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# Seasonal and long-term dynamics in forest microclimate effects: global pattern and mechanism

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Although the biophysical effects of afforestation or deforestation on local climate are recognized, the biophysical consequences of seasonal and long-term dynamics in forests on understory microclimate, which creates microrefugia for forest organisms under global warming, remain less well understood. To fill this research gap, we combined a three-layered (i.e., canopy, forest air space and understory soil) land surface energy balance model and Intrinsic Biophysical Mechanism Model and quantify seasonal (warm minus cool seasons) and long-term changes (later minus former periods) in the biophysical effects of forest dynamics on understory air temperature ( $\Delta T_a$ ) and soil surface temperature ( $\Delta T_s$ ). We found that high latitudes forests show strongest negative seasonal variations in both  $\Delta T_a$  and  $\Delta T_s$ , followed by moderate latitudes forests. In contrast, low latitudes forests exhibit positive seasonal variations in  $\Delta T_a$  and weak negative seasonal variations in  $\Delta T_s$ . For the long-term variations,  $\Delta T_s$  increases systematically at all three latitudes. However, the situation differs greatly for  $\Delta T_s$ , with a weak increase at low and moderate latitudes, but a slight decrease at high latitudes. Overall, changes in sensible and latent heat fluxes induced by forest dynamics (such as leaf area index), by altering the aerodynamic resistances of canopy and soil surface layers, are the main factors driving changes in forest microclimate effects. In addition, this study also develops an aerodynamic resistance coefficient  $f_r^1$  to combine the air temperature effects and surface soil temperature effects and proposes an indicator  $-\Delta T_{Su}$ , that is,  $\Delta T_{Su} = \Delta T_s + (\frac{1}{f_r} - 1)\Delta T_a$ , as a possible benchmark for evaluating the total biophysical effects of forests on temperatures.

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## INTRODUCTION

Global warming has a profound impact on ecological processes and biodiversity<sup>1,2</sup>, driving many species and ecosystems to alter their geographical distribution in order to track their thermal comfort requirements<sup>3</sup>. Forest ecosystems, occupying approximately 30% of the terrestrial surface and constituting 60% of terrestrial biodiversity<sup>4</sup>, have a three-dimensional canopy structure and can create shading, affect air mixing, exert evapotranspirative cooling and thus form a phenomenon known as “forest microclimate”. This microclimate in forests is different from the open-ground environment and often shows a stable low temperature, thus creating microrefugia with a comfortable habitat for species to mitigate extreme heat under global warming<sup>5–10</sup>.

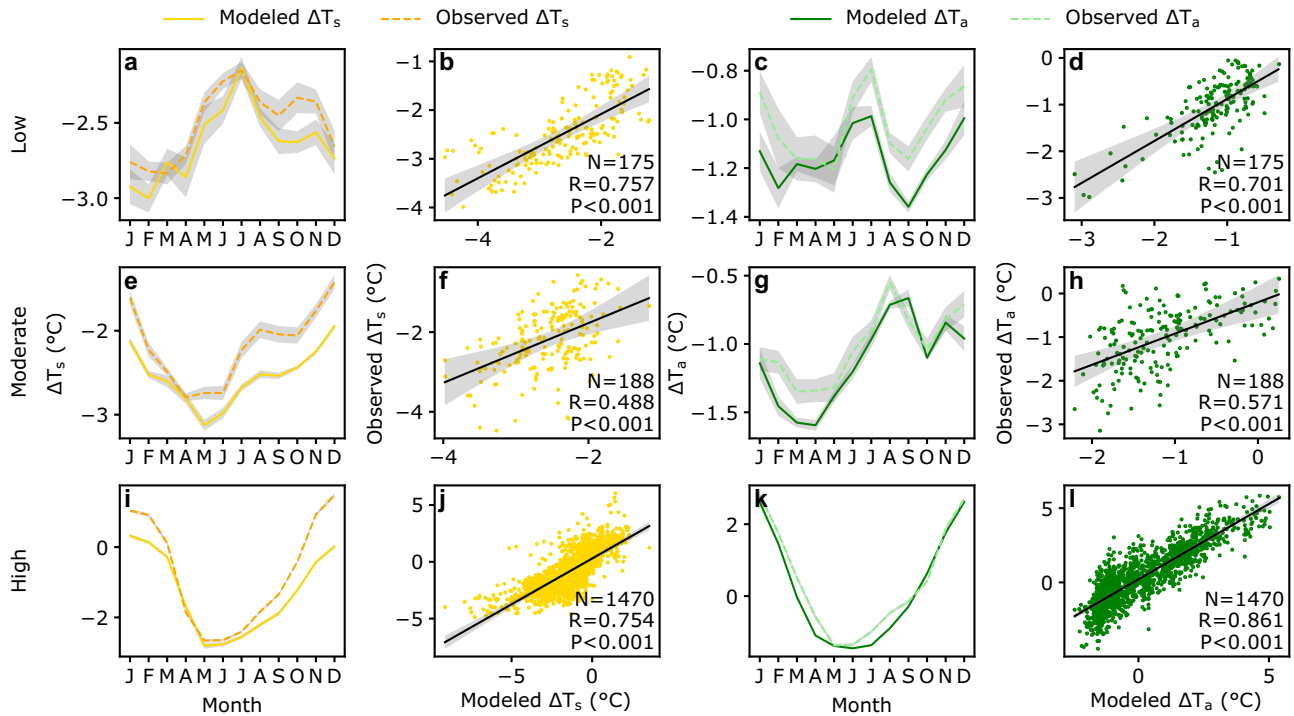
Such forest microclimate effects have been quantified at site-level by comparing field-observed air temperature ( $\Delta T_a$ ) or land surface temperature ( $\Delta T_s$ ) between paired forest and nonforest lands, known as the space-for-time analogy method<sup>11,12</sup>. They often observe the temperature difference at 1–2 m above the ground<sup>13–15</sup>. Based on meta-analysis, a number of studies have further indicated that the directions and magnitudes of  $\Delta T_a$  reported in these previous literatures differ greatly, ranging from  $-5.6^\circ\text{C}$  to  $3.3^\circ\text{C}$ <sup>16</sup>; Therefore, the conclusions from site-level observations are likely to be regional, and may not be applicable elsewhere<sup>17</sup>. However, a long-term and global-scale assessment of the biophysical effects of forests on the sub-canopy microclimates (e.g.,  $\Delta T_a$  and  $\Delta T_s$ ) are still lacking<sup>15,18,19</sup>.

This is mainly because forest microclimate cannot be measured directly by satellite sensors, which are a feasible way of mapping global surface temperatures, but not possible for capturing thermal signals from sub-canopy atmosphere and surface soil<sup>8,17–20</sup>. In addition, global forests have been undergone dramatic changes in the twenty-first century<sup>21</sup>, inevitably leading to much greater spatial and temporal heterogeneity in microclimate. Therefore, there is an urgent need to develop ways to investigate the variations in understory microclimate and its underlying drivers at both moderate resolution and across broad spatial scales<sup>8,20</sup>.

Forest microclimate models provide an alternative way to estimate the biophysical effects of forests on understory  $T_a$  and  $T_s$ <sup>14,16,22</sup>. Previous studies have compared the energy balance between forest and nonforest lands<sup>23–25</sup> and derived, for example, the Intrinsic Biophysical Mechanism (IBM)<sup>22</sup> model to simulate the biophysical effects of forest on local temperatures ( $T_{Lee}$ ), which differ from understory  $T_a$  and  $T_s$ . This is because previous studies mostly simplified forest land surface as one single layer and thus the temperature variable<sup>17</sup>, such as  $\Delta T_{Lee}$  in the IBM model, was more like a mixed proxy for surface temperatures effect composed of not only understory  $T_a$  and  $T_s$  effect but also overstory air temperature effect<sup>15,22</sup>. Su et al.<sup>14,16</sup> divided the forest land surface into three vertical layers: canopy, understory air space, and understory soil surface (CAS), developed a three-layer CAS radiation transfer model (Supplementary Fig. 1), and decomposed

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**Fig. 1** Seasonality validations of modeled  $\Delta T_s$  and  $\Delta T_a$ . **a, c, e, g, i, k** The corresponding degree of monthly change trend between the modeled- $\Delta T$  and the observed- $\Delta T$  at three latitudes. **b, d, f, h, j, l** The scatter plots of monthly and long-term values of the modeled- $\Delta T$  and observed- $\Delta T$  at three latitudes from 2003 to 2011. The gray shading of seasonal curves and regression lines represent the standard error of the mean (SE) and 95% confidence interval, respectively.  $P$ -values were determined by a two-sided Student's  $t$ -test.

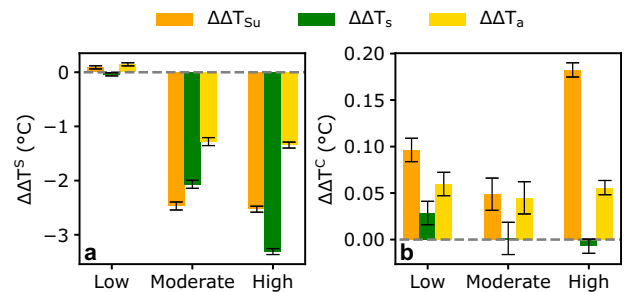
the biophysical effects of forests on understory  $T_a$  and  $T_s$ , hereafter denoted as  $\Delta T_a$  and  $\Delta T_s$ , respectively. Nevertheless, how  $\Delta T_a$  and  $\Delta T_s$  respond to seasonal and long-term forest dynamics remains issues that have not yet been explored.

To fill this research gap, we combine the CAS microclimate models of Su et al.<sup>14</sup> and IBM model<sup>22</sup> to evaluate seasonal and long-term variations of  $\Delta T_a$  and  $\Delta T_s$  and to reveal the mechanism of these variations. Seasonal variations of temperature ( $\Delta\Delta T^s$ ) are approximated by  $\Delta T$  of the warm season minus  $\Delta T$  of the cold season, while the long-term variations of temperature ( $\Delta\Delta T^c$ ) are estimated by the multi-year average  $\Delta T$  from 2008 to 2011 minus the multi-year average  $\Delta T$  from 2003 to 2006. Additionally, we propose an indicator ( $\Delta T_{su}$ ) for evaluating the mixed temperature effects composed of both  $\Delta T_a$  and  $\Delta T_s$ , that is,  $\Delta T_{su} = \Delta T_s + (\frac{1}{f_r} - 1)\Delta T_a$  and  $f_r$  is the vertical ratio of aerodynamic resistance between the forest air and canopy layer compared with that between soil and forest air layers, to explain the mechanism discrepancies between ground-observed and IBM-simulated biophysical effects of forests. It is worth noting that in this study, and the warm and cold seasons refer to local seasonal conditions (details are provided in Methods).

