

# Liquid biopsy of cancer: a multimodal diagnostic tool in clinical oncology

Raffaele Palmirotta<sup>1</sup>, Domenica Lovero, Paola Cafforio, Claudia Felici, Francesco Mannavola, Eleonora Pellè, Davide Quaresmini, Marco Tucci and Franco Silvestris

*Ther Adv Med Oncol*

2018, Vol. 10: 1–24

DOI: 10.1177/  
1758835918794630

© The Author(s), 2018.  
Article reuse guidelines:  
sagepub.com/journals-  
permissions

**Abstract:** Over the last decades, the concept of precision medicine has dramatically renewed the field of medical oncology; the introduction of patient-tailored therapies has significantly improved all measurable outcomes. Liquid biopsy is a revolutionary technique that is opening previously unexpected perspectives. It consists of the detection and isolation of circulating tumor cells, circulating tumor DNA and exosomes, as a source of genomic and proteomic information in patients with cancer. Many technical hurdles have been resolved thanks to newly developed techniques and next-generation sequencing analyses, allowing a broad application of liquid biopsy in a wide range of settings. Initially correlated to prognosis, liquid biopsy data are now being studied for cancer diagnosis, hopefully including screenings, and most importantly for the prediction of response or resistance to given treatments. In particular, the identification of specific mutations in target genes can aid in therapeutic decisions, both in the appropriateness of treatment and in the advanced identification of secondary resistance, aiming to early diagnose disease progression. Still application is far from reality but ongoing research is leading the way to a new era in oncology. This review summarizes the main techniques and applications of liquid biopsy in cancer.

**Keywords:** cancer, circulating tumor cells, circulating tumor DNA, exosomes, liquid biopsy, targeted therapy

Received: 23 February 2018; revised manuscript accepted: 28 June 2018

## Introduction

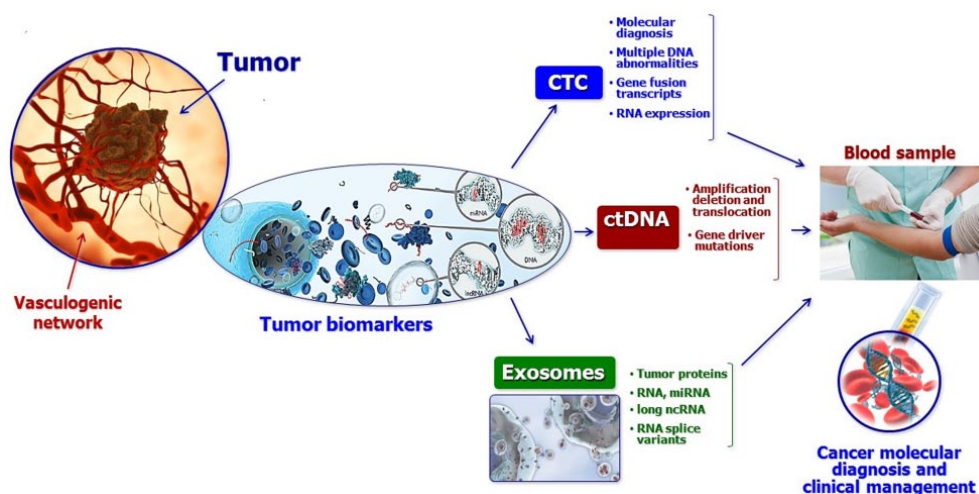
In recent years, the results of the Human Genome Project and pharmacogenomics research overcame the old paradigm of ‘one size fits all’ in oncology, providing a large amount of molecular data that generated the concept of ‘precision medicine’ with the aim of tailoring therapies for patients in relation to the personalized patterns of the tumor.<sup>1–3</sup>

As a consequence of their diagnostic potential, novel biotechnologies highlighted the limitations of current sampling methods:<sup>4</sup> traditional biopsies and surgical procedures are invasive, charged with potential complications, sometimes unrepeatably and cannot be performed when clinical conditions have worsened or when a tumor is inaccessible.<sup>5</sup> Furthermore, the genomic profile of biopsy tissues provides a tumor picture limited to a single point in time, and may also show the genetic

heterogeneity of numerous tumor subclones.<sup>6</sup> In fact, many studies have established that the genomic landscape of tumors and metastases dynamically evolve over time in response to selective pressure of therapies that can suppress or promote the growth of different cellular clones.<sup>5</sup> These limitations are particularly evident in the presence of acquired resistance to therapy or in monitoring the disease during follow up. For these reasons, in recent years the new field of oncology research has focused on cancer-derived components that circulate in the bloodstream.<sup>6</sup> Apoptotic or necrotic cancer cells release circulating cell-free DNA fragments, designated as circulating tumor DNA (ctDNA), as well as exosomes (EXOs), namely membrane-encapsulated subcellular structures containing proteins and nucleic acids released by the tumor cells.<sup>5–8</sup> Primary tumor and metastatic sites are also able to shed vital cells that,

Correspondence to:  
**Franco Silvestris**  
Section of Clinical and  
Molecular Oncology,  
Department of Biomedical  
Sciences and Human  
Oncology, University of  
Bari Aldo Moro, Bari,  
70124, Italy  
[francesco.silvestris@uniba.it](mailto:francesco.silvestris@uniba.it)

**Raffaele Palmirotta**  
**Domenica Lovero**  
**Paola Cafforio**  
**Claudia Felici**  
**Francesco Mannavola**  
**Eleonora Pellè**  
**Davide Quaresmini**  
**Marco Tucci**  
Section of Clinical and  
Molecular Oncology,  
Department of Biomedical  
Sciences and Human  
Oncology, University of  
Bari Aldo Moro, Bari, Italy



**Figure 1.** Molecular applications of circulating tumor cells (CTCs), circulating tumor DNA (ctDNA) and exosomes as liquid biopsy for personalized medicine.

once entered into the bloodstream, are circulating tumor cells (CTCs).

Isolation of these tumor-derived components from peripheral blood and their genomic or proteomic assessment represent a new diagnostic tool that has been called ‘liquid biopsy’ (Figure 1). The initial limitations due to the scarcity of nucleic acid as well as the difficulty in distinguishing between normal and tumoral nucleic acids<sup>9</sup> have been overcome by the increased sensitivity of next-generation sequencing (NGS) techniques, which now may accurately detect genetic and epigenetic aberrations. Liquid biopsy currently offers a high specificity, allowing the collection of robust and reproducible data in a simple and noninvasive way using a blood sample.<sup>7</sup> To date, liquid biopsy is not a routine test in clinical practice, but its potential applications are rapidly growing: from diagnostic genomic profiling to the monitoring of radicality in surgical outcomes, from evaluating either response or resistance to systemic treatments, to quantifying minimal residual disease.<sup>4</sup>

Here, we describe and compare the characteristics, the current detection techniques and the clinical applications of CTCs, ctDNA, and EXOs in cancer based on our own experience as well as that of others.

### Circulating tumor cells

#### *Characteristics and detection techniques*

Since the first description in 1869 of tumor cells in peripheral blood,<sup>10</sup> substantial progress has

been gained during the past few years from sustained biotechnological applications to isolate CTCs from heterogeneous blood components.

CTCs are shed from either primary or secondary tumor sites; they migrate into the circulatory system and are responsible for the development of distant metastases.<sup>11</sup> CTCs are extremely rare, occurring at a frequency as low as 1 CTC per  $10^6$ – $10^7$  leukocytes, with even lower numbers in early stage diseases.<sup>12</sup> Initially assessed as nonleukocytic, nucleated cells of epithelial origin, CTCs do not have well defined morphological aspects and they may vary according to cancer type and stage.<sup>11</sup> CTCs may also cluster either with parental tumor cells or with fibroblasts, leukocytes, endothelial cells or platelets, forming aggregates with higher propensity to seed distant metastases than single CTCs, thanks to their survival advantage<sup>13</sup> and to the protection from the immune system and oxidative stress.<sup>14,15</sup>

However, CTCs provide an ideal approach to molecular cancer diagnosis and treatment options, and their investigation is widespread in cancer research. Based on their properties, several systems have improved their detection and isolation, utilizing their physical differences compared with leukocytes,<sup>16</sup> including antigen expression (Table 1). The major differences are their large size, up to 20–30  $\mu\text{m}$ , mechanical plasticity, and dielectric mobility properties compared with blood cells.<sup>17–20</sup> Fruitful methods of isolation include membrane filtration, density gradient stratification, dielectric mobility, photoacoustic and microfluidic separation.<sup>21–23</sup> However, these

**Table 1.** Different technologies to isolate CTCs.

Technology	Methods	Platforms	References
Physical properties	Size, density, others	Physical filter Density gradient Dielectric Photoacoustic Microfluidic	21,23,25
High-throughput imaging	Scanning of cells on slide	Imaging cytometry	22
Leukocyte depletion	Negative depletion of leukocytes	Batch cell lysis Microfluidic CTC-iChip Immunomagnetic separation	16
Antibody capture	Selection for tumor-specific markers	CellSearch Magsweeper Microfluidic CTC-Chip	26,27
Functional characteristics	Protein secretion, cell migration	Epispot assay Invasion assay	28
Nanotechnology	Nanomaterials able to increase interactions with CTCs and specific antibodies, and to enable their electrical conductivity	Immunomagnetic nanobeads, nanostructures substrates in microchip	29–31

CTC, circulating tumor cell.

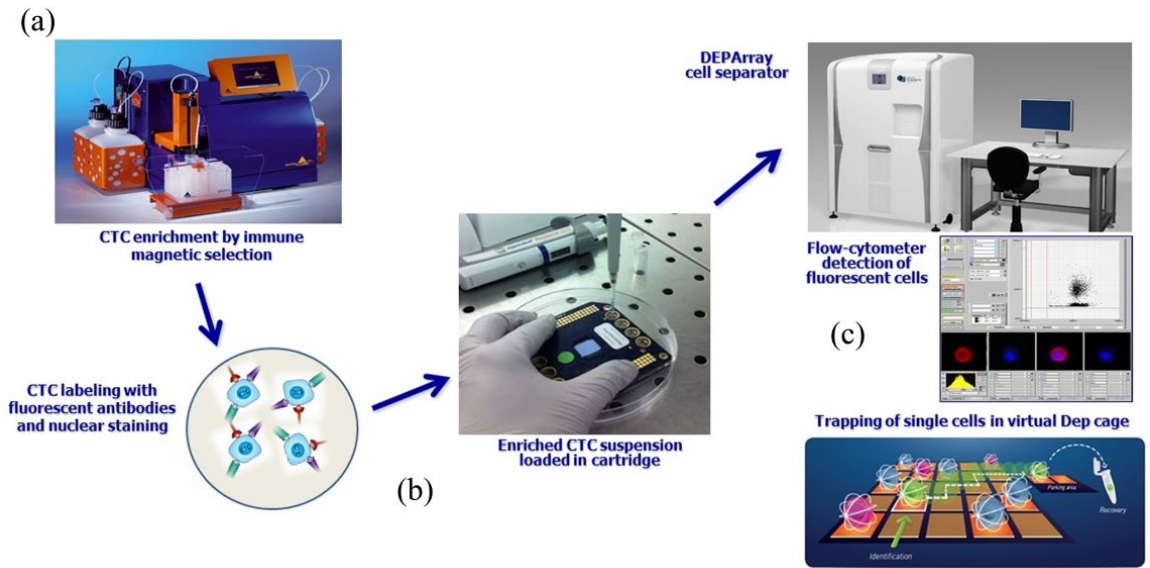
techniques are sometimes inadequate in relation to their low specificity.<sup>24</sup> Antibody-based functional assays include cytometric high-throughput imaging, immunomagnetic and adhesion-based separation methods,<sup>28</sup> as well as negative leukocyte depletion and CTC recruitment by specific tumor markers sequentially adopted as depicted in Figure 2.<sup>32</sup>

However, there is no consensus on the specific antibodies to be used. CTCs express epithelial cell adhesion molecule (EPCAM), which is regarded as a biomarker reflecting a risk factor for tumor recurrence,<sup>33</sup> although cytokeratins, including CK8, CK18, CK19<sup>26</sup> and specific tumor markers (TTF-1, PSA, HER-2, etc.)<sup>34–36</sup> are also useful for detection and isolation. On the other hand, EPCAM is usually lost during the epithelial to mesenchymal transition (EMT), the process that sustains both CTC migration and extravasation towards secondary sites, and the resistance to anoikis and apoptosis<sup>37</sup> that has recently been related to poor clinical outcome in breast,<sup>38</sup> colorectal,<sup>39</sup> prostate,<sup>40</sup> ovarian,<sup>41</sup> and non-small cell lung<sup>42</sup> cancers (NSCLCs). Furthermore, CTCs may acquire a stem-cell-like phenotype by expressing typical markers such as CD44, CD133, and aldehyde dehydrogenase (ALDH), and both proliferative and self-renewal properties favoring

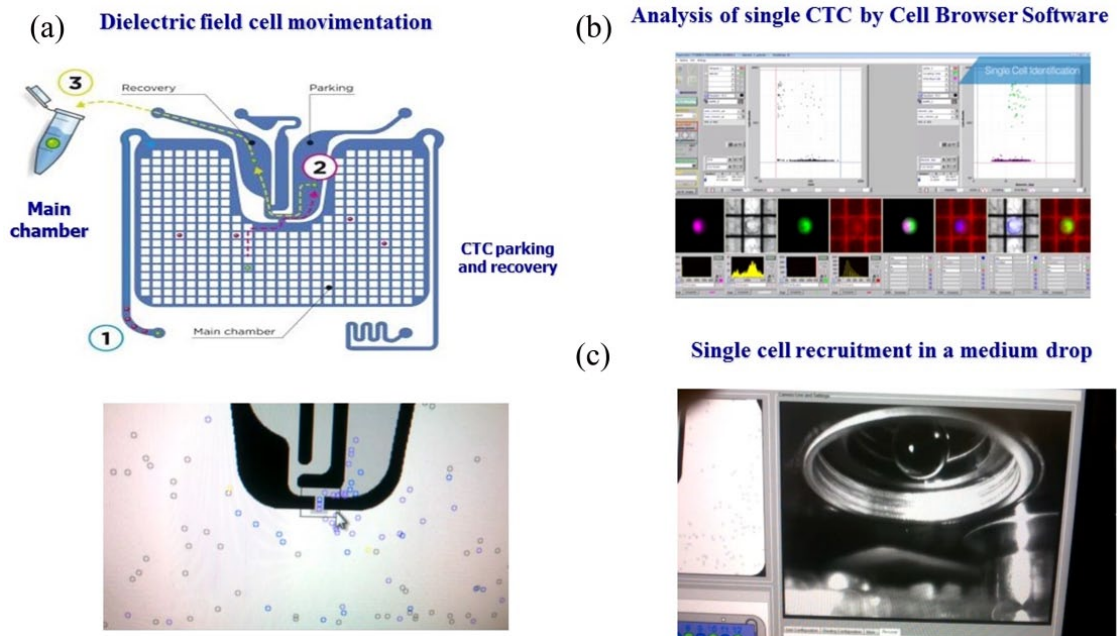
metastatization in secondary tissues.<sup>43,44</sup> It is thus possible to find in the same blood sample CTCs with epithelial, EMT or cancer stem cells phenotype,<sup>38</sup> thus supporting their heterogeneity, and at the same time, limiting their purification and analysis. For this reason, it is necessary to combine differential methods to isolate functionally heterogeneous CTCs.

To date, the CellSearch (Menarini Silicon Biosystems, Firenze, Italy) assay, using the antibody-based immunomagnetic technique and image cytometry, is the only US Food and Drug Administration (FDA) approved CTC diagnostic technology for metastatic breast, prostate, and colorectal cancer,<sup>27</sup> whereas the recent DEPArray system allows both detection and recovery of single CTCs by surface or cytoplasmic markers, as well as size and dielectrophoretic movementation properties<sup>25</sup> (Figure 3). An alternative method is based on either protein secretion or the migratory properties of CTCs<sup>28</sup> that allows the binding of these cells to synthetic substrates cotreated with specific complementary molecules. However, these methods are under intensive investigation to resolve both their complexity and low specificity.

The challenge of CTC detection is related to the requirement for high sensitivity combined with



**Figure 2.** Flow chart of combined methods to isolate circulating tumor cells (CTCs). (a) Peripheral blood samples are subjected to density gradient stratification and leukocyte depletion is assessed by an immunomagnetic method using anti-CD45 and anti-glycophorin conjugated microbeads (AUTOMACS [Miltenyi Biotec GmbH, Bergisch Gladbach, Germany]). (b) The CTC-enriched fraction is stained by specific fluorochrome conjugated antibodies and loaded in a dedicated cartridge which then is subjected to dielectric forces (DEPArray [Menarini Silicon Biosystems, Firenze, Italy]). (c) CTCs are visualized by dedicated software and selected by positive fluorescence for tumor-specific markers and negativity for CD45 leukocyte marker. 4',6-diaminidino-2-phenylindole (DAPI) is used to counterstain nuclei. The CTCs are moved into a parking area and recovered as single or grouped cells in a buffer drop.



**Figure 3.** The DEPArray technology is based on the use of a dielectrophoretic field (DEP) generated by electrodes in a matrix underlying a liquid layer of cells. (a) The DEPArray constellation for the creation of DEP is determined to be a determinant of the entire intrapolarization. (b) The whole cell is isolated from the individual software, and (c) after computational imaging, individual cells or groups of cells are moved and recovered by a drop of buffer in a specific tube.

**Table 2.** Clinical implications of CTCs.

Phase	Aim	Tumor site	References
Prognosis	Stratification of patients	Breast	33,48
		Prostate	47,49
		NSCLC	13,50
		Colorectal	51
Diagnosis	Substitute to solid biopsy	Breast	33
		Prostate	49
		NSCLC	13
	Early diagnosis	NSCLC	52
Therapeutics	Prediction of response or resistance to treatment	Breast	53–55
		Prostate	56–58
		Melanoma	59,60
		NSCLC	61
		Colorectal	62,63
		Response to immunotherapy	NSCLC

CTC, circulating tumor cell; NSCLC, non-small cell lung cancer.

high specificity,<sup>45</sup> but several factors still hinder standardized clinical application, including the scarcity of CTCs in circulation; the absence of a reliable and efficient marker to distinguish CTCs from other blood-borne cells;<sup>46</sup> and the unaffordability of downstream molecular and genomic characterization in the case of a low number of detected CTCs.<sup>47</sup> Despite different approaches developed to detect CTCs, none of them completely meets the application requirements as loss of CTCs, low purity, and a narrow detection spectrum still need to be addressed.<sup>29</sup> Most of these methods include multiple operative processes, such as erythrocyte lysis, cell centrifugation and washing, which may lead to insufficient capture or cell damage and considerable time-consuming and expensive procedures. In addition, CTC detection and enumeration is still not included in routine tumor staging in clinical practice. These techniques need to be urgently assessed for research purposes, including obtaining viable CTCs for *ex vivo* expansion and translation to clinical application.<sup>30</sup> In this context, current nanotechnologies may help improve efficiency and specificity in capturing CTCs,<sup>31</sup> since

nanomaterials show unique physical properties that can overcome the limitations of traditional CTC detection methods (Table 1).

#### *Clinical applications of CTCs*

Although it is necessary to optimize the standard procedure to isolate CTCs, their investigation in modern oncology definitely plays a pivotal role in conjugating basic research with clinical decision-making as a prognostic, diagnostic and predictive dynamic marker in everyday medical practice (Table 2).

The primary recognized role of CTCs is in prognosis; in a growing number of tumors, clinical investigators found that the absolute number of CTCs in a 7.5 ml blood sample is significantly associated with prognosis, with a usually neat cut-off value. In fact, in metastatic breast cancer, Cristofanilli and colleagues first demonstrated that patients with more than 5 CTCs in 7.5 ml blood have shorter progression-free survival (PFS) and overall survival (OS) compared with patients with a lower count.<sup>33</sup> In a retrospective analysis of

patients with metastatic breast cancer at any line of treatment, either endocrine or chemotherapeutic, pretreatment values of CTCs higher than the established threshold of 5 CTCs/7.5 ml blood correlated with worse prognostic indexes based on an increased baseline number of metastatic sites, newly developed metastatic lesions and sites, shorter time to metastases and worse OS.<sup>48</sup> Similar results were obtained in patients with prostate cancer, in whom OS dropped to 11.5 months, namely one half, in the presence of more than 5 CTCs/7.5 ml *versus* 21.7 months in patients with less than this cutoff value.<sup>49</sup> The prognostic role of CTCs has also been confirmed in other cancers, including NSCLC,<sup>50</sup> colorectal,<sup>51</sup> gastric,<sup>55</sup> pancreatic,<sup>66</sup> head and neck cancers,<sup>67</sup> neuroendocrine tumors,<sup>68</sup> and sarcomas.<sup>69</sup>

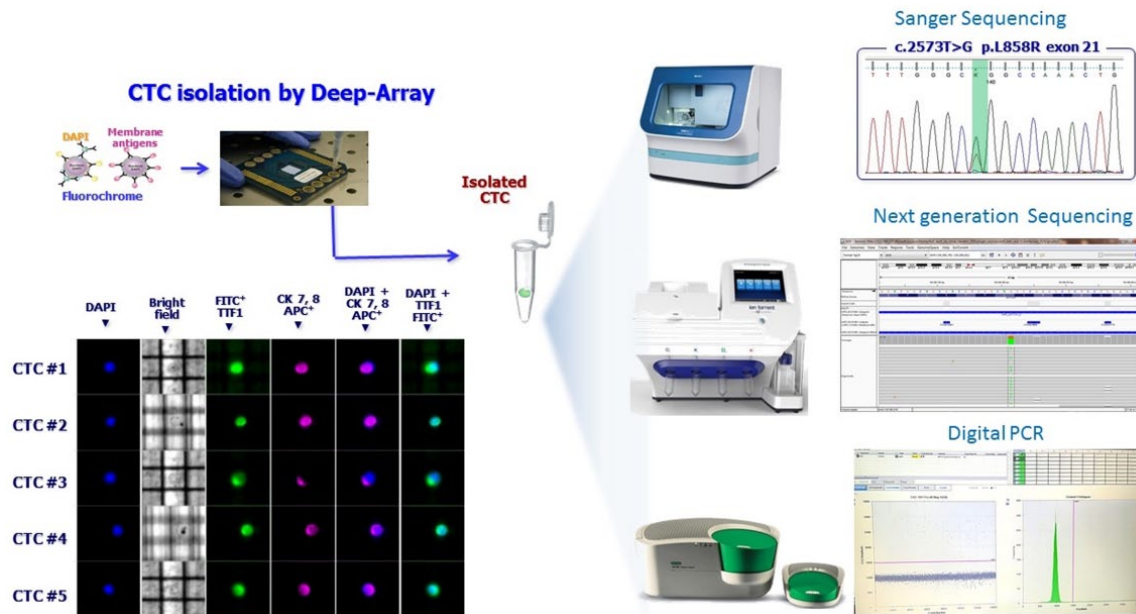
CTCs are also useful for diagnosis and could virtually be a substitute for tissue biopsy in cases of inaccessible neoplastic sites or unsuccessful sampling. In advanced neoplastic disease, CTCs may act as a dynamic diagnostic tool since they not only reflect the existence of a neoplasia, but they also run in parallel with the disease, thus increasing or decreasing in relation to the tumor burden, sometimes in a more accurate fashion than the usual soluble biomarkers.<sup>33,49,70,71</sup> A recent meta-analysis including 50 studies with 6712 patients with breast cancer clearly demonstrated that CTCs may be a predictor of response to treatment, since the reduction of CTC counts during treatment is associated with longer OS and PFS.<sup>53</sup> Therefore, changes in CTC enumeration in serial assessment during treatment is predictive of therapy response, often at an earlier time than radiologic evidence.<sup>28</sup>

Cancer screening is one of the goals of CTC research, but early detection is still an issue. Attempts have been made to use this property in the context of early diagnosis with encouraging results, although in limited cohorts of patients. For example, recent research on a cohort of patients with lung cancer and chronic obstructive pulmonary disease detected CTCs in 3% of patients. All of these patients developed lung cancer within 4 years, as documented by the onset of lung nodules on a spiral computed tomography (CT) scan and histotype diagnosis of early lung cancer after surgical resection of these lesions.<sup>52</sup> According to the same perspective, CTCs may also be helpful in distinguishing malignant from benign lesions. In a recent study, patients with newly diagnosed lung nodules were tested for the

presence of CTCs before undergoing CT-guided fine needle aspiration. CTCs were found in 47 out of 67 patients with primary lung cancer, and in 9 out of 12 patients with secondary lung cancer, with a sensitivity of 70%, a specificity of 100% and a positive predictive value of 100%.<sup>72</sup>

Once detected, CTCs are available for the analysis of their genomic and proteomic profiles, providing information on the presence of druggable molecular targets (Figure 4). A number of technical bias issues have been resolved, since NGS technologies have increased the sensitivity of mutational state detection and molecular information is now obtainable even from single cells.<sup>32</sup> The amount of DNA recoverable from a single cell corresponds to about 2–7 picograms. This small amount of nucleic acids is subjected to particular preamplification phases by whole genome amplification (WGA), which allows a sufficient amount of the sample to be obtained for molecular screening analysis.<sup>32</sup>

Combining the expression of ER, BCL2, HER2 and ki-67 on CTCs, a multiparameter endocrine therapy index has recently been proposed as a predictive factor of response to endocrine therapy in breast cancer.<sup>54</sup> However, it has been demonstrated in prostate cancer that both prostate-specific antigen (PSA) and prostate-specific membrane antigen (PSMA) expressed by CTCs are surrogates for androgenic receptor signaling and are considered as possible predictors of response to antiandrogenic therapy in these patients.<sup>56</sup> Data from mRNA in CTCs are also under intensive investigation to evaluate gene expression. In prostate cancer, splicing variants of ARv7 generate truncated forms of the androgen receptor that lack the binding domain and clinical studies showed that this abnormal androgen receptor expression on CTCs may predict the failure of antiandrogen therapy with abiraterone and enzalutamide.<sup>57,58</sup> Also, DNA mutations play a primary role in modern cancer therapeutics since they affect driver genes that confer either sensitivity or resistance to therapies. A well known example of gene driver mutations is in melanoma in which BRAF gene derangements are fruitfully targeted by specific inhibitors and the mutational profile of cancer cells may classify different subsets of molecularly different melanomas even in CTCs.<sup>59</sup> The ability of CTCs to reflect the mutational profile of a cancer in a defined clinical sample has recently been explored<sup>60</sup> and suggests that dynamic changes in BRAF mutations may drive



**Figure 4.** Mutational analysis performed on circulating tumor cells (CTCs) isolated by DEPArray using next-generation sequencing, Sanger sequencing or digital polymerase chain reaction (PCR). DAPI, 4',6-diaminidino-2-phenylindole.

anti-BRAF treatment options. Another interesting gene in several tumors is epidermal growth factor receptor (EGFR), whose role is critical in NSCLC in which point mutations are successfully targetable by tyrosine kinase inhibitors (TKIs), hence acting as a positive predictive parameter for treatment response.<sup>61</sup> However, KRAS mutations in colorectal cancer are negative predictors of anti-EGFR response. A recent publication on the expression of KRAS in patients with colorectal cancer showed the high heterogeneity in the KRAS mutational profile, thus explaining the interpatient response variability.<sup>62,63</sup>

The role of CTCs as a predictive parameter of treatment response is also interesting in liquid biopsy research. Data show that CTC count has a potential role in real-time monitoring of response to therapies. In the first-line treatment of metastatic breast cancer, as part of the SWOG0500 clinical trial, the stratification of patients according to CTC count, at baseline level and during treatment, identified subsets of patients with significantly different survival rates, namely 35, 23, and 13 months respectively.<sup>55</sup> The potential power of CTC count in daily clinical practice is under further investigation, especially when CTC analysis might be used as a decisional treatment parameter. Among all ongoing trials, the METABREAST trial of the first-line treatment of metastatic breast cancer included patients with less than 5

CTCs/7.5 ml in blood treated with endocrine therapy, whereas patients with more than 5 CTCs received chemotherapy [ClinicalTrials.gov identifier: NCT01710605]. Further fields of interest are currently being investigated, with special attention paid to the relevance of CTCs in predicting the outcome of therapies using immune checkpoint inhibitors. It has been reported that patients with stage IV NSCLC treated with nivolumab and patients with programmed death-ligand 1 (PD-L1)-negative CTCs obtained a similar significant clinical benefit, in contrast to patients showing PD-L1-positive CTCs.<sup>64</sup> Given the growing importance of immunotherapy in modern oncology, many other studies are ongoing with the aim of improving this branch of cancer therapy.

CTCs can also provide information on the epigenetic changes in the cancer cells of patients. In particular, DNA methylation in liquid biopsy has been proposed as a potential biomarker for staging, prognosis, and monitoring of response.<sup>73</sup> The epigenetic silencing in promoter regions of tumor suppressor genes can be clearly confirmed in CTCs, as in the case of metastatic breast cancer, when methylation profiles of genes such as CST6 and BRMS1 strongly correlate with higher metastatization capacity and poorer prognosis.<sup>74</sup> Similar data have also been found in metastatic castration-resistant prostate cancer, in which methylation is more frequent in genes related to

apoptosis, angiogenesis, and vascular endothelial growth factor (VEGF) signaling pathway.<sup>75</sup> Among all ongoing studies, evidence in colorectal cancer demonstrates that high-methylation profiles significantly correlate with BRAF mutations, hence patients have a poorer prognosis.<sup>76</sup> More than a prognostic tool, methylation profiling of CTCs may also have a predictive role. In metastatic breast cancer, the methylation of the estrogen receptor 1 (ESR-1) gene is associated with resistance to treatment with the combination of everolimus and exemestane. These data may give DNA methylation the role of a potential liquid biomarker for the follow up of patients with cancer undergoing chemotherapeutic treatment.<sup>77</sup> Research on the possible discordance in epigenetic modifications between CTCs and primary site derived cancer cells is still under evaluation, given that such differences may be attributable to tumor heterogeneity<sup>78</sup> and to the specific methylation of EMT-related genes, an evolutionary advantageous feature of cancer cells, which is significantly responsible for tumors spreading through the bloodstream.<sup>79</sup>

CTC biology is also characterized by a high heterogeneity at the genetic, transcriptomic, proteomic, and metabolomic levels. In fact, CTCs represent a highly dynamic cell population that may originate from both primary tumor sites and metastases.<sup>80</sup> These cells change their phenotypic and molecular characteristics during the course of the disease under microenvironmental and therapeutic selective pressures. The first evidence of the phenotypic heterogeneity is the differential expression of the EMT markers in the same CTC population.<sup>81</sup> Regarding genomic heterogeneity, different mutations in PIK3CAQ have been demonstrated in single breast cancer CTCs from the same patient.<sup>82</sup> Similarly, in lung cancer, the EGFR gene T790M mutation was found in CTCs from patients with a primary tumor negative for these mutations.<sup>83</sup> Also, heterogeneity of gene expression is described in breast<sup>84</sup> and prostate<sup>85</sup> cancers. To date, these different levels of heterogeneity in CTC samples are proposed as useful tools at the prognostic level to recognize a relapse or to personalize the therapy.

## Circulating tumor DNA

### *Characteristics and detection techniques*

The first experimental evidence of cell-free DNA (cfDNA) in the blood was reported by Mandel and Metais in 1948.<sup>86</sup> Thereafter, except for the

evidence of cfDNA in patients with systemic lupus erythematosus in 1966,<sup>87</sup> it was only in 1977 that cfDNA entered the field of oncology when Leon and colleagues demonstrated that the concentration of cfDNA was increased in patients with pancreatic cancer, and that in some cases its concentration decreased after therapy.<sup>88</sup> The clinical potential of cfDNA was recognized when Sorenson detected a mutation of the KRAS gene in a sample of plasma from patients with pancreatic cancer.<sup>89</sup> The fraction of cfDNA originated from tumor cells was named cell-free circulating tumor DNA (ctDNA).

To date, different mechanisms for ctDNA release in the bloodstream have been postulated. In normal conditions, cfDNA from apoptotic and necrotic cells is rapidly phagocytosed by macrophages and other scavenger cells<sup>90,91</sup> and when the macrophage phagocytosis is exhausted, increased nucleosome amounts are released into the bloodstream, as proved by the evidence that most ctDNA fragments are 180–200 base pairs (bp) in length.<sup>92</sup> This is typical of apoptosis when the degradation of DNA into nucleosomal units generates this bp size of nuclear material. However, ctDNA can also be actively released into the bloodstream by living cells. Bergsmedh and colleagues suggested that this transfer of ctDNA is capable of mediating the metastatization process and generating the genetic instability necessary for the malignant transformation.<sup>93</sup>

In normal subjects, the concentration of plasma cfDNA ranges from less than 10 ng/ml to more than 100 ng/ml,<sup>94</sup> with a half life of between 16 min and 2.5 h.<sup>95,96</sup> However, high levels of cfDNA have not been correlated with malignant disease, but often associated with other conditions such as inflammation, trauma, or exhaustive exercise.<sup>97</sup> In patients with cancer, ctDNA represents a small proportion of total cfDNA, varying from less than 0.1% to over 10% according to tumor burden, cancer stage, cellular turnover, and response to therapy.<sup>98</sup> Therefore, both quantification and detection of specific variants or a mutational hotspot in ctDNA have a direct impact on the clinical utility of ctDNA. Previous amplicon-based studies have shown differences in fragment lengths between ctDNA and cfDNA,<sup>92</sup> and Underhill and colleagues demonstrated that a specific DNA fraction with a size range that is 20–50 bp shorter than the size of cfDNA of healthy donors is substantially enriched with ctDNA in human cancer.<sup>99</sup> To date, the commercial kits for nucleic acid extraction from



**Table 3.** Comparison of methods for ctDNA detection and analysis.

Method	Approach or technologies	Purpose	Detection limit and limitations	References	
Targeted ctDNA approaches	PCR-based technologies	ddPCR and BEAMing (beads, emulsion, amplification, and magnetics)	Detection of somatic point mutations	Range from 1% to 0.001%; test a small number of genomic positions and may miss substantial information	5,9,94,95,97,98
	NGS-based technologies	TAm-Seq (tagged amplicon deep sequencing)	Detection of somatic mutations in a predefined gene panel obtaining a larger and more comprehensive view of genomic regions	<0.01%–0.5%–2%; less comprehensive needing an assay customized	5,95,100
		CAPP-Seq (cancer personalized profiling by deep sequencing)			5,94,96,101
		Safe-SeqS (safe sequencing system)			95,102
		AmpliSeq			99
	PARE (personalized analysis of rearranged ends)	Detection of specific somatic structural chromosomal rearrangements	5%–10%	103	
Untargeted ctDNA approaches	NGS-based technologies	WGS (whole genome sequencing)	Analysis of entire genome and copy number alterations	Low sensitivity and expensive	5,94–96
		WES (whole exome sequencing)	Analysis of entire exome (all protein coding genes) and copy number alterations		

ctDNA, circulating tumor DNA; ddPCR, droplet digital polymerase chain reaction; NGS, next-generation sequencing; PCR, polymerase chain reaction.

different body fluids are based on column-based affinity or magnetic-bead-based methods (Table 3). Due to the lack of standardized procedures in terms of sample collection, isolation and analysis, it is still hard to make a real comparison between different data in the literature. In particular, many critical reviews suggest the need to evaluate preanalytical factors involved in sample collection that may affect ctDNA analysis. Plasma is generally preferred over serum to avoid contamination of cfDNA by genomic DNA derived from the lysis of leukocytes and other hematopoietic cells.<sup>100</sup> However, several studies have demonstrated that other body fluids, such as urine,<sup>101</sup> saliva,<sup>102</sup> and cerebrospinal fluid,<sup>103</sup> may contain a larger amount of ctDNA than plasma. Blood samples should be collected in tubes containing ethylenediaminetetraacetic acid (EDTA), an anticoagulant that inhibits the blood's DNase

activity and is compatible with polymerase chain reaction (PCR) analysis.<sup>104</sup> Furthermore, the time between blood drawing and processing is another critical factor for cfDNA concentration; it is important to remove blood cells that may lyse and release germline DNA, which would dilute ctDNA.<sup>105</sup> Finally, temperature has been shown to have a strong influence on cfDNA levels. In fact, when blood is stored at room temperature before processing there is a massive increase of cfDNA that is presumably released by cells undergoing lysis.<sup>106</sup>

#### *ctDNA features: integrity, methylation and mutations*

As described, in patients with cancer, cfDNA mainly includes germline DNA from normal cells and a minority of a highly variable fraction of

ctDNA from cancer cells. Recently, the technological advances have overcome the limitations of the traditional DNA analysis approaches<sup>6</sup> in isolating the low levels of such a minor amount of ctDNA<sup>6,107</sup> and two major methods have been optimized: targeted approaches to ctDNA to find specific gene mutations or structural chromosome rearrangements in specific genome regions that are generally mutated in given neoplasias; and untargeted approaches for the detection of *de novo* ctDNA mutations and somatic copy number variations (CNVs) that do not require any prior knowledge of molecular alteration, for example WGS (whole genome sequencing) or WES (whole exome sequencing) (Table 3).<sup>5,107–109</sup> Both of these approaches have advantages and limitations.

To detect somatic point mutations, several PCR-based technologies such as BEAMing (beads, emulsion, amplification, and magnetic) and droplet digital PCR (ddPCR) showing very high sensitivity (range from 1% to 0.001%) are suitable.<sup>5,9,107,108</sup> In particular, in BEAMing technologies,<sup>110,111</sup> magnetic beads in water-in-oil emulsions are used to perform a single molecule amplification by PCR, followed by a flow cytometry to quantify the genetic variants. In droplet digital PCR, a variant of emulsion-based PCR, the sample is divided into thousands of droplets representing a partition of single molecules, each of them undergoing a PCR analysis by selected primers against known regions of ctDNA.<sup>108</sup> The molecular alterations are then measured by fluorescent probes, which bind to the amplified region.

However, digital PCR technologies are limited by scalability for larger studies and may miss substantial information. To overcome this issue and obtain a larger and more comprehensive analysis of genomic regions, several NGS-based technologies such as TAM-Seq (tagged amplicon deep sequencing), CAPP-Seq (cancer personalized profiling by deep sequencing, Safe-Seq (safe sequencing system), and AmpliSeq appear most useful.<sup>5,6,9,107,112</sup>

TAM-Seq was the first described in 2012.<sup>113</sup> It works through a parallel amplification of multiple regions (entire genes) using a two-step amplification: an initial preamplification step with a pool of the target-specific primer pairs to obtain a representation of all alleles in the template material; then in order to exclude nonspecific products, the region of interest in the preamplification material is selectively amplified in multiple single-plex

PCR. Finally, both adaptors and sample-specific barcodes are attached to the resulting amplicons with another round of PCR. Using this method, the sample is optimized for both the sequence of interest and increased sensitivity compared with standard NGS.<sup>5,108,113</sup>

CAPP-Seq, developed by Newman and Bratman,<sup>114</sup> is a capture-based NGS method for the detection of ctDNA using biotinylated oligonucleotide selector probes to target specific sequences of DNA. It was assessed in patients with NSCLC with a definite improvement in specificity and detection of mutations in more than 95% of tumor samples.<sup>5,107,109</sup>

Safe-SeqS was described by Kinde and colleagues in 2011<sup>115</sup> to reduce the NGS error rate to 1% and to increase the sensitivity to rare mutants. It is based on the addition of a unique identifier (UID) to each template molecule, which is then amplified by creating a UID family that is directly sequenced. In this manner, all molecules with the same UID should have the same DNA sequence, and it is possible to identify the ‘supermutants’, namely a UID family in which almost 95% of members show the same mutation. This method yields an error frequency of  $1.4 \times 10^{-5}$ .<sup>108</sup>

NGS data are analyzed in order to detect different classes of genomic alterations, such as substitutions, insertions and deletions, CNVs or gene fusions, using customized pipelines including different bioinformatics tools (e.g. GATK, Annovar, VarScan2).<sup>116,117</sup> First, the FASTQ files, obtained from each sequencing system, undergo quality control (QC) and then the reads from each sample are mapped to the reference sequence hg19 (human genome version 19) with aligners software. Finally, the genomic alterations identified are filtered, annotated, related to clinical relevance according to specific databases (i.e. dbSNP, COSMIC), and reported.<sup>117</sup>

The PARE (personalized analysis of rearranged ends) approach was recently introduced to specifically detect somatic structural chromosomal rearrangement.<sup>118</sup>

Another field of interest for ctDNA concerns its methylation patterns, since methylation patterns are tissue specific and the methylated ctDNA could be a promising biomarker for early detection of cancer, as a carrier of an epigenetic signature of the parental tissue.

**Table 4.** Different strategies of methylation ctDNA analysis.

Method	Technologies	Reference
Site-specific detection	MSP (conventional methylation-specific PCR)	94,104,105
	QM-PCR (quantitative multiplexed methylation-specific PCR) and cMethDNA	106,107
	MOB (methylation on beads)	108
	QDs-FRET (quantum dots fluorescence resonance energy transfer)	109
Genome scale detection	Shotgun massively parallel bisulfite sequencing	87
	MCTA-Seq (genome-wide methylated CpG island tandem amplification and sequencing)	111
ctDNA, circulating tumor DNA.		

There are different strategies for methylation ctDNA analysis based on bisulfite treatment.<sup>5,6,107</sup> These strategies can also be divided into two groups on the basis of detection type: site-specific detection including conventional methylation-specific PCR (Table 4),<sup>107,119,120</sup> quantitative multiplexed methylation-specific PCR or cMethDNA,<sup>121,122</sup> methylation on beads,<sup>123</sup> and quantum dots-fluorescence resonance energy transfer;<sup>124</sup> genome scale detection, for example shotgun massively parallel bisulfite sequencing<sup>125</sup> or MCTA-Seq (genome-wide methylated CpG island tandem amplification and sequencing), a sensitive method to detect hypermethylated CpG island in ctDNA developed by Wen in 2015.<sup>126</sup>

#### *Clinical application of ctDNA*

As described, ctDNA may be used as a biomarker with several clinical applications in solid tumors. It is comparable to a maneuverable, easy to find, always updated snapshot of the tumor, capable of reflecting its dimension, molecular heterogeneity, and its evolution over time (Table 5).

Accordingly, its potential applications are numerous, starting from cancer screening. Beck and colleagues investigated the profile of circulating DNA in healthy subjects and found that ctDNA provides useful baseline information regarding the subclinical conditions of patients, including cases of unknown neoplasms that correlate with specific mutations, loss of heterozygosity, or methylation patterns.<sup>127</sup> Subsequently, others assessed the correlation between cancer-related DNA and the development of tumors. However,

the results were controversial since ctDNA and cancer-related mutations are also detectable in apparently healthy individuals several years before the clinical evidence of cancer development,<sup>128–131</sup> but the same mutations in cfDNA can be detected in healthy volunteers who will never develop a cancer.<sup>129,133</sup> Therefore, performing screening tests based on ctDNA in the asymptomatic population could cause overdiagnosis, but the screening of subjects with known risk factors for developing cancer could overtake this problem.<sup>52</sup> However, it is mandatory to perform additional studies to improve the application in this setting of patients.

The quantification of ctDNA to detect the minimal residual disease is a key area of application. It has been demonstrated that the amount of ctDNA is proportional to the residual tumor burden after curative-intent surgery in gastric, lung, and colorectal cancer.<sup>132,134,135</sup> A prospective study of 230 patients with early-stage colorectal cancer has demonstrated that the assessment of ctDNA at the first follow-up visit after surgical resection correlates with the recurrence-free survival at 3 years.<sup>136</sup> Similar evidence has emerged in patients with early stage breast cancer; detecting ctDNA after surgery with curative intent indicates a poor prognosis.<sup>137</sup>

Moreover, ctDNA can also anticipate the diagnosis of clinical relapse of several months.<sup>137,138</sup> Similarly to monitoring the minimal residual disease and describing a tumor's characteristics, ctDNA can be used to stratify patients at variable risk of recurrence after surgery, selecting who can

**Table 5.** Potential key applications of circulating tumor DNA (ctDNA).

Phase	Aim	Cancer Site	References
Diagnosis	To determine tumor profile genotyping cfDNA in the blood	Lung	113,115,118
		Pancreas	126,127,128
		Colon	120
Minimal residual disease	To detect minimal residual disease after surgery with curative intent	Stomach	119
		Colon	120,121
Response and follow up	To monitor the response during treatment	Melanoma	129
		Breast	125,130
Molecular evolution	To detect molecular alteration associated with therapy resistance	Breast	122,131
		Lung	132

cfDNA, cell-free DNA.

really benefit from an adjuvant treatment and avoid unnecessary therapies and their relative systemic toxicities.<sup>139</sup>

Furthermore, the molecular properties of ctDNA may address treatment options. The characteristics of ctDNA are the mirror of the tumor's molecular profile. In patients with breast cancer, mutations of TP53 were found both in ctDNA and tumor tissue with a concordance of 43%,<sup>140</sup> and several studies matched the KRAS mutations in primary tumors and in the plasma of patients with pancreatic carcinoma.<sup>141–143</sup> This could have important applications in clinical practice allowing the detection of druggable mutations at diagnosis, as well as during treatment to select the therapeutic choices. Treatment with targeted therapies puts the tumor cells under a selective pressure, thus allowing a clonal evolution in a Darwinian manner. Therefore, prolonged treatment with targeted therapies is capable of selecting the cell clone resistant to therapy. Shinozaki and colleagues investigated how the mutated BRAF V600E ctDNA in patients with melanoma correlates with the response to biochemotherapy. They found that 37% of the 103 patients had BRAF-V600E mutated DNA before treatment, while this ctDNA was detectable after treatment in only 1 out of the 10 responders (10%), and in 7 of 10 nonresponders (70%) with a significantly poor association

( $p = 0.039$ ) between the presence of BRAF-V600E mutated DNA after treatment and OS.<sup>144</sup>

Changes in the ctDNA molecular profile can also be detected several months before clinical progression,<sup>145</sup> providing important insights into the mechanisms of resistance and early treatment decision. In addition, data from ctDNA reflect the entire molecular setup of both tumor and metastatic lesions, unlike the tissue biopsy that describes the characteristics of a single specimen in a single lesion. The correlation between KRAS mutations in patients with colorectal cancer and the response to therapy was first investigated by Misale and colleagues, who demonstrated that there is a gradual increase in KRAS-mutated ctDNA in a patient's serum during treatment with panitumumab or cetuximab. It is therefore conceivable that KRAS mutant clones, present in the primary lesion, undergo a pressure selection that allows the growth of mutant cells.<sup>146</sup> Later, Luis and colleagues also showed that KRAS mutations in cfDNA could be detected in the circulation of 28 patients before treatment with panitumumab, whereas 38% of patients with KRAS wild-type tumors became KRAS mutated after treatment.<sup>147</sup>

The trend of EGFR-mutated ctDNA in patients with NSCLC is correlated with the response to treatment with EGFR TKI in 96% of patients,

reflecting the sensitivity to treatment. It is also possible to detect the EGFR T790M mutation in ctDNA before clinical progression, thus allowing early intervention.<sup>148</sup> At present, liquid biopsy is approved for clinical practice use only in NSCLC. The Lung-LUX3 trial demonstrated the advantage in terms of PFS of afatinib in EGFR-mutated lung cancer (11.1 months for afatinib *versus* 6.9 months for chemotherapy; hazard ratio 0.58; 95% confidence interval 0.43–0.78;  $p = 0.001$ ).<sup>149</sup> This means that it is mandatory to detect the presence of EGFR mutation in patients before treatment.

The discovery of EGFR mutations in ctDNA appears very useful in the presence of difficulties to perform a tumor biopsy. Evidence proved that cfDNA analysis is able to reveal the mechanisms of resistance to EGFR-targeted therapies in NSCLC, as in the presence of EGFR T790M mutation.<sup>148</sup> A phase IV clinical trial proved that the concordance of EGFR mutation in tumor samples and plasma was very high (94.3%), with a specificity of 99.8% and a sensitivity of 65.7%.<sup>150</sup> On 1 June 2016, the FDA approved the use of gefitinib for patients with EGFR mutations detected in ctDNA using the Cobas EGFR Mutation Test v2 (Roche Molecular Systems, Inc., CA, USA) for the detection of exon 19 deletions or exon 21 substitution mutations in the EGFR. However, this is allowed only if it is not possible to perform a tissue biopsy. The approval was based on the phase III, randomized, open-label ENSURE study, which confirmed the efficacy of erlotinib in the first-line treatment of patients with advanced EGFR mutation-positive NSCLC tested with EGFR Mutation Test v2.<sup>151</sup>

In conclusion, there is still much to learn about ctDNA, but it is a precious resource in the hands of clinicians. Since 2015 the Therascreen EGFR RGQ PCR Kit (QIAGEN, Hilden, Germany) and the Cobas EGFR Mutation Test v2 (an updated version of the prior Cobas EGFR Mutation Test) have been approved for diagnostic use in the USA. In particular, the Therascreen assay enables the detection of exon 19 deletions and exon 20 and 21 substitutions (T790M and L858R respectively) in the EGFR gene.<sup>148</sup>

## Exosomes

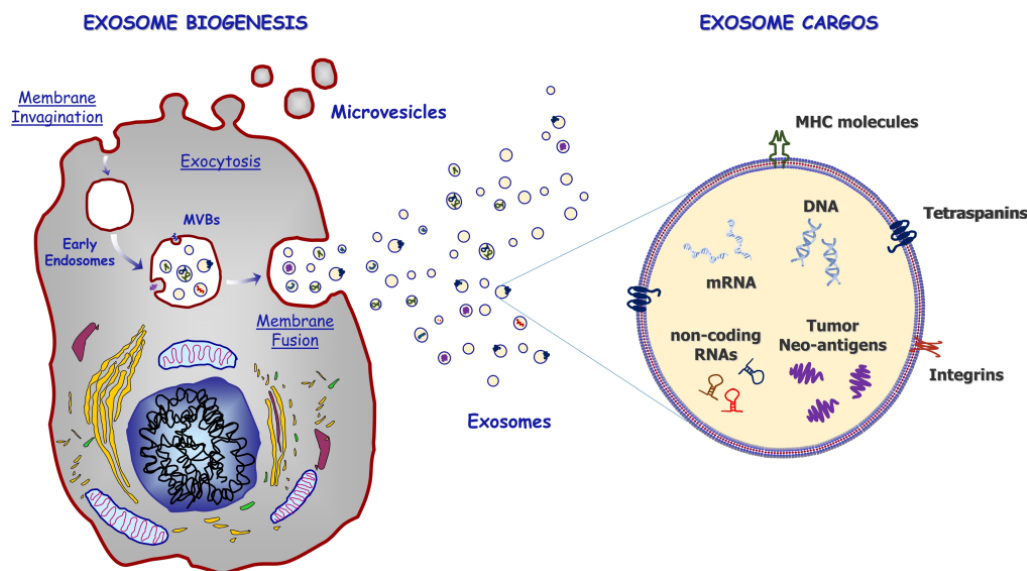
### *Exosomes isolation and characterization*

EXOs are nano-sized vesicles (40–100 nm) released by cells and detectable in most body

fluids, such as plasma, urine, saliva, or ascites.<sup>152</sup> Different from other extracellular vesicles (EVs) which directly bud off from the cell membrane, such as microvesicles (50–1000 nm in diameter) or apoptotic bodies, EXOs are end products of the recycling endosomal pathway and originate from inward budding of the plasma membrane.<sup>153</sup> Although they were previously considered as cellular waste products,<sup>154</sup> it is now well demonstrated that they play a role in intercellular communication, depending on the cargo of functional molecules from donor to distant cells.<sup>155</sup>

EXOs take part in many physiological and pathological processes and have been shown to be involved in cancer progression and metastatization.<sup>156</sup> Noteworthy, EXOs released by cancer cells, namely tumor-derived EXOs, promote EMT and affect the proliferation, migration, and invasion of cancer cells, as well as support the angiogenesis and the establishment of an immunosuppressive milieu.<sup>157</sup> EXOs are also emerging as a novel chemoresistance mechanism, primarily depending on drug discharge *via* vesicle budding, neutralization of antibody-based drugs, and EXO-mediated transfer of micro RNAs (miRNA).<sup>158</sup>

EXOs consist of a lipid bilayer which contains both transmembrane and nonmembrane proteins, as well as noncoding RNAs, mRNAs, and either single-stranded or double-stranded DNA<sup>159</sup> (Figure 5). According to proteomic analyses, EXOs were found to be characterized by a conserved set of proteins independently of their cellular origin, such as CD63, CD81, and CD9 tetraspanins.<sup>153</sup> However, the protein composition of EXOs roughly resembles originating cells, thus suggesting an exosomal cell-type or tissue-specific signature.<sup>160,161</sup> It has also been demonstrated that certain RNA transcripts are enriched up to 100 fold in EXOs compared with the donor cells, thus supporting active packaging.<sup>162</sup> Several methods have been developed to efficiently collect EXOs from body fluids (Table 6). The available protocols are generally based on vesicle separation in accordance with their biophysical properties, including size, morphology and density, while others are based on the immunoaffinity capture or altering the EXO solubility to improve their precipitation.<sup>163</sup> To date, a common isolation protocol adopts serial centrifugations with increasing speeds to remove cellular debris and larger plasma membrane-derived vesicles, followed by sedimentation of EXOs by ultracentrifugation (100,000 × g). Despite this method being largely



**Figure 5.** Exosomes biogenesis and content. Exosomes are end products of the recycling endosomal pathway and originate from inward budding of the plasma membrane, leading to the formation of multi vesicular bodies (MVBs). Following active packaging of signaling molecules into MVBs, they fuse with the plasma membrane and release their contents into the extracellular space in the form of exosomes. Exosomes consist of a lipid bilayer which contains both transmembrane and nonmembrane proteins, as well as noncoding RNAs, mRNAs and either single-stranded or double-stranded DNA. They also express a conserved set of proteins independently by cellular origin, including CD63, CD81, and CD9 tetraspanins, while those from cancer cells are rich in tumor-associated antigens. MHC, major histocompatibility complex.

accepted, the ultracentrifugation procedure may result in contaminating events or EXO loss, is time consuming, and does not allow one to selectively isolate tumor-derived EXOs from those originating by other cells. Other isolation techniques exploiting the physical properties of EXOs are density gradient separation by sucrose and size exclusion chromatography. Although both of these methods efficiently yield highly purified EXOs, they are used less often because they are very user intensive.<sup>164</sup> By contrast, immunocapture approaches, such as microplate-based enzyme-linked immuno-sorbent assay or antibody-coated magnetic particles, recognize specific exosomal surface antigens, leading to selective isolation of EXOs and are increasingly used in research.<sup>160</sup> Finally, several commercially available and user-friendly kits cause EXO precipitation in the presence of water-excluding polymers, although lack of a proper selective isolation mechanism may compromise the purity of yields.<sup>165</sup>

#### *Clinical application in oncology*

Following their isolation and investigation by electron microscopy or flow cytometry assays, tumor-derived EXOs may be investigated for

their protein expression or genetic profile as diagnostic or prognostic markers.<sup>166</sup> To this, CAV1<sup>+</sup> EXO have been proposed as potential diagnostic markers of melanoma,<sup>160</sup> while the identification of serum EXOs enriched with high levels of migration inhibitory factor (MIF) can identify patients with pancreatic ductal adenocarcinoma more likely to develop liver metastasis, thus potentially representing an unfavorable prognostic factor.<sup>167</sup> Similarly, EXOs from prostate cancer cells, namely prostasomes, can be detected by prostate-specific membrane proteins, including PSMA, prostate-specific transglutaminase, and prostate stem cell antigen,<sup>161</sup> whose serum and urine levels correlate with tumor burden.<sup>168,169</sup>

Moreover, since RNAs and DNAs packaged within EXOs (exoRNA and exoDNA, respectively) are protected by the phospholipid bilayer from degradation due to serum ribonucleases and DNases,<sup>170</sup> their analysis has provided additional diagnostic and prognostic information, as well as usefulness for monitoring the treatment response. In this context, specific exosomal miRNA signatures have been described, such as the miR-1246, miR-4644, miR-3976, and miR-4306 that were found upregulated in patients with pancreatic cancer<sup>171</sup> or the

**Table 6.** Characteristics of different exosome isolation methods.

Isolation technique	Mechanism	Pros	Cons
Ultracentrifugation	Based on different sedimentation velocity of vesicles under centrifugation, due to differences in size, density, and shape	Low cost procedure. Large sample amounts. High yields of exosomes. High purity of isolated exosomes	Requires expensive ultracentrifuge equipment. Very time consuming. Exosome loss or contamination. Exosomes may be damaged by high speed
Size based	Exclusively based on the size difference between exosomes and other extracellular vesicles	Very fast and cheap procedures. Different commercial kits available	Moderate purity of isolated exosomes. High loss of exosomes due to their trapping into the membranes
Precipitation	Exploit the alteration of exosome solubility by using of water-excluding polymers	User-friendly procedures. No special equipment is required. Large sample capacity	Coprecipitation of nonexosomal contaminants. Time consuming
Immunoaffinity capture	Based on the interaction between specific exosomal surface antigens and immobilized antibodies	Possibility to isolate specific and highly purified exosomes	High cost. Limited sample capacity. Low yields. Very time consuming

overexpression of miR-211 in patients with BRAF<sup>V600</sup> melanoma that correlated with reduced sensitivity to BRAF inhibitors.<sup>172</sup> Finally, EXOs are also enriched with single- or double-stranded DNA fragments from all chromosomes, leading to the identification of gene mutations, such as KRAS and EGFR mutations, as already proven in patients with pancreatic cancer.<sup>159,173</sup>

Another important issue regarding EXOs is the possibility of isolating and analyzing nanovesicles originating from immune cells. This has clear and direct clinical applicability as the phenotypic profile of immune cell derived EXOs may reflect the status of immune system activation, and give useful information to predict response to immunotherapeutic drugs. In this context, Tucci and colleagues demonstrated that a high expression of programmed cell death 1 and CD28 molecules by T-cell derived-EXO (TEX) at baseline predicts the response to ipilimumab, a cytotoxic T-lymphocyte antigen 4 (CTLA4) inhibitor, in patients with metastatic melanoma.<sup>174</sup> Similarly, CD80 and CD86 levels on dendritic cell derived EXO (DEX) reflect the restoration of antimelanoma activity from the immune system, thus supporting both TEX and DEX as reliable prognostic biomarkers in melanoma.

Although promising, data from these studies refer only to small cohorts of patients and are inadequate to support definite conclusions. Further clarifications from large clinical trials are needed to confirm the applicability of EXOs as tumor biomarkers for monitoring cancer progression or driving treatment decisions. Moreover, other possible clinical applications of EXOs in oncology include the possibility of either drug or miRNA delivery within the tumor cells, the identification of newly therapeutic targets to inhibit the molecular mechanisms implicated in cancer progression, as well as the stimulation of an immunological response against cancer cells. These innovative approaches are now under intensive investigation, both in preclinical and clinical trials, and have been recently revisited.<sup>175</sup>

### Conclusions and future perspectives

The modern procedure of liquid biopsy has been a breakthrough in clinical oncology and still has the powerful potential of a forthcoming revolution; screening, diagnosis, prognosis, and treatment in patients with cancer might be dramatically changed. Similar to traditional biopsies, liquid biopsy of CTCs, ctDNA, and EXOs offers the entire range of information that allows

**Table 7.** Overview of challenges and future prospects of liquid biopsies.

Current issue	Challenges	Future prospects	References
Recovery of rare CTCs and low levels of ctDNA and exosomes	Recovery of a large amount of analytical samples from liquid biopsy	High-throughput assaying	177,178
RNA molecules labile nature	Ability to detect gene translocations and RNA expression data	Innovative high-throughput technologies such as multiplex digital PCR and expression arrays	177,181
Low sensitivity and specificity of ctDNA in early-stage disease	Identification of patients with early-stage disease	Advanced genomic approaches that have higher sensitivity to identify mutations in matched ctDNA and tumor tissue samples	28,179
Difficult interpretation of the clinical results based on the data obtained from CTCs and ctDNA	Identify the crucial alterations that identify metastatic or resistant tumor cell clones	Better knowledge of the dynamic biology of CTCs, exosomes, and ctDNA release	28,181
Variability of assay platform for the genomic characterization determines the difficult reproducibility of data	Validation and reproducibility of molecular and computational data	Standardization and analytical validation of the methods used for liquid biopsy	180,181
High cost of technologies and bioinformatics analysis necessary for liquid biopsy	Diffusion and implementation of liquid biopsy on a large scale as routine analysis	Introduction of low-cost biotechnology and computational software	180,181
Tumor heterogeneity with consequent increase in the data obtained	Reduction of mutational/expression data complexity	Implementation of databases, new computational algorithms, and innovative software to support interpretation of large amounts of data	180,181

CTC, circulating tumor cell; ctDNA, circulating tumor DNA; PCR, polymerase chain reaction.

the performance of functional studies. Yet, unlike traditional techniques, liquid biopsy is capable of embracing the spatial and temporal heterogeneity that stands at the biological basis of cancer.<sup>176</sup> Despite such high potential, a significant gap must actually be filled, since the systematic application of liquid biopsy in real practice is still hindered by many hurdles, such as unsatisfactory specificity and sensitivity, lack of standardization, and elevated economic and human resource costs, and still offers many challenges.<sup>4,7</sup> In fact, due to the low concentration of CTCs, ctDNA, and EXOs currently recoverable from the patient, the analytical results sometimes suffer from unsatisfactory specificity and sensitivity.<sup>25,177–179</sup> The use of different high-throughput analytical platforms often

results in difficulty in reproducing results, and highlights the need for standardization and analytical validation of the method used for liquid biopsy.<sup>180,181</sup> In the same way, computational analysis needs new tools that can elaborate complex algorithms of data interpretation and clinical correlation of molecular data. Finally, it is desirable that the current high personnel and infrastructure costs necessary for these methods are reduced in response to progress in biotechnology (Table 7).

Advances in technologies, particularly the introduction of NGS techniques, are contributing to increases in the appropriateness of liquid biopsy. However, large-scale and multicenter trials are also ongoing to confirm all the potentialities that



**Table 8.** Comparison between the applications of ctDNA, CTCs, and exosomes.

Applications	ctDNA	CTCs	Exosomes
Photography of spatial and temporal tumor heterogeneity	No	Yes	No
Detection of point mutations, insertions and deletions, amplifications, translocations and copy number alterations	Yes	Yes	Yes
Epigenetic alterations (e.g. methylation)	Yes	Yes	Yes
Analysis of miRNA	No	Yes	Yes
Analysis of RNA expression and proteomics	No	Yes	Yes
Phenotypic analysis of cells, such as cell morphology or <i>in vivo</i> studies	No	Yes	No
Influence by preanalytical variability	Yes	Yes	Yes
Functional study <i>ex vivo</i>	No	Yes	No
Biobanking preservation	Yes	No	Yes

CTC, circulating tumor cell; ctDNA, circulating tumor DNA; PCR, polymerase chain reaction.

are now being studied in order to fully define the exact settings and conditions for the application of liquid biopsy and confirm the comparison of performance with current solid biopsy methods. Data from liquid biopsy might then become novel blood-based tumor markers. Among ctDNA, CTCs, and EXOs, some remarkable differences can be identified (Table 8).<sup>4,6,9,182</sup> Even if charged with preanalytical variability, all of these techniques are capable of detecting cancer genomic abnormalities as point mutations, insertions and deletions, amplifications, translocations and copy number alterations, and epigenetic alterations. Given the short half life of free mRNA in circulation, RNA analysis, including miRNA and RNA expression, is preferable on CTCs and EXOs, while only CTCs allow analysis of cell morphology, as well as *in vivo* studies or functional *ex vivo* studies. At present, the information obtained from the study of EXOs, CTCs, and ctDNA highlights the complementarity of all information to best define tumor status and prognosis. Several simultaneous analytical approaches are also needed to evaluate minimal residual disease after surgery or chemotherapy.<sup>6,139</sup>

Finally, the preservation of biologic materials in biobanks is currently possible only for ctDNA and EXOs, and results from large-scale trials will shortly provide evidence-based elements for the application of liquid biopsy in clinical practice in the era of precision medicine in clinical oncology.

### Funding

This work was supported by the Associazione Italiana per la Ricerca sul Cancro (grant number 17536) from the Apulia Region (Oncogenomic Project and Jonico-Salentino Project).

### Conflict of interest statement

The authors declare that there is no conflict of interest.

### ORCID iD

Raffaele Palmirotta  <https://orcid.org/0000-0002-9401-7377>

### References

1. McCarthy JJ, McLeod HL and Ginsburg GS. Genomic medicine: a decade of successes, challenges, and opportunities. *Sci Transl Med* 2013; 5: 189sr4.
2. Hodson R. Precision medicine. *Nature* 2016; 537: S49.
3. Savonarola A, Palmirotta R, Guadagni F and Silvestris F. Pharmacogenetics and pharmacogenomics: role of mutational analysis in anti-cancer targeted therapy. *Pharmacogenomics J* 2012; 12: 277–286.
4. Wang J, Chang S, Li G and Sun Y. Application of liquid biopsy in precision medicine: opportunities and challenges. *Front Med* 2017; 11: 522–527.

5. Perakis S and Speicher MR. Emerging concepts in liquid biopsies. *BMC Med* 2017; 15: 75.
6. Siravegna G, Marsoni S, Siena S and Bardelli A. Integrating liquid biopsies into the management of cancer. *Nat Rev Clin Oncol* 2017; 14: 531–548.
7. Crowley E, Di Nicolantonio F, Loupakis F and Bardelli A. Liquid biopsy: monitoring cancer-genetics in the blood. *Nat Rev Clin Oncol* 2013; 10: 472–484.
8. Buder A, Tomuta C and Filipits M. The potential of liquid biopsies. *Curr Opin Oncol* 2016; 28: 130–134.
9. Zhang W, Xia W, Lv Z, Ni C, Xin Y and Yang L. Liquid Biopsy for Cancer: Circulating Tumor Cells, Circulating Free DNA or Exosomes? *Cell Physiol Biochem* 2017; 41: 755–768.
10. Ashworth T. A case of cancer in which cells similar to those in the tumors were seen in the blood after death. *Med J Aust* 1869; 14: 146–147.
11. Parkinson DR, Dracopoli N, Petty BG, et al. Considerations in the development of circulating tumor cell technology for clinical use. *J Transl Med* 2012; 10: 138.
12. Young R, Pailler E, Billiot F, et al. Circulating tumor cells in lung cancer. *Acta Cytologica* 2012; 56: 655–660.
13. Krebs MG, Metcalf RL, Carter L, et al. Molecular analysis of circulating tumour cells-biology and biomarkers. *Nat Rev Clin Oncol* 2014; 11: 129–144.
14. Labelle M, Begum S and Hynes RO. Direct signaling between platelets and cancer cells induces an epithelial-mesenchymal-like transition and promotes metastasis. *Cancer Cell* 2011; 20: 576–590.
15. Le Gal K, Ibrahim MX, Wiel C, et al. Antioxidants can increase melanoma metastasis in mice. *Sci Transl Med* 2015; 7: 308re8.
16. van der Toom EE, Verdone JE, Gorin MA, et al. Technical challenges in the isolation and analysis of circulating tumor cells. *Oncotarget* 2016; 7: 62754–62766.
17. Gascoyne PR and Shim S. Isolation of circulating tumor cells by dielectrophoresis. *Cancers* 2014; 6: 545–579.
18. Sollier E, Go DE, Che J, et al. Size-selective collection of circulating tumor cells using Vortex technology. *Lab Chip* 2014; 14: 63–77.
19. Au SH, Storey BD, Moore JC, et al. Clusters of circulating tumor cells traverse capillary-sized vessels. *Proc Natl Acad Sci U S A* 2016; 113: 4947–4952.
20. Park ES, Jin C, Guo Q, et al. Continuous flow deformability-based separation of circulating tumor cells using microfluidic ratchets. *Small* 2016; 12: 1909–1919.
21. Zheng S, Lin HK, Lu B, et al. 3D microfilter device for viable circulating tumor cell (CTC) enrichment from blood. *Biomed Microdevices* 2011; 13: 203–213.
22. Lopez-Riquelme N, Minguela A, Villar-Permy F, et al. Imaging cytometry for counting circulating tumor cells: comparative analysis of the CellSearch vs ImageStream systems. *APMIS* 2013; 121: 1139–1143.
23. Li P, Mao Z, Peng Z, et al. Acoustic separation of circulating tumor cells. *Proc Natl Acad Sci U S A* 2015; 112: 4970–4975.
24. Arya SK, Lim B and Rahman AR. Enrichment, detection and clinical significance of circulating tumor cells. *Lab Chip* 2013; 13: 1995–2027.
25. Abonnenc M, Manaresi N, Borgatti M, et al. Programmable interactions of functionalized single bioparticles in a dielectrophoresis-based microarray chip. *Anal Chem* 2013; 85: 8219–8224.
26. Schneck H, Gierke B, Uppenkamp F, et al. EpCAM-independent enrichment of circulating tumor cells in metastatic breast cancer. *PLoS One* 2015; 10: e0144535.
27. de Wit S, van Dalum G and Terstappen LW. Detection of circulating tumor cells. *Scientifica* 2014; 2014: 819362.
28. Alix-Panabieres C and Pantel K. Clinical applications of circulating tumor cells and circulating tumor DNA as liquid biopsy. *Cancer Discov* 2016; 6: 479–491.
29. Viswanath B, Kim S and Lee K. Recent insights into nanotechnology development for detection and treatment of colorectal cancer. *Int J Nanomedicine* 2016; 11: 2491–2504.
30. Lin M, Chen JF, Lu YT, et al. Nanostructure embedded microchips for detection, isolation, and characterization of circulating tumor cells. *Acc Chem Res* 2014; 47: 2941–2950.
31. Ming Y, Li Y, Xing H, et al. Circulating tumor cells: from theory to nanotechnology-based detection. *Front Pharmacol* 2017; 8: 35.
32. Palmirotta R, Lovero D, Silvestris E, et al. Next-generation Sequencing (NGS) analysis on single Circulating Tumor Cells (CTCs) with no need of Whole-genome Amplification (WGA). *Cancer Genomics Proteomics* 2017; 14: 173–179.

33. Cristofanilli M, Budd GT, Ellis MJ, *et al.* Circulating tumor cells, disease progression, and survival in metastatic breast cancer. *N Engl J Med* 2004; 351: 781–791.
34. Messaritakis I, Stoltidis D, Kotsakis A, *et al.* TTF-1- and/or CD56-positive circulating tumor cells in patients with small cell lung cancer (SCLC). *Sci Rep* 2017; 7: 45351.
35. Lu SH, Tsai WS, Chang YH, *et al.* Identifying cancer origin using circulating tumor cells. *Cancer Biol Ther* 2016; 17: 430–438.
36. Beije N, Onstenk W, Kraan J, *et al.* Prognostic impact of HER2 and ER status of circulating tumor cells in metastatic breast cancer patients with a HER2-negative primary tumor. *Neoplasia*. 2016; 18: 647–653.
37. Yadavalli S, Jayaram S, Manda SS, *et al.* Data-Driven Discovery of Extravasation Pathway in Circulating Tumor Cells. *Sci Rep* 2017; 7: 43710.
38. Bulfoni M, Gerratana L, Del Ben F, *et al.* In patients with metastatic breast cancer the identification of circulating tumor cells in epithelial-to-mesenchymal transition is associated with a poor prognosis. *Breast Cancer Res* 2016; 18: 30.
39. Zhao R, Cai Z, Li S, *et al.* Expression and clinical relevance of epithelial and mesenchymal markers in circulating tumor cells from colorectal cancer. *Oncotarget* 2017; 8: 9293–9302.
40. Satelli A, Bath I, Brownlee Z, *et al.* EMT circulating tumor cells detected by cell-surface vimentin are associated with prostate cancer progression. *Oncotarget* 2017; 8: 49329–49337.
41. Chebouti I, Kasimir-Bauer S, Buderath P, *et al.* EMT-like circulating tumor cells in ovarian cancer patients are enriched by platinum-based chemotherapy. *Oncotarget* 2017; 8: 48820–48831.
42. Li S, Chen Q, Li H, Wu Y, Feng J and Yan Y. Mesenchymal circulating tumor cells (CTCs) and OCT4 mRNA expression in CTCs for prognosis prediction in patients with non-small-cell lung cancer. *Clin Transl Oncol* 2017; 19: 1147–1153.
43. Correnti M and Raggi C. Stem-like plasticity and heterogeneity of circulating tumor cells: current status and prospect challenges in liver cancer. *Oncotarget* 2017; 8: 7094–7115.
44. Aktas B, Tewes M, Fehm T, *et al.* Stem cell and epithelial-mesenchymal transition markers are frequently overexpressed in circulating tumor cells of metastatic breast cancer patients. *Breast Cancer Res* 2009; 11: R46.
45. Paterlini-Brechot P and Benali NL. Circulating tumor cells (CTC) detection: clinical impact and future directions. *Cancer Lett* 2007; 253: 180–204.
46. Esmacilsabzali H, Beischlag TV, Cox ME, Parameswaran AM and Park EJ. Detection and isolation of circulating tumor cells: principles and methods. *Biotechnol Adv* 2013; 31: 1063–1084.
47. Friedlander TW, Premasekharan G and Paris PL. Looking back, to the future of circulating tumor cells. *Pharmacol Ther* 2014; 142: 271–280.
48. Giuliano M, Giordano A, Jackson S, *et al.* Circulating tumor cells as early predictors of metastatic spread in breast cancer patients with limited metastatic dissemination. *Breast Cancer Res* 2014; 16: 440.
49. de Bono JS, Scher HI, Montgomery RB, *et al.* Circulating tumor cells predict survival benefit from treatment in metastatic castration-resistant prostate cancer. *Clin Cancer Res*. 2008; 14: 6302–6309.
50. Normanno N, De Luca A, Gallo M, *et al.* The prognostic role of circulating tumor cells in lung cancer. *Expert Rev Anticancer Ther* 2016; 16: 859–867.
51. Tsai WS, Chen JS, Shao HJ, *et al.* Circulating tumor cell count correlates with colorectal neoplasm progression and is a prognostic marker for distant metastasis in non-metastatic patients. *Sci Rep* 2016; 6: 24517.
52. Ilie M, Hofman V, Long-Mira E, *et al.* ‘Sentinel’ circulating tumor cells allow early diagnosis of lung cancer in patients with chronic obstructive pulmonary disease. *PLoS One* 2014; 9: e111597.
53. Yan WT, Cui X, Chen Q, *et al.* Circulating tumor cell status monitors the treatment responses in breast cancer patients: a meta-analysis. *Sci Rep* 2017; 7: 43464.
54. Paoletti C, Muniz MC, Thomas DG, *et al.* Development of circulating tumor cell-endocrine therapy index in patients with hormone receptor-positive breast cancer. *Clin Cancer Res* 2015; 21: 2487–2498.
55. Smerage JB, Barlow WE, Hortobagyi GN, *et al.* Circulating tumor cells and response to chemotherapy in metastatic breast cancer: SWOG S0500. *J Clin Oncol* 2014; 32: 3483–3489.
56. Miyamoto DT, Lee RJ, Stott SL, *et al.* Androgen receptor signaling in circulating tumor cells as a marker of hormonally

- responsive prostate cancer. *Cancer Disc* 2012; 2: 995–1003.
57. Antonarakis ES, Lu C, Wang H, *et al.* AR-V7 and resistance to enzalutamide and abiraterone in prostate cancer. *N Engl J Med* 2014; 371: 1028–1038.
  58. Thadani-Mulero M, Portella L, Sun S, *et al.* Androgen receptor splice variants determine taxane sensitivity in prostate cancer. *Cancer Res* 2014; 74: 2270–2282.
  59. Luo X, Mitra D, Sullivan RJ, *et al.* Isolation and molecular characterization of circulating melanoma cells. *Cell Rep* 2014; 7: 645–653.
  60. Sakaizawa K, Goto Y, Kiniwa Y, *et al.* Mutation analysis of BRAF and KIT in circulating melanoma cells at the single cell level. *Br J Cancer* 2012; 106: 939–946.
  61. Maheswaran S, Sequist LV, Nagrath S, *et al.* Detection of mutations in EGFR in circulating lung-cancer cells. *N Engl J Med* 2008; 359: 366–377.
  62. Gasch C, Bauernhofer T, Pichler M, *et al.* Heterogeneity of epidermal growth factor receptor status and mutations of KRAS/PIK3CA in circulating tumor cells of patients with colorectal cancer. *Clin Chem* 2013; 59: 252–260.
  63. Kondo Y, Hayashi K, Kawakami K, *et al.* KRAS mutation analysis of single circulating tumor cells from patients with metastatic colorectal cancer. *BMC Cancer* 2017; 17: 311.
  64. Nicolazzo C, Raimondi C, Mancini M, *et al.* Monitoring PD-L1 positive circulating tumor cells in non-small cell lung cancer patients treated with the PD-1 inhibitor nivolumab. *Sci Rep* 2016; 6: 31726.
  65. Ito H, Sato J, Tsujino Y, *et al.* Long-term prognostic impact of circulating tumour cells in gastric cancer patients. *World J Gastroenterol* 2016; 22: 10232–10241.
  66. Pimienta M, Edderkaoui M, Wang R, *et al.* The potential for circulating tumor cells in pancreatic cancer management. *Front Physiol* 2017; 8: 381.
  67. Wu XL, Tu Q, Faure G, *et al.* Diagnostic and prognostic value of circulating tumor cells in head and neck squamous cell carcinoma: a systematic review and meta-analysis. *Sci Rep* 2016; 6: 20210.
  68. Khan MS, Kirkwood A, Tsigani T, *et al.* Circulating tumor cells as prognostic markers in neuroendocrine tumors. *J Clin Oncol* 2013; 31: 365–372.
  69. Satelli A, Mitra A, Cutrera JJ, *et al.* Universal marker and detection tool for human sarcoma circulating tumor cells. *Cancer Res* 2014; 74: 1645–1650.
  70. Krebs MG, Sloane R, Priest L, *et al.* Evaluation and prognostic significance of circulating tumor cells in patients with non-small-cell lung cancer. *J Clin Oncol* 2011; 29: 1556–1563.
  71. Sefrioui D, Blanchard F, Toure E, *et al.* Diagnostic value of CA19.9, circulating tumour DNA and circulating tumour cells in patients with solid pancreatic tumours. *Br J Cancer* 2017; 117: 1017–1025.
  72. Mascalchi M, Maddau C, Sali L, *et al.* Circulating tumor cells and microemboli can differentiate malignant and benign pulmonary lesions. *J Cancer* 2017; 8: 2223–2230.
  73. Pixberg CF, Schulz WA, Stoecklein NH and Neves RP. Characterization of DNA methylation in circulating tumor cells. *Genes* 2015; 6: 1053–1075.
  74. Chimonidou M, Strati A, Tzitzira A, *et al.* DNA methylation of tumor suppressor and metastasis suppressor genes in circulating tumor cells. *Clin Chem* 2011; 57: 1169–1177.
  75. Friedlander TW, Ngo VT, Dong H, *et al.* Detection and characterization of invasive circulating tumor cells derived from men with metastatic castration-resistant prostate cancer. *Int J Cancer* 2014; 134: 2284–2293.
  76. Lyberopoulou A, Galanopoulos M, Aravantinos G, *et al.* Identification of methylation profiles of cancer-related genes in circulating tumor cells population. *Anticancer Res* 2017; 37: 1105–1112.
  77. Mastoraki S, Strati A, Tzanikou E, *et al.* ESR1 Methylation: A liquid biopsy-based epigenetic assay for the follow-up of patients with metastatic breast cancer receiving endocrine treatment. *Clin Cancer Res* 2018; 24: 1500–1510.
  78. Chimonidou M, Strati A, Malamos N, *et al.* Direct comparison study of DNA methylation markers in EpCAM-positive circulating tumour cells, corresponding circulating tumour DNA, and paired primary tumours in breast cancer. *Oncotarget* 2017; 8: 72054–72068.
  79. Pixberg CF, Raba K, Muller F, *et al.* Analysis of DNA methylation in single circulating tumor cells. *Oncogene* 2017; 36: 3223–3231.
  80. Micalizzi DS, Maheswaran S and Haber DA. A conduit to metastasis: circulating tumor cell biology. *Genes Dev* 2017; 31: 1827–1840.
  81. Manicone M, Poggiana C, Facchinetti A, *et al.* Critical issues in the clinical application of

- liquid biopsy in non-small cell lung cancer. *J Thorac Dis* 2017; 9: S1346-S1358.
82. Pestrin M, Salvianti F, Galardi F, *et al.* Heterogeneity of PIK3CA mutational status at the single cell level in circulating tumor cells from metastatic breast cancer patients. *Mol Oncol* 2015; 9: 749–757.
  83. Sundaresan TK, Sequist LV, Heymach JV, *et al.* Detection of T790M, the Acquired Resistance EGFR Mutation, by Tumor Biopsy versus Noninvasive Blood-Based Analyses. *Clin Cancer Res* 2016; 22: 1103–1110.
  84. Jordan NV, Bardia A, Wittner BS, *et al.* HER2 expression identifies dynamic functional states within circulating breast cancer cells. *Nature* 2016; 537: 102–106.
  85. Markou A, Lazaridou M, Paraskevopoulos P, *et al.* Multiplex gene expression profiling of in vivo isolated circulating tumor cells in high-risk prostate cancer patients. *Clin Chem* 2018; 64: 297–306.
  86. Mandel P and Metais P. [Not Available]. *Comptes rendus des seances de la Societe de biologie et de ses filiales.* 1948; 142: 241–243.
  87. Tan EM, Schur PH, Carr RI and Kunkel HG. Deoxybonucleic acid (DNA) and antibodies to DNA in the serum of patients with systemic lupus erythematosus. *J Clin Invest* 1966; 45: 1732–1740.
  88. Leon SA, Shapiro B, Sklaroff DM and Yaros MJ. Free DNA in the serum of cancer patients and the effect of therapy. *Cancer Res* 1977; 37: 646–650.
  89. Sorenson GD, Pribish DM, Valone FH, *et al.* Soluble normal and mutated DNA sequences from single-copy genes in human blood. *Cancer Epidemiol Biomarkers Prev* 1994; 3: 67–71.
  90. Stroun M, Lyautey J, Lederrey C, *et al.* About the possible origin and mechanism of circulating DNA apoptosis and active DNA release. *Clin Chim Acta* 2001; 313: 139–142.
  91. Jahr S, Hentze H, Englisch S, *et al.* DNA fragments in the blood plasma of cancer patients: quantitations and evidence for their origin from apoptotic and necrotic cells. *Cancer Res* 2001; 61: 1659–1665.
  92. Mouliere F, Robert B, Arnau Peyrotte E, *et al.* High fragmentation characterizes tumour-derived circulating DNA. *PLoS One* 2011; 6: e23418.
  93. Bergsmedh A, Szeles A, Henriksson M, *et al.* Horizontal transfer of oncogenes by uptake of apoptotic bodies. *Proc Natl Acad Sci U S A* 2001; 98: 6407–6411.
  94. Fleischhacker M and Schmidt B. Circulating nucleic acids (CNAs) and cancer—a survey. *Biochim Biophys Acta* 2007; 1775: 181–232.
  95. Lo YM, Zhang J, Leung TN, *et al.* Rapid clearance of fetal DNA from maternal plasma. *Am J Hum Genet* 1999; 64: 218–224.
  96. Yao W, Mei C, Nan X and Hui L. Evaluation and comparison of in vitro degradation kinetics of DNA in serum, urine and saliva: a qualitative study. *Gene* 2016; 590: 142–148.
  97. Atamaniuk J, Vidotto C, Tschan H, *et al.* Increased concentrations of cell-free plasma DNA after exhaustive exercise. *Clin Chem* 2004; 50: 1668–70.
  98. Diehl F, Schmidt K, Choti MA, *et al.* Circulating mutant DNA to assess tumor dynamics. *Nat Med* 2008; 14: 985–990.
  99. Underhill HR, Kitzman JO, Hellwig S, *et al.* Fragment length of circulating tumor DNA. *PLoS Genet* 2016; 12: e1006162.
  100. Chan KC, Yeung SW, Lui WB, *et al.* Effects of preanalytical factors on the molecular size of cell-free DNA in blood. *Clin Chem* 2005; 51: 781–784.
  101. Su YH, Wang M, Aiamkitsumrit B, *et al.* Detection of a K-ras mutation in urine of patients with colorectal cancer. *Cancer Biomark* 2005; 1: 177–182.
  102. Wang Y, Springer S, Mulvey CL, *et al.* Detection of somatic mutations and HPV in the saliva and plasma of patients with head and neck squamous cell carcinomas. *Sci Transl Med* 2015; 7: 293ra104.
  103. De Mattos-Arruda L, Mayor R, Ng CK, *et al.* Cerebrospinal fluid-derived circulating tumour DNA better represents the genomic alterations of brain tumours than plasma. *Nat Commun* 2015; 6: 8839.
  104. Barra GB, Santa Rita TH, de Almeida Vasques J, *et al.* EDTA-mediated inhibition of DNases protects circulating cell-free DNA from ex vivo degradation in blood samples. *Clin Biochem* 2015; 48: 976–981.
  105. El Messaoudi S, Rolet F, Mouliere F and Thierry AR. Circulating cell free DNA: Preanalytical considerations. *Clin Chim Acta* 2013; 424: 222–230.
  106. Parpart-Li S, Bartlett B, Popoli M, *et al.* The Effect of Preservative and Temperature on the Analysis of Circulating Tumor DNA. *Clin Cancer Res* 2017; 23: 2471–2477.
  107. Han X, Wang J and Sun Y. Circulating Tumor DNA as Biomarkers for Cancer Detection.

- Genomics Proteomics Bioinformatics* 2017; 15: 59–72.
108. Parsons HA, Beaver JA and Park BH. Circulating Plasma Tumor DNA. *Adv Exp Med Biol* 2016; 882: 259–276.
  109. Wan JCM, Massie C, Garcia-Corbacho J, *et al.* Liquid biopsies come of age: towards implementation of circulating tumour DNA. *Nat Reviews Cancer* 2017; 17: 223–238.
  110. Diehl F, Li M, He Y, *et al.* BEAMing: single-molecule PCR on microparticles in water-in-oil emulsions. *Nat Method* 2006; 3: 551–559.
  111. Li M, Diehl F, Dressman D, *et al.* BEAMing up for detection and quantification of rare sequence variants. *Nat Method* 2006; 3: 95–97.
  112. Rothe F, Laes JF, Lambrechts D, *et al.* Plasma circulating tumor DNA as an alternative to metastatic biopsies for mutational analysis in breast cancer. *Ann Oncol* 2014; 25: 1959–1965.
  113. Forshew T, Murtaza M, Parkinson C, *et al.* Noninvasive identification and monitoring of cancer mutations by targeted deep sequencing of plasma DNA. *Sci Transl Med* 2012; 4: 136ra68.
  114. Newman AM, Bratman SV, To J, *et al.* An ultrasensitive method for quantitating circulating tumor DNA with broad patient coverage. *Nat Med* 2014; 20: 548–554.
  115. Kinde I, Wu J, Papadopoulos N, *et al.* Detection and quantification of rare mutations with massively parallel sequencing. *Proc Natl Acad Sci U S A* 2011; 108: 9530–9535.
  116. Shu Y, Wu X, Tong X, *et al.* Circulating tumor DNA mutation profiling by targeted next generation sequencing provides guidance for personalized treatments in multiple cancer types. *Sci Rep* 2017; 7: 583.
  117. Chen S, Liu M and Zhou Y. Bioinformatics analysis for cell-free tumor DNA sequencing data. *Methods Mol Biol* 2018; 1754: 67–95.
  118. Leary RJ, Kinde I, Diehl F, *et al.* Development of personalized tumor biomarkers using massively parallel sequencing. *Sci Transl Med* 2010; 2: 20ra14.
  119. Herman JG, Graff JR, Myohanen S, *et al.* Methylation-specific PCR: a novel PCR assay for methylation status of CpG islands. *Proc Natl Acad Sci U S A* 1996; 93: 9821–9826.
  120. Licchesi JD and Herman JG. Methylation-specific PCR. *Methods Mol Biol* 2009; 507: 305–323.
  121. Fackler MJ and Sukumar S. Quantitation of DNA Methylation by Quantitative Multiplex Methylation-Specific PCR (QM-MSP) Assay. *Methods Mol Biol* 2018; 1708: 473–496.
  122. Fackler MJ, Lopez Bujanda Z, Umbricht C, *et al.* Novel methylated biomarkers and a robust assay to detect circulating tumor DNA in metastatic breast cancer. *Cancer Res* 2014; 74: 2160–2170.
  123. Bailey VJ, Zhang Y, Keeley BP, *et al.* Single-tube analysis of DNA methylation with silica superparamagnetic beads. *Clin Chem* 2010; 56: 1022–1025.
  124. Bailey VJ, Keeley BP, Zhang Y, *et al.* Enzymatic incorporation of multiple dyes for increased sensitivity in QD-FRET sensing for DNA methylation detection. *Chembiochem* 2010; 11: 71–74.
  125. Chan KC, Jiang P, Chan CW, *et al.* Noninvasive detection of cancer-associated genome-wide hypomethylation and copy number aberrations by plasma DNA bisulfite sequencing. *Proc Natl Acad Sci U S A* 2013; 110: 18761–18768.
  126. Wen L, Li J, Guo H, *et al.* Genome-scale detection of hypermethylated CpG islands in circulating cell-free DNA of hepatocellular carcinoma patients. *Cell Res* 2015; 25: 1250–1264.
  127. Beck J, Urnovitz HB, Riggert J, Clerici M and Schutz E. Profile of the circulating DNA in apparently healthy individuals. *Clin Chem* 2009; 55: 730–738.
  128. Mao L, Hruban RH, Boyle JO, Tockman M and Sidransky D. Detection of oncogene mutations in sputum precedes diagnosis of lung cancer. *Cancer Res* 1994; 54: 1634–1637.
  129. Gormally E, Vineis P, Matullo G, *et al.* TP53 and KRAS2 mutations in plasma DNA of healthy subjects and subsequent cancer occurrence: a prospective study. *Cancer Res* 2006; 66: 6871–6876.
  130. Fernandez-Cuesta L, Perdomo S, Avogbe PH, *et al.* Identification of circulating tumor DNA for the early detection of small-cell lung cancer. *EBioMed* 2016; 10: 117–123.
  131. Genovese G, Kahler AK, Handsaker RE, *et al.* Clonal hematopoiesis and blood-cancer risk inferred from blood DNA sequence. *N Engl J Med* 2014; 371: 2477–2487.
  132. Sozzi G, Conte D, Leon M, *et al.* Quantification of free circulating DNA as a diagnostic marker in lung cancer. *J Clin Oncol* 2003; 21: 3902–3908.
  133. Kato S, Lippman SM, Flaherty KT and Kurzrock R. The conundrum of genetic ‘Drivers’ in benign conditions. *J Natl Cancer Inst* 2016; 108.

134. Kim K, Shin DG, Park MK, *et al.* Circulating cell-free DNA as a promising biomarker in patients with gastric cancer: diagnostic validity and significant reduction of ctDNA after surgical resection. *Ann Surg Treat Res* 2014; 86: 136–142.
135. Frattini M, Gallino G, Signoroni S, *et al.* Quantitative and qualitative characterization of plasma DNA identifies primary and recurrent colorectal cancer. *Cancer Lett* 2008; 263: 170–181.
136. Tie J, Wang Y, Tomasetti C, *et al.* Circulating tumor DNA analysis detects minimal residual disease and predicts recurrence in patients with stage II colon cancer. *Sci Transl Med* 2016; 8: 346ra92.
137. Garcia-Murillas I, Schiavon G, Weigelt B, *et al.* Mutation tracking in circulating tumor DNA predicts relapse in early breast cancer. *Sci Transl Med* 2015; 7: 302ra133.
138. Olsson E, Winter C, George A, *et al.* Serial monitoring of circulating tumor DNA in patients with primary breast cancer for detection of occult metastatic disease. *EMBO Mol Med* 2015; 7: 1034–1047.
139. Bardelli A and Pantel K. Liquid Biopsies, What We Do Not Know (Yet). *Cancer Cell* 2017; 31: 172–179.
140. Garcia JM, Garcia V, Silva J, *et al.* Extracellular tumor DNA in plasma and overall survival in breast cancer patients. *Genes Chromosomes Cancer* 2006; 45: 692–701.
141. Castells A, Puig P, Mora J, *et al.* K-ras mutations in DNA extracted from the plasma of patients with pancreatic carcinoma: diagnostic utility and prognostic significance. *J Clin Oncol* 1999; 17: 578–584.
142. Dianxu F, Shengdao Z, Tianquan H, *et al.* A prospective study of detection of pancreatic carcinoma by combined plasma K-ras mutations and serum CA19–9 analysis. *Pancreas* 2002; 25: 336–341.
143. Kopreski MS, Benko FA, Borys DJ, *et al.* Somatic mutation screening: identification of individuals harboring K-ras mutations with the use of plasma DNA. *J Natl Cancer Inst* 2000; 92: 918–923.
144. Shinozaki M, O'Day SJ, Kitago M, *et al.* Utility of circulating B-RAF DNA mutation in serum for monitoring melanoma patients receiving biochemotherapy. *Clin Cancer Res* 2007; 13: 2068–2074.
145. Dawson SJ, Tsui DW, Murtaza M, *et al.* Analysis of circulating tumor DNA to monitor metastatic breast cancer. *N Engl J Med* 2013; 368: 1199–1209.
146. Misale S, Arena S, Lamba S, *et al.* Blockade of EGFR and MEK intercepts heterogeneous mechanisms of acquired resistance to anti-EGFR therapies in colorectal cancer. *Sci Transl Med* 2014; 6: 224ra26.
147. Diaz LA, Jr., Williams RT, Wu J, *et al.* The molecular evolution of acquired resistance to targeted EGFR blockade in colorectal cancers. *Nature* 2012; 486: 537–540.
148. Thress KS, Brant R, Carr TH, *et al.* EGFR mutation detection in ctDNA from NSCLC patient plasma: a cross-platform comparison of leading technologies to support the clinical development of AZD9291. *Lung Cancer* 2015; 90: 509–515.
149. Sequist LV, Yang JC, Yamamoto N, *et al.* Phase III study of afatinib or cisplatin plus pemetrexed in patients with metastatic lung adenocarcinoma with EGFR mutations. *J Clin Oncol* 2013; 31: 3327–3334.
150. Douillard JY, Ostoros G, Cobo M, *et al.* First-line gefitinib in Caucasian EGFR mutation-positive NSCLC patients: a phase-IV, open-label, single-arm study. *Br J Cancer* 2014; 110: 55–62.
151. Wu YL, Zhou C, Liang CK, *et al.* First-line erlotinib versus gemcitabine/cisplatin in patients with advanced EGFR mutation-positive non-small-cell lung cancer: analyses from the phase III, randomized, open-label, ENSURE study. *Ann Oncol* 2015; 26: 1883–189.
152. Raposo G and Stoorvogel W. Extracellular vesicles: exosomes, microvesicles, and friends. *J Cell Biol Mol Sci.* 2013; 200: 373–383.
153. Thery C, Zitvogel L and Amigorena S. Exosomes: composition, biogenesis and function. *Nat Rev Immunol* 2002; 2: 569–579.
154. Pan BT and Johnstone RM. Fate of the transferrin receptor during maturation of sheep reticulocytes in vitro: selective externalization of the receptor. *Cell* 1983; 33: 967–978.
155. Harding CV, Heuser JE and Stahl PD. Exosomes: looking back three decades and into the future. *J Cell Biol Mol Sci.* 2013; 200: 367–371.
156. Weidle UH, Birzele F, Kollmorgen G and Ruger R. The Multiple Roles of Exosomes in Metastasis. *Cancer Genomics Proteomics* 2017; 14: 1–15.
157. Tucci M, Mannavola F, Passarelli A, Stucci LS, Cives M and Silvestris F. Exosomes in melanoma: a role in tumor progression, metastasis and impaired immune system activity. *Oncotarget* 2018; 9: 20826–20837.

158. Giallombardo M, Chacartegui Borrás J, Castiglia M, *et al.* Exosomal miRNA analysis in non-small cell lung cancer (NSCLC) patients' plasma through qPCR: a feasible liquid biopsy tool. *J Vis Exp* 2016.
159. Thakur BK, Zhang H, Becker A, *et al.* Double-stranded DNA in exosomes: a novel biomarker in cancer detection. *Cell Res* 2014; 24: 766–769.
160. Logozzi M, De Milito A, Lugini L, *et al.* High levels of exosomes expressing CD63 and caveolin-1 in plasma of melanoma patients. *PLoS One* 2009; 4: e5219.
161. Nilsson J, Skog J, Nordstrand A, *et al.* Prostate cancer-derived urine exosomes: a novel approach to biomarkers for prostate cancer. *Br J Cancer* 2009; 100: 1603–1607.
162. Skog J, Wurdinger T, van Rijn S, *et al.* Glioblastoma microvesicles transport RNA and proteins that promote tumour growth and provide diagnostic biomarkers. *Nat Cell Biol* 2008; 10: 1470–1476.
163. Li P, Kaslan M, Lee SH, *et al.* Progress in exosome isolation techniques. *Theranostics*. 2017; 7: 789–804.
164. Thery C, Amigorena S, Raposo G, *et al.* Isolation and characterization of exosomes from cell culture supernatants and biological fluids. *Curr Protoc Cell Biol*. 2006; Chapter 3: Unit 3 22.
165. Lane RE, Korbie D, Anderson W, Vaidyanathan R and Trau M. Analysis of exosome purification methods using a model liposome system and tunable-resistive pulse sensing. *Sci Rep* 2015; 5: 7639.
166. Peterson MF, Otoc N, Sethi JK, Gupta A and Antes TJ. Integrated systems for exosome investigation. *Methods* 2015; 87: 31–45.
167. Costa-Silva B, Aiello NM, Ocean AJ, *et al.* Pancreatic cancer exosomes initiate pre-metastatic niche formation in the liver. *Nat Cell Biol* 2015; 17: 816–826.
168. Mitchell PJ, Welton J, Staffurth J, *et al.* Can urinary exosomes act as treatment response markers in prostate cancer? *J Transl Med* 2009; 7: 4.
169. Kim Y, Ignatchenko V, Yao CQ, *et al.* Identification of differentially expressed proteins in direct expressed prostatic secretions of men with organ-confined versus extracapsular prostate cancer. *Mol Cell Proteomics* 2012; 11: 1870–1884.
170. Huang X, Yuan T, Tschannen M, *et al.* Characterization of human plasma-derived exosomal RNAs by deep sequencing. *BMC Genomics* 2013; 14: 319.
171. Madhavan B, Yue S, Galli U, *et al.* Combined evaluation of a panel of protein and miRNA serum-exosome biomarkers for pancreatic cancer diagnosis increases sensitivity and specificity. *Int J Cancer* 2015; 136: 2616–2627.
172. Lunavat TR, Cheng L, Einarsdottir BO, *et al.* BRAF(V600) inhibition alters the microRNA cargo in the vesicular secretome of malignant melanoma cells. *Proc Natl Acad Sci U S A* 2017; 114: E5930–E5939.
173. Kahlert C, Melo SA, Protopopov A, *et al.* Identification of double-stranded genomic DNA spanning all chromosomes with mutated KRAS and p53 DNA in the serum exosomes of patients with pancreatic cancer. *J Biol Chem* 2014; 289: 3869–3875.
174. Tucci M, Passarelli A, Mannavola F, *et al.* Serum exosomes as predictors of clinical response to ipilimumab in metastatic melanoma. *Oncoimmunology* 2018; 7: e1387706.
175. Inamdar S, Nitiyanandan R and Rege K. Emerging applications of exosomes in cancer therapeutics and diagnostics. *Bioeng Transl Med* 2017; 2: 70–80.
176. Jamal-Hanjani M, Wilson GA, McGranahan N, *et al.* Tracking the Evolution of Non-Small-Cell Lung Cancer. *N Engl J Med* 2017; 376: 2109–2121.
177. Harouaka R, Kang Z, Zheng SY, *et al.* Circulating tumor cells: advances in isolation and analysis, and challenges for clinical applications. *Pharmacol Ther* 2014; 141: 209–221.
178. Mohan S, Chemi F and Brady G. Challenges and unanswered questions for the next decade of circulating tumour cell research in lung cancer. *Transl Lung Cancer Res* 2017; 6: 454–472.
179. Wu T, Cheng B and Fu L. Clinical applications of circulating tumor cells in pharmacotherapy: challenges and perspectives. *Mol Pharmacol* 2017; 92: 232–239.
180. Verlingue L, Alt M, Kamal M, *et al.* Challenges for the implementation of high-throughput testing and liquid biopsies in personalized medicine cancer trials. *Pers Med* 2014; 11: 545–558.
181. Castro-Giner F, Gkountela S, Donato C, *et al.* Cancer Diagnosis Using a Liquid Biopsy: Challenges and Expectations. *Diagnostics* 2018; 8.
182. Salvianti F, Pazzagli M and Pinzani P. Single circulating tumor cell sequencing as an advanced tool in cancer management. *Expert Rev Mol Diagn* 2016; 16: 51–63.