian or the single Canadian class, the data of the  
225 modelling set were processed by considering each class separately in a specific PCA model; the  
226 relevant influence plots were obtained and commented. In particular, Figure 2 represents the  
227 influence plots of the PCA models for the Italian (6 PCs explaining 78.0% of the total variance,  
228 Figure 2a) and the Canadian (6 PCs explaining 73.3% of the total variance, Figure 2b) classes,  
229 respectively. As observable, all the samples coming from a specific class fit in the relevant model  
230 (i.e. stay inside the space delimited by the two straight lines defining the model confidence limits at  
231 a level of 95%) with the exception of two outliers, one for Italy and one for Canada, that therefore  
232 were excluded from data in the further statistical treatments.

233 In order to get general indications about the capacity of the NMR variables to discriminate lentil  
234 samples on the basis of their different places of production, the new training set (30 Italian and 31

235 Canadian samples) was subjected to PCA. By plotting the sample scores in a PC1 vs. PC2 graph  
236 (Figure 3), overlapping regions were observed, obtaining only a modest visual clustering of the  
237 objects on the basis of the geographical origin (PC1 and PC2 explained respectively 32.6% and  
238 21.7% of the total variance). No significant separation was evidenced even when observing the  
239 score plots of the remaining PCs. These findings highlighted the necessity to process the data by  
240 using supervised techniques, as commented in the following.

241 As first approach, SIMCA, a class-modelling technique, was used to classify the lentil samples  
242 coming from the two different geographical origins. From a general point of view, the class-  
243 modelling techniques aim at looking for similarities occurring among samples of the same class and  
244 model each category separately from the other ones, building them like defined space areas in the  
245 hyper-space of the model at a specified confidence level. Therefore, an object could be assigned to  
246 more than one class if it lies in an overlapping region, or it is even possible that a sample is assigned  
247 to none of the modeled classes, as long as it does not fit in any of the class spaces. This last feature  
248 could be particularly useful for the aims of this work, since it would be possible to know if a real  
249 sample comes from Italy, Canada or even from another different and not specified geographical  
250 origin.

251 The optimal complexity of the model, i.e. the number of PCs to be used to describe the class  
252 variability, was chosen on the basis of a CV procedure ( $V=10$ ). In particular, the geometric average  
253 between sensitivity and specificity in CV was selected as an optimality criterion, so that the number  
254 of PCs was chosen as the one corresponding to the highest value of this figure of merit. In such a  
255 way, the optimal complexity of the model resulted to be in 5 PCs for each class of geographical  
256 origin at a confidence level of 95%. The SIMCA results are visualized by the Coomans plot in  
257 Figure 4: as showed, 5 Italian and 4 Canadian samples resulted to be out of the relevant SIMCA  
258 model boundaries, represented by the vertical and horizontal lines, respectively, thus demonstrating  
259 moderate sensitivities for both classes; in fact, the SIMCA model showed 85.7% mean sensitivity,  
260 since 25 Italian samples over a total of 30 were accepted by the relevant class model, with a specific

261 sensitivity of 83.3%, while 27 Canadian samples over 31 were correctly accepted by the Canadian  
262 class model, with a specific sensitivity of 87.1%. Moreover, not satisfying results were obtained  
263 with regard to specificity; indeed, even if the mean specificity was 80.3% and all the Italian samples  
264 except one were not accepted by the Canadian model, resulting in a 96.7% model specificity, eleven  
265 Canadian objects over a total of 31 were incorrectly identified as Italians, resulting in a low Italian  
266 class specificity (64.5%). This latter result makes the SIMCA approach not suitable for the main  
267 aim of this work: indeed, it is not capable to satisfactorily indicate if Canadian samples are  
268 fraudulently sold as Italian ones, which is the most common fraud regarding Italian lentils.

269 Taking into account all reported above, it was considered advantageous to test other statistical  
270 analyses, such as discriminant techniques, which are more suitable for classification aims (Berrueta,  
271 Alonso-Salces, & Héberger, 2007). As a consequence, the classification techniques k-NN, LDA and  
272 PLS-DA were applied and their results were summarised in Table 1.