## RESULTS

### Validation of simulated $\Delta T_s$ and $\Delta T_a$

The 1833 samples of in-situ observations collected from 32 global forest flux sites (Supplementary Fig. 2), which included 7 deciduous broadleaf forests (DBF), 4 evergreen broadleaf forests (EBF), 19 evergreen needle-leaf forests (ENF) and 2 mixed forests (MF), were used for model validation. Results showed that both modeled  $\Delta T_s$  and  $\Delta T_a$  are well correlated with observed  $\Delta T_s$  ( $R = 0.776$ ,  $P < 0.001$ ,  $RMSE = 1.236^\circ C$ ) and  $\Delta T_a$  ( $R = 0.870$ ,  $P < 0.001$ ,  $RMSE = 0.905^\circ C$ ), respectively (Supplementary Fig. 3). In particular, seasonal dynamics of  $\Delta T_s$  and  $\Delta T_a$  modeled by CAS performed better at high latitudes ( $P < 0.001$ , Fig. 1i–l) and low

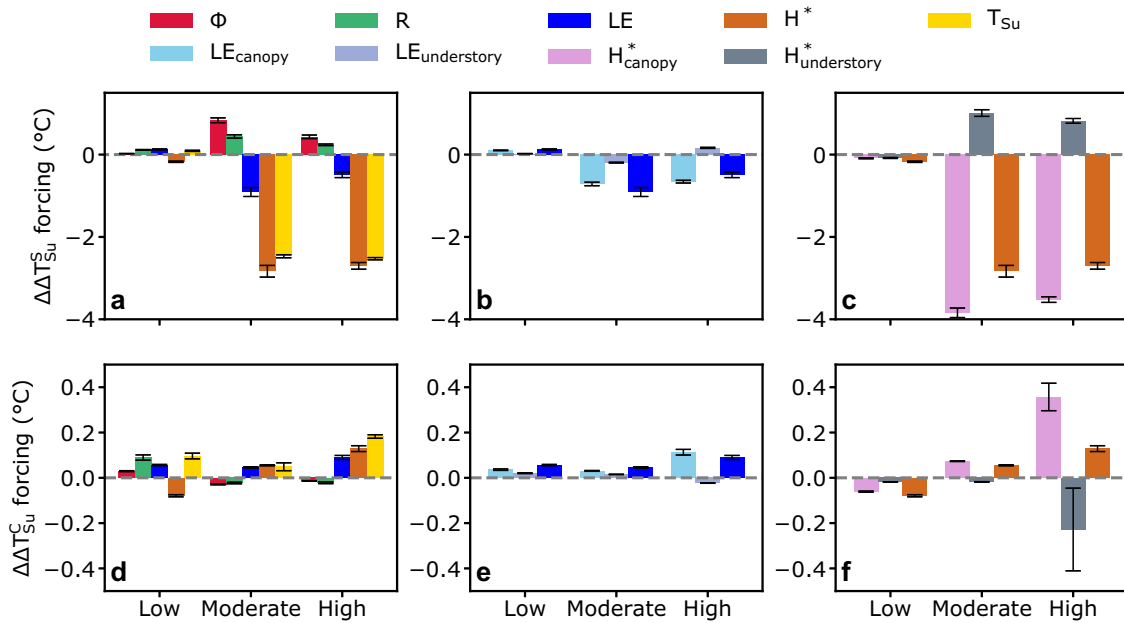


**Fig. 2** Seasonal variations and long-term changes of  $\Delta T_{su}$ ,  $\Delta T_s$  and  $\Delta T_a$ . **a** the seasonal variations ( $\Delta\Delta T^s$ ). **b** the long-term changes ( $\Delta\Delta T^c$ ) of  $\Delta T_{su}$ ,  $\Delta T_s$  and  $\Delta T_a$ . The error bars represent the standard error of the mean (SE).

latitudes ( $P < 0.001$ , Fig. 1a–d) than at moderate latitudes ( $P < 0.001$ , Fig. 1e–h).

### Seasonal and long-term variation patterns of $\Delta T_s$ , $\Delta T_a$ , and $\Delta T_{su}$

Seasonal variations ( $\Delta\Delta T^s$ , i.e., the  $\Delta T$  in the warm season minus the  $\Delta T$  in the cool season) of the temperature effects induced by forest seasonal dynamics at three latitudes are shown in Fig. 2a. High latitudes showed the strongest negative seasonal variations in the biophysical effects on forest microclimate (i.e.,  $\Delta\Delta T_{su}^s = -2.528 \pm 0.028^\circ C$ ,  $\Delta\Delta T_s^s = -3.311 \pm 0.015^\circ C$  and  $\Delta\Delta T_a^s = -1.345 \pm 0.027^\circ C$ ), followed by moderate latitude forests ( $\Delta\Delta T_{su}^s = -2.469 \pm 0.037^\circ C$ ,  $\Delta\Delta T_s^s = -2.069 \pm 0.021^\circ C$ , and  $\Delta\Delta T_a^s = -1.280 \pm 0.032^\circ C$ ). In contrast, low-latitude forests exerted positive seasonal variations in the air temperature effects ( $\Delta\Delta T_a^s = 0.146 \pm 0.013^\circ C$ ), weak negative seasonal variations in the soil temperature effects



**Fig. 3** The respective contribution of energy components to seasonal and long-term changes in  $\Delta T_{Su}$ . **a** and **d** illustrate the contributions of short-wave radiation ( $\phi_n$ ), long-wave radiation ( $R$ ), latent heat flux ( $LE$ ) and corrected total sensible heat flux ( $H^*$ ) to the biophysical effects of seasonal and long-term changes in  $\Delta T_{Su}$ , respectively. The contributions of  $LE$  and  $H^*$  to  $\Delta \Delta T_{Su}^S$  and  $\Delta \Delta T_{Su}^C$  were decomposed into canopy parts and understory parts in **b**, **c**, **e**, and **f**, respectively. The error bars represent the standard error of the mean (SE).

( $\Delta \Delta T_{Su}^S = -0.039 \pm 0.007$  °C) and, in turn, positive seasonal variations in  $\Delta \Delta T_{Su}^S$  ( $\Delta \Delta T_{Su}^S = 0.090 \pm 0.014$  °C).

For the long-term variations ( $\Delta \Delta T_{Su}^C$ , i.e., the average  $\Delta T$  from 2008 to 2011 minus the average  $\Delta T$  from 2003 to 2006, Fig. 2b),  $\Delta T_a$  increased systematically at all three latitudes (low latitudes:  $\Delta \Delta T_a^C = 0.060 \pm 0.002$  °C; moderate latitudes:  $\Delta \Delta T_a^C = 0.045 \pm 0.005$  °C; high latitudes:  $\Delta \Delta T_a^C = 0.056 \pm 0.002$  °C). However,  $\Delta T_s$  increased weakly at low latitudes ( $\Delta \Delta T_s^C = 0.029 \pm 0.004$  °C) and moderate latitudes ( $\Delta \Delta T_s^C = 0.001 \pm 0.005$  °C), but decreased slightly at high latitudes ( $\Delta \Delta T_s^C = -0.007 \pm 0.003$  °C). Combined with the regulation of term ( $\frac{1}{r} - 1$ ), the strongest  $\Delta \Delta T_{Su}^C$  ( $\Delta \Delta T_{Su}^C = 0.182 \pm 0.008$  °C) emerged at high latitudes, followed by low latitudes ( $\Delta \Delta T_{Su}^C = 0.096 \pm 0.013$  °C) and moderate latitudes ( $\Delta \Delta T_{Su}^C = 0.049 \pm 0.017$  °C). Overall, the magnitude of long-term variations of forest temperature effects consistently showed the following order:  $\Delta \Delta T_{Su}^C > \Delta \Delta T_a^C > \Delta \Delta T_s^C$ .

### Energy balance mechanisms for seasonal and long-term changes in forest microclimate effects

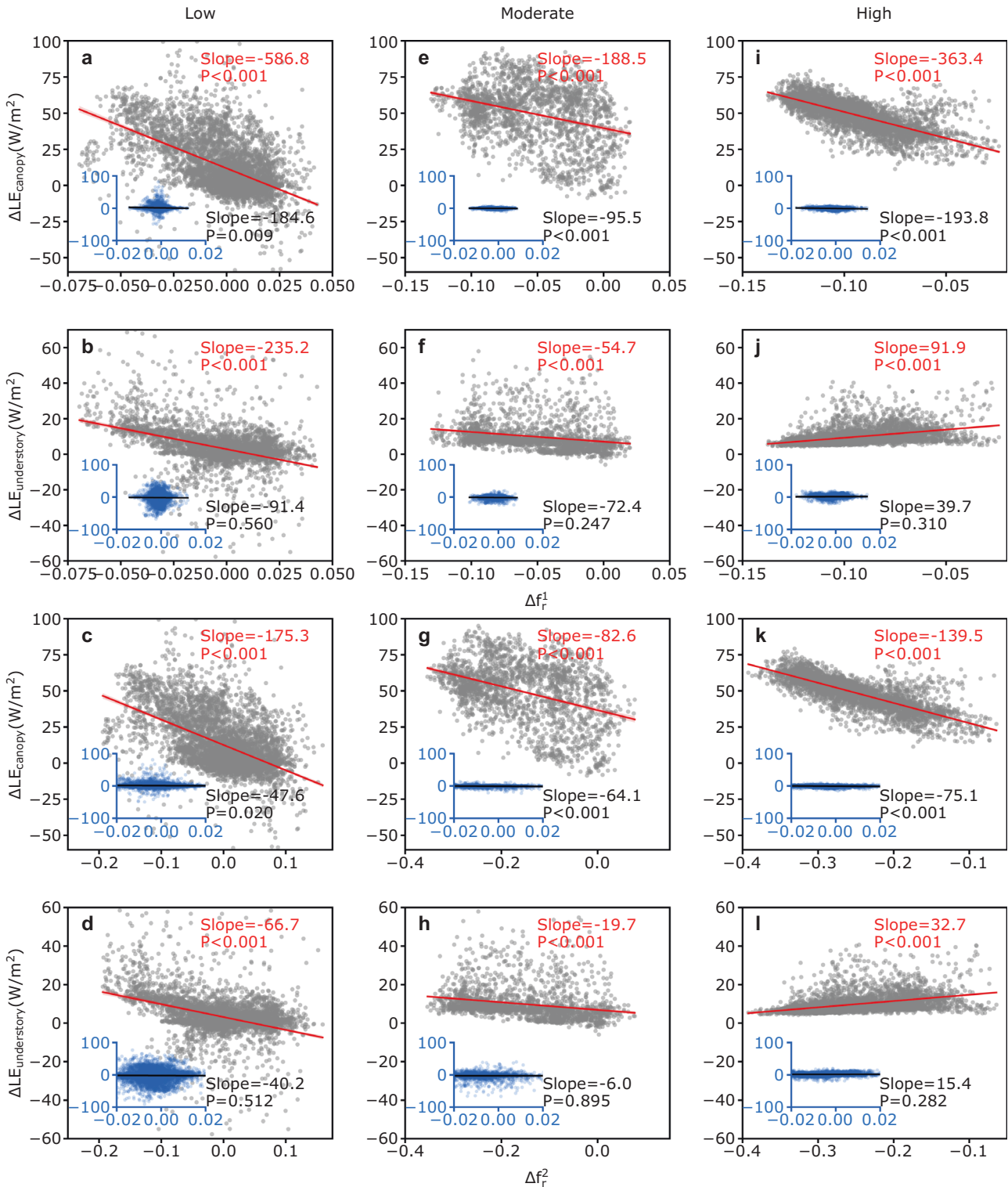
Seasonal and long-term changes in  $\Delta T_{Su}$  ( $\Delta \Delta T_{Su}^S$ ,  $\Delta \Delta T_{Su}^C$ ) can be explained by corresponding changes in surface energy balance, which were diagnosed from satellite observations of albedo, downwelling short-wave radiation ( $\phi_n$ ) and latent heat ( $LE$ ). Therefore, we calculated the contributions of short-wave radiation ( $\Delta \Delta T_{Su,\phi_n}$ ), long-wave radiation ( $\Delta \Delta T_{Su,R}$ ), latent heat flux ( $\Delta \Delta T_{Su,LE}$ ) and corrected total sensible heat flux ( $\Delta \Delta T_{Su,H^*}$ ) to the  $\Delta \Delta T_{Su}^S$  and  $\Delta \Delta T_{Su}^C$  based on Eqs. (9–16). The results of the analysis are shown in Fig. 3.

For seasonal variations (Fig. 3a),  $\phi_n$  and  $R$  were two positive drivers to  $\Delta \Delta T_{Su}^S$  at all latitudes (low latitudes:  $\Delta \Delta T_{Su,\phi_n}^S = 0.025 \pm 0.006$  °C,  $\Delta \Delta T_{Su,R}^S = 0.115 \pm 0.008$  °C; moderate latitudes:  $\Delta \Delta T_{Su,\phi_n}^S = 0.833 \pm 0.058$  °C,  $\Delta \Delta T_{Su,R}^S = 0.440 \pm 0.039$  °C; high latitudes:  $\Delta \Delta T_{Su,\phi_n}^S = 0.432 \pm 0.038$  °C,  $\Delta \Delta T_{Su,R}^S = 0.237 \pm 0.019$  °C). The stronger contribution of radiation changes in boreal forests was

mainly attributed to the lower forest albedo during snow-covered periods<sup>26–29</sup>, typically 20% to 50% less than in snow-covered open areas. In addition, the dominant coniferous forests in the boreal region<sup>30</sup> were typically darker (lower albedo)<sup>6</sup> than the broadleaved forests prevailing elsewhere<sup>31,32</sup>.

$LE$  and  $H^*$  served as the two dominant negative drivers to  $\Delta \Delta T_{Su}^S$  at the moderate ( $\Delta \Delta T_{Su,LE}^S = -0.909 \pm 0.109$  °C,  $\Delta \Delta T_{Su,H^*}^S = -2.833 \pm 0.142$  °C) and high latitudes ( $\Delta \Delta T_{Su,LE}^S = -0.495 \pm 0.064$  °C,  $\Delta \Delta T_{Su,H^*}^S = -2.703 \pm 0.081$  °C), while they played opposite roles to  $\Delta \Delta T_{Su}^S$  at the low latitudes ( $\Delta \Delta T_{Su,LE}^S = 0.125 \pm 0.013$  °C,  $\Delta \Delta T_{Su,H^*}^S = -0.175 \pm 0.016$  °C). The higher seasonal variations in the contribution of  $LE$  and  $H^*$  at moderate and high latitudes were induced by the significant seasonal phenology of deciduous forests<sup>33,34</sup> compared to evergreen forests, which are mainly located at low latitudes<sup>35,36</sup>. Overall, the temperature effects of seasonal changes in  $LE$  and  $H^*$  overwhelmed the effects of  $\phi_n$  and  $R$  (Fig. 3a), leading to a positive  $\Delta \Delta T_{Su}^S$  at the low latitudes but negative  $\Delta \Delta T_{Su}^S$  at the moderate and high latitudes. These findings suggest that for seasonal variations of forests, seasonal changes in  $LE$  and  $H^*$  are the most plausible causations for the  $\Delta \Delta T_{Su}^S$ , with  $H^*$  contributing more than  $LE$  at all latitudes.

For the long-term variations (Fig. 3),  $\phi_n$  and  $R$  were positive contributors to  $\Delta \Delta T_{Su}^C$  at the low latitudes ( $\Delta \Delta T_{Su,\phi_n}^C = 0.029 \pm 0.001$  °C,  $\Delta \Delta T_{Su,R}^C = 0.089 \pm 0.011$  °C), but were negative contributors at the moderate ( $\Delta \Delta T_{Su,\phi_n}^C = -0.029 \pm 0.002$  °C,  $\Delta \Delta T_{Su,R}^C = -0.023 \pm 0.003$  °C) and high latitudes ( $\Delta \Delta T_{Su,\phi_n}^C = -0.014 \pm 0.001$  °C,  $\Delta \Delta T_{Su,R}^C = -0.022 \pm 0.004$  °C). The inter-annual changes in  $LE$  and  $H^*$  caused by long-term variations in the low-latitude forests played an opposite role in  $\Delta \Delta T_{Su}^C$ , increasing  $\Delta \Delta T_{Su}^C$  by  $0.056 \pm 0.003$  °C and decreasing  $\Delta \Delta T_{Su}^C$  by  $0.079 \pm 0.005$  °C, respectively. Conversely,  $LE$  and  $H^*$  were two positive drivers to  $\Delta \Delta T_{Su}^C$  at the moderate ( $\Delta \Delta T_{Su,LE}^C = 0.046 \pm 0.003$  °C,  $\Delta \Delta T_{Su,H^*}^C = 0.055 \pm 0.002$  °C) and high ( $\Delta \Delta T_{Su,LE}^C = 0.090 \pm 0.009$  °C,  $\Delta \Delta T_{Su,H^*}^C = 0.129 \pm 0.013$  °C) latitudes. It is worth noting that the differences in energy fluxes (i.e.,  $\phi_n$ ,  $R$ ,  $H^*$  and  $LE$ ) between forest and nonforest lands were much stronger at the high latitudes compared to the other two latitudes. Similar to seasonal variations, non-radiative



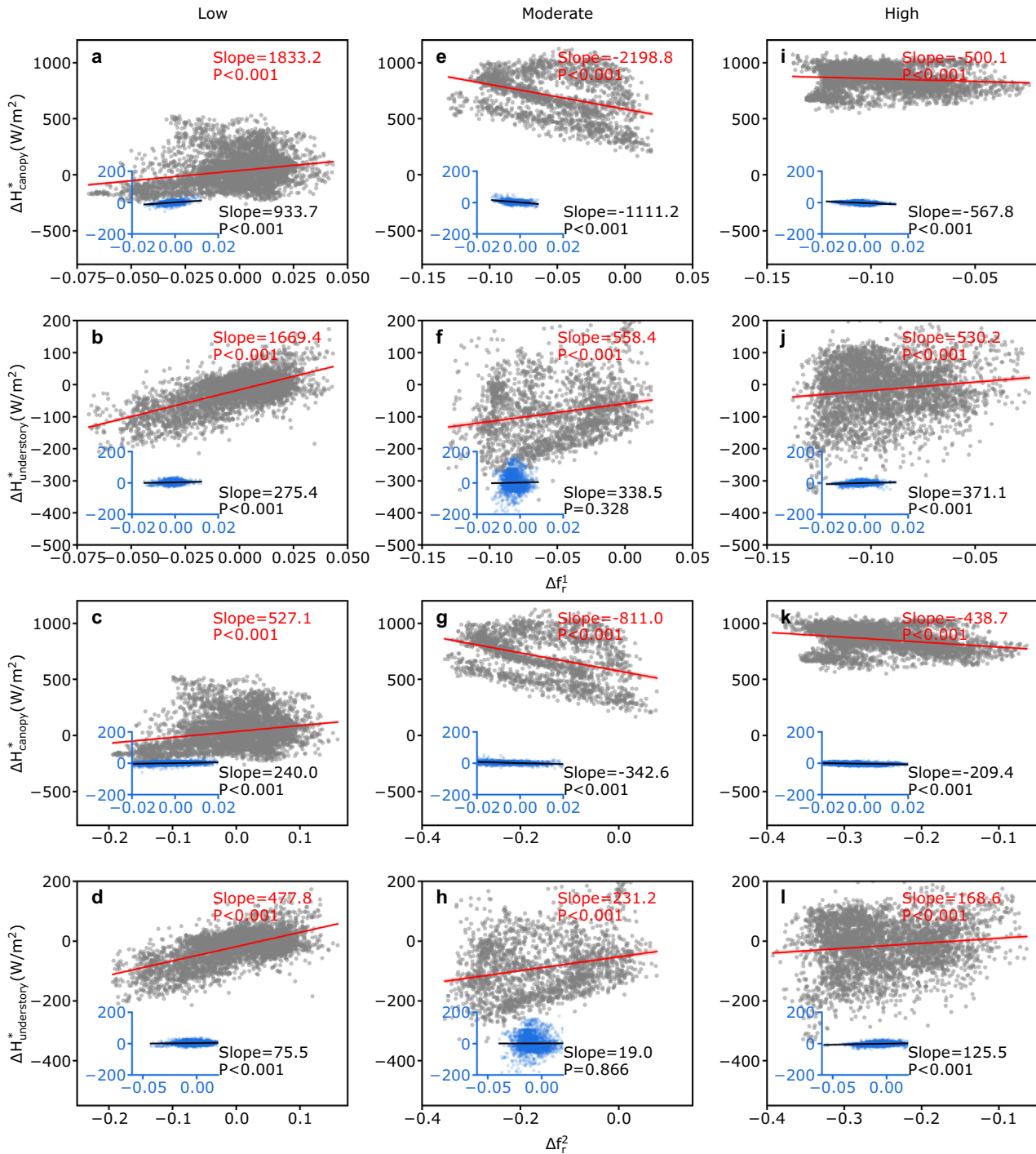
**Fig. 4** Correlations of  $\Delta f_r^1$  and  $\Delta f_r^2$  with the components of  $\Delta LE$  ( $\Delta LE_{canopy}$ ,  $\Delta LE_{understory}$ ). The graphs a–l show the correlations of seasonal variations, while the inserts in a–l represent the correlations in the long-term variations.  $P$ -values were determined by a two-sided Student's  $t$ -test.

