

# Survivin overexpression in head and neck squamous cell carcinomas as a new therapeutic target (Review)

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**Abstract.** Head and neck squamous cell carcinoma (HNSCC) is the sixth most commonly diagnosed cancer worldwide. It has poor clinical outcome due to intrinsic or acquired drug resistance. Deregulation of both apoptosis and autophagy contributes to chemotherapy resistance and disease progression. A new member of the inhibitors of apoptosis protein (IAP) family, namely survivin, is selectively overexpressed in tumors, including HNSCC, but not in normal tissues. Thus, it is considered a tumor biomarker. Here, we reviewed survivin expression and function in tumor progression focusing on its nodal role in the regulation of cell apoptosis and autophagy. Based on literature data, survivin targeting may be envisaged as a novel therapeutic strategy.

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## 1. Introduction

Head and neck squamous cell carcinoma (HNSCC) represents one of the most commonly diagnosed cancer worldwide (1). It develops in the squamous mucosa of the upper aerodigestive tract i.e. lip, tongue, nasopharynx, oropharynx and larynx. Accordingly, HNSCC is a heterogeneous group that includes oral squamous cell carcinoma (OSCC) and oropharyngeal squamous cell carcinoma (OPSCC) as the most common histotypes (2). Tumorigenesis and progression of HNSCC starts from a precancerous lesion to a malignant primary tumor to a metastatic tumor. This sequence implies that tumor cells acquire a more aggressive phenotype over time. Recent genomic and functional studies highlight the role of several tumor-suppressor pathways, including p53, Rb/INK4/ARF, PI3K/AKT/mTor and Notch, in the molecular pathogenesis of HNSCC (3). Tobacco use, alcohol consumption and infection of human papilloma virus (HPV) are the most important risk factors (4,5). Based on HPV infection, HNSCC is subgrouped into HPV-positive and HPV-negative forms that differ in regards to prognosis. HPV-negative HNSCC cases have poor prognosis and their outcome has not improved in the last few years (5). Conventional HNSCC therapy depends on tumor site and stage, and includes surgery, chemotherapy, radiotherapy and radio-chemotherapy. However, due to intrinsic or acquired drug resistance, both overall survival and prognosis still remain poor (6). Chemotherapy resistance is related to genomic complexity, intratumoral genetic heterogeneity and epigenetic modifications, i.e. DNA methylation, histone covalent modifications, chromatin remodelling and miRNAs, which contribute to the activation/inactivation of oncogenes/tumor-suppressor genes involved in key cellular functional pathways, namely cell proliferation, apoptosis, and autophagy (2,3).

Apoptosis is an evolutionarily conserved process responsible for the removal of damaged/non-functioning cells. Tumor progression and drug resistance implicate suppression of apoptosis by several mechanisms including an altered balance between pro-survival, i.e. survivin, and pro-death proteins (7-10).

Autophagy is a mechanism involved in the adaptation of tumor cells to drug treatment (11-13). It maintains

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intracellular homeostasis by lysosomal degradation and recycling of unnecessary or damaged cell components (14). Preclinical studies demonstrated that treatment with several chemotherapeutic drugs, i.e. vorinostat, cyclophosphamide, imatinib and bortezomib, activates autophagy in tumor cells as a prosurvival mechanism (6,11,12). Thus, specific drugs targeting apoptosis or autophagy pathways are currently under investigations (10-12).

Here, we describe survivin expression and function in regards to tumor progression focusing on its key role in cell cycle, apoptosis and autophagy.

## 2. Survivin

In 1997, Ambrosini *et al* (9) identified survivin, also known as baculoviral inhibitor of apoptosis repeat (BIR)-containing 5 (BIRC5), as a new member of the inhibitors of apoptosis protein (IAP) family (15). It is widely overexpressed in most malignancies including HNSCC (16). It is a multifunctional protein involved in the regulation of several cell processes, such as apoptosis and mitosis, through the coordination of the spindle checkpoint system and of the chromosome passenger protein complex (CPC), microtubule dynamics and cell response to stress (15). All of these functions are related to its structure (17).

Survivin is the smallest member of the IAP family that is composed of 142 amino acids with a single N-terminal Zn<sup>2+</sup>-binding BIR domain and a C-terminal with  $\alpha$ -helix motif (15). Both domains are essential for its functions; the former binds the target proteins involved in the regulation of apoptosis and mitosis, the latter contains a microtubule binding site that allows interactions between survivin and the cytoskeleton (15,16). Analysis of crystal structure of human survivin demonstrates its bow tie-shaped dimer containing two unusual C-terminal  $\alpha$ -helical extensions interacting with several proteins (18).