273 For k-NN, different k values were tested evaluating for each of them the prediction error rate in  
274 cross-validation ( $V=10$ ); the smallest k value achieving the lowest error was 5 and therefore was  
275 selected as the optimal one. In detail, the recognition (classification) and the CV prediction ability  
276 were both 95.1%. This means that k-NN correctly classified and predicted 30 Canadian samples out  
277 of 31 and 28 Italian samples out of 30.

278 As a second discriminant technique, herein LDA was applied; preliminarily, a variable reduction  
279 was adopted in order to make the number of variables lower than the  $(n-g)/3$  value (with n  
280 representing the number of samples, and g standing for the number of groups), so avoiding  
281 overfitting risks, as reported (Berrueta et al., 2007; Defernez & Kemsley, 1997).

282 In this work, the number of variables was reduced by applying PCA and selecting the first 20 PCs,  
283 so leading to a final PCA-LDA model. The value for the recognition (classification) ability was  
284 100% and the value for the CV prediction ability was 96.7%, i.e. it correctly predicted 28/30 Italian  
285 samples and 31/31 Canadian samples.

286 As last supervised discriminant technique, here PLS-DA was applied: this particular technique has  
287 the advantage to process large data set, even when the sample number exceeds the number of  
288 variables. By implementing a 10-fold cross-validation, it was found that 5 latent variables  
289 guaranteed the optimal model complexity, leading to a 98.4% average recognition rate: more in  
290 detail, the totality of the Canadian samples were correctly classified, and only one over 30 Italian  
291 samples was not correctly assigned. The average CV prediction rate gained a value of 96.7%: the  
292 CV prediction abilities for the single Canadian and Italian categories were found to be 93.3% and  
293 100%, respectively.

294 Nevertheless, it is well-established that the use of an external validation procedure is highly  
295 recommended to evaluate the reliability of a model in the prediction of unknown samples.  
296 Therefore, the models employed herein were validated and compared calculating the prediction  
297 abilities obtained both on the test set (soft validation) and by a Monte Carlo Cross-Validation  
298 (MCCV, hard validation). In soft validation, for PLS-DA the prediction abilities were found to be  
299 100.0% for Canada and 90.9% for Italy, corresponding to an average prediction rate equal to 95.4%.  
300 Regarding the Italian category, only 1 over a total of 11 samples was misclassified. For k-NN and  
301 PCA-LDA, the resulting prediction abilities were 100.0% both for Italy and Canada.

302 The hard validation procedure evidenced a prediction ability of 95.3% for both PLS-DA and PCA-  
303 LDA, and of 95.2% for k-NN. These findings evidence that the topic of the discrimination of the  
304 lentil geographical origin is well addressed by the use of NMR data in combination with supervised  
305 statistical techniques.

306 With the purpose to get information about the metabolites responsible for the geographical  
307 discrimination, a combination of univariate and multivariate analysis was used (Wang et al., 2014;  
308 Cuevas, Moreno-Rojas, Arroyo, Daza, & Ruiz-Moreno, 2016). In particular, the potential  
309 discriminant metabolites were identified as the ones having both PLS-DA variable importance in  
310 the projection values (VIP) higher than 1 (supervised multivariate criterion) and statistically  
311 different means on the basis of the geographical origin (t-test as univariate criterion,  $p \leq 0.01$ ).

312 PLS-DA was used for the multivariate part of such criterion, since it was directly referable to the  
313 original variables and consequently to the metabolites; k-NN, in fact, does not provide any explicit  
314 classification rule based on the data patterns, and the PCA-LDA model was built by using PCs, and  
315 therefore resulted more difficult to directly relate to the original NMR variables for the relevant  
316 comments.

317 According to the adopted dual criterion, it was highlighted that the most contributing buckets were  
318 in the regions containing signals of isoleucine, alanine, citrulline, acetate, malate, citrate, aspartate,  
319 choline, choline phosphate, galactose, glucose in sucrose and in RFOs and other unidentified  
320 compounds; consequently, such metabolites can be considered important for the discrimination of  
321 the geographical origin of lentils. In particular, the mean values of isoleucine, alanine, citrulline,  
322 acetate, choline, choline phosphate, galactose were higher in Italian lentils than in Canadian ones;  
323 on the contrary the means of malate, citrate, aspartate, glucose in sucrose and in RFOs resulted to be  
324 higher in Canadian samples than in Italian lentils. However, for all indicated compounds, the data  
325 distributions around the mean values of the two origins overlapped, consequently no specific single  
326 markers were found confirming the need to employ supervised multivariate methods for origin  
327 discrimination.