processes dominated the long-term variations in the biophysical effects on forest microclimate.

We further decomposed the contribution of the LE anomaly (Fig. 3b, e) and  $H^*$  anomaly (Fig. 3c, f) into canopy parts ( $\Delta\Delta T_{Su,LE_{canopy}}$ ,  $\Delta\Delta T_{Su,H^*_{canopy}}$ ) and understory parts ( $\Delta\Delta T_{Su,LE_{understory}}$ ,

$\Delta\Delta T_{Su,H^*_{understory}}$ ). We found that the canopy parts ( $\Delta\Delta T_{Su,LE_{canopy}}$  and  $\Delta\Delta T_{Su,H^*_{canopy}}$ ) contributed more to  $\Delta\Delta T_{Su,LE}$  and  $\Delta\Delta T_{Su,H}$  than the understory parts ( $\Delta\Delta T_{Su,LE_{understory}}$  and  $\Delta\Delta T_{Su,H^*_{understory}}$ ) in both seasonal and long-term variations. In addition,  $\Delta\Delta T_{Su,LE_{canopy}}$  and  $\Delta\Delta T_{Su,LE_{understory}}$  performed correspondingly at low and moderate latitudes but





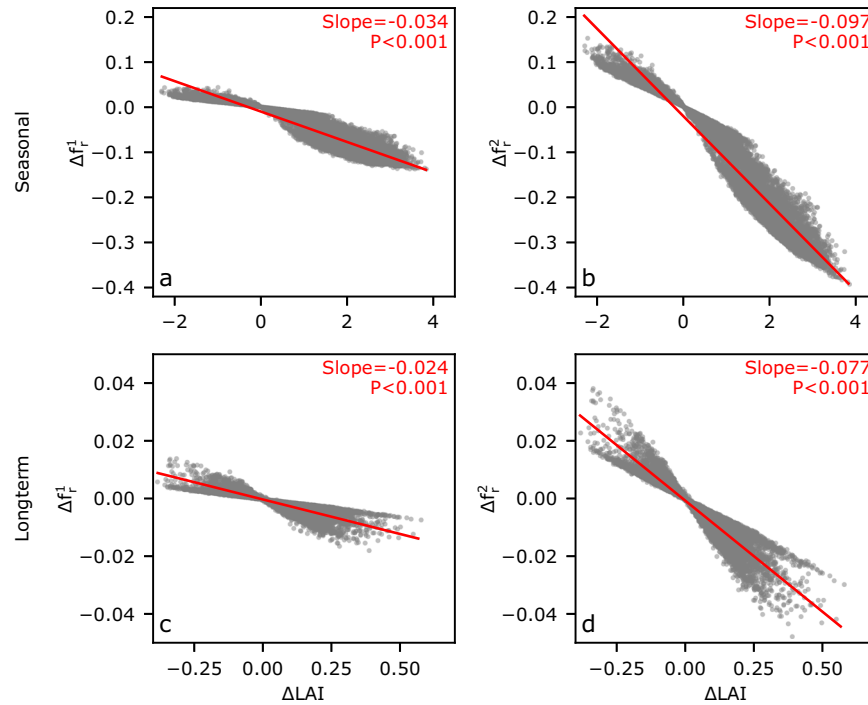
**Fig. 5** Correlations of  $\Delta f_r^1$  and  $\Delta f_r^2$  with the components of  $\Delta H^*$  ( $\Delta H_{\text{canopy}}^*$ ,  $\Delta H_{\text{understory}}^*$ ). The graphs a–i show the correlations of seasonal variations, while the inserts in a–i represent the correlations in the long-term variations.  $P$ -values were determined by a two-sided Student's  $t$ -test.

contrarily at high latitudes, whereas  $\Delta\Delta T_{\text{Su},H_{\text{canopy}}^*}$  and  $\Delta\Delta T_{\text{Su},H_{\text{understory}}^*}$  contributed differently at all latitudes except low latitudes.

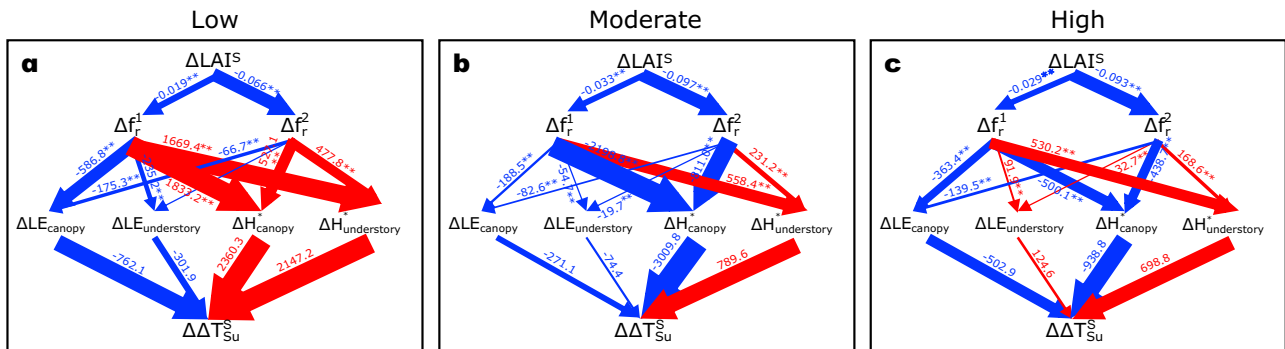
### Impact of vertical aerodynamic resistance on the latent and sensible heat fluxes

Su et al.<sup>14</sup> found that the differences in aerodynamic resistances among vertical layers of forest ecosystems (i.e.,  $f_r^1$  and  $f_r^2$ ) are important indicators regulating the magnitudes of  $\Delta T_s$  and  $\Delta T_{ar}$

and thus  $\Delta T_{\text{Su}}$ . The  $f_r^1$  is the ratio of the aerodynamic resistance between open air and canopy layers to the aerodynamic resistance between open air and soil layers ( $f_r^1 = \frac{r_{ca}}{r_s}$ ). The  $f_r^2$  is the ratio of the aerodynamic resistance between open air and canopy layers to the aerodynamic resistance between forest air and soil layers ( $f_r^2 = \frac{r_{ca}}{r_{f,c}}$ ). However, previous studies had not revealed the impact of vertical aerodynamic resistance on the energy transfer process, especially for latent and sensible heat fluxes.



**Fig. 6** Impacts of  $\Delta\text{LAI}$  on  $\Delta f_r^1$  and  $\Delta f_r^2$  in seasonal and long-term variations. **a, b** The correlations in seasonal variations. **c, d** The correlations in long-term variations.  $P$ -values were determined by a two-sided Student's  $t$ -test.



**Fig. 7** Sensitivity analysis of seasonal changes in  $\Delta T_{\text{Su}}$  to changes in  $\Delta H$  and  $\Delta LE$  induced by  $\Delta\text{LAI}$  through changing the vertical aerodynamic resistances. **a** The low latitudes. **b** The moderate latitudes. **c** The high latitudes.  $P$ -values section were determined by a two-sided student's  $t$ -test: \*\* $P < 0.001$ .

Here, Fig. 4 showed the correlations of  $\Delta f_r^1$  and  $\Delta f_r^2$  with the components of  $\Delta LE$  (i.e.,  $\Delta LE_{\text{canopy}}$ ,  $\Delta LE_{\text{understory}}$ ) in seasonal variations (Fig. 4a–l) and long-term variations (insets in Fig. 4a–l), while Fig. 5 presented the correlations of  $\Delta f_r^1$  and  $\Delta f_r^2$  with the components of  $\Delta H^*$  (i.e.,  $\Delta H_{\text{canopy}}^*$ ,  $\Delta H_{\text{understory}}^*$ ). Both  $\Delta LE_{\text{canopy}}$  and  $\Delta LE_{\text{understory}}$  linearly decreased with  $\Delta f_r^1$ ,  $\Delta f_r^2$ , respectively, at the low latitudes ( $P < 0.001$ ) and moderate latitudes ( $P < 0.001$ ) (Fig. 4a–h), whereas  $\Delta f_r^1$  and  $\Delta f_r^2$  negatively correlated with  $\Delta LE_{\text{canopy}}$  ( $P < 0.001$ ) but positively correlated with  $\Delta LE_{\text{understory}}$  ( $P < 0.001$ ) at the high latitudes (Fig. 4i–l). In addition,  $\Delta LE_{\text{canopy}}$  and  $\Delta LE_{\text{understory}}$  were always more sensitive to  $\Delta f_r^1$  than to  $\Delta f_r^2$  at all three latitudes; while  $\Delta f_r^1$  and  $\Delta f_r^2$  made more significant impacts on  $\Delta LE_{\text{canopy}}$  than on  $\Delta LE_{\text{understory}}$ . Specifically, at low latitudes both  $\Delta f_r^1$  and  $\Delta f_r^2$  were positively correlated with  $\Delta H_{\text{canopy}}^*$  and  $\Delta H_{\text{understory}}^*$  ( $P < 0.001$ ) (Fig. 5a–d). By contrast, at moderate and high latitudes  $\Delta f_r^1$  and  $\Delta f_r^2$  were negatively correlated with  $\Delta H_{\text{canopy}}^*$  ( $P < 0.001$ ) but positively correlated with  $\Delta H_{\text{understory}}^*$  ( $P < 0.001$ ) (Fig. 5e–l). Similarly, to the effects of  $\Delta f_r^1$  and  $\Delta f_r^2$  on  $\Delta LE_{\text{canopy}}$

and  $\Delta LE_{\text{understory}}$ ,  $\Delta f_r^1$  systematically exerted a greater effect on  $\Delta H_{\text{canopy}}^*$  and  $\Delta H_{\text{understory}}^*$  than did  $\Delta f_r^2$  at low, moderate or high latitudes.

#### Impact of canopy dynamics on the vertical aerodynamic resistance ratios ( $\Delta f_r^1$ , $\Delta f_r^2$ )

In light of the above results, the dynamics of energy redistribution factors ( $\Delta f_r^1$ ,  $\Delta f_r^2$ ) played a major role in regulating the energy distribution of forest non-radiative effects (i.e.,  $\Delta LE$  and  $\Delta H^*$ ), which were the two largest contributors controlling temperature effects<sup>14</sup>. According to the calculation formula for  $r_{c,a}$  (the aerodynamic resistances to convection between the canopy and open air),  $r_s$  (the aerodynamic resistances to convection soil and understory air layer) and  $r_{a,c}$  (the aerodynamic resistances to convection between canopy and understory air)<sup>14,16</sup>, canopy phenology (leaf area index, LAI) and canopy height ( $h_c$ ) were two potential major factors affecting both  $f_r^1$  and  $f_r^2$ . Due to data limitations, we analyzed the responses of  $\Delta f_r^1$  and  $\Delta f_r^2$  to  $\Delta\text{LAI}$

using both observational data (Fig. 6) and theoretical derivations (Supplementary Fig. 4), but only obtained theoretical responses for  $\Delta f_r^1$  and  $\Delta f_r^2$  and  $\Delta hc$  (Supplementary Fig. 5). Both  $\Delta f_r^1$  and  $\Delta f_r^2$  showed an obvious decreasing trend as  $\Delta LAI$  increased in seasonal (Fig. 6a, b) and long-term variations (Fig. 6c, d).  $\Delta f_r^2$  was more sensitive to the variations in  $\Delta LAI$  than  $\Delta f_r^1$  (Fig. 6, Supplementary Fig. 4 and Supplementary Figs. 6, 7). Both  $f_r^1$  and  $f_r^2$  also exhibited an obvious decreasing trend as  $hc$  increased, and  $f_r^2$  was more sensitive to  $hc$  than  $f_r^1$  especially when  $hc < 5$  m (Supplementary Fig. 5). Thus, canopy phenology (LAI) and canopy height ( $hc$ ) strongly influence the biophysical effects of forest cover on temperature by regulating the energy distribution in the forest understory.

### Mechanisms of forest dynamics impact on microclimate effects

Anthropogenic practices<sup>21</sup> and various natural causes (e.g., extreme climate-induced tree mortality and forest fires<sup>37</sup>) have led to an acceleration of forest disturbance rates over the past decades<sup>38</sup>. Seasonal and long-term dynamics in forest regions can promote or weaken the biophysical effects of forests on climate through biophysical processes, such as surface albedo<sup>39</sup>, surface roughness<sup>40</sup>, and evapotranspiration as well as through land cover change (i.e., afforestation or reforestation)<sup>23</sup>. However, while the local climate effects of changes in land cover had undergone in-depth investigation<sup>22,25</sup>, to date few studies have delved into the understory microclimate impacts of seasonal and long-term dynamics that occur within the forest region.

Herein, we combined the CAS microclimate models of Su et al.<sup>14</sup> and IBM model<sup>22</sup> to evaluate seasonal and long-term variations of  $\Delta T_a$  and  $\Delta T_s$  and to reveal the underlying mechanism (Fig. 7). We found that an increase in LAI, either from the cold to warm seasons or after long-term afforestation or reforestation, would result in lower values of  $f_r^1$  and  $f_r^2$ . For seasonal variations, at high latitudes (Fig. 7c), such decreases in  $f_r^1$  and  $f_r^2$  produce strong increases in canopy-layer sensible heat fluxes (with their sensitivities ( $\delta$ )  $f_r^1$  and  $f_r^2$  equal to  $-500.1 \text{ W m}^{-2}$  and  $-438.7 \text{ W m}^{-2}$ , respectively), strong decreases in understory sensible heat fluxes ( $f_r^1$ :  $\delta = 530.2 \text{ W m}^{-2}$ ;  $f_r^2$ :  $\delta = 168.6 \text{ W m}^{-2}$ ), and moderate increases in canopy latent heat fluxes ( $f_r^1$ :  $\delta = 363.4 \text{ W m}^{-2}$ ;  $f_r^2$ :  $\delta = 139.5 \text{ W m}^{-2}$ ). These changes in sensible and latent heat fluxes jointly lead to an overall negative effect on forest microclimate (Fig. 3a). Our findings are different from most previous studies where latent heat flux was systematically attributed as the main driver<sup>17,24</sup>. Although some previous studies have mentioned the important role of  $H^*$ <sup>30,41,42</sup>, our research has shown that  $H^*$  is the main contributor of the forest temperature effects changes instead of LE. This happens because the observed  $H$ , mostly representing the canopy-atmosphere layer flux, is underestimated compared to corrected sensible heat flux  $H^*$  that both takes into account the fluxes in the canopy-atmosphere layer and soil-forest air layer<sup>30,42–46</sup>. At middle latitudes (Fig. 7b), canopy sensible heat flux becomes more negative sensitive to  $f_r^1$  ( $\delta = -2198.8 \text{ W m}^{-2}$ ) and  $f_r^2$  ( $\delta = -811.0 \text{ W m}^{-2}$ ) than at high latitudes, while canopy sensible heat flux is more positive sensitive to  $f_r^1$  ( $\delta = -2198.8 \text{ W m}^{-2}$ ) and  $f_r^2$  ( $\delta = -811.0 \text{ W m}^{-2}$ ) and there is smaller sensitive of latent heat fluxes to the change of  $f_r^1$  and  $f_r^2$ , thus resulting in a slightly weaker negative effect on forest microclimate (Fig. 3a). The situations differ greatly at low latitudes where canopy sensible heat flux is positively sensitive to  $f_r^1$  ( $\delta = 1833.2 \text{ W m}^{-2}$ ) and  $f_r^2$  ( $\delta = 1669.4 \text{ W m}^{-2}$ ) (Fig. 7a). The weak negative sensitive of canopy and understory latent heat fluxes but strong positive sensitive of canopy and understory sensible heat fluxes to the change in  $f_r^1$  and  $f_r^2$  finally lead to a positive forest microclimate (Fig. 3a).

The mechanism of long-term changes in  $\Delta T_a$  and  $\Delta T_s$  induced by  $\Delta LAI$  through changing the vertical aerodynamic resistances is similar to that of seasonal changes (Supplementary Fig. 8). It is worth noting that forest gains had offset more than 60% of the losses at moderate latitudes<sup>47</sup> and less than 30% at low latitudes<sup>21,48</sup>, and therefore, long-term variations in the forest region resulted in smaller positive  $T_{su}$  at moderate latitudes than at low latitudes as a consequence (Fig. 3b). In addition, we conducted an observation of seasonal changes at Haizhu Park in Guangzhou to verify our mechanism based on the CAS model. The results showed a consistent energy change process (Supplementary Table 3).

### Mismatch between ground-observed and IBM-simulated temperature effects

Multiple technologies, such as satellite-based observations<sup>17,49</sup>, land-atmosphere model simulations<sup>22,50–52</sup> and field investigations<sup>53</sup>, have been used to investigate biophysical effects of forests on temperatures and have found inconsistent directions (cooling versus warming) and different magnitudes in the biophysical effects of forests at the same location. Satellite signals represent land surface temperatures<sup>17</sup>, field observations mostly focused on the air temperature<sup>54–57</sup>, while models reflect a mixed temperature effects that differ from the field-observed  $\Delta T_a$  and  $\Delta T_s$ <sup>22</sup>. For examples,  $\Delta T_{Lee}$  estimated from the IBM model is a mixed temperature effect composed of  $\Delta T_a$ ,  $\Delta T_s$ , and a residual term resulting from the difference between  $T_c$  and  $T_{ao}$ <sup>22</sup>; while  $\Delta T_{Su}$  estimated from the CAS-IBM model removes such a residual term and is composed of  $\Delta T_a$  and  $\Delta T_s$ <sup>14</sup>. Thus, equating the model simulated  $\Delta T_{Lee}$  or  $\Delta T_{Su}$  to the field-observed  $\Delta T_a$  or  $\Delta T_s$  would lead to bias in quantifying the biophysical effects of forests on understory microclimate.

It is also worth noting that, as shown above, forests could exert biophysical effects on both  $T_a$  and  $T_s$ . At present, it remains challenge for comprehensively evaluating the biophysical effects of forests on local climate, including both  $\Delta T_a$  and  $\Delta T_s$ . This study used a coefficient (i.e.,  $\frac{1}{f_r} - 1$ ) to convert the air temperature effects ( $\Delta T_a$ ) to soil temperature effects ( $\Delta T_s$ ) and proposed an indicator  $-\Delta T_{Su}$ , that is,  $\Delta T_{Su} = \Delta T_s + (\frac{1}{f_r} - 1)\Delta T_a$ . This provides a possible benchmark for evaluating the total direct biophysical effects of forests on temperatures.

### DISCUSSION

This study conducted a comprehensive evaluation of seasonal and long-term changes in the forest microclimate effects. It demonstrated that high latitudes showed strongest negative seasonal variations in both  $\Delta T_a$  and  $\Delta T_s$ , followed by moderate latitude forests, while low-latitude forests exerted positive seasonal variations in  $\Delta T_a$  and weak negative seasonal variations in  $\Delta T_s$ . However, for the long-term variations,  $\Delta T_a$  systematically increased at all three latitudes, while  $\Delta T_s$  weakly increased at low and moderate latitudes and slightly decreased at high latitudes. Changes in sensible and latent heat fluxes induced by forest dynamics (like leaf area index), through changing the aerodynamic resistances of canopy and soil surface layers, were the main factors driving the changes in forest microclimate effects. In addition, this study also developed an aerodynamic resistance coefficient ( $f_r^1$ ) to combine the air temperature effects and surface soil temperature effects and proposed an indicator  $-\Delta T_{Su}$ , that is,  $\Delta T_{Su} = \Delta T_s + (\frac{1}{f_r} - 1)\Delta T_a$ , as a possible benchmark for evaluating the total biophysical effects of forests on temperatures.

Some limitations or uncertainties still remain in this work. First of all, although the CAS-IBM model has been validated against global eddy-covariance flux tower observations with high

accuracy (Supplementary Fig. 1), it is still important to recognize that a comprehensive validation of simulated  $\Delta T_a$  and  $\Delta T_s$  using time-series paired-site field observation data remains challenging. Second, ongoing fine-scale tree cover changes in forest lands can also lead to significant changes in forest microclimate<sup>58</sup>. This biophysical effect is not analyzed in this study. Last but not least, the mechanism analyses, such as surface energy balance, mostly rely on multi-source remote sensing data where their accuracy may also bring uncertainties.

## METHODS

### The canopy, forest air space, and understory soil (CAS) energy balance model

Conventional energy balance module in most terrestrial atmospheric model treats forests as a single complex layer<sup>59–63</sup> (Eq. (1)).

$$\phi_n + R_{\text{sky}} = H + \text{LE} + R_{\text{canopy}} + G_{\text{tree}} + R_{\text{soil}} + G_{\text{soil}} \quad (1)$$

where  $\phi_n$  ( $\phi_n = (1 - a)\phi$ ) represents the net short-wave radiation,  $a$  represents the surface albedo,  $\phi$  represents the solar radiation flux incident above the canopy;  $R_{\text{sky}}$ ,  $R_{\text{canopy}}$  and  $R_{\text{soil}}$  represent the long-wave radiation of the sky, canopy and soil, respectively;  $G_{\text{soil}}$  represents the energy flux into soil;  $G_{\text{tree}}$  represents the energy flux into tree.

Su et al.<sup>16</sup> developed a three-layer radiation transfer module—CAS (canopy, forest air and understory soil) model—as an efficient method to investigate the energy budget under the forest canopy cover to quantify the biophysical effects of air and soil temperature ( $\Delta T_a$  and  $\Delta T_s$ , respectively) under the forest canopy worldwide. The CAS model adds the understory air layer, and the near-surface energy balance is divided into two parts: the energy balance above the understory air layer and the energy balance below the understory air layer (Supplementary Fig. 1). The energy balance for CAS model is expressed as Eq. (2)<sup>16</sup>.

$$\begin{aligned} \phi_n + R_{\text{sky}} - \{R_{\text{soil}} \exp(-\frac{CLAI}{u}) + R_{\text{canopy}} [1 - \exp(-\frac{CLAI}{u})]\} \\ - G_{\text{soil}} = \text{LE} + H_{\text{soil} \rightarrow \text{air, understory}} + H_{\text{air, understory} \rightarrow \text{canopy}} \\ + H_{\text{canopy} \rightarrow \text{air, open}} \end{aligned} \quad (2)$$

where LAI is the leaf area index;  $C$  is the extinction coefficient;  $u$  is the cosine value of the solar zenith angle ( $\theta$ );  $H_{\text{soil} \rightarrow \text{air, understory}}$  is sensible heat between tree canopy and understory air layer;  $H_{\text{air, understory} \rightarrow \text{canopy}}$  is sensible heat flux between understory air and canopy layers;  $H_{\text{canopy} \rightarrow \text{air, open}}$  is sensible heat flux between canopy and open air layers.

### Determining the overall biophysical effects of forest cover on temperature ( $\Delta T_{\text{su}}$ ) and seasonal and long-term variations ( $\Delta \Delta T_{\text{su}}$ )

According to Eq. (2), the CAS model can be re-constructed as,

$$\begin{aligned} H_{\text{soil} \rightarrow \text{air, understory}} + H_{\text{air, understory} \rightarrow \text{canopy}} + H_{\text{canopy} \rightarrow \text{air, open}} = \phi_n + R_{\text{sky}} \\ - \{R_{\text{soil}} \exp(-\frac{CLAI}{u}) + R_{\text{canopy}} [1 - \exp(-\frac{CLAI}{u})]\} - \text{LE} - G_{\text{soil}} \end{aligned} \quad (3)$$

Given  $R = R_{\text{sky}} - \{R_{\text{soil}} \exp(-\frac{CLAI}{u}) + R_{\text{canopy}} [1 - \exp(-\frac{CLAI}{u})]\}$ ,  $H_{\text{canopy} \rightarrow \text{air, open}} = \frac{\rho_a C_p}{r_{c,a}} (T_c - T_{\text{ao}})$ ,  $H_{\text{air, understory} \rightarrow \text{canopy}} = \frac{\rho_a C_p}{r_{a,c}} (T_{\text{af}} - T_c)$ , and  $H_{\text{soil} \rightarrow \text{air, understory}} = \frac{\rho_a C_p}{r_s} (T_s - T_{\text{af}})$ <sup>59,60,64</sup>, we come to,

$$\rho_a C_p \left[ \frac{1}{r_s} (T_s - T_{\text{af}}) + \frac{1}{r_{a,c}} (T_{\text{af}} - T_c) + \frac{1}{r_{c,a}} (T_c - T_{\text{ao}}) \right] + \text{LE} = \phi_n + R - G_{\text{soil}} \quad (4)$$

where  $\rho_a$  represents the density of air, with a given value of 1.29 and  $C_p$  represents the specific heat capacity of air;  $T_s$ ,  $T_c$ ,  $T_{\text{af}}$  and  $T_{\text{ao}}$  are understory soil surface temperature, canopy temperature, understory air temperature and open air temperature (K)<sup>65–69</sup>,

respectively;  $r_{c,a}$ ,  $r_{a,c}$  and  $r_s$  are the aerodynamic resistances to convection between the canopy and open air, canopy and understory air, soil and understory air layer<sup>14</sup>, respectively.

Given  $G_{\text{soil}} = KR_n^{70-72}$ ,  $R_n = \phi_n \exp(-\frac{CLAI}{u}) + R_{\text{sky}} \exp(-\frac{CLAI}{u}) + R_{\text{canopy}} [1 - \exp(-\frac{CLAI}{u})] - R_{\text{soil}}$ , Eq. (4) is changed as,

$$\begin{aligned} \rho_a C_p \left[ \frac{1}{r_s} (T_s - T_{\text{af}}) + \frac{1}{r_{a,c}} (T_{\text{af}} - T_c) + \frac{1}{r_{c,a}} (T_c - T_{\text{ao}}) \right] \\ = \left[ 1 - K \exp(-\frac{CLAI}{u}) \right] \phi_n + \left[ 1 - K \exp(-\frac{CLAI}{u}) \right] R - \text{LE} \end{aligned} \quad (5)$$

where  $K$  represents coefficient of the energy flux into soil to total radiation<sup>70,72</sup>.

Given  $T_{\text{af}} - T_{\text{ao}} = \Delta T_a$  and  $T_s - T_{\text{so}} = \Delta T_s$ , Eq. (6) was deduced by Eq. (5).

$$\begin{aligned} \frac{1}{r_s} \Delta T_s + \left( \frac{1}{r_{a,c}} - \frac{1}{r_s} \right) \Delta T_a = \frac{1}{\rho_a C_p} \left\{ \left[ 1 - K \exp(-\frac{CLAI}{u}) \right] \phi_n \right. \\ \left. + \left[ 1 - K \exp(-\frac{CLAI}{u}) \right] R - \text{LE} - \left( \frac{\rho_a C_p}{r_{c,a}} - \frac{\rho_a C_p}{r_{a,c}} \right) \right. \\ \left. (T_c - T_{\text{ao}}) - \frac{\rho_a C_p}{r_s} (T_{\text{so}} - T_{\text{ao}}) \right\} \end{aligned} \quad (6)$$

Here  $H^* = \left( \frac{\rho_a C_p}{r_{c,a}} - \frac{\rho_a C_p}{r_{a,c}} \right) (T_c - T_{\text{ao}}) + \frac{\rho_a C_p}{r_s} (T_{\text{so}} - T_{\text{ao}})$ , which is seen as the corrected total sensible heat flux. What's more, setting  $f_r^1 = \frac{r_{c,a}}{r_s}$ ,  $f_r^2 = \frac{r_{c,a}}{r_{a,c}}$ , which are two energy redistribution factors caused by the vertical roughness ratio differences<sup>14,16</sup>. And then, we defined that the total biophysical effects of forest cover on temperatures ( $\Delta T_{\text{su}}$ ) is the sum of effects on surface soil temperatures ( $\Delta T_s$ ) and forest air temperatures ( $\Delta T_a$ )<sup>16</sup>. Thus, we come to Eq. (7),

$$\begin{aligned} \Delta T_{\text{su}} = \Delta T_s + \left( \frac{1}{f_r^1} - 1 \right) \Delta T_a = \frac{1 - K \exp(-\frac{CLAI}{u})}{\frac{\rho_a C_p}{r_s}} \phi_n \\ + \frac{1 - K \exp(-\frac{CLAI}{u})}{\frac{\rho_a C_p}{r_s}} R + \frac{-1}{\frac{\rho_a C_p}{r_s}} \text{LE} + \frac{-1}{\frac{\rho_a C_p}{r_s}} H^* \end{aligned} \quad (7)$$

Furthermore,  $H^*$  can be split into the sensible heat flux from forest canopy to open air ( $H_{\text{canopy}}^* = \left( \frac{\rho_a C_p}{r_{c,a}} - \frac{\rho_a C_p}{r_{a,c}} \right) (T_c - T_{\text{ao}})$ ) and the sensible heat flux from soil surface to open air ( $H_{\text{understory}}^* = \frac{\rho_a C_p}{r_s} (T_s - T_{\text{ao}})$ ). Simultaneously, LE can also be disassembled into the latent heat flux from forest canopy to open air ( $\text{LE}_{\text{canopy}}$ ) and the latent heat flux from soil surface to open air ( $\text{LE}_{\text{understory}}$ ). Finally, the forest's total temperature feedbacks ( $\Delta T_{\text{su}}$ ) is given as,

$$\begin{aligned} \Delta T_{\text{su}} = \Delta T_s + \left( \frac{1}{f_r^1} - 1 \right) \Delta T_a = \frac{1 - K \exp(-\frac{CLAI}{u})}{\frac{\rho_a C_p}{r_s}} \phi_n \\ + \frac{1 - K \exp(-\frac{CLAI}{u})}{\frac{\rho_a C_p}{r_s}} R - \frac{1}{\frac{\rho_a C_p}{r_s}} (\text{LE}_{\text{canopy}} + \text{LE}_{\text{understory}}) \\ - \frac{1}{\frac{\rho_a C_p}{r_s}} (H_{\text{canopy}}^* + H_{\text{understory}}^*) \end{aligned} \quad (8)$$

Subsequently, seasonal variations of temperature ( $\Delta \Delta T^{\text{S}}$ ) were defined as  $\Delta T$  (i.e.,  $\Delta T_a$ ,  $\Delta T_s$ ,  $\Delta T_{\text{su}}$ ) of the warm season minus  $\Delta T$  of the cold season, while the long-term variations of temperature ( $\Delta \Delta T^{\text{C}}$ ) were estimated by the multi-year average  $\Delta T$  from 2008 to 2011 minus the multi-year average  $\Delta T$  from 2003 to 2006. The divide of warm seasons and cool seasons is according to the degree of the month average temperature deviating from the local annual average temperature, with daily mean temperatures above the average defined as warm seasons, and below the average defined as cool seasons<sup>73</sup>.

### Calculating the respective contributions of each independent factor to seasonal and long-term variations of $\Delta T_{\text{su}}$

According to Eq. (13),  $\Delta T_{\text{su}}$  is dependent on  $\phi_n$ ,  $R$ , LE, and  $H^*$ . Given a seasonal variation period from local warm season ( $i$ ) to local cold season ( $j$ ), the  $\phi_n$ ,  $R$ , LE and  $H^*$  changes from  $\phi_{n,i}$ ,  $R_i$ ,  $\text{LE}_i$  and  $H_i^*$  to  $\phi_{n,j}$ ,  $R_j$ ,  $\text{LE}_j$  and  $H_j^*$ .



The relative contributions ( $C^S$ ) of seasonal changes in  $\phi_n$  ( $C_{\phi_n}^S$ ),  $R$  ( $C_R^S$ ),  $LE$  ( $C_{LE}^S$ ),  $LE_{\text{understory}}$  ( $C_{LE_{\text{understory}}}^S$ ),  $LE_{\text{canopy}}$  ( $C_{LE_{\text{canopy}}}^S$ ),  $H^*$  ( $C_{H^*}^S$ ),  $H^*_{\text{understory}}$  ( $C_{H^*_{\text{understory}}}^S$ ) and  $H^*_{\text{canopy}}$  ( $C_{H^*_{\text{canopy}}}^S$ ) to seasonal variations in  $\Delta\Delta T_{\text{Su}}^S$  are given as:

$$C_{\phi_n}^S = \frac{[1 - \text{Kexp}(-\frac{\text{CLAI}}{u})] \left( \frac{\phi_{n,j} - \phi_{n,i}}{|\phi_n|} \right)}{\text{SUM}^S} * 100\% \quad (9)$$

$$C_R^S = \frac{[1 - \text{Kexp}(-\frac{\text{CLAI}}{u})] \left( \frac{R_j - R_i}{|R|} \right)}{\text{SUM}^S} * 100\% \quad (10)$$

$$C_{LE}^S = \frac{\left( \frac{LE_j - LE_i}{|LE|} \right)}{\text{SUM}^S} * 100\% \quad (11)$$

$$C_{LE_{\text{understory}}}^S = \frac{\left( \frac{LE_{\text{understory},j} - LE_{\text{understory},i}}{|LE_{\text{understory}}|} \right)}{\text{SUM}^S} * 100\% \quad (12)$$

$$C_{LE_{\text{canopy}}}^S = \frac{\left( \frac{LE_{\text{canopy},j} - LE_{\text{canopy},i}}{|LE_{\text{canopy}}|} \right)}{\text{SUM}^S} * 100\% \quad (13)$$

$$C_{H^*}^S = \frac{\left( \frac{H^*_j - H^*_i}{|H^*|} \right)}{\text{SUM}^S} * 100\% \quad (14)$$

$$C_{H^*_{\text{understory}}}^S = \frac{\left( \frac{H^*_{\text{understory},j} - H^*_{\text{understory},i}}{|H^*_{\text{understory}}|} \right)}{\text{SUM}^S} * 100\% \quad (15)$$

$$C_{H^*_{\text{canopy}}}^S = \frac{\left( \frac{H^*_{\text{canopy},j} - H^*_{\text{canopy},i}}{|H^*_{\text{canopy}}|} \right)}{\text{SUM}^S} * 100\% \quad (16)$$

where  $\text{SUM}^S = \left| [1 - \text{Kexp}(-\frac{\text{CLAI}}{u})] \frac{\phi_{n,j} - \phi_{n,i}}{|\phi_n|} + [1 - \text{Kexp}(-\frac{\text{CLAI}}{u})] \frac{R_j - R_i}{|R|} + \left| \frac{LE_j - LE_i}{|LE|} \right| + \left| \frac{H^*_j - H^*_i}{|H^*|} \right| \right|$ , and  $|\phi_n|$ ,  $|R|$ ,  $|LE|$ , and  $|H^*|$  are the absolute average values of  $\phi_n$ ,  $R$ ,  $LE$ , and  $H^*$ .

Thereafter, we translated this contribution into a change on temperature of the concerned factors (i.e.,  $\Delta\Delta T_{\text{Su},\phi_n}$ ,  $\Delta\Delta T_{\text{Su},R}$ ,  $\Delta\Delta T_{\text{Su},LE}$ ,  $\Delta\Delta T_{\text{Su},H^*}$ , Fig. 3). Significantly, the calculation method of contribution of energy component to long-term variations of  $\Delta T_{\text{Su}}$  is same as seasonal variations of  $\Delta T_{\text{Su}}$ .

### Intrinsic biophysical mechanism (IBM) and decomposing the understory energy redistribution

The IBM was developed by Lee et al.<sup>22</sup> based on the surface energy equilibrium equation, an attribution method consisting of several factors (Eq. (17)).

$$\Delta T_{\text{Lee}} \approx \lambda_0 \frac{\Delta S}{1 + f_\beta} + (-\lambda_0) R_n \frac{\Delta f_\beta}{(1 + f_\beta)^2} \quad (17)$$

where  $\Delta T_{\text{Lee}}$  represents the surface temperature difference between forest and nonforest lands estimated by Lee et al.<sup>22</sup>  $\lambda_0$  represents expressed as the sensitivity of temperature to changes in net short-wave radiation;  $f_\beta$  represents considered as an energy redistribution factor caused by the Bowen ratio ( $\beta = H/LE$ );  $R_n$  ( $R_n = \phi_n + R_{\text{near}} = \phi_n + R_{\text{sky}} - R_{\text{outnear}}$ ) represents net radiation;  $R_{\text{near}}$  represents the differences between incoming and outgoing long-wave radiation for the composite surface;  $R_{\text{outnear}}$  represents total long-wave radiation of the composite near-surface;  $\Delta S$  represents the changes of net short-wave radiation.

By partitioning the composite surface layer into canopy, understory air and soil layers, the CAS model decomposed the

biophysical mechanism as formulated in Eq. (18)<sup>14</sup>. In comparison with Lee's model<sup>22</sup>, the  $\Delta T_{\text{Lee}}$  based on CAS model is a mixed temperature effect composed of understory  $\Delta T_a$ , understory  $\Delta T_s$ , and a residual term resulting from the difference between  $T_c$  and  $T_{\text{ao}}$  in overstory<sup>14,16</sup> (Eq. 19).

$$\Delta T_s + \left( \frac{1}{f_r} - 1 \right) \Delta T_a + \frac{1}{f_r} (1 - f_r^2) (T_c - T_{\text{ao}}) \approx \lambda' \frac{\Delta S}{1 + f_\beta} + (-\lambda') R_n \frac{\Delta f_\beta}{(1 + f_\beta)^2} \quad (18)$$

$$\Delta T_{\text{Lee}} = \Delta T_s + \left( \frac{1}{f_r} - 1 \right) \Delta T_a + \frac{1}{f_r} (1 - f_r^2) (T_c - T_{\text{ao}}) = \Delta T_{\text{Su}} + \frac{1}{f_r} (1 - f_r^2) (T_c - T_{\text{ao}}) \quad (19)$$

### Data collection and preprocessing for model field validations

According to Eqs. (1–19), time-series data of global air temperature ( $T_a$ ), short-wave downward solar radiation ( $\phi_n$ ), vapor pressure deficit (VPD), albedo, latent heat flux (LE), daytime surface temperature ( $T_s$ ), normalized difference vegetation index (NDVI), leaf area index (LAI), canopy height (hc), cloudiness coverage ( $C_{\text{cover}}$ ), soil moisture ( $m_s$ ) and wind speed ( $U(V_z)$ ) were collected in this study (data sources and resolution see Supplementary Table 1).

First of all, we performed data quality control and filtering. The LE data was subjected to a standardized quality control procedure whereby data were first filtered to remove missing data and LE measurements greater than  $1500 \text{ W m}^{-2}$ . Meteorological data were also screened for obvious outliers (i.e., air temperature  $< -30^\circ\text{C}$  or  $> 50^\circ\text{C}$ , net radiation  $< -500 \text{ W m}^{-2}$  or greater than  $1500 \text{ W m}^{-2}$ ). The pixels with quality assurance (QA) as "Clouds", "Other errors", "Cirrus cloud", "Missing pixel", "Poor quality", "Land Surface Temperature (LST)  $> 3 \text{ K}$ ", "Average emissivity error  $> 0.04$ " of MODIS  $T_s$  data were all removed<sup>74</sup>. Meanwhile, the observations carried out during cloudy days were excluded, which might lead to a degree of uncertainty.

And then, all the data were resampled into  $0.05^\circ$  and averaged to monthly data after quality control and were applied in the CAS model to map global  $\Delta T_{\text{Su}}$ ,  $\Delta T_s$  and  $\Delta T_a$ .

It should be noted that the NDVI<sup>75</sup> and land use map datasets<sup>76</sup> from the Moderate-resolution Imaging Spectroradiometer (MODIS), as well as ice and snow datasets<sup>77</sup> from the National Snow and Ice Data Center (NSIDC) were used to identify forest cover in November to January without the impact of ice and snow pixels. As the MODIS LAI<sup>78</sup> of high-latitude evergreen forests is relatively biased (i.e., low) during the cold season, it might lead to an overestimation of the vertical aerodynamic resistance ratio parameters ( $f_r^1$  and  $f_r^2$ )<sup>14,16</sup>, which results in a decrease of  $\Delta T_s$  (the soil temperature difference between forests and open lands) and  $\Delta T_a$  (the air temperature difference between forests and open lands); Therefore, we used the maximum values of LAI during the November to January time period to minimize these impacts. To attenuate the noises, we used adjacent open lands (i.e., grasslands and shrubs) as reference lands for the forests and extracted 10 pixels of soil and air temperature for the open lands, removed the maximum and minimum values and used the average values as soil temperature of open lands and air temperature of open lands.

In order to decompose LE into latent flux of canopy layer ( $LE_{\text{canopy}}$ ) and latent flux of understory layer ( $LE_{\text{understory}}$ ), we used actual evaporation ( $E$ ) minus interception loss ( $E_i$ ) and transpiration ( $E_t$ ) from Global Land Evaporation Amsterdam Model (GLEAM) datasets<sup>79</sup> as the proportion of canopy ( $LE_{\text{canopy}}$ ) and understory ( $LE_{\text{understory}}$ ), respectively. All acronyms used in this study are listed in Table 1.

We divided the global forests into three regions, high latitudes forests ( $> 50^\circ\text{N}$ ), temperate moderate latitudes forests ( $23.5^\circ\text{N} - 50^\circ\text{N}$  and  $23.5^\circ\text{S} - 50^\circ\text{S}$ ) and low latitudes forests

**Table 1.** Definitions of all the acronyms used in this study.

Acronyms			
$T_{sf}$	soil temperature of forest, °C	$E$	transpiration and actual evapotranspiration, mm
$T_{af}$	air temperature of forest, °C	$E_t$	transpiration, mm
$T_c$	canopy surface temperature, °C	$E_i$	interception loss, mm
$T_{so}$	soil temperature of open lands, °C	$U(Vz)$	wind speed at height $z$ , $m\ s^{-1}$
$T_{ao}$	air temperature of open lands, °C	$\rho_a$	density of air, $kg\ m^{-3}$
$\Delta T_s$	biophysical effects of forest on soil temperature, °C	$K$	coefficient of the energy flux into soil to total radiation, dimensionless
$\Delta T_a$	biophysical effects of forest on air temperature, °C	$f_\beta$	an energy redistribution factor caused by the Bowen ratio ( $\beta$ )
$\Delta T_{Lee}$	temperature difference between forest and nonforest estimated by Lee et al. <sup>22</sup> , °C	$C^S$	relative contributions of seasonal changes in $\varnothing_n$ , $R$ , $LE$ , $LE_{understory}$ , $LE_{canopy}$ , $H^*$ , $H^*_{understory}$ and $H^*_{canopy}$
$\Delta T_{Su}$	comprehensive biophysical effects on temperature estimated by the CAS model, °C	$C^C$	relative contributions of long-term variations in $\varnothing_n$ , $R$ , $LE$ , $LE_{understory}$ , $LE_{canopy}$ , $H^*$ , $H^*_{understory}$ and $H^*_{canopy}$
$f_r^1$	vertical aerodynamic resistances ratio index between $r_s$ and $r_{a,c}$	$\lambda_o, \lambda'$	sensitivity of temperature to changes in net short-wave radiation
$f_r^2$	vertical aerodynamic resistances ratio index between $r_{a,c}$ and $r_{c,a}$	$H^*$	corrected $H$ , $H^*$ can be split into $H^*_{canopy}$ and $H^*_{understory}$ , $W\ m^{-2}$
$\phi_n$	short-wave radiation, $W\ m^{-2}$	$\Delta S$	changes of net short-wave radiation, $W\ m^{-2}$
$R$	long-wave radiation, $W\ m^{-2}$	$u$	cosine value of the solar zenith angle, $\theta$
$R_n$	net radiation, $W\ m^{-2}$	$\theta$	solar zenith angle, °
$R_{near}$	differences between incoming and outgoing $R$ , $W\ m^{-2}$	$\varepsilon_s$	emissivity coefficients of the soil surface, dimensionless
$R_{outnear}$	total $R$ , $W\ m^{-2}$	$\varepsilon_{sky}$	emissivity coefficients of sky, dimensionless
$LE$	latent heat flux, $LE$ can be split into $LE^*_{canopy}$ and $LE^*_{understory}$ , $W\ m^{-2}$	$\varepsilon_{canopy}$	emissivity coefficients of forest canopy, dimensionless
$H$	sensible heat flux, $W\ m^{-2}$	$a$	albedo, dimensionless
$H_{(soil \rightarrow air, understory)}$	sensible heat flux between soil and understory, $W\ m^{-2}$	$r_{a,c}$	aerodynamic resistances to sensible heat above canopy, $s\ m^{-1}$
$H_{(air, understory \rightarrow canopy)}$	sensible heat flux between understory and canopy, $W\ m^{-2}$	$r_{c,a}$	aerodynamic resistances to sensible heat below canopy surface, $s\ m^{-1}$
$H_{(canopy \rightarrow air, open)}$	sensible heat flux between canopy layer to open air, $W\ m^{-2}$	$r_s$	aerodynamic resistances to sensible heat at soil surface, $s\ m^{-1}$
$G_{soil}$	heat storage in soil, $W\ m^{-2}$	$\sigma$	Stefan–Boltzmann constant, $W\ m^{-2}\ K^{-4}$
$G_{tree}$	heat storage in tree, $W\ m^{-2}$	$m_s$	soil moisture, %
$LAI$	leaf area index, dimensionless	$C$	extinction coefficient, dimensionless
$h_c$	canopy height, m	$C_{cover}$	cloud coverage, %
$VPD$	vapor pressure deficit, hPa	$\Delta\Delta T_{Su}^S$	seasonal variations of $\Delta T_{Su}$ , °C
$C_p$	specific heat capacity of air, $J\ kg^{-1}\ K^{-1}$	$\Delta\Delta T_{Su}^C$	long-term variations of $\Delta T_{Su}$ , °C

(23.5°S–23.5°N), respectively. Records of eddy-covariance-derived ET and ancillary meteorological data were obtained from global eddy-covariance flux tower sites. Sites were chosen for inclusion in the study if at least ten years of data, including soil moisture data, were available and generally free of large gaps. Finally, global field datasets of 1833 samples from 32 global eddy-covariance flux tower sites (Supplementary Fig. 2 and Supplementary Table 1) were used to validate the modeled  $\Delta T_a$  and  $\Delta T_s$  by means of the CAS model at three latitudes. The datasets included 7 deciduous broadleaf forests (DBF), 2 evergreen broadleaf forests (EBF), 19 evergreen needle-leaf forests (ENF), and 2 mixed forests (MF).

#### DATA AVAILABILITY

All data used in this study are publicly available. MODIS data including NDVI (MOD13C2 v061), LAI (MCD15A3H v061), albedo (MCD43C3 v061), Surface Temperature (MOD11C1 v061), Evapotranspiration (MOD16A2 v061) and Land use map (MCD12C1 v061) are available at <https://modis.gsfc.nasa.gov/>. The GLEAM dataset is available at <https://www.gleam.eu/>. Snow and ice coverage data are available at <https://nsidc.org/>. CHIRPS Precipitation is available at <https://www.chc.ucsb.edu/data/chirps>. ERA-Interim data are available at <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era-interim>. CRU data are available at <https://crudata.uea.ac.uk/cru/data/hrg/>. CRU and NECP data are available at <https://crudata.uea.ac.uk/cru/data/ncep/>. Canopy Height data are available at [https://webmap.ornl.gov/wcswdown/dataset.jsp?ds\\_id=10023](https://webmap.ornl.gov/wcswdown/dataset.jsp?ds_id=10023). Cloud coverage data are available at <https://www.ncei.noaa.gov/>. ESA soil moisture data are available at <https://esa-soilmoisture-cci.org/>. Field data used in model validation are provided in the Supplementary Information.

ERA-Interim data are available at <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era-interim>. CRU data are available at <https://crudata.uea.ac.uk/cru/data/hrg/>. CRU and NECP data are available at <https://crudata.uea.ac.uk/cru/data/ncep/>. Canopy Height data are available at [https://webmap.ornl.gov/wcswdown/dataset.jsp?ds\\_id=10023](https://webmap.ornl.gov/wcswdown/dataset.jsp?ds_id=10023). Cloud coverage data are available at <https://www.ncei.noaa.gov/>. ESA soil moisture data are available at <https://esa-soilmoisture-cci.org/>. Field data used in model validation are provided in the Supplementary Information.

#### CODE AVAILABILITY

Any codes used in the manuscript are available upon request from the corresponding author.

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## AUTHOR CONTRIBUTIONS

Y.S. designed the study and drafted the original manuscript. C.Z. wrote the initial manuscript and performed the analysis. L.L. collected the data and performed the analysis. J.W., G.H., X.L., C.B., W.Y., and R.L. contributed to discussions on the scientific issue.

## COMPETING INTERESTS

The authors declare no competing interests.

## ADDITIONAL INFORMATION

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