

The pivotal role of copper in neurodegeneration: a new strategy for the therapy of neurodegenerative disorders

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3 1 **The pivotal role of copper in neurodegeneration: a new strategy for the therapy of**
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5 2 **neurodegenerative disorders**

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22 10 **ABSTRACT**

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24 11 Copper is an essential trace element for human body since it is a cofactor of several enzymes and
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26 12 proteins and plays a pivotal role in several biological functions (e.g., respiration, protection from
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28 13 oxidative damage, iron metabolism, etc.), also including the central nervous system development
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30 14 and functioning (e.g. synthesis of neurotransmitters, myelination, activation of neuropeptides, etc.).
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32 15 Therefore, copper dysmetabolism is associated with different toxic effects, mainly represented by
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34 16 oxidative stress, and it has been reported in many neurodegenerative disorders, such as Wilson's
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36 17 disease, Menkes disease, Alzheimer's disease, Parkinson's disease, and Amyotrophic Lateral
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38 18 Sclerosis. This paper shows a detailed report of how copper is involved in the pathophysiology of
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40 19 these diseases. Moreover, a hint on novel therapeutic approaches based on restoring copper
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42 20 homeostasis through metal chelators will be pointed out.
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48 22 **KEYWORDS:** *copper, oxidative stress, neurodegenerative diseases, metal chelators, natural*
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50 23 *compounds.*
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26 INTRODUCTION

27 Copper is an essential element responsible for different biological functions in human body.¹ In the
28 human brain and in the liver copper tissue levels are 5 µg/g, while the cerebrospinal fluid (CSF)
29 shows copper concentration 0.3-0.5 µM.²⁻³ Copper is present throughout the brain, in particular it
30 has high concentration in basal ganglia, hippocampus, cerebellum, numerous synaptic membranes,
31 cell bodies of cortical pyramidal neurons, and cerebellar granular neurons.⁴ This metal exerts an
32 important role for the development and functioning of the central nervous system (CNS), indeed,
33 CNS neurons possess the machinery to uptake copper and subsequently release it at the synaptic
34 cleft.⁵ For instance, advanced researches highlighted a role for copper in synaptic transmission
35 (modulation of neurotransmitters biosynthesis, neurotransmitters receptors, synaptic vesicles
36 trafficking, etc.), axonal targeting, neurite outgrowth, and modulation of signalling cascades
37 induced by neurotrophic factors.^{6,7} In detail, it has been observed that copper may modulate
38 excitatory and inhibitory neurotransmission by blocking GABAergic and AMPAergic
39 neurotransmission; and an important role in the production and maintenance of myelin has been
40 also reported.⁵

41 At subcellular level, copper is required for many activities, such as respiration, erythrocyte
42 formation, iron absorption and transport into the body, peptide amidation, pigment formation, and
43 development and maintenance of connective tissues.⁸ Copper is also an electron donor or acceptor,
44 oscillating between the reduced (Cu^+ , cuprous ion) and the oxidized (Cu^{2+} , cupric ion) states, and
45 behaves as cofactor of several enzymes involved in diverse metabolic functions and redox
46 reactions: superoxide dismutase 1 and 3 (SOD1 and SOD3) for the antioxidant activity,
47 cytochrome-C oxidase for ATP production in mitochondria, lysyl oxidase for collagen maturation,
48 tyrosinase for melanin synthesis, ceruloplasmin (CP) for iron metabolism, etc.⁹

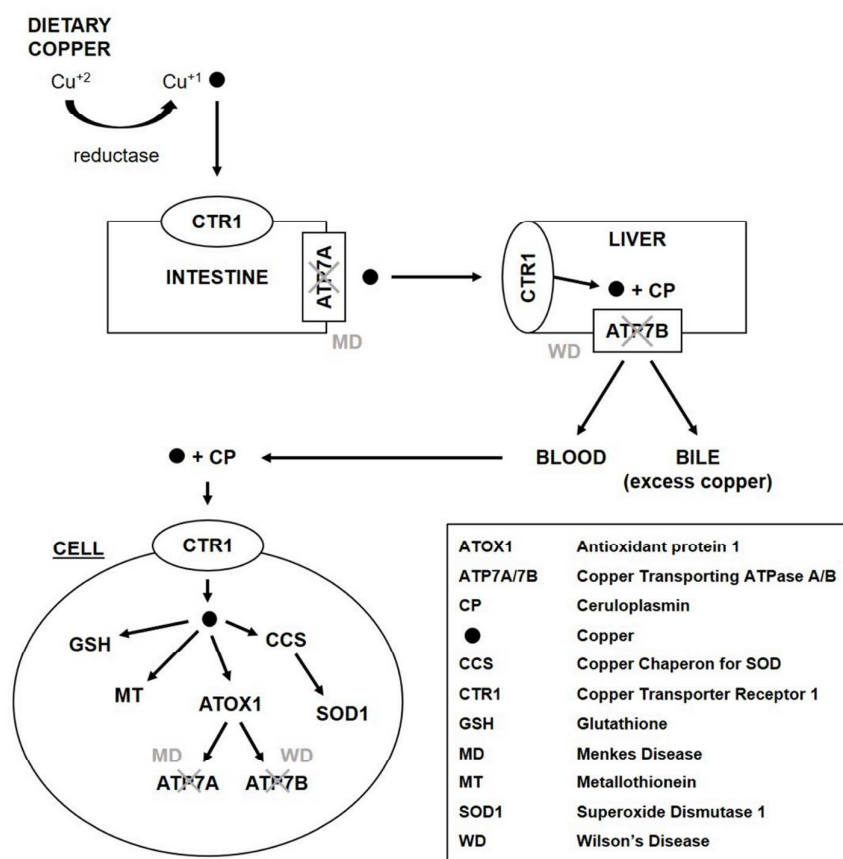
49 As depicted in Figure 1, copper introduced with the diet, after its reduction to Cu^+ , is taken up in the
50 small intestine by the copper transporter receptor 1 (CTR1), a high affinity copper protein localised

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3 51 on the apical site of enterocytes, and is subsequently delivered to the blood by the copper
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5 52 transporting ATPase (Cu-ATPase) ATP7A. Once in the blood, Cu^+ is mainly bound to serum
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7 53 albumin and reaches the liver, where it is absorbed in the hepatocytes via CTR1 transport. In the
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9 54 hepatocytes, copper is mainly bound to CP, a ferroxidase protein involved in the regulation of the
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11 55 iron oxidative state, and the corresponding complex is then delivered in the bloodstream. The
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13 56 formation of the copper-CP complex takes place in the *trans*-Golgi network (TGN), where copper is
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15 57 supplied to nascent CP thanks to the active transport mediated by another Cu-ATPase, the ATP7B.
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17 58 When copper exceeds the needs of the cell, ATP7B moves toward the cell membrane, and, via
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19 59 hepatic lysosomes, copper is released in the bile canaliculus together with the degradation products
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21 60 of CP.^{10,11} The absorption of copper from the bloodstream into the CNS is mediated by CTR1 and
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23 61 ATP7A, respectively localised on the apical and basolateral sites of the blood-brain barrier (BBB)
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25 62 endothelial cells. Then, copper is absorbed by brain cells through a transport mediated by CTR1 and
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27 63 by the prion protein (PrP), a membrane protein involved in copper homeostasis.¹⁰
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29 64 Depending on tissues demand, copper is mobilized from hepatic stores, it enters the cells, and, to
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31 65 preserve a physiological concentration of free copper within the cell at around 10^{-18} M, it is
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33 66 immediately bound by different proteins, such as metallothioneins (MTs), glutathione (GSH), and a
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35 67 group of chaperons shuttle copper, specialized protein for delivering copper to copper-dependent
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37 68 enzymes. For instance, the copper chaperon for superoxide dismutase (CCS) delivers copper to
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39 69 SOD1, Cox17 catalyses the incorporation of copper into cytochrome c oxidase, the antioxidant
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41 70 protein 1 (ATOX1) mediates the delivery of copper to the copper-transporting ATPases ATP7A and
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43 71 ATP7B.⁸
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48 72 Based on the above mentioned several physiological roles of copper, copper dyshomeostasis has
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50 73 been associated with diverse pathological conditions. For example, an excess of free copper could
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52 74 translate in an excessive production of reactive oxygen species (ROS) through a Fenton-like
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54 75 reaction, leading to oxidative damages of protein, lipids, and nucleic acids. Besides, free copper can
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3 76 directly bind to these latter causing additional noxious transformations lethal to cells, such as
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5 77 enzymes' inactivation, disruption of mitochondrial protein complexes, modification of low-density
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7 78 lipoprotein, or DNA damage.⁹ On the other hand, deficit of copper translates in the impairment of
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9 79 all copper-dependent enzymes and functions, causing toxicological manifestations.

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11 80 In the following sections, we review the pathological involvement of copper in several
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13 81 neurodegenerative diseases, such as Wilson's disease (WD), Menkes disease (MD), Alzheimer's
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15 82 disease (AD), Parkinson's disease (PD), and Amyotrophic Lateral Sclerosis (ALS). Moreover, a
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17 83 hint on novel therapeutic approaches based on restoring copper homeostasis will be also pointed
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52 **Figure 1.** Schematic representation of copper homeostasis in physiological conditions and copper
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dyshomeostasis in Wilson's and Menkes diseases.

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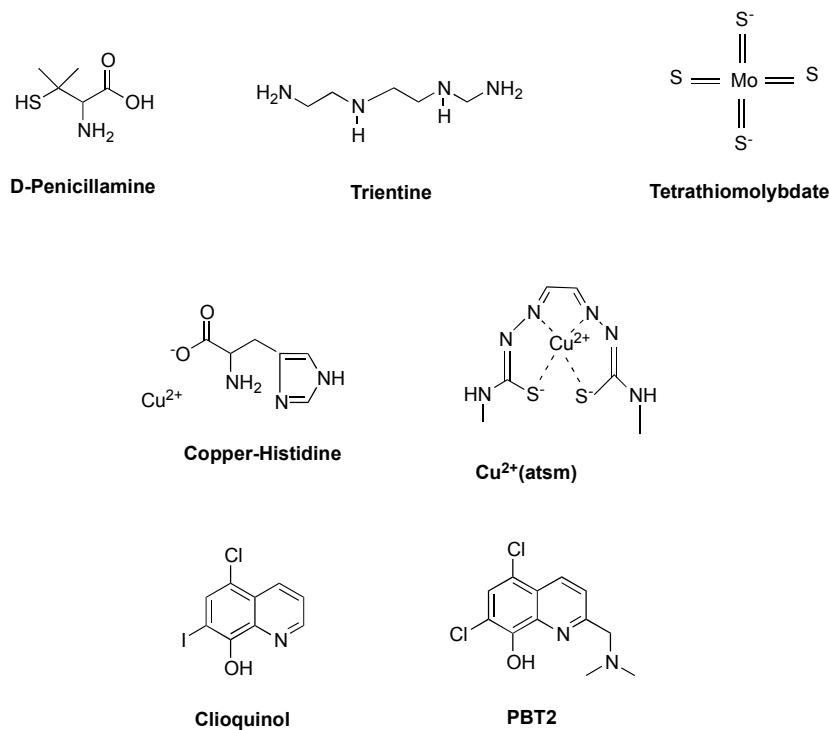
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88 COPPER ROLE IN NEURODEGENERATIVE DISEASES

89 **Wilson's disease (WD).** WD is an autosomal-recessive disorder caused by mutations in the gene
90 *ATP7B* encoding for the Cu-ATPase ATP7B (Figure 1), the transporter mainly responsible for
91 copper incorporation into CP and for its biliary excretion. More than 500 homozygous or
92 heterozygous mutations have been identified so far, including missense mutations, small
93 deletions/insertions in the coding region, and splice junction mutations.¹² This loss-of-function of
94 ATP7B causes an impaired incorporation of copper into CP and a defective bile excretion with
95 consequent copper overload in the liver.¹³ In fact, copper concentration in the liver of WD patients
96 is 4.5-16.5-fold higher than in healthy individuals. This copper excess leads to an increased
97 oxidative stress responsible for lipids, proteins, DNA, and RNA damages, which in turn causes
98 apoptosis of hepatocytes and liver steatosis. Furthermore, when the liver is no longer able to store
99 copper, free copper, nonceruloplasmin-bound, overflows into the bloodstream reaching other organs
100 and causing extrahepatic copper toxicity. For instance, free copper could exert toxic effects on
101 erythrocytes, leading to haemolysis and anaemia, or it could accumulate in skeletal muscle cells, in
102 cardiomyocytes, in renal parenchyma, and in CNS, causing respectively rhabdomyolysis,
103 cardiomyopathy, renal tubular dysfunction, and a wide variety of neurological and psychiatric
104 symptoms (tremor, movement disorder and ataxia, which typically begin in the second or third
105 decade).^{12,14,15}

106 With the aim of reducing free copper in the blood to prevent its accumulation in tissues, three drugs
107 (Figure 2), able to regulate copper bioavailability, have been approved by FDA for WD treatment:
108 D-penicillamine, trientine, and zinc salts. The first two are chelating agents which bind copper and
109 other metals in blood and tissues, facilitating their excretion in the urine; instead, zinc salts (e.g.,
110 zinc acetate) reduce copper blood levels interfering with its intestinal uptake. The general use of

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3 111 these drugs depends on the disease progression: the chelating agents are recommended for patients
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5 112 with advanced symptomatology, whereas zinc salts are preferred for asymptomatic patients or
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7 113 patients in maintenance therapy.¹² Another chelating agent, the tetrathiomolybdate (TTM), has been
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9 114 extensively clinically tested and clinical trials are still ongoing for its use in WD.^{12,16} TTM reduces
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11 115 free copper level in the serum through two different mechanism depending on whether it is
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13 116 administrated with meals or away from meals. In the former case, it reduces copper intestinal
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15 117 uptake making a tripartite complex with copper and food protein; in the latter case, it is well
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17 118 adsorbed in the blood flow where it forms a tripartite complex with copper and albumin.¹⁶ The
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19 119 efficacy and safety of oral TTM (CoprexaTM) in reducing serum free copper compared to trientine
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21 120 and zinc salts have been demonstrated in several clinical trials, but the FDA refused to evaluate its
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23 121 application.¹⁶ A clinical trial involving a different TTM preparation (bis-choline tetrathiomolybdate,
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25 122 WTX101) is currently ongoing and the first report showed how the primary endpoints have been
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27 123 achieved (control of copper, reduction of serum free copper, significant improvements in
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29 124 neurological status.^{17,18}
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127 **Figure 2.** Copper chelators and copper delivery agents approved or (pre)clinically tested for the
 128 treatment of neurodegenerative diseases.

129 **Menkes disease (MD).** MD is a X-linked recessive disorder caused by mutations in the gene
 130 *ATP7A* encoding for the Cu-ATPase ATP7A (Figure 1), the transporter typically localised on the
 131 basolateral surface of the plasmatic membrane and responsible for the transfer of Cu⁺ from the
 132 enterocytes to the circulation.¹⁹ ATP7A is also localised on the TGN where it supplies Cu⁺ to newly
 133 produced copper dependent enzymes.¹⁹ To date, about 200 mutations on gene ATP7A causing loss
 134 of protein activity have been reported.²⁰ Inactivation of ATP7A results in alteration of copper
 135 homeostasis: Menkes patients have a very low copper plasma concentration, due to poor absorption
 136 of dietary copper, and an impaired tissues distribution with reduced copper level in brain, serum,
 137 and liver, but elevated one in kidney and intestine.²¹ In brain of MD patients, copper deficiency
 138 impairs the physiological activity of copper-dependent enzymes and consequently leads to altered
 139 myelination, energy metabolism, catecholamine balance, and mRNA translation.²² However, it is
 140 not yet clear whether and how ATP7A inactivation and copper dyshomeostasis act at subcellular

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3 141 level. In vitro studies have shown that inactivation of this ATPase alters copper transport from the
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5 142 cytosol into the secretory pathway, and copper concentration results altered in nuclei, cytosol, and
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7 143 mitochondria, leading to different effects on the redox environment of these intracellular
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9 144 compartments. For instance, excess of copper in mitochondria causes high glutathione oxidation
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11 145 and thus an increase in the amount of peroxide. Therefore, it has been observed a damaged
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13 146 mitochondrial redox balance.²¹ This impaired copper metabolism in MD patients causes
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15 147 neurodegenerative symptoms and connective tissue manifestation with early growth retardation,
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17 148 peculiar hair, vascular complications, and death in early childhood.¹²

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20 149 A possible treatment for MD, aiming to restore the reduced copper concentration in blood and
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22 150 several tissues, has been object of clinical trial.^{22,23} The trials consist of subcutaneous injection of
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24 151 copper-histidine (Figure 2), which provides copper directly through the blood stream to tissues,
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26 152 bypassing the malfunctioning mechanism of physiological copper absorption through the
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28 153 gastrointestinal tract. The studies so far performed have demonstrated that the treatment with
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30 154 copper-histidine is strictly related to the severity and the stage of the disease. Patients with
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32 155 mutations, which allow to retain some capacity for copper transport, generally have a favorable
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34 156 prospect to benefit from the treatment. Moreover, the treatment generally leads to better
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36 157 neurological outcomes in asymptomatic patients rather than in symptomatic ones.²⁴

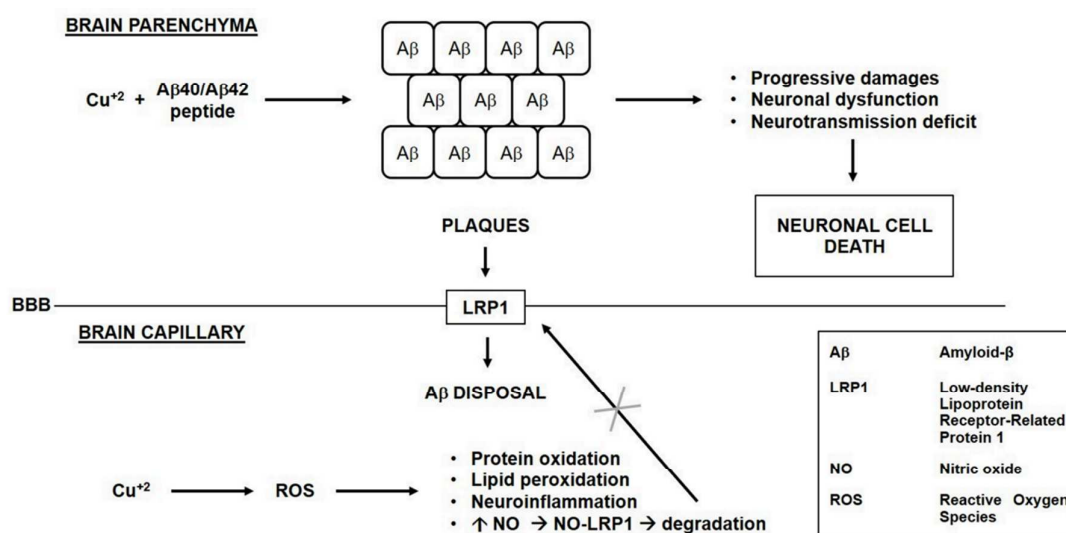
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42 159 **Alzheimer's Disease (AD).** AD is one of the most common progressive neurodegenerative disease
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44 160 characterized by profound dementia, aphasia, disorientation, depression, and irreversible memory
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46 161 loss. The cause and progression of AD are not yet well understood. However, the main pathological
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48 162 hallmarks of AD are senile plaques deposits around neurons and neurofibrillary tangles inside
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50 163 neurons, respectively due to extracellular aggregation of amyloid- β ($A\beta$) peptides and intracellular
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52 164 aggregates of tau protein.²⁵ $A\beta$ is generated by cleavage of amyloid precursor protein (APP), a
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54 165 neuronal receptor involved in neurite growth, neuronal adhesion, axon genesis, axonal transport,
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3 166 and oxidative stress through copper reduction. APP can be cut through three proteases: α -
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5 167 secretase, β -secretase, and γ -secretase. α -Secretase and γ -secretase cleavage APP to obtain an
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7 168 harmless product, called P3; otherwise β -secretase, also named beta-site APP-cleaving enzyme
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9 169 (BACE), and γ -secretase realize a different cut producing A β 40 and A β 42, the main components of
10
11 170 the plaques found in brain of AD patients (Figure 3).²⁶ These plaques, accumulated around cerebral
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14 171 blood vessels and in brain parenchyma, interrupt synaptic transmissions between neurons and lead
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16 172 to progressive damages, as neuroinflammation, neuronal dysfunction, neurotransmitter deficits, and
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18 173 neuronal death.

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20 174 Copper plays a pivotal role in AD pathogenesis, since it interacts with key components, such as A β ,
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22 175 APP, tau and, as a result, it enhances A β aggregation and neurotoxicity.²⁷ In detail, studies have
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24 176 shown that copper in excess can compromise the physiological brain ability to remove A β through
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26 177 the Low-density Lipoprotein Receptor-Related Protein 1 (LRP1), a protein expressed in brain
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28 178 endothelium.²⁷ Indeed, an excess of copper in brain capillaries causes the nitrotyrosination of LRP1
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31 179 and induces its proteasomal-dependent degradation, thus interrupting A β excretion and leading to
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33 180 an increase of brain A β deposits.²⁷ Furthermore, copper turned out to be even more damaging
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35 181 because, not only it downregulates LRP1, but it also binds directly to A β peptide (affinity constant
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37 182 in the range 10^8 - 10^{10} M⁻¹), enhancing further A β aggregation and stimulating a strong oxidative
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39 183 stress damage.^{27,28,29} The effects of copper interaction with the A β peptide and the redox properties
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41 184 of the corresponding Cu-A β complex have been recently reviewed by Cheignon and colleagues.²⁹
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43 185 Cu⁺ and Cu²⁺ coordination spheres are very different in term of both amino acids involved and
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45 186 geometries (linear and square planar respectively). In addition, a further modification of this
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47 187 binding mode and the existence of a *catalytic in-between state* have been suggested to describe the
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49 188 ability of copper to cycle between the two oxidative states when bound to A β , leading to a strong
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51 189 ROS overproduction.²⁹
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3 190 In order to reduce free copper concentration and to minimize its role in AD pathogenesis, diverse
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5 191 copper chelators have been synthesized and studied in vitro and in vivo showing important
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7 192 beneficial effects in AD mouse models, such as inhibition of A β aggregation, antioxidant effects,
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9 193 and amelioration of the symptomatology. For instance, preclinical studies demonstrated that
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11 194 clioquinol (5-chloro-8-hydroxy-7-iodoquinoline, Figure 2), a non-specific copper-zinc chelator, is
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13 195 able to decrease A β deposits and to improve memory and learning capacities in an APP transgenic
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15 196 mouse model of AD.³⁰ PBT2 (5,7-dichloro-2-(dimethylaminomethyl)quinolin-8-ol, Figure 2), a
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17 197 clioquinol derivative, showed good tolerability and efficacy in a phase IIa clinical trial.³¹ Despite
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19 198 these encouraging results, clioquinol showed severe neurotoxic side effects,^{32,33} while PBT2 did not
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21 199 hit the prefixed end points and the clinical trial was stopped.³⁴ In addition, pharmacokinetics issues
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23 200 are also frequent, given that copper chelators for the treatment of AD must act in the CNS and the
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25 201 ability to cross the BBB is strictly required. D-Penicillamine, for example, could not be used in AD
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27 202 cause to its incapacity to cross the BBB and to reach therapeutic concentrations in the CNS.³⁵
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51 **Figure 3.** Schematic representation of copper-A β peptides interaction in Alzheimer's disease.
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3 205 **Parkinson's disease (PD).** PD is the second most common neurodegenerative disorder
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5 206 characterized by tremor at rest, muscle rigidity, bradykinesia, postural instability, and movement
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7 207 impairments. PD pathogenesis is not clearly understood, although studies define PD as a multifactor
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9 208 disease caused by environmental and genetic factors. The main pathological hallmarks of PD are
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11 209 the loss of dopaminergic neurons and the presence of intracellular proteinaceous inclusions, named
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13 210 Lewy bodies, consisting mostly of aggregation of misfolded form of α -synuclein (α Syn)
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15 211 accumulated in brainstem, spinal cord, and cortex. Indeed, some PD cases have been associated
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17 212 with autosomal dominant mutations of α Syn gene, *SNCA* (e.g., A53T, H50Q, E46K, A53E, G51D,
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19 213 A30P), which lead to α Syn overexpression, accumulation, and aggregation.³⁶⁻³⁸ α Syn is a highly
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21 214 soluble and "intrinsically unfolded" protein localised in the cytosol, in the presynaptic terminals
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23 215 close to synaptic vesicles, or associated with the mitochondrial membrane. Its specific role is not
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25 216 yet completely known; however, it has shown the ability of interaction with lipid membranes,
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27 217 synaptic vesicle recycling, dopamine metabolism, and it also has a high affinity toward metals, such
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29 218 as Cu^{2+} .^{39,40} As shown in Figure 4, copper- α Syn interaction have been associated with an increase
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31 219 of the oxidative stress and with the production of toxic oligomers which, in vitro, form pore-like
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33 220 structures in the membrane bilayer changing conductance activity and, in vivo, break the
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35 221 membranes leading to cell death.⁴¹ In addition, the specific combination of H50Q α Syn mutation
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37 222 and the presence of Cu^{2+} has been associated with a further enhancement of α Syn fibrillation,
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39 223 leading to stronger neuronal damages.⁴¹ Finally, copper can perform a cooperative binding with
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41 224 dopamine (DA) to α Syn, enhancing to a greater extent the fibrillation of α Syn and the production
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43 225 of ROS.⁴⁰
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45 226 PD patients reveal a dysregulation of copper homeostasis and recent studies showed a decreased
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47 227 CTR1 expression and a reduced total tissue copper in the substantia nigra (SN).⁴¹ Contrariwise, high
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49 228 levels of unbound copper have been found in the CSF, probably responsible of motor impairments.
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51 229 Finally, copper dyshomeostasis in PD patients has been also associated with genetic mutations of
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ATP7B.⁴¹ On these bases, the treatment aimed to regulate copper dyshomeostasis in PD has been widely assessed. Preclinical studies in a PD animal model investigated clioquinol (Figure 2), the previously described copper chelator, for its potential use in therapy and showed a SN neuron survival.⁴² Furthermore, the in vivo assessment of the copper complex Cu²⁺-diacetylbis(4-methylthiosemicarbazone) (Cu²⁺(atsm)) in four different PD animal models showed neuroprotection, improvement of cognitive performance, and restoration of motor function. The proposed mechanism of action of Cu²⁺(atsm) derives from the in vitro ability to inhibit peroxynitrite-mediated formation of α -synuclein oligomers; however, this does not exclude a neuroprotective mechanism linked to copper release from the complex.^{43,44} Based on these findings, a clinical trial of Cu²⁺(atsm) is currently recruiting patients with early idiopathic PD.⁴⁵

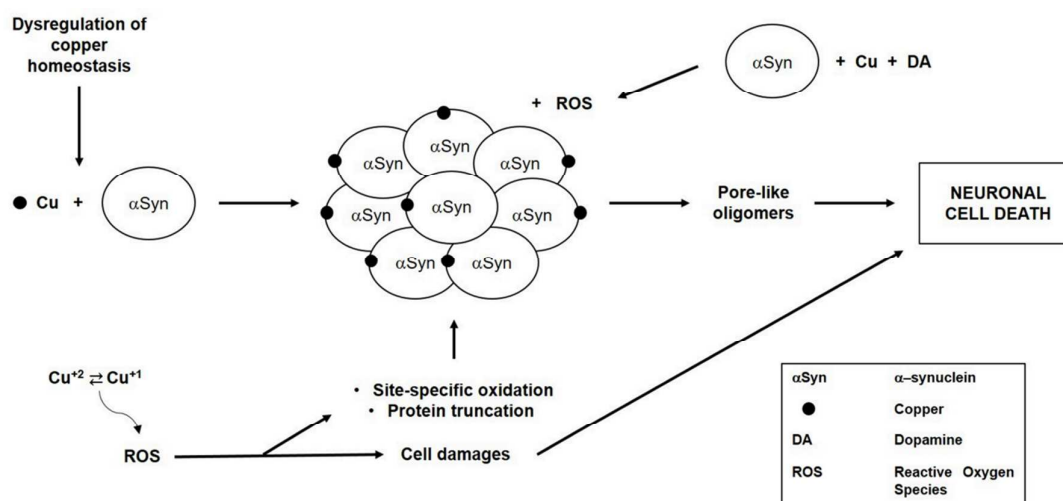


Figure 4. Schematic representation of copper- α -Syn interaction in Parkinson's disease.

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Amyotrophic Lateral Sclerosis (ALS). ALS is a progressive neurodegenerative disease characterized by loss of motor neurons in motor cortex, brainstem, and spinal cord. Patients affected by this disease undergo muscle weakness, atrophy, spasticity, paralysis, and consequently death within 3-5 years after diagnosis. Compared to healthy individuals, misfolded SOD1 aggregates contained a lower level of copper has been found in spinal cord motor neurons of ALS patients.⁴⁶

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3 246 One of the first described cause of ALS has a genetic origin: 5-10% of patients have a family
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5 247 history of ALS associated with point mutations to the gene *Sod1*, encoding for the enzyme SOD1.
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7 248 SOD1 is an antioxidant protein, expressed in all cell types, which reduces superoxide ion (O_2^-) to
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9 249 molecular oxygen (O_2) and hydrogen peroxide (H_2O_2) in a two steps reaction. The mechanism by
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11 250 which mutant SOD1 cause the ALS is still far to be completely understood, but it is widely accepted
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13 251 that a pro-oxidant gain of function plays a more crucial role than the loss of the SOD1 physiological
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15 252 function.
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17 253 SOD1 is a metalloenzymatic homodimer in which each monomer binds one copper ion and one zinc
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19 254 ion important respectively for protein activity and stability.⁴⁷ The physiological activation pathway
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21 255 of SOD1 is depicted in Figure 5: SOD1 monomer in a metal-deficient state (apo-SOD1), after zinc
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23 256 binding and dimerization through a disulphide bond, receives copper ions from CCSs, one for each
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25 257 monomer, and reaches the fully metalated state (Holo-SOD1), which is the active enzymatic form.¹⁰
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27 258 Nowadays 170 mutations have been found and classified into two classes: 1) “wild type-like”
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29 259 mutations (A4V, G37R, G39A, etc.), which lead to a protein with similar properties to wild type
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31 260 SOD1, and 2) “metal binding region” mutations (G85R, H46R, H80R, D125H, etc.), which lead to
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33 261 a protein characterized by an altered metal binding ability and a reduced enzymatic activity.⁴⁶ For
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35 262 instance, it has been observed that H80R mutation, found in the zinc-binding region, leads to a
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37 263 reduction of zinc binding and consequently of copper binding.⁴⁸ Instead, other mutations can
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39 264 prevent the mutant SOD1 monomer from interacting with CCS and thus to achieve two copper ions
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41 265 and to reach the fully metalated state.¹⁰ In general, the mutant SOD1, in a copper-deficient state,
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43 266 accumulates and forms aggregates of misfolded protein, which induce the toxic gain of function
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45 267 through which SOD1-induced motor neurons death occurs.¹⁰ Furthermore, in this situation, copper
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47 268 remains bound to CCS and it is not delivered to other copper-dependent enzymes, impairing their
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49 269 function and leading to additional toxic effects (e.g., decrease of mitochondrial respiration).¹⁰
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3 270 Interestingly, Weihl and Lopate in 2006 reported about three patients out of seven in which the
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5 271 clinical symptoms associated with copper deficiency were similar to those of amyotrophic lateral
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7 272 sclerosis (ALS).⁴⁹ This might suggest a direct role of copper deficiency in the aetiology of the ALS
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9 273 independent from SOD1 mutations (sporadic ALS). Indeed, several studies have shown how wild-
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11 274 type SOD1 may become unstable and misfold in certain condition, including perhaps a copper-
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13 275 deficient state, to form aggregates that are selectively toxic to motor neurons.^{50,51}
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15 276 Although copper role in the ALS pathophysiology is various, interestingly both copper chelators
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17 277 and copper delivery agents have shown beneficial effects in different mouse model of the disease.
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19 278 Backman et al. demonstrated the clinical efficacy of the Cu²⁺ delivery agent before mentioned,
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21 279 Cu²⁺(atsm) (Figure 2). Its oral administration in the SOD1G37R mouse model of ALS, indeed,
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23 280 showed improved locomotor function and prolonged survival compared to untreated mice. The
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25 281 underlying proposed mechanism of action consists in the delivery of copper from Cu²⁺(atsm) to the
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27 282 metal-deficient mutant apo-SOD1 form, allowing its conversion in the more stable and less toxic
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29 283 fully metalated holo-SOD1 form.⁵² Two clinical trials of Cu²⁺(atsm) are currently recruiting ALS
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31 284 patients.^{53,54} On the other hand, many studies showed the neuroprotective effects of copper
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33 285 chelators, such as D-penicillamine and TTM, in the SODG93A mouse model of ALS. The
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35 286 mechanism proposed for these therapeutic benefits consists in the mitigation of the copper-
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37 287 dependent strong peroxidase activity associated with the mutant SOD1.^{55,56}
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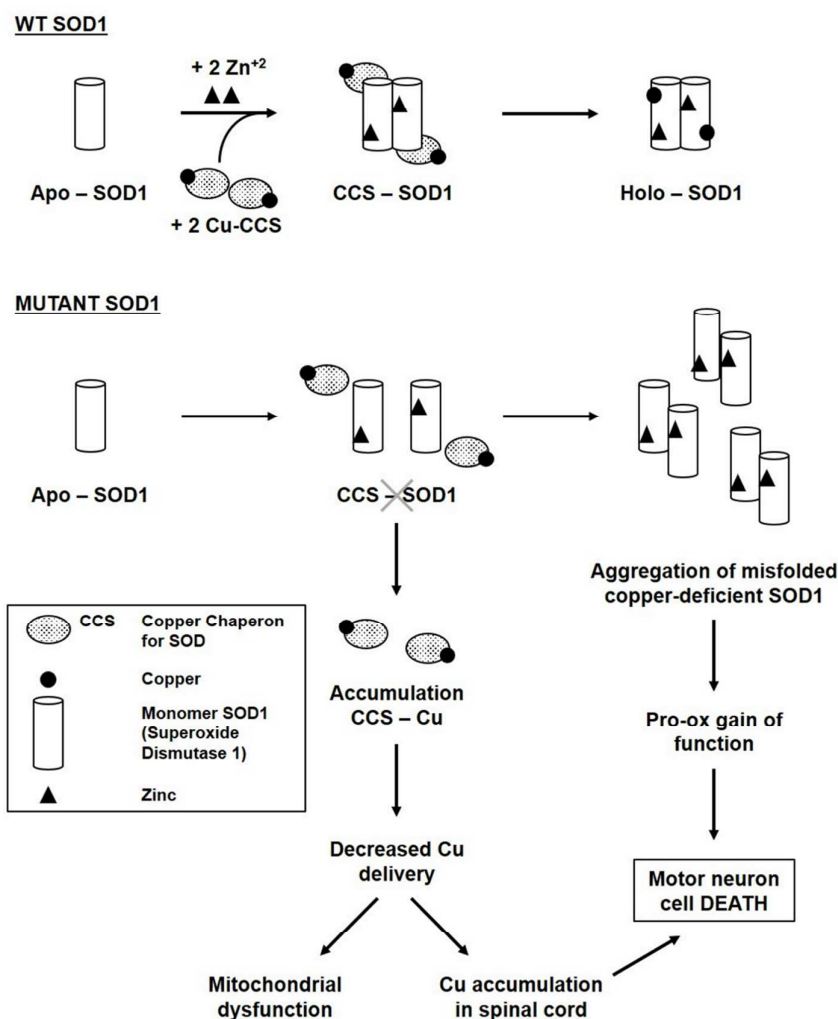


Figure 5. Schematic representation of copper-SOD1 interaction through CCSs in wild-type or mutant cases associated with amyotrophic lateral sclerosis.

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290 COPPER CHELATORS

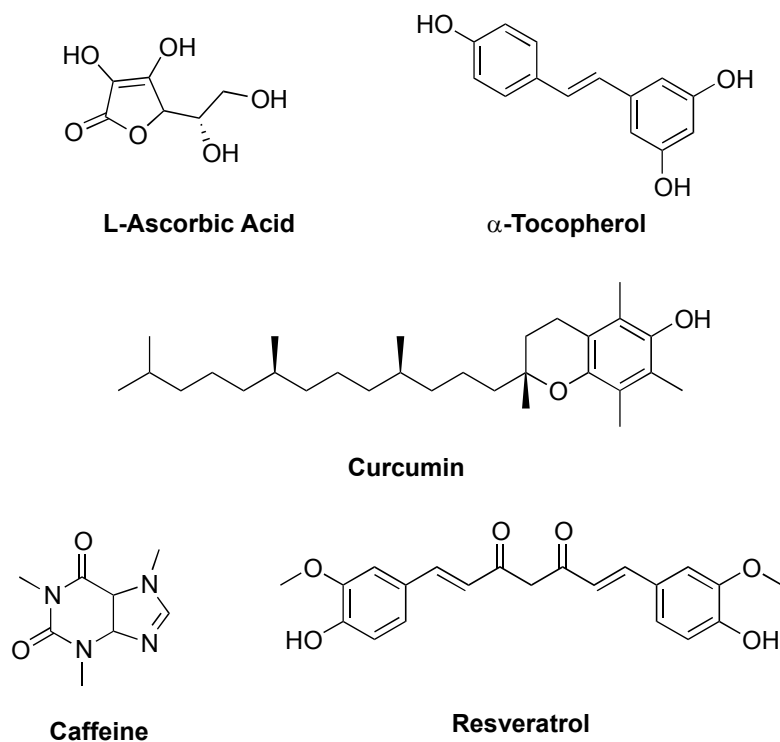
291 The key role played by copper and other metals in the pathogenesis of several diseases has
 292 prompted many researchers to develop copper chelators as new potential therapeutic approach and
 293 some comprehensive reviews having appeared in the literature.^{25,57} Firstly, once chelated, copper is
 294 no longer available for the Fenton reaction and a decrease of ROS production could be observed.
 295 Furthermore, copper chelation could decrease all the toxic effects associated with, for example, Cu-
 296 A β interaction in AD and Cu- α Syn interaction in PD, leading to additional therapeutic effects.

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3 297 As for the structural requirements of copper chelators, important features that must be taken into
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5 298 account are the chelate denticity, the donor binding groups, and the cavity size. Cu^+ is a soft metal
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7 299 ion, it prefers soft donors, mainly *S*-atoms (thioethers, thiolates, tiocarbonyls) but also *N*-atoms
8
9 300 (nitriles, cyanide), and it adopts tetrahedral, trigonal, or linear geometries. Cu^{2+} is a borderline hard-
10
11 301 soft metal ion, it binds to borderline donors such as *N*- and *O*-atoms (amines, imines, carbonyls, and
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13 302 alcohols), and it usually acquires square-planar, distorted square-planar, trigonal-pyramidal, or
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15 303 square-pyramidal geometric conformations.⁵⁵ In general, Cu^{2+} -chelators are the most developed so
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17 304 far, given that Cu^{2+} is more stable than Cu^+ in aqueous solution, and *N,N*-donor groups confer the
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19 305 highest stability to Cu^{2+} -complexes, followed by *N,O*- and *O,O*-donor atoms.²⁵
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22 306 In the following sections, we report about natural and synthetic compounds that showed copper
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24 307 chelating ability and that have been recently used as starting point to design and develop new
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26 308 compounds with potential therapeutic outcomes based on the copper-chelation.
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310 **Natural compounds and their corresponding synthetic derivatives**

311 Several dietary factors have been suggested as modifying agents in different neurodegenerative
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33 312 diseases because of the ameliorating effects on the onset of these pathologies due to their ability to
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35 313 chelate metal ions, such as copper, iron, and zinc. Among them, the antioxidant agents L-ascorbic
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37 314 acid and α -tocopherol, some flavonoids (e.g., (-)-epigallocatechin gallate), gallic acid, propyl
38
39 315 gallate, resveratrol, curcumin, caffeine, and caffeic acid were investigated for their metal chelating
40
41 316 and neuroprotective activities (Figure 6).⁵⁸ (-)-Epigallocatechin gallate, gallic acid, and curcumin
42
43 317 showed multifunctional neuroprotective activities, such as: *i*) good chelating ability toward metal
44
45 318 ions (Cu^{2+} , Fe^{2+} , and Zn^{2+}); *ii*) free radicals scavenger properties; *iii*) inhibition of $\text{A}\beta$ fibrils
46
47 319 deposition. However, the poor brain uptake limited their therapeutic actions. On the other hand, the
48
49 320 potent antioxidants L-ascorbic acid and α -tocopherol, displaying a better brain uptake profile, were
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51 321 poor metal chelators and did not inhibit $\text{A}\beta$ fibrillation.⁵⁸
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3 322 In the following part of this review we summarize the best synthetic derivatives of some natural
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5 323 compounds highlighting their metal chelating ability, antioxidant properties, and A β fibrillation
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7 324 inhibitory activity.
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34 **Figure 6.** Some natural compounds that showed beneficial effects on the onset of some
35 neurodegenerative diseases.
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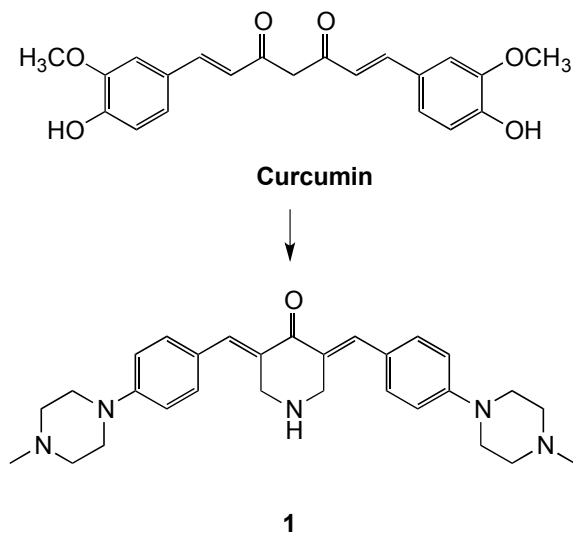
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41 326 **Curcumin and derivatives.** Curcumin ((1E,6E)-1,7-bis(4-hydroxy-3-methoxyphenyl)hepta-1,6-
42 diene-3,5-dione, Figure 6) is a bright yellow compound produced by some plants (mainly turmeric)
43 327 and characterized by various beneficial properties, such as antioxidant and anti-inflammatory
44 328 effects, inhibition of A β aggregation (43.1 % inhibition of self-induced A β aggregation and 64.0 %
45 329 inhibition of Cu²⁺-induced A β aggregation at 25 μ M),⁵⁹ and metal chelation.^{60,61} In vivo assessment
46 330 of curcumin showed inhibition of A β oligomerization, A β deposition, and tau phosphorylation in
47 331 the brain of AD animal models, and also improvements in behavioural impairment.⁶¹ In addition,
48 332 Cu²⁺ chelation was proposed as one of the mechanism through which curcumin might exert the
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3 334 aforementioned neuroprotective effects against A β -toxicity in AD animal models.⁶⁰ Furthermore, in
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5 335 vitro experiments showed that curcumin binds to reduced wild-type SOD1, allowing the formation
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7 336 of less toxic SOD1 aggregates and leading to a decreased cytotoxicity.⁶²
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9 337 Given all these findings, curcumin has been extensively clinically tested and many clinical trials are
10
11 338 still ongoing.⁶³ Among the completed trials performed in AD patients, only one, which recruited
12
13 339 only three single cases, showed improvement in behavioural symptoms and quality of life, on the
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15 340 contrary, no significative differences between curcumin and placebo groups were found in the other
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17 341 two. As for the clinical trials currently ongoing, two of them involve patients with mild cognitive
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19 342 impairments and aim respectively at studying the effects of the dietary supplement curcumin on
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21 343 age-related cognitive impairment⁶⁴ and at evaluating the clinical benefits of curcumin alone or in
22
23 344 combination with physical exercise.⁶⁵
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25 345 From a structure-activity relationship point of view, it has been shown that curcumin phenolic
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27 346 groups (aromatic rings and polar substituents) are responsible for the binding to the A β peptide
28
29 347 through π -stacking and hydrogen bond interaction, while the β -diketone function, which can also
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31 348 exist in the tautomeric enolic form, is involved in the formation of stable complexes with copper.⁶⁶
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33 349 Considering this, Chen et al. designed different curcumin analogues aiming to the development of a
34
35 350 new class of metal chelators and A β aggregation inhibitors.⁶⁶ In order to define the structural
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37 351 requirements for the A β aggregation inhibitory activity, the phenolic hydroxy groups of the lead
38
39 352 compound curcumin were substituted with *N*-methylpiperazine moieties, and the flexibility of the
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41 353 linker between the two aromatic rings was differently modified. However, aiming at preserving the
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43 354 metal chelating ability, a carbonyl group in the linker was kept in all the derivatives designed.
44
45 355 Among all tested compounds, compound **1**, depicted in Figure 6, emerged as the most valuable in
46
47 356 term of A β aggregation inhibitory activities (assessed through the thioflavin T – ThT – fluorescence
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49 357 assay) and antioxidant properties (assessed through the oxygen radical absorbance capacity –
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51 358 ORAC – assay). Compared to curcumin, it showed a ~5-fold higher self-induced A β aggregation
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3 359 inhibition ($IC_{50} = 2.5 \mu\text{M}$), a strong inhibition of the Cu^{2+} -induced $\text{A}\beta$ aggregation, and a 2-fold
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5 360 increase of the antioxidant activity. In addition, UV/Vis spectrometry was used to demonstrate the
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7 361 metal chelating ability of **1** toward Cu^{2+} and Fe^{2+} , but not Zn^{2+} .⁶⁶
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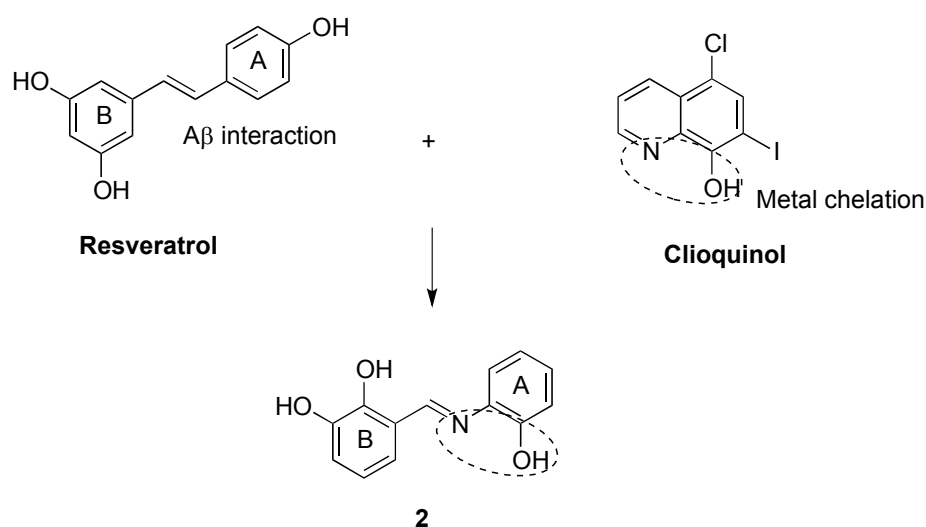
29 363

30 364 **Figure 6.** Structures of curcumin and compound 1.

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34 366 **Resveratrol and derivatives.** Resveratrol (trans-3,4',5-trihydroxylstilbene, Figure 7) is a
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36 367 polyphenol present in different plants (e.g., grapes, berries, peanuts) and red wine. It is a well-
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38 368 described antioxidant and beneficial effects have been reported in diverse diseases, such as cancer,
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40 369 cerebrovascular illnesses, diabetes, arthritis, aging-related disorders, depression, and
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42 370 neurodegenerative diseases (e.g., AD, PD, ALS).⁶⁷⁻⁷⁰ As reviewed by Tellone et al., resveratrol is a
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44 371 multitarget compound which exerts its neuroprotective effects not only through the strong and
45
46 372 efficient antioxidant activity, but also improving mitochondrial function and efficacy, mediating an
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48 373 anti-apoptotic effect, and reducing $\text{A}\beta$ and SOD1 aggregation.⁷⁰
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50 374 As for the curcumin, the important therapeutic outcomes of resveratrol found in preclinical studies
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52 375 prompted many clinical trials. In three of these clinical trials were recruited patients with AD⁷¹⁻⁷³
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54 376 but, to the best of our knowledge, the results are available only for one, which showed no
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3 377 significant improvement of the cognitive score.⁷¹ Furthermore, one of the clinical trial is currently
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5 378 recruiting patients with mild cognitive impairment and aims at demonstrating safety and efficacy of
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7 379 the resveratrol preparation object of study.⁷⁴
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9 380 Considering the antioxidant properties and the ability to inhibit A β aggregation, conferred by its
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11 381 stilbene structure,^{75,76} resveratrol has been also used as lead compound in the design and synthesis
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13 382 of a series of imine resveratrol derivatives, aiming to a bifunctional compound able to
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15 383 simultaneously chelate copper and inhibit A β aggregation.⁷⁷ The metal chelation ability has been
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17 384 introduced in resveratrol scaffold changing the position of the phenolic hydroxyl groups and adding
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19 385 a Schiff base, as shown in clioquinol structure, a classical metal chelator (Figure 7).⁷⁷
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43 **Figure 7.** Compound 2 obtained from resveratrol and clioquinol.

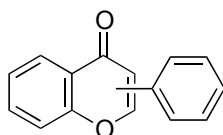
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47 387 Among all synthesized compounds, compound 2 (Figure 7) gave the best results in terms of
48
49 388 antioxidant activity, inhibition of A β aggregation, and metal chelating ability. First of all, the ability
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51 389 of 2 to chelate Cu²⁺ was confirmed through UV/Vis spectrometry. In addition, DPPH (diphenyl-1-
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53 390 picrylhydrazyl) free radical-scavenging assay demonstrated that 2 has a 7.7-fold higher antioxidant
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55 391 activity (IC₅₀ = 14.1 μ M) than the lead compound resveratrol (IC₅₀ = 108.6 μ M). Finally, the
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3 392 fluorometric ThT binding assay showed only a slight increase of the inhibitory activity on self-
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5 393 induced (64.6 %) and Cu²⁺-induced (68.1 %) A β aggregation than the lead compound resveratrol
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7 394 (64.2 % and 63.4 % respectively).⁷⁷
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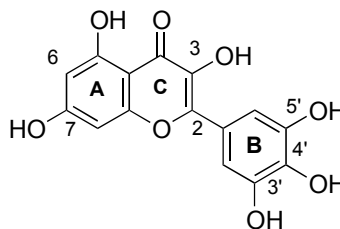
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11 396 **Flavonoids and derivatives.** Flavonoids are natural compounds belonging to the family of
12
13 397 polyphenols present in fruits and vegetables. They are structurally characterized by a common
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15 398 phenylchromanone nucleus as reported in Figure 9 and, on the base of the different substitutions
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17 399 and oxidation status of the C-ring, they can be classified into flavones, isoflavones, flavanones,
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20 400 flavonols, flavanols and chalcones.⁷⁸ They have different beneficial effects such as: 1) antioxidant
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22 401 activity; 2) anti-cancer activity; 3) anti-inflammatory and neuroprotective capacities; and 4) metal
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24 402 chelating properties. For example, among the flavonoids, myricetin (Figure 9) was found able to
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26 403 strongly decrease the metal-induced A β deposition.^{79,80}
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39 405 **Phenylchromanone nucleus**



40 406 **Myricetin**

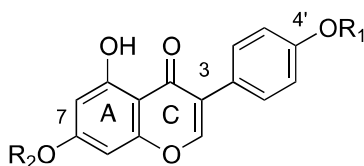
41 407 **Figure 9.** Phenylchromanone nucleus and the flavonoid myricetin.
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45 408 Structure-activity relationships assessment allowed to define the structure requirements for the
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47 409 antioxidant and the metal chelating activities. The antioxidant and radical scavenger activities have
48
49 410 been linked to: 1) the catechol group in the B-ring and the 2,3-double bond conjugated with the 4-
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51 411 oxo group in the C-ring; 2) the hydroxyl groups in the 3-position of C-ring and in the 5,7-positions
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53 412 of A-ring.²⁵ Whereas the binding sites for metal ions have been identified in: 1) the catechol moiety
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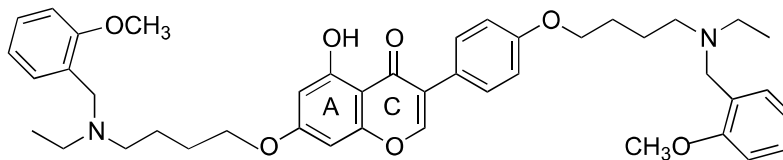
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3 413 in the B-ring; 2) the 3-hydroxy and the 4-oxo groups in the C-ring; 3) the 4-oxo and the 5-hydroxyl
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5 414 groups between the C- and A-rings.²⁵

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7 415 Based on these findings, several synthetic flavonoid derivatives have been designed and, aiming to
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9 416 the development of a compound suitable for AD therapy, flavonoids have been also structurally
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11 417 combined with a pharmacophore able to affect at least another process or pathway involved in AD
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13 418 pathogenesis, such as the loss of cholinergic neurons, the production and the aggregation of A β , the
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15 419 ROS overproduction, etc. This strategy leads to the development of hybrid ligands, specifically
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17 420 named multitarget-directed ligands (MTDLs), analyzed through the spectrophotometric Ellman's
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19 421 method, ORAC assay, UV-vis spectrometry, and ThT fluorescence method in order to highlight
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21 422 AChE inhibitory activity, antioxidant properties, metal chelating ability, and inhibition of
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23 423 A β fibrillation activity, respectively. For example, **FLV1** (Figure 10) represents a series of genistein
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25 424 (4',5,7-trihydroxyisoflavone) derivatives functionalized in 4' and/or in 7 with *O*-alkylbenzylamine
26
27 425 moieties, which is a structural requirement for adequate AChE inhibition.^{25,59,81,82} In detail,
28
29 426 compound **3** (Figure 10) emerged as a Cu²⁺ chelator and showed high AChE inhibitory activity
30
31 427 (IC₅₀ hAChE = 0.35 μ M), weaker antioxidant activity than genistein, and significative inhibition of
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33 428 Cu²⁺-induced A β aggregation (77.8 % at 25 μ M).⁵⁹

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FLV1



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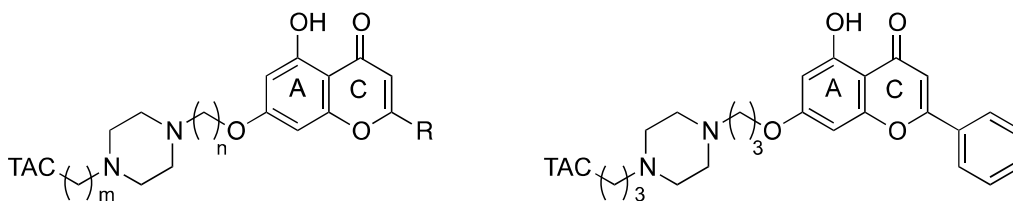
430

431 **Figure 10.** FLV1 series and compound 3.

432

433 Another important example of MTDLs based on flavonoids is **FLV2** series (Figure 11), where the
 434 AChE inhibitory activity was introduced by the combination with tacrine (TAC, Figure 11), a well-
 435 known AChE inhibitor (AChEi). In **FLV2** derivatives, the TAC moiety was introduced in the 7-
 436 position of flavonoid scaffold through a *N,N*-dialkylpiperazine linker.⁸³ In this series, the best
 437 pharmacological properties were detected for compound **5**, which showed copper chelating
 438 properties and the best balance between AChE inhibitory activity ($IC_{50} = 133$ nM, 2-fold more
 439 potent than TAC toward AChE) and inhibition of self-induced A β aggregation (79.1 % at 20 μ M).⁸³

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FLV2

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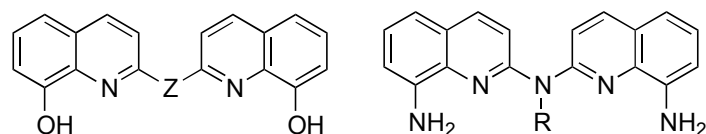
442 **Figure 11.** FLV2 series and compounds 4.

443 Synthetic Copper(II) Chelators

444 Among the copper(II) chelators developed in the past, clioquinol (Figure 2) has been broadly
445 studied both in vitro and in vivo but, as mentioned in the previous sections, its neurotoxic side
446 effects strongly limited its applicability in therapy.^{32,33} Clioquinol is a bidentate ligand that forms 2:1
447 (ligand/metal ratio) complexes with Cu^{2+} ($\log K$ for $\text{Cu}^{\text{II}}\text{L}_2 \approx 10$,⁸⁴ $\text{pCu} \approx 15.5$ at $\text{pH} = 7.4$ ²⁵) and
448 Zn^{2+} ($\log K$ for $\text{Zn}^{\text{II}}\text{L}_2 \approx 8.8$,⁸⁴ $\text{pZn} \approx 8.5$ at $\text{pH} = 7.4$ ²⁵) ions and structure-activity relationship
449 assessment showed that the hydroxyl group and the nitrogen atom are essential for the metal
450 binding.³² Hence, several other copper chelators have been developed based on the 8-
451 hydroxyquinoline scaffold of clioquinol. Among the changes performed, the dimerization of and the
452 isosteric replacement of the 8-hydroxyl group with an amino group led to a general improvement of
453 the copper chelating ability. The dimerization of two 8-hydroxyquinoline moieties by different
454 linking unit (e.g., methyl, ethyl, carbonyl, etc.) led to tetradentate chelators (**5**, Figure 12, 1:1
455 ligand/metal ratio) displaying an affinity for Cu^{2+} 10^2 - 10^3 -fold higher than the one for Zn^{2+} ($\log K_{\text{aff}}$
456 for $\text{Cu}^{\text{II}}\text{L} \approx 16$; $\log K_{\text{aff}}$ for $\text{Zn}^{\text{II}}\text{L} \approx 13$) and 10^5 -fold higher than the one displayed by the *mono*-8-
457 hydroxyquinoline derivative ($\log K_{\text{aff}}$ for $\text{Cu}^{\text{II}}\text{L} \approx 11$).⁸⁵ The replacement of the hydroxyl groups in
458 the 8-position of these *bis*-8-hydroxyquinoline derivatives with amino groups and the insertion of a
459 *N*-substituted-atom as linkage between the two monomers led to *bis*-8-aminoquinoline derivatives
460 (**6**, $\log K_{\text{aff}}$ for $\text{LCu}^{\text{II}} \approx 18$, Figure 12) with high affinity for Cu^{2+} and negligible affinity toward Zn^{2+}
461 and Fe^{3+} . This modification confirmed the aforementioned reported higher stability of Cu^{2+} -
462 complexes with *N,N*-donors rather than *N,O*-donors.

463 The preclinical evaluation of one of these *bis*-8-aminoquinolines (PA1637, structure not disclosed)
464 in an AD mouse model obtained by a single intracerebroventricular injection of short oligomers of
465 $\text{A}\beta$ 42 showed better therapeutic effects and much less toxicity than clioquinol.⁸⁶

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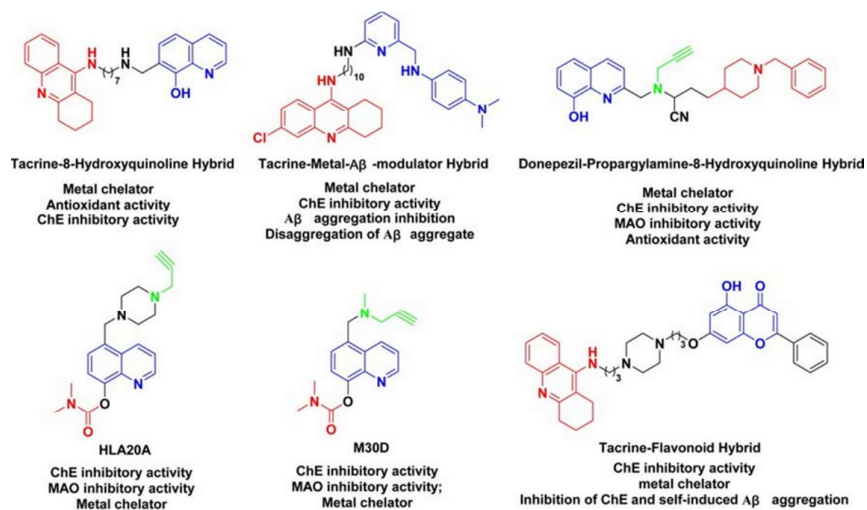
467

468 **Figure 12.** *Bis*-8-hydroxyquinoline and *bis*-8-aminoquinoline derivatives.

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470 The innovative drug design strategy already described for the flavonoids which aimed at the
 471 development of MTDLs has been extensively carried out also for synthetic metal chelators. One of
 472 the most assessed combination of metal chelators involves AChE inhibitors and leads to MTDLs
 473 that hit two of the main hallmarks of AD: the dysregulation of biometal ions and the loss of
 474 cholinergic neurons. Some examples of such MTDLs are depicted in Figure 13.⁸⁷ Worth noting is
 475 the presence of the TAC and the 8-hydroxyquinoline moieties as pharmacophores respectively of
 476 the cholinesterase inhibitor activity and the metal chelating activity.

477



478

479 **Figure 13.** Representative MTDLs bearing AChE and metal-chelating properties. Red: the
 480 pharmacophores of AChE inhibition; blue: the pharmacophores of metal chelator; green: the
 481 pharmacophores of MAO inhibition. Adapted from ref. 87. Copyright © 2016 American Chemical
 482 Society.

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3 483 Quintanova and co-workers recently developed a series of TAC hybrids bearing *S*-allyl-cysteine or
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5 484 *S*-propargyl-cysteine moieties which showed different pharmacological activities useful for the
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7 485 treatment of AD (e.g., inhibition of AChE, inhibition of A β aggregation, and anti-oxidant
8
9 486 activity).⁸⁸ In order to assess whether these pharmacological effects could be also related to the
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11 487 metals chelation, the derivatives **7** and **8** depicted in Figure 14 were synthesized and evaluated in
12
13 488 terms of their Cu²⁺ chelating capacity. Both compounds showed only a moderate chelating ability
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15 489 toward Cu²⁺ (pCu = 7.1-7.5 at pH = 7.4), as expected from the presence in the chelator of *N,S*-
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17 490 donors, which should prefer complex with Cu⁺ rather than Cu²⁺. Despite this weak copper-chelation,
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19 491 these two compounds showed an higher inhibitory activity of the Cu²⁺-induced A β aggregation
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21 492 (44.4-50.3 %) rather than the self-induced A β aggregation (10.9-13.0 %).⁸⁸
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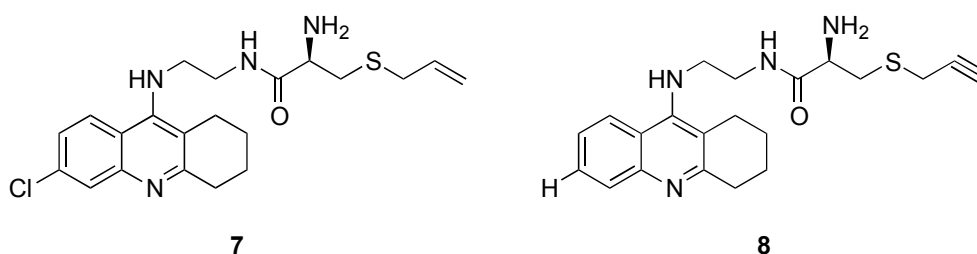
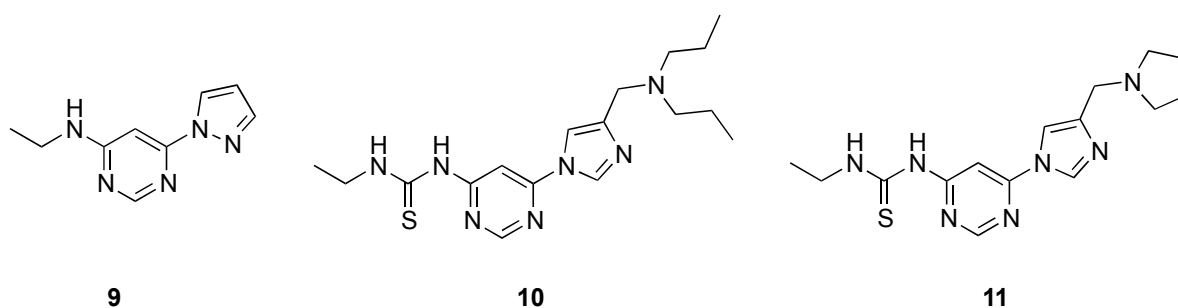


Figure 14. TAC hybrids bearing *S*-allyl-cysteine (**7**) or *S*-propargyl-cysteine (**8**) moieties

494
495 A different series of MTDLs was recently developed by Li and colleagues.⁸⁷ Starting from the
496 screening of 630 small molecules (MW < 300 Da) in an AChE inhibition assay, a *N*-ethyl-6-(1*H*-
497 pyrazol-1-yl)pyrimidin-4-amine derivative (**9**, Figure 15) was selected as hit structure. Structure-
498 activity relationship modifications performed on **9** led to the development of strong AChE
499 inhibitors with a 1-(6-(1*H*-imidazol-1-yl)pyrimidin-4-yl)-3-ethylthiourea general structure where
500 the thiourea moiety resulted pivotal for both the AChE inhibitory activity and the metal chelating
501 capacity. Compounds **10** and **11** (Figure 15) bearing a pyrimidinylthiourea scaffold were selected
502 and were further assessed for their ability to chelate metals, inhibit A β aggregation and ROS

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3 503 production, have either cytotoxic or neuroprotective effects.⁸⁷ Both the derivatives showed good
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5 504 Cu^{2+} chelating ability and good selectivity against Fe^{2+} , Fe^{3+} and Zn^{2+} . Furthermore, they did not
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7 505 show any effects on the self-induced $\text{A}\beta$ aggregation, but they were able to significantly reduce the
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9 506 Cu^{2+} -induced $\text{A}\beta$ aggregation. They also showed a complete inhibition of the Cu^{2+} -induced ROS
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11 507 production. As for the cytotoxic and neuroprotective properties, these two pyrimidinylthiourea
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13 508 derivatives showed very low cytotoxicity and a significant ability to increase the cell viability in
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15 509 presence of both $\text{A}\beta$ and Cu^{2+} .⁸⁷



510

511

512 **Figure 15.** *N*-Ethyl-6-(1*H*-pyrazol-1-yl)pyrimidin-4-amine (9) and pyrimidinylthiourea derivatives

513 (10, 11) as MTDLs for the treatment of AD.

514

515 CONCLUSIONS

516 Currently, the therapeutic approaches for the treatment of neurodegenerative diseases show many
517 limitations, in fact, they usually provide short-term amelioration of the symptoms, without exerting
518 any effect on the causes of the neurodegeneration. For example, after the FDA-approval of
519 memantine (NMDA receptor antagonist) in 2003,²⁵ many promising drugs have been developed, but
520 none of them have reached the final approval for clinical use.⁸⁹ As reviewed in this paper, in the last
521 years copper dyshomeostasis emerged as one of the main factor involved in the pathogenesis of
522 some neurodegenerative diseases and the restoration of the physiological tissue concentrations by

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3 523 the use of copper chelating agents have been proposed as new potential therapeutic strategy. Indeed,
4
5 524 these chelators make ion copper no longer available preventing or decreasing the toxic effects
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7 525 associated with its excess.
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9 526

11 527 **AUTHOR INFORMATION**

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17 530 ¹**These authors contributed equally.**

19 531

21 532 **ABBREVIATIONS USED**

23 533 AChE, acetylcholine esterase; AD, Alzheimer's disease; ALS, Amyotrophic Lateral Sclerosis; APP,
24 534 amyloid precursor protein; ATOX1, antioxidant protein 1; A β , amyloid β -amyloid; α Syn, α -
25 535 synuclein; BACE, Beta-Site APP-Cleaving Enzyme; BBB, blood-brain barrier; CCS, copper
26 536 chaperon for superoxide dismutase; CNS, central nervous system; CP, ceruloplasmin; CSF,
27 537 cerebrospinal fluid; CTR1, copper transporter receptor 1; Cu-ATPase, copper transporting ATPase;
28 538 DA, dopamine; DPPH, diphenyl-1-picrylhydrazyl; GSH, glutathione; H₂O₂, hydrogen peroxide;
29 539 LRP1, low-density lipoprotein receptor-related protein 1; FDA, Food and Drug Administration;
30 540 MD, Menkes disease; MTs, metallothioneins; MTDLs, multitarget-direct ligands; NMDA, *N*-
31 541 methyl-*D*-aspartate; O₂, molecular oxygen; O₂⁻, superoxide ion; ORAC, oxygen radical absorbance
32 542 capacity; PD, Parkinson's disease; PrP, prion protein; ROS, reactive oxygen species; SN, substantia
33 543 nigra; SOD1/3, superoxide dismutase 1/3; TAC, tacrine; TGN, trans-Golgi network; ThT,
34 544 Thioflavin T; TTM, tetrathiomolybdate; WD, Wilson's disease.
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52 546 **REFERENCES**

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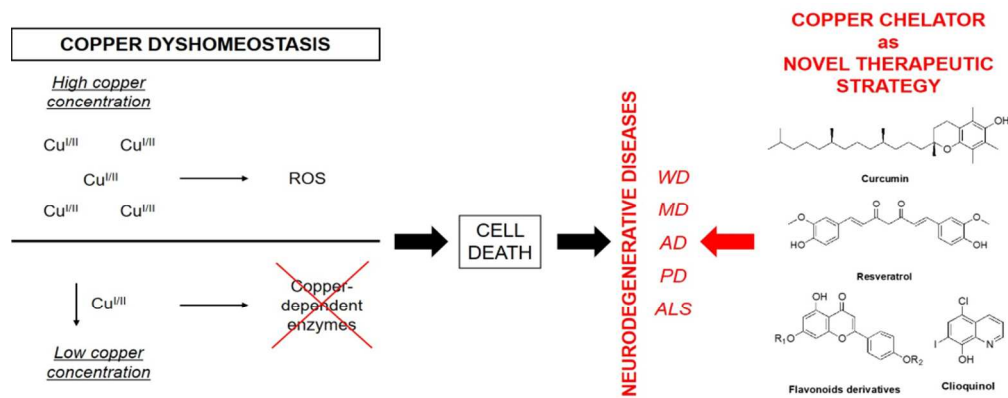
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