328 By comparing the results here obtained with the results gained in a previous work regarding the use  
329 of IRMS for the same aim (Longobardi et al., 2015), it can be noticed that both techniques are valid  
330 and show a vocation for this kind of studies and applications. The choice of one of them should take  
331 into account a balance of advantages and drawbacks of each technique. In particular, although NMR  
332 is a more expensive technique (both considering the purchase and the maintenance of the  
333 instrumentation) and it needs highly specialized operators, it shows high repeatability and therefore  
334 it does not need replicates; moreover, NMR could provide qualitative and quantitative information  
335 about the metabolites contained in the analysed sample. On the other hand, even if IRMS cannot  
336 give an extensive description regarding the analytes but only bulk information, and even if it needs  
337 replicates, it is cheaper and easier to be performed.

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#### 340 **4. Conclusions**

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342 This work contributed to highlight the advantages of applying  $^1\text{H}$  NMR fingerprinting as  
343 instrumental technique, and k-NN, PCA-LDA and PLS-DA as statistical techniques, in the  
344 classification of the geographical origin of lentil samples.

345 In particular, the PCA-LDA model allowed obtaining the best performances with a recognition  
346 ability of 100%, a CV prediction ability of 96.7%, and external prediction rates of 100% and 95.3%  
347 on the test set and by a MCCV procedure, respectively. Moreover, very good results were obtained  
348 also with k-NN and PLS-DA discriminant models highlighting that the NMR data contained enough  
349 information to build adequate models. In addition, a pattern of metabolites which mostly  
350 contributed to the lentil discrimination based on their geographical origin was identified.

351 In conclusion, it can be stated that although the proposed NMR method could be considered  
352 expensive and it requires highly specialized operators, it is capable to give high prediction abilities  
353 and repeatability if used to solve geographical origin issues of lentils, offering in addition the  
354 possibility to obtain information about sample metabolites. This work open up possibilities to  
355 extend the results here obtained to different lentil crop seasons, even using a higher number of  
356 samples. A further improvement in the lentil authenticity topic could regard studying relationships  
357 occurring between lentil chemical composition and detailed pedoclimatic parameters by using NMR  
358 data.

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488 **Figure captions**

489

490 **Figure 1.** One-dimensional  $^1\text{H}$  NMR spectrum of a lentil sample, obtained with selective  
491 suppression of the water signal.

492

493 **Figure 2.** Influence plots obtained for the Italian PCA model (a) and for the Canadian PCA model  
494 (b) at a confidence level of 95%. Geographical origins: Italy (□), Canada (●).

495

496 **Figure 3.** PC1 vs. PC2 scatter plot for lentil samples. Geographical origins: Italy (□), Canada (●).

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498 **Figure 4.** Coomans plot for the Italian and Canadian SIMCA models with a confidence interval  
499 equal to 95%. Geographical origins: Italy (□), Canada (●).

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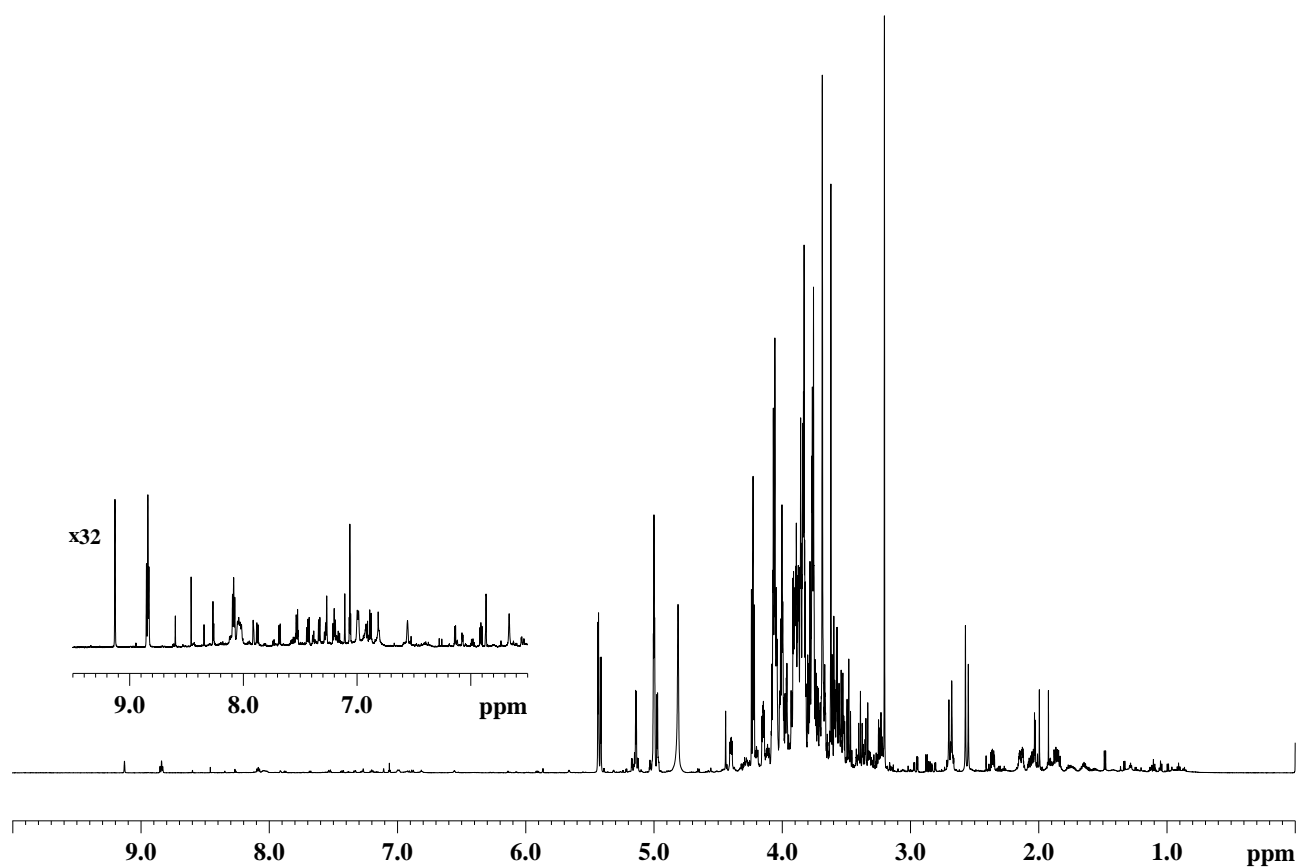
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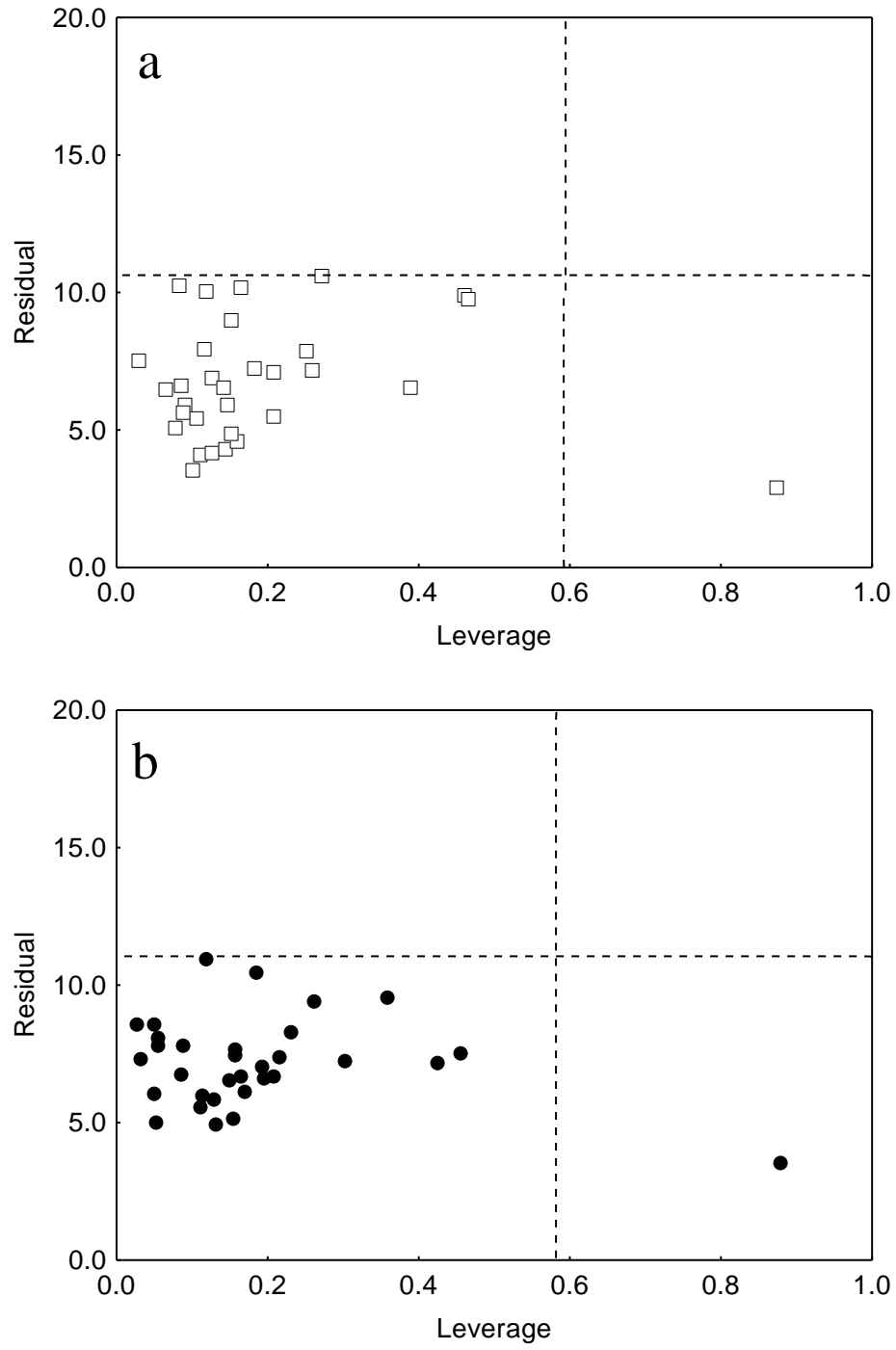
**Table 1**

Recognition and prediction abilities for the  $k$ -NN, PCA-LDA and PLS-DA models classifying lentils according to their geographical origin.

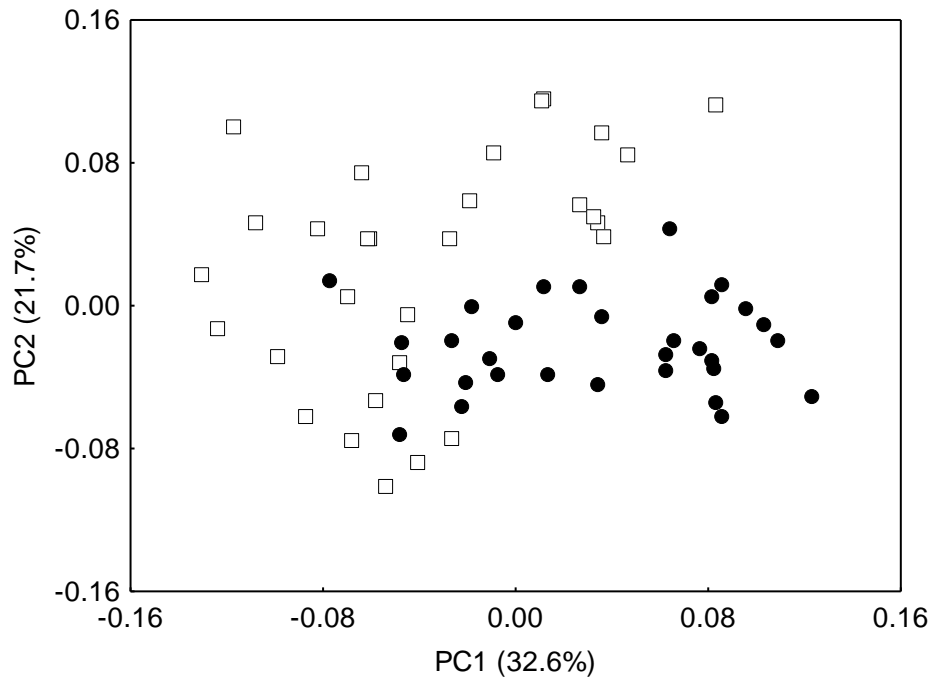
<b>Classification technique</b>	<b>Model performance (%)</b>
<b><math>k</math>-NN</b>	
Recognition ability (modelling)	95.1
Prediction ability (CV 10)	95.1
Prediction ability (test set)	100
Prediction ability (MCCV)	95.2
<b>PCA-LDA</b>	
Recognition ability (modelling)	100
Prediction ability (CV 10)	96.7
Prediction ability (test set)	100
Prediction ability (MCCV)	95.3
<b>PLS-DA</b>	
Recognition ability (modelling)	98.3
Prediction ability (CV 10)	96.7
Prediction ability (test set)	95.4
Prediction ability (MCCV)	95.2



**Figure 1**

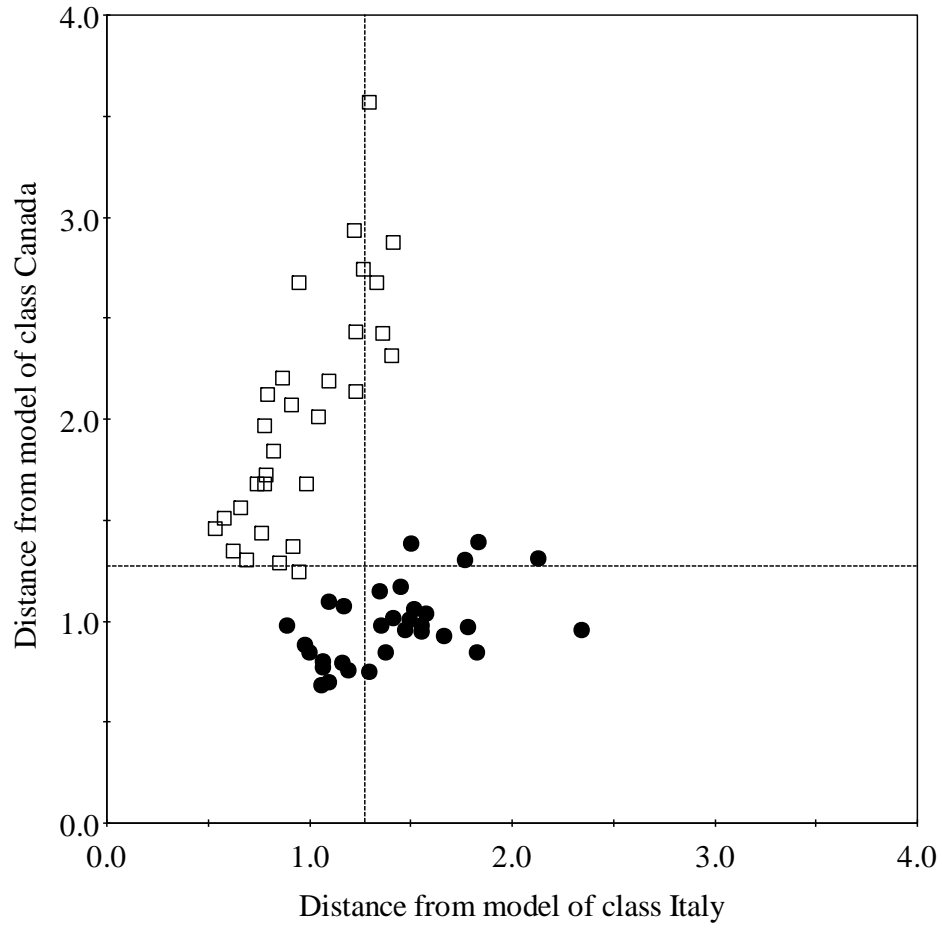


**Figure 2**



**Figure 3**





**Figure 4**

## Highlights

Geographic origin of lentils was discriminated by  $^1\text{H}$  NMR fingerprint and chemometrics

$^1\text{H}$  NMR was used in an untargeted approach

Different supervised methods were tested

External validation procedures were applied on the supervised models

LDA gave 100% classification and test set prediction performances