The survivin gene locus (*BIRC5*) is located on chromosome 17q25 and encodes for multiple alternative splice variants: Survivin full length, survivin 2 $\alpha$  (this has a new open reading frame and a new stop codon encoding for a truncated 74 amino acids protein), survivin 2B (this contains only a part of intron 2), survivin 3B (this has additional 3B exon with a stop codon), and survivin  $\Delta$ Ex3 (this lacks 118 nucleotides of exon 3) (15,19,20). Alternative survivin splice variants show different heterodimerization ability and different subcellular localization and functions that account for survivin multiple functional roles in normal and tumor cells (21). In particular, survivin 2 $\alpha$  restrains the anti-apoptotic activity of survivin, survivin 2B displays no anti-apoptotic activity and antagonizes the anti-apoptotic isoforms, and the function of survivin 3B remains unknown (22).

Fortugno *et al* (23) identified distinct survivin subcellular pools, including a predominant cytosolic fraction and a smaller nuclear pool that localizes to kinetochores of metaphase chromosomes. The cytosolic pool is associated with interphase microtubules, centrosomes, spindle poles and mitotic spindle microtubules at metaphase and anaphase. In synchronized HeLa cell cultures, cytosolic survivin is phosphorylated by p34cdc2 on Thr34, and increases during mitosis. By contrast, nuclear survivin starts to accumulate in S-phase, it is neither complexed with p34cdc2 nor phosphorylated on Thr34.

Analysis on the SWISS-PROT and YPD databases predicted a preferential cytoplasmic localization of survivin and survivin 2B, and a preferential nuclear localization of survivin  $\Delta$ Ex3 (21). In tumor cells, survivin is also located in mitochondria associated to heat shock protein 90 (Hsp90); as a response to apoptotic stimuli it is released into the cytosol and suppresses apoptosis (24).

Survivin expression is tightly regulated through multiple cell signaling pathways at transcriptional and post-transcriptional levels leading to its overexpression during tumorigenesis and drug resistance (25). The survivin promoter region lacks the typical TATA or CCAAT box, and harbors binding sites for a range of regulatory proteins, including specificity protein 1 (Sp1) and p53. The observations that: i) Survivin overexpression parallels increased levels of Sp1, ii) Sp1 mutations reduces the expression of *BIRC5* gene, and iii) Sp1 inhibition by mithramycin or RNA interference decreases the survivin promoter activity, point to a central role of Sp1 in the regulation of survivin gene transcription (25). The p53 protein is a transcription factor that induces apoptosis by regulating the expression of several apoptotic genes. In particular, the wild-type p53 binds specific elements of the survivin promoter and represses survivin expression (15,25,26).

Insulin-like growth factor 1 (IGF-1) promotes cell proliferation and survivin expression via activation of the PI3K/AKT/mTOR pathway (27). Several lines of evidence show that the STAT3 pathway regulates survivin gene expression. Constitutive activation of STAT3 in primary effusion lymphoma, gastric cancer and breast cancer cells correlates to survivin overexpression, disease progression and tumor cell survival (28,29). Inhibition of STAT3 with antisense oligonucleotides reduces survivin expression and sensitizes breast cancer cells to chemotherapy proving that survivin is a direct STAT3-target gene (30). Moreover, survivin is a downstream target of the YAP/COX-2/PGE2 pathway. A genome microarray analysis showed that the overexpression of the transcriptional coactivator YAP triggers COX-2 and increases survivin expression sustaining cell survival and proliferation (31).

Post-translational modifications, including phosphorylation and acetylation, regulate the survivin cell functions. Phosphorylation of the amino acid Thr34 in the BIR domain prevents the binding of survivin to caspase-9, inhibits intrinsic apoptosis (see the section below), and increases its cytoprotective effect in tumor cells (Fig. 1) (32). Mitochondrial and cytosolic survivin play a different apoptotic role that depends on the phosphorylation of Ser20. In response to pro-apoptotic stimuli, the mitochondrial dephosphorylated Ser20 survivin is released into the cytosol and prevents caspase activation by complexing with X-linked IAP (XIAP). In contrast, survivin is phosphorylated on Ser20 by polo-like kinase1 (PLK1), a multitasking protein involved in cell mitotic entry, centrosome separation, spindle assembly and chromosome alignment. Phosphorylated Ser20 survivin binds to and activates Aurora B kinase forming the CPC, which plays an important role in cytokinesis (Fig. 1) (25).

## 3. Survivin and apoptosis

Survivin was originally identified as an IAP family member (9) acting as a death suppressor (33-35). Subsequently, Li *et al* (36)

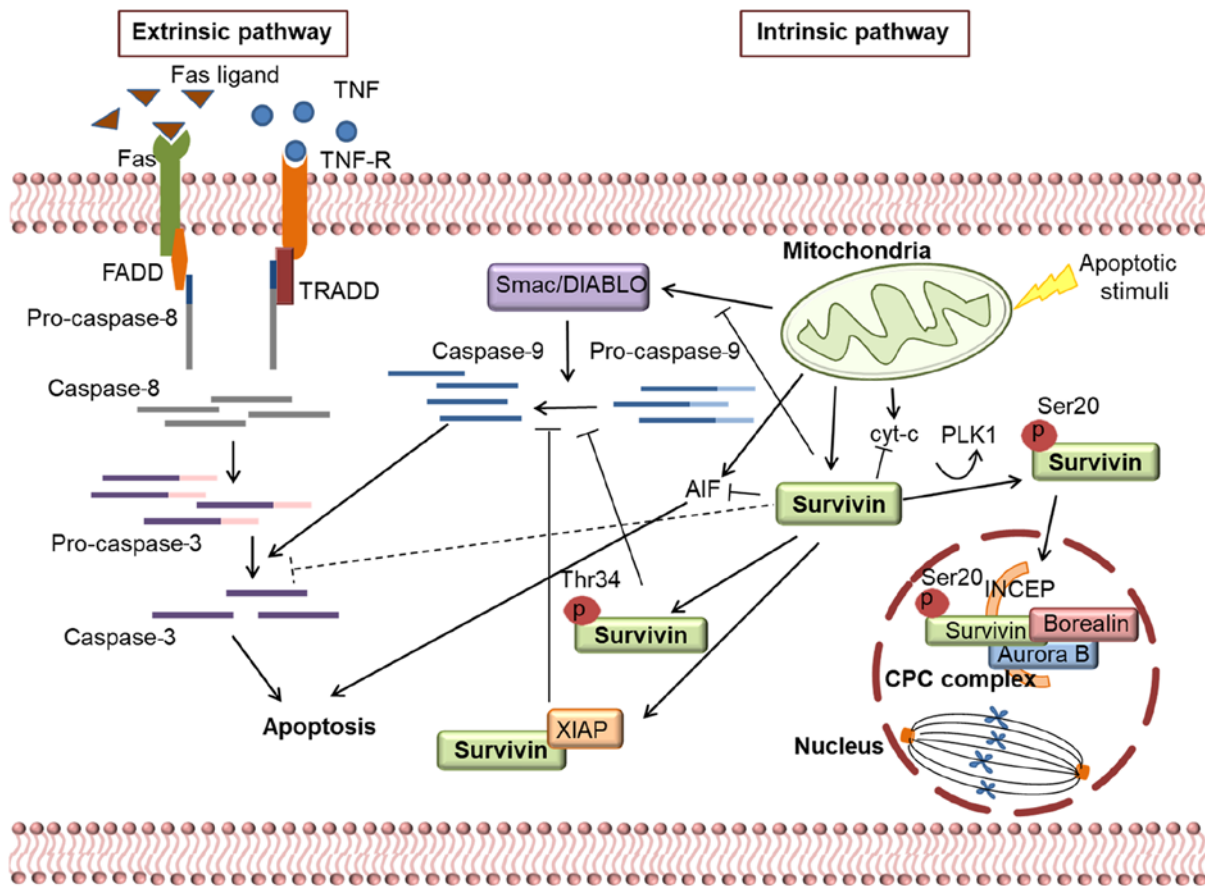


Figure 1. Schematic illustration of survivin involvement in apoptotic and mitotic processes. Cell apoptosis can occur through the extrinsic and the intrinsic pathways. In the former, the binding of Fas ligand or tumor necrosis factor (TNF) to a death receptor (Fas or TNF-R) activates caspase-8. In stress conditions (UV radiation and/or chemotherapy), cells initiate the mitochondrial pathway (intrinsic apoptosis) leading to the release of apoptotic factors, i.e. cytochrome *c* (cyt *c*), apoptosis-inducing factor (AIF) and Smac/DIABLO, that in turn cleave pro-caspase-9 into caspase-9. Cytosolic survivin prevents caspase-9 activation, by binding to Smac/DIABLO, and/or to X-linked IAP (XIAP) and/or by its phosphorylation on Thr34, preventing the activation of caspase-3. Survivin affects the caspase-independent apoptosis by inhibiting AIF. Survivin is involved in cell cycle progression and mitosis allowing chromosome alignment, segregation and cytokinesis. After phosphorylation by polo-like kinase1 (PLK1) on Ser20, it binds to and activates Aurora B kinase moving to the nucleus, where it forms the chromosome passenger complex (CPC) with Borealin and inner centromere protein Antigen (INCEP).

demonstrated that survivin acts as an interface between the cell cycle and apoptosis. Indeed, interference with survivin expression or function induces pleiotropic cell cycle and apoptosis defects, i.e. supernumerary centrosomes, aberrant mitotic spindles, and polyploidy. The use of a dominant-negative survivin mutant or antisense survivin complementary DNA disrupts the assembly of survivin, caspase-3 and cyclin-dependent-kinase inhibitor p21<sup>Waf1/Cip1</sup> within centrosomes, suggesting that survivin controls apoptosis and is required for normal progression of mitosis (36). Survivin has also been suggested as a nodal protein involved in multiple signaling mechanisms in tumor initiation and progression (26). In mammalian cells, survivin participates in mitosis, apoptosis, and cellular stress response (24).

Survivin is an anti-apoptotic factor. It interacts with many factors that regulate intrinsic and extrinsic apoptotic pathways. It binds to XIAP and prevents XIAP ubiquitination and proteasomal destruction. The survivin/XIAP complex avoids caspase-9 cleavage and activation, inhibits apoptosis, activates several signaling pathways, and promotes tumor progression (37). The direct interaction of survivin with caspases is controversial. Indeed, some authors have

described the interaction of survivin with caspases (38), while others have ruled out the effects of this interaction on their activity (37). This discrepancy may be explained by the ability of survivin to also inhibit caspase-independent apoptosis by interacting with the apoptosis-inducing factor (AIF) (39). Indeed, inhibition of survivin in breast cancer cells results in the nuclear translocation of mitochondrial AIF that causes DNA fragmentation and induces apoptosis with no effect on caspase-3 cleavage (39). Finally, survivin regulates mitochondrial apoptosis by preventing the Smac/DIABLO release from mitochondria (40). Following the apoptotic stimulus, Smac/DIABLO is released into the cytosol where it neutralizes IAPs, including XIAPs, and potentiates apoptosis (41). Song *et al* (42) demonstrated that a point mutation in the baculoviral IAP repeat motif and a C-terminal deletion mutant (*Surv-BIR*) of survivin fail to bind to Smac/DIABLO and abrogate its anti-apoptotic effect.

The role of survivin has been also studied in the cellular stress response through its association with various molecular chaperones, including Hsp60 (43) and Hsp90 (44) that increase survivin stability and promote cell survival under cellular stress conditions.

#### 4. Survivin and autophagy

Autophagy is a highly conserved self-degradative process that is essential for maintaining cell homeostasis in both physiological and pathological conditions such as removal of misfolded proteins or damaged organelles and elimination of intracellular pathogens in response to nutrient deprivation or stress conditions (11,14,45). Autophagy plays a dual role in tumors: i) It can support cell survival and drug resistance; ii) it can prevent tumor cell transformation inducing a non-apoptotic cell death also known as type II programmed cell death. The cross-regulation of these opposite effects relies on a network of signal transducers of autophagic and apoptotic processes (46). Indeed, a mutual crosstalk between Bcl-2/Beclin 1 (47), Atg5/BCL-x1 (48), Atg12/Bcl2 (49), caspase-3/Beclin 1 (50), caspase-8/p62 (51), and caspase-9/Atg7 (52) that are the most important proteins involved in both processes has been demonstrated.

Survivin is involved in the cellular stress response by interfering with autophagy. It interacts with different proteins of the autophagic machinery (53). Niu *et al* (54) demonstrated that Beclin 1 is able to bind to survivin (Fig. 2). Its knockdown results in survivin downregulation through ubiquitination and proteasome degradation, and enhances TRAIL-induced apoptosis in human glioma cells. Roca *et al* (55) demonstrated that the chemokine (C-C motif) ligand 2 (CCL2), an inflammatory cytokine with multiple effects on prostate cancer (56), induces survivin overexpression via the PI3K/Akt/mTOR pathway. Treatment of the CCL2-exposed prostate cancer cell line PC3 with PI3K or AKT or mTOR inhibitors reduced the CCL2-mediated upregulation of survivin and induced cell death (55). Indeed, mTOR, the most important negative regulator of autophagy, increases the mRNA stability and translation of survivin (Fig. 2) (55). Furthermore, survivin interacts with the microtubule-associated protein 1 light chain 3 (LC3), interfering with the formation of autophagosomes and preventing LC3-I cleavage into LC3-II. The targeting of survivin by YM155, the first-in-class survivin inhibitor (57), was found to increase the conversion of LC3-II and to promote autophagy-related cell death in breast cancer cells (58).

#### 5. Survivin in head and neck squamous cell carcinoma

Due to its selective expression in tumors, including HNSCCs, but not in normal tissues, survivin has been proposed as a tumor biomarker (15,16). Immunohistochemical analysis of survivin expression in OSCC, pre-neoplastic lesions, and oral leukoplakia shows a significant overexpression in approximately 80% of OSCCs and 50% of premalignant lesions, suggesting that survivin may be involved in the early stages of tumor progression (59,60). Survivin is also considered a predictor factor of disease progression; 94% of oral precancerous lesions showing survivin positivity evolve into full-blown OSCCs (61). Survivin expression correlates with more aggressive and poorly differentiated tumor phenotype, lymph node metastasis, poor prognosis and reduced patient survival rate (59,61-68), indicating that survivin could be a prognostic factor for tumor progression and patient outcome. Recently, Xie *et al* (69) performed a meta-analysis including 15 studies in order to compare the different clinicopathological features or survival

rates with survivin expression in 1,040 OSCC patients (69). In this report, authors defined a significant association among survivin overexpression, poor prognosis, lymph node metastasis and clinical stage without a significant correlation with the clinicopathological values, i.e. tumor differentiation grade, depth of invasion, age and sex. The absence of the clinicopathological significance of survivin may be explained by the presence of splice variants and/or by the different subcellular localization and function of survivin (69).

Engels *et al* (70) examined the localization and prognostic value of nuclear and cytoplasmic survivin in the pre-therapeutic biopsies from 71 OSCC patients. Cytoplasmic survivin was found to be associated with poor overall survival and disease outcome. The authors suggested that the balance between cytoplasmic and nuclear survivin in tumor cells is a critical factor for the survivin cytoprotective activity. Recently, Liu *et al* (71) examined survivin expression in 90 paired primary OSCC and adjacent normal tissue by immunohistochemistry. Although total survivin levels were higher in OSCC than in normal oral tissue, nuclear survivin was associated with the TNM classification of malignant tumors and tumor grade. Furthermore, *in vitro* experiments using OSCC cell lines demonstrated that cytoplasmic survivin mediates protection against chemo- and radio-therapy-induced apoptosis. Troiano *et al* (72) revealed that cytoplasmic expression of survivin is associated with poor overall survival in OSCC patients, while its nuclear expression correlates with a higher proliferation rate. Kaplan-Meier (univariate) and Cox regression (multivariate) analysis showed that only the cytoplasmic expression of survivin was an independent prognostic factor of overall survival. The authors performed an integrated analysis of *BIRC5*/survivin expression using both immunohistochemistry and bioinformatics on publicly available databases in order to identify the molecular mechanisms causing survivin overexpression. Bioinformatic analysis revealed a low frequency of survivin gene mutations, and found a correlation of survivin overexpression to the alteration of genes that regulates *BIRC5* expression such as *AKT*, *BUB*, *CDKN2A1*, *FOXMI*, *KIF23*, *MYC*, *PRKACA* and *STAG2*. The most recurring mutation was the homozygous deletion of *CDKN2A* gene encoding for p16(INK4A) and p14(ARF) proteins (72) that govern cell cycle progression. *CDKN2A* mutations and cytoplasmic survivin immunostaining have been associated with higher risk of melanoma (73).

Epigenetic modifications in the regulation of survivin expression play a role in HNSCC (74). Since the *BIRC5* promoter is a GC-rich region, its hypomethylation is an important step in OSCC tumorigenesis (75,76). Tanaka *et al* (77) analyzed the methylation status of the *BIRC5* promoter in OSCCs and oral pre-malignant lesions, and showed that the hypomethylation of this promoter occurs in all tumor tissues.

p53 participates in the survivin upregulation in OSCCs (76). Khan *et al* (78) reported a positive correlation between p53 and survivin expression in both HNSCC and premalignant lesions. This evidence suggests that p53 is involved at the early stage in oral cancer development and contributes to survivin overexpression and apoptosis deregulation.

As previously mentioned, the survivin gene locus encodes for multiple alternative splice variants with several heterodimerization possibilities and different functions (19-22). Analysis in 20 HNSCC cell lines at different levels of

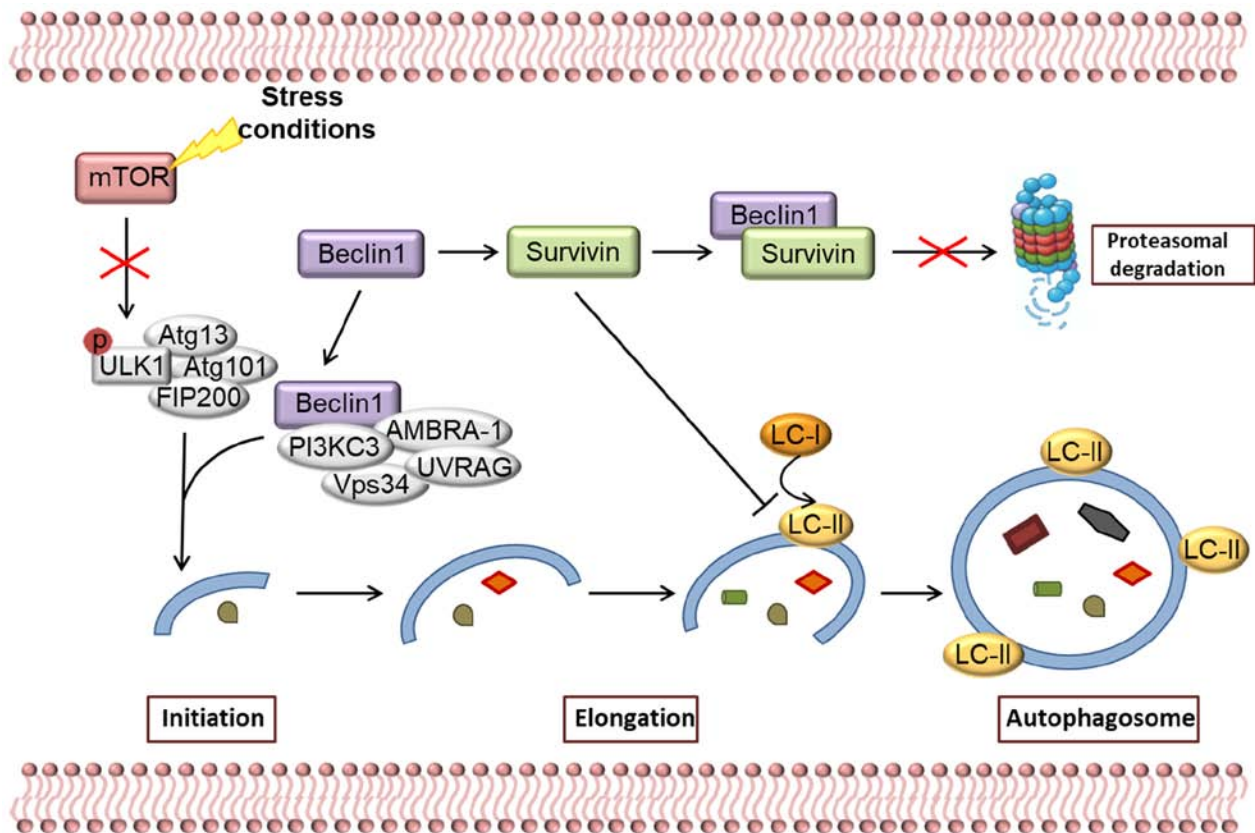


Figure 2. Schematic illustration of the involvement of survivin in the autophagic process. In stress conditions (i.e. nutrient deprivation, hypoxia, oxidative stress, DNA damage), mTOR inhibition triggers autophagy through activation of autophosphorylated ULK1/Atg13/Atg101/FIP200 complex. This complex recruits Beclin-1/PI3KC3/Vps34/AMBRA-1/UVRAG resulting in the first steps of phagophore formation (autophagosome initiation phase). The elongation process requires the cleavage of the microtubule-associated protein light chain 3 (LC3-I) into the autophagic vesicle-associated LC3-II which regulates the fusion of the autophagosome to the lysosome. Beclin 1 binds to survivin suppressing its proteasomal degradation. Survivin acts on the final steps of autophagosome formation by preventing LC3-II cleavage and anchorage.

differentiation showed that these cell lines expressed higher levels of survivin compared to a human neonatal keratinocyte cell line (NHEK) (79-82). Further analysis of the expression of the splice variants (survivin full length, survivin 2B, survivin 3B and survivin  $\Delta$ Ex3) by semi-quantitative RT-PCR highlighted that normal NHEK cells expressed low levels of survivin full length and survivin  $\Delta$ Ex3 (unpublished data). By contrast, higher levels of all survivin isoforms including survivin 2B and 3B were observed in tumor cell lines, suggesting a deregulated ratio between pro-apoptotic and anti-apoptotic survivin splice variants that may affect the pro-survival survivin activity (unpublished data). No correlation between the expression of survivin splice variants and cell differentiation was observed. Indeed, high levels of survivin full length and  $\Delta$ Ex3 were detected in more differentiated (KM2, OSC30, OSC20 and HSG), in moderately differentiated (Ca9-22, HNT and KM1) and poorly differentiated (KB, HEP2, HSC3) cell lines (unpublished data). De Maria *et al* (22) analyzed the expression of survivin splice variants, survivin, survivin 2B, 3B and  $\Delta$ Ex3, at the mRNA and protein levels in normal mucosa, oral precancerous lesions and OSCCs. Higher levels of survivin transcripts were observed in OSCCs than these levels in normal tissues. In particular, survivin and survivin  $\Delta$ Ex3 were the most upregulated transcripts followed by survivin 2B and 3B indicating a trend of association between survivin isoforms and clinicopathological features;

survivin 2B was found to be increased in advanced tumors compared to early stage ones; conversely the survivin  $\Delta$ Ex3 decreased during tumor progression and in metastasis (22).

Overall data suggest that the survivin expression may be considered a specific prognostic and therapeutic marker in HNSCCs (83).

## 6. Survivin as a therapeutic target

The observations that survivin is selectively upregulated in almost all types of human malignancies and barely detectable in most terminally differentiated tissues (84,85) and that its overexpression is associated with chemotherapy resistance and tumor recurrence (15,86) suggest that the targeting of survivin may be envisaged as a novel therapeutic strategy (58,87-89).

In the last few years, several authors have investigated the effect of survivin inhibition using different strategies, namely small-molecule inhibitors, antisense nucleotides, antitumor immunotherapy and RNA interference (Table I) (90).

The small-molecule inhibitors directly or indirectly bind survivin and suppress its functions. The most important one is sepantromium bromide YM155. It selectively suppresses survivin expression (91,92) and increases the p53 modulator of apoptosis PUMA levels and caspase-3 activation (92). It induces autophagic and apoptotic cell death of HNSCC cell

Table I. Anti-survivin therapeutic strategies.

Agents	Mechanism of action	Types of cancer	Study model	(Refs.) or ID code
siRNA constructs sequences: Forward 5'-GCAUCUCUACAUAUCAAAGAA-3' and reverse 5'-UUCUUGAAUGUAGAGAUGC-3'	siRNAs and siRNA nanoliposomes	Tumor human cell lines: MDA-MB-231 (breast carcinoma), SGC-7901 (gastric carcinoma), HeLa (cervical carcinoma), A549 (lung carcinoma), SK-OV-3 (ovarian carcinoma), Raji (lymphoma), PC-3 (prostate carcinoma), MCF-7 (breast cancer), HepG2 (liver carcinoma), MHCC-97H hepatic cancer.	<i>In vitro</i> and <i>in vivo</i>	(90,116-118)
EZN-3042	Antisense oligonucleotides (ASO)	A549 and Calu-6 lung xenograft models	<i>In vivo</i>	(107)
LY2181308	Antisense oligonucleotides (ASO)	HNSCC, esophagus, advanced or metastatic non-small cell lung cancer, acute myeloid leukemia	Phase I/II	(90,105,110), NCT01107444, NCT00620321
YM155 + carboplatin + paclitaxel	Small-molecule inhibitor	HNSCC, solid tumors, NSCLC	Phase I/II	(90,94), NCT01100931
hTERT/survivin	Vaccine therapy	Breast cancer, metastatic malignant melanoma	Phase I/II	NCT00573495, NCT00961844
Montanide ISA-51/survivin peptide vaccine + sargramostim	Vaccine therapy	Glioma, glioblastoma, brain neoplasm	Phase I/II	NCT01250470/ NCT02455557
Tumor associated antigen lymphocytes (TAA-CTLs)	Immunotherapy	Solid tumors (brain, pancreatic, breast cancers) and hematopoietic malignancies (acute myeloid leukemia, myelodysplastic syndrome, leukemia, multiple myeloma, Hodgkin lymphoma and non-Hodgkin lymphoma)	Phase I	NCT03652545, NCT03192462, NCT03093350, NCT02494167, NCT01333046, NCT02291848.
Survivin peptide vaccine	Vaccine therapy	Oral cavity	Phase I	(113), UMIN000000976
Survivin peptide vaccine + IL2 + GM-CSF	Vaccine therapy	Advanced	Phase I melanoma	NCT00470015
Survivin peptide vaccine + low dose cyclophosphamide	Vaccine therapy	Ovarian cancer	Phase I	NCT01416038
Dendritic cell-based therapy	Immunotherapy	Advanced RCC, advanced melanoma, prostatic neoplasms, metastatic pancreatic cancer	Phase I/II	NCT00197860, NCT00197912, NCT01446731, NCT01410968

ID codes for clinical trials can be accessed at [clinicaltrials.gov](http://clinicaltrials.gov). HNSCC, head and neck squamous cell carcinoma; NSCLC, non-small cell lung cancer; RCC, renal cell carcinoma.

lines by inhibiting the pro-survival Akt/mTOR pathway (93). The therapeutic effect of YM155 was confirmed *in vivo* using xenograft and transgenic mice models; it delayed HNSCC onset and suppressed tumor growth via apoptosis and autophagy. In phase I/II clinical trials, the effect of YM155 has been investigated in patients with advanced hematological and solid tumors, including HNSCC. YM155 is safe with slight side effects such as microalbuminuria, fever, fatigue, haemoglobin reduction and lymphopenia. However, no positive effects were observed in patients with oesophageal cancer (92,94-97).

Recent studies have documented the role of nonsteroidal anti-inflammatory drugs (NSAIDs) as anticancer drugs through the inhibition of COX2 and the expression of NSAID target genes (98,99). In particular, NSAIDs may affect survivin expression directly by blocking the activity of COX2 (31), and, indirectly, through the inhibition of AKT (100) and/or STAT3 pathways (101) and/or through the degradation of survivin via the ubiquitin proteasome system (102). The NSAID indomethacin was found to reduce survivin and Aurora B kinase and have a proapoptotic effect on human gastric carcinoma cell lines and in mouse gastric mucosa (103). Furthermore, the dual inhibition of YAP and COX2 was found to decrease survivin expression affecting cell apoptosis and invasion *in vitro* and tumor growth *in vivo* (31). The NSAID sulindac was demonstrated to downregulate survivin expression in a STAT3-dependent mechanism acting on HNSCC cell lines proliferation and apoptosis *in vitro* and *in vivo* (101).

Antisense oligonucleotides (ASO) are single-stranded RNA or DNA sequences of 8-50 nucleotides complementary to a specific RNA strand that suppress the expression of a specific gene. ASO have been developed as a new approach to inhibit survivin expression by binding its human mRNA. Oligonucleotides 4003, LY2181308, SPC3042 and EZN-3042 are survivin ASO that target different regions of mRNA (104-108). ASO are able to reduce cell proliferation by increasing caspase-dependent apoptosis in several tumor cells (53,105). Phase I clinical trials of LY2181308 in patients with advanced cancers, including one patient with OSCC, show great tolerability while no cytotoxic effect and only a promising antitumor activity closely related to survivin inhibition. These clinical trials reveal encouraging data on the pro-apoptotic effect of ASO in combination with other chemotherapeutic agents (109,110).

Antitumor immunotherapy involves the use of vaccines, or immune cells such as natural killer cells, dendritic cells, and cytotoxic T lymphocytes (CTLs) activated *in vitro* and back transfused to cancer patients (111,112). Several studies (113-115) have identified CTLs specific for survivin epitopes with high cytotoxicity to various tumors, including OSCC. Miyazaki *et al* (113) initiated a phase I clinical study using survivin 2B peptide as vaccine in patients with locally advanced or recurrent OSCC.

Finally, preclinical studies have focused on the inhibition of survivin expression through small interfering RNA (siRNA) (116-118). siRNA targeting survivin in OSCC cell lines was found to reduce cell proliferation, increase apoptosis, and improve the response to chemotherapeutic agents such as cisplatin, 5-fluorouracil and paclitaxel (119-121).

In conclusion, although several studies emphasize targeting survivin as a novel therapeutic strategy in HNSCCs,

survivin-based therapy is still a long way from application in clinical trials.

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### Availability of data and materials

The datasets used and analyzed during the present study are available from the corresponding author on reasonable request.

### Authors' contributions

MAF, IS, AVi and MAM conceived, designed the study and wrote the paper. LLM, GP, RF and AVa critically revised the manuscript for important intellectual content and were also involved in the conception of the study. All authors read and approved the manuscript and agree to be accountable for all aspects of the research in ensuring that the accuracy or integrity of any part of the work are appropriately investigated and resolved.

### Ethics approval and consent to participate

Not applicable.

### Patient consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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