# **SENECA**: Change Detection in Optical Imagery using Siamese Networks with Active-Transfer Learning

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## Abstract

<sup>1</sup> Change Detection (CD) aims to distinguish surface changes based on bitemporal remote sensing images. In the recent years, deep neural models have made a breakthrough in CD processes. However, training a deep neural model requires a large volume of labelled training samples that are timeconsuming and labour-intensive to acquire. With the aim of learning an accurate CD model with limited labelled data, we propose SENECA: a method based on a CD Siamese network, which takes advantage of both Active Learning (AL) and Transfer Learning (TL) to handle the constraint of limited supervision. More precisely, we jointly use AL and TL to adapt a CD model trained on a labelled source domain to a (related) target domain featured by a restricted access to labelled data. We report results from an experimental evaluation involving five pairs of images acquired via Sentinel-2 satellites between 2015 and 2018 in various locations picked all over Asia and USA. The results show the beneficial effects of the proposed AL and TL strategies on

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the accuracy of the decisions made by the CD Siamese network and depict the merit of the proposed approach over competing CD baselines.

*Keywords:* Active learning, Transfer learning, Siamese network, Change detection, Sentinel-2 data

## 1 1. Introduction

Change Detection (CD) is a central task in the field of computer vision since it has the objective to detect changes in multiple images of the same scene acquired at different period of time (Ru et al. 2021). Focusing on the analysis of optical remote sensing images depicting the same geographical area, the CD task is the process of detecting differences among various images of the same scene as a consequence of natural and/or human activities.

<sup>8</sup> Due to the unprecedented availability of remote sensing imagery acquired <sup>9</sup> by up-to-date Earth observation systems (a notable example is the Sentinel-2 <sup>10</sup> mission belonging to the European Copernicus Programme <sup>2</sup>), it is becom-<sup>11</sup> ing easier and easier to obtain images covering the same geographical area <sup>12</sup> acquired with a regular revisit time. This technological revolution highlights <sup>13</sup> the importance of conceiving and developing effective CD methods to fully <sup>14</sup> exploit the amount of freely available remote sensing information.

Application-wise, CD methods are largely employed in remote sensing analysis (Lv et al. 2022) to cope with a diverse set of applications like land cover change detection (Shi, Zhong, Zhao, Lv, Liu & Zhang 2022), urban change detection (Hafner et al. 2022), disaster management (Sublime & Kalinicheva 2019) and environmental monitoring (Lewis et al. 2016), among the others.

Modern advances in CD methods mainly rely on deep learning (DL) ap-21 proaches (Jiang et al. 2022) due to their ability to cope with imagery data 22 through the automatic extraction of hierarchical multilevel features via repre-23 sentational learning (Bengio et al. 2013). Despite remarkable performances 24 exhibited by neural network approaches in many different applications of 25 image analysis, one of the main limitation of the use of DL methods is re-26 lated to the label-hungry behaviour they exhibit. In fact, a large amount 27 of labelled samples is, commonly, required for effective deep neural network 28

<sup>&</sup>lt;sup>2</sup>https://www.copernicus.eu/en

training, while facing this condition poses critical issues related to the deploy-29 ment of DL approaches in tasks characterised by limited amount of labelled 30 data (Ouali et al. 2020). For the specific case of remote sensing CD, it can be 31 highly labor-intensive and time-consuming to collect remote sensing image 32 pairs with well-labelled change information each time a CD method must be 33 deployed. This condition may affect the use of DL methods for CD, since 34 a DL model may need to be transferred from the imagery data (pair of im-35 ages) on which it is learnt (source data) to new unseen imagery data (target 36 data). Moreover, the crucial point is how to alleviate the dependence of DL 37 models from large amount of labelled data, while meeting the requirement 38 to transfer CD models from a source to a target scenario. 39

With the objective to reduce the dependence of remote sensing CD models from the necessity to access abundant amount of labelled data when transferred on new scene, in this paper, we propose SENECA (Siamese nEtwork based chaNge detection in optical imagEry with aCtive trAnsfer learning): a method based on a Siamese network, which combines both Active Learning (AL) and Transfer Learning (TL) to effectively deal with remote sensing CD analysis in a scenario featured by limited supervision.

The Siamese network is a neural model especially tailored to compare 47 together pairs of entities (Lu et al. 2017) with the aim to learn data em-48 beddings that satisfy pair-wise metric constraints. As a Siamese network 49 is well-suited to deal with the class imbalance condition (Gautheron et al. 50 2020), recent studies (Shi, Liu, Li, Liu, Wang & Zhang 2022, Ruzicka et al. 51 2020) have started the investigation of Siamese networks in CD tasks, where 52 the number of changed pixels is often much less than that of unchanged ones. 53 The proposed method firstly learns a CD Siamese network on source 54 data, where change labelled data are available and, successively, it adapts 55 the source Siamese network to the target data through a Transfer Learning 56 (TL) strategy. TL is performed with fine tuning, that is one of the most 57 widely used approach for TL when working with DL models. In particular, 58 the fine tuning approach starts with a pre-trained deep neural model on the 59 source data and trains it further on the target data. In this study, the fine 60 tuning approach is performed with a limited supervision provided by means of 61 an Active Learning (AL) strategy. More precisely, we adopt a segmentation-62 based AL strategy that allows the Siamese network pre-trained for CD in 63 a source area to select samples that spatially span the target area. This 64 may contribute to reduce possible issues exhibited to confidence-based AL 65 strategy in remote sensing data analysis (Pasolli et al. 2014) that are more 66

<sup>67</sup> prone to select redundant, in terms of spatial auto-correlation, samples.

The experimental evaluation, involving recent state of the art CD competitors, on five pair of images acquired via the Sentinel-2 satellite missions <sup>3</sup> between 2015 and 2018 in various locations picked all over Asia and USA has demonstrated the quality and the value of the proposed approach. More precisely, the results show the beneficial effects of combining AL and TL for all the downstream CD tasks.

<sup>74</sup> In short, this paper provides the following contributions:

The definition of a new CD method that is formulated combining both AL and TL, in order to reduce the necessity to access abundant amount of labelled samples when a CD neural network (Siamese network) is transferred from a source scene to a new target scene.

The use of a segmentation-based AL strategy that allows us to effectively select active samples spanning the target area, in order to transfer
 the pre-trained CD Siamese network from the source to the target pair
 of images.

An in-depth and extensive evaluation of the proposed method SENECA
 w.r.t. recent competing CD methods on five co-registered, bi-temporal
 multispectral images acquired with Sentinel-2 satellites in locations
 picked all over both Asia and USA.

The rest of this manuscript is organised as follows. Section 2 presents the recent related literature in remote sensing CD analysis. Section 3 introduces the background and the CD problem definition we adopt in our work. Section 4 describes the proposed Active-Transfer Learning (ATL) CD framework. Section 5 introduces the experimental settings, the performances evaluation as well as the discussion related to the results while Section 6 concludes and pave the way to possible future works.

## 94 2. Related Work

A general overview of CD approaches for land cover dynamics is presented in (Lv et al. 2022). Here, the authors review the main issues in terms of methods, applications and available benchmarks related to remote sensing

<sup>&</sup>lt;sup>3</sup>https://sentinel.esa.int/nl/web/sentinel/missions/sentinel-2

<sup>98</sup> CD with a particular focus on Very High spatial Resolution (VHR) imagery. <sup>99</sup> Recently, Jiang et al. (2022) have provided a review of CD methods for <sup>100</sup> remote sensing imagery under the lens of DL-based techniques underlying <sup>101</sup> the fact that the community still lacks of a comprehensive review of the <sup>102</sup> recent progress concerning neural network methods in remote sensing CD.

With a focus on the advances on unsupervised CD methods, Celik (2009) 103 defined a PCA-based method for CD in multitemporal satellite images. This 104 method partitions a difference image into non-overlapping blocks and per-105 forms the PCA, in order to extract the orthonormal eigenvectors of the set 106 of non-overlapping blocks and build an eigenvector space. Subsequently, it 107 represents each pixel of the difference image with a new feature vector that 108 is the projection of the block-based difference image samples onto the gen-109 erated eigenvector space. Finally, the CD map is built by partitioning the 110 feature vector space into two clusters using k-means clustering with k = 2111 and then assigning each pixel to the one of the two clusters by using the 112 minimum Euclidean distance between the pixel's feature vector and mean 113 feature vector of clusters. 114

Appice et al. (2020) introduced an unsupervised learning method for CD. 115 This method combines clustering, PCA and classification with the aim to 116 separate changed areas from unchanged background. More in detail, firstly 117 a clustering stage is performed on the bi-temporal images with the aim to 118 identify an initial set of labelled samples and, successively, the extracted 110 labelled samples are used to feed a supervised binary classification stage. The 120 classification stage trains a Random Forest from the principal components 121 of the fusion (concatenation) of bi-temporal pixel vectors using the labels 122 produced in the clustering stage. 123

López-Fandiño et al. (2019) experimented a change vector analysis (CVA) 124 method in the field of imagery CD. The proposed CVA method computes the 125 difference between two optical images of a scene with the spectral angle dis-126 tance and uses the Otsu's thresholding to separate the changed areas from 127 the unchanged areas. And resini et al. (2022) investigated the use of autoen-128 coder neural networks for CVA in a pair of optical images. More precisely, 129 given a pair of optical images, the method learns an autoencoder model on 130 the first image of the bi-temporal image pair then, the model is employed to 131 reconstruct both the first and second images. Successively, the spectral angle 132 distance is computed pixel-wise and, finally a threshold approach is adopted 133 to separate changed from non-changed pixels in a totally unsupervised way. 134 Ma et al. (2019) illustrated a matrix factorisation method for CD in syn-135

thetic aperture radar images. In this method, the factorisation model of the low-rank and sparse matrix is used to extract both (unchanged) background and (changed) foreground information from images. More in detail, mean and variance matrices related to both unchanged and changed areas are summarised through statistical features that are, subsequently, used to learn a naive Bayes classifier. At the end, the classification model is employed to derive a CD map that distinguishes between changed and unchanged areas.

Wu et al. (2020) described an unsupervised method for CD in optical images based on generative adversarial networks. The proposed method uses CVA to build an initial CD map. Subsequently it applies a training sample selection method to select training samples that are processed to train the generative adversarial network. The generator of the generative adversarial network is used to build the final CD map.

Wu et al. (2021) illustrated an unsupervised method defined for CD in 149 heterogeneous images. This method takes a pair of images acquired with 150 different sensors (e.g., optical images and synthetic aperture radar images) 151 as input. It combines together convolutional autoencoder and commonality 152 autoencoder with the aim to firstly extract a vector-based representation of 153 the input images and, successively, extract the common features by means of 154 a reconstruction process. Finally, it deploys an unsupervised segmentation 155 approach on the difference map to extract the changed areas. 156

Regarding recent supervised CD methods, Daudt et al. (2018) proposed 157 a CD framework based on a fully convolutional Siamese network. In the 158 proposed method, firstly the image pairs are encoded via a Siamese network 159 with the aim to extract new data representation from each of the images 160 and, then, the extracted bi-temporal representations are combined with the 161 aim to produce a CD map in a fully supervised fashion. Here, the method 162 is developed to make inference on the same data on which it is learnt with-163 out taking into account possible shifts in the underlying data distribution 164 between training and test data. 165

Yang et al. (2019) proposed a DL-based CD method especially tailored to 166 transfer a CD model from a source to a target domain. The proposed method 167 involves two stages: i) a pre-training step in which the model is trained 168 on the label abundant source domain and ii) a refinement step in which 169 the model is fine-tuned according to pseudo-labels generated on the target 170 domain in a self-training manner. The refinement stage exploits pseudo CD 171 maps generated on the target data on which spatial reasoning, at region- and 172 boundary-scale, is deployed to select target samples with associated pseudo-173

174 labels.

Shi, Liu, Li, Liu, Wang & Zhang (2022) designed and evaluated a deeply supervised attention metric-based network. CD maps are learnt by means of Siamese networks, while convolutional attention blocks are integrated with the aim to provide highly discriminative features. In addition, supervision is employed to enhance the feature extractor's learning ability and generate more useful features that are subsequently used to discriminate between changed and unchanged areas.

Finally, Ruzicka et al. (2020) explored AL in the context of neural network 182 based remote sensing CD. The proposed work evaluates AL in a scenario char-183 acterised by a reduced amount of labelled source data to train the CD model. 184 The method leverages as backbone model a Siamese network with an encoder 185 pre-trained on the Imagenet dataset. To implement the AL process, the un-186 certainty related to an ensemble of Siamese network models is exploited as a 187 criterion to sample new labelled data thus enriching the training set. While 188 the inference is performed at pixel level, the method selects new samples at 189 tile level thus, possibly introducing noisy information in the training data. 190 The findings of this study highlight that the AL process permits to automati-191 cally balance the training distribution reaching out similar performances as a 192 model supervised with a large pre-annotated training set. While this method 193 shares with our proposal the idea to use AL, it differs from SENECA on two 194 main aspects: firstly, the AL sampling strategy is purely based on model 195 uncertainty without taking into account the spatial dimension that strongly 196 characterizes remote sensing data and, secondly, it integrates new samples 197 at tile level (patch of  $256 \times 256$  pixels) thus introducing possible noisy labels 198 conversely to our method in which pixel-level samples are integrated. 199

To sum up, the majority of CD methods only use the information from the 200 current images themselves without taking into account possible distribution 201 shifts between the training data (here referred as source domain) and the test 202 data (here referred as target domain) that can negatively impact the final 203 detection performances. When methods are proposed to explicitly manage 204 such a data distribution shift, they mainly rely on heuristics (Shi, Liu, Li, 205 Liu, Wang & Zhang 2022), sample selection based on uncertainty derived by 206 the model output (Ruzicka et al. 2020) or self-training approaches that can 207 introduce issues related to confirmation bias (Tarvainen & Valpola 2017) as 208 well as mistakes due to large gaps between the source and the target domains. 209

#### 210 **3.** Basics

Let us consider a MultiSpectral (MS) sensor technology (e.g., Sentinel-2) 211 to observe the Earth's surface over K spectral bands. Every spectral band is a 212 numeric feature proportional to the ultraviolet and short wavelength infrared 213 for a given band. Let scene be a geographic scene spanned over  $m_{\rm scene} \times$ 214  $n_{\text{scene}}$  pixels, where a pixel denotes an area of around a few square meters 215 of the Earth's surface (i.e., it is a function of the sensor's spatial resolution), 216 which is unequivocally referenced with spatial coordinates (i, j), with  $1 \le i \le j$ 217  $m_{\text{scene}}$  and  $1 \leq j \leq n_{\text{scene}}$ , according to the usual matrix representation. A 218 bi-temporal MS dataset  $\mathbf{D}_{scene}$  is composed of two co-registered MS images, 219 i.e.,  $\mathbf{D_{scene}} = (\mathbf{X_{scene}}^1, \mathbf{X_{scene}}^2)$ , which describe MS data of scene acquired 220 by using the Sentinel-2 MS sensor technology. Note that  $\mathbf{X}_{scene}^{1}$  and  $\mathbf{X}_{scene}^{2}$ 221 are acquired in two distinct time periods, denoted as  $t^1$  and  $t^2$ , respectively, 222 with  $t^1 < t^2$ . Every MS image of  $\mathbf{D}_{scene}$  is represented as a tensor of  $m_{scene} \times$ 223  $n_{\text{scene}}$  pixels and K spectral bands. For each dataset, the pixel indexed by 224 row i and column j contains a vector of data sensed on that resolution cell 225 over K spectral bands (MS vector). The pair  $(\mathbf{X}_{scene}^{1}(i,j), \mathbf{X}_{scene}^{2}(i,j))$ 226 denotes the bi-temporal MS vectors of  $\mathbf{D}_{scene}$  associated with pixel (i, j). 227 Finally, the CD map  $\mathbf{Y}_{scene}$  of a bi-temporal dataset  $\mathbf{D}_{scene}$  is a matrix of 228  $m_{\text{scene}} \times n_{\text{scene}}$  binary labels with  $\mathbf{Y}(i,j) = 1$  if a change occurred in the 229 surface covered by pixel (i, j) from  $t^1$  to  $t^2$ ; 0 otherwise. 230

## 231 4. Proposed Method

We assume that a MS sensor technology with K MS bands is used to 232 monitor both a source scene  $\mathbf{S}$  and a target scene  $\mathbf{T}$ , respectively. Both  $\mathbf{S}$ 233 and  $\mathbf{T}$  covering different geographical areas. Each MS image of scene  $\mathbf{S}$  is 234 represented as  $m_{\mathbf{S}} \times n_{\mathbf{S}}$  pixels and K spectral bands. Each MS image of scene 235 **T** is represented as  $m_{\mathbf{T}} \times n_{\mathbf{T}}$  pixels and K spectral bands. Let us consider: 236 (1) a bi-temporal MS dataset  $\mathbf{D}_{\mathbf{S}} = (\mathbf{X}_{\mathbf{S}}^{1}, \mathbf{X}_{\mathbf{S}}^{2})$  of  $\mathbf{S}$ ; (2) the ground truth 237 CD map  $\mathbf{Y}_{\mathbf{S}}$  of  $\mathbf{D}_{\mathbf{S}}$ ; and (3) a bi-temporal MS dataset  $\mathbf{D}_{\mathbf{T}} = (\mathbf{X}_{\mathbf{T}}^{1}, \mathbf{X}_{\mathbf{T}}^{2})$ 238 of **T**. The CD methodology of SENECA, schematised in Figure 1, is mainly 239 based on four components: 240

• The training of a CD model (pre-trained source CD model) from the labelled source, bi-temporal MS dataset.

• The use of a segmentation-based AL strategy to divide the target scene in super-pixel objects, select the medoids of the super-pixel objects and



Figure 1: Schema of SENECA: (1) A CD Siamese network is trained from the pair of bitemporal images  $\mathbf{X_S}^1$  and  $\mathbf{X_S}^2$  of a source scene **S** and the ground truth CD map  $\mathbf{Y_S}$ . (2) A segmentation-based AL strategy is used to select samples of bi-temporal images  $\mathbf{X_T}^1$ and  $\mathbf{X_T}^2$  of a target scene **T** and acquire their CD labels  $\mathbf{Y_T}$ . (3) A fine tuning-based TL strategy is used to update the parameters of the source Siamese network model with the limited samples of the target bi-temporal images  $\mathbf{X_T}^1$  and  $\mathbf{X_T}^2$  for which the CD labels  $\mathbf{Y_T}$  have been acquired through the AL strategy. (4) The fine-tuned target CD Siamese network is used to predict the still unknown labels of the CD map for the target images and build the complete CD map  $\mathbf{Y'_T}$ .

acquire the labels of the pixel medoids selected through the segmenta-tion step.

- The use of a fine tuning-based TL strategy to update the parameters of the source CD model with limited MS data of the target, bi-temporal MS dataset for which the labels have been acquired through the AL strategy (target CD model).
- The use of the target CD model, updated with fine tuning, to predict the still unknown labels of the CD map of the target, bi-temporal MS dataset.
- A detailed description of the four components is reported in the following.

Table 1: List of used symbols

Symbol	Meaning
S	Source scene of $m_{\mathbf{S}} \times n_{\mathbf{S}}$ pixels
$\mathbf{T}$	Target scene of $m_{\mathbf{T}} \times n_{\mathbf{T}}$ pixels
$D_S$	Source bi-temporal MS dataset composed of co-registered MS images $\mathbf{X_S}^1$ and $\mathbf{X_S}^2$ of the scene $\mathbf{S}$
$D_{T}$	Target bi-temporal MS dataset composed of co-registered MS images $\mathbf{X_T}^1$ and $\mathbf{X_T}^2$ of the scene $\mathbf{T}$
$\mathbf{Y}_{\mathbf{S}}$	Ground-truth source CD map
$\mathbf{A_{T}}$	Target active scene
$\mathbf{Y}_{\mathbf{T}}$	Ground-truth target active CD map
$\mathbf{Y_T}'$	Predicted target CD map
$f_{\mathbf{S}}(\cdot)$	Embedding learned with the source Siamese network
$f_{\mathbf{T}}(\cdots)$	Embedding learned with the target Siamese network
heta	Otsu's threshold

<sup>255</sup> The list of used symbols is reported in Table 1.

#### 256 4.1. Source Siamese network

A Siamese network is pre-trained as a source CD deep neural model. In particular, the source CD Siamese network is trained by minimising a loss function computed on the sample distance of all the  $m_{\mathbf{S}} \times n_{\mathbf{S}}$  bi-temporal MS vectors  $(\mathbf{X_S}^1(i, j), \mathbf{X_S}^2(i, j)) \in \mathbf{D_S}$  having labels  $\mathbf{Y_S}(i, j) \in \mathbf{Y_S}$ .

The Siamese network architecture consists of two identical supervised neural networks with shared weights, in order to learn the hidden representation (embedding) of the bi-temporal, MS vectors recorded in  $\mathbf{D}_{\mathbf{S}}$ . The two neural networks are both feed-forward multi-layer perceptrons, and employ error back-propagation during training. They work in parallel comparing the embedding outputs at the end through Euclidean distance. With the aim to learn the model weights, we use the contrastive loss function that was originally proposed by Hadsell et al. (2006) to minimise the Euclidean distance, in the embedding space, between two samples that belong to the same class label and maximises the distance between two samples with different labels. In SENECA, all the bi-temporal MS vectors  $(\mathbf{X}_{\mathbf{S}}^{1}(i, j), \mathbf{X}_{\mathbf{S}}^{2}(i, j)) \in \mathbf{D}_{\mathbf{S}}$  that

are labelled with the class  $\mathbf{Y}_{\mathbf{S}}(i, j) = 1$  (*change*) are handled as pairs of samples with different land cover, while all the bi-temporal MS vectors that are labelled with the class 0 (*non-change*) are handled as pairs of samples labelled with the same land cover. Hence, the contrastive loss is defined as follows:

$$\mathcal{L}_{c} = \sum_{i,j \in \mathbf{S}} \left( (1 - \mathbf{Y}_{\mathbf{S}}(i,j)) d_{\mathbf{S}}(i,j)^{2} + \mathbf{Y}_{\mathbf{S}}(i,j) \max \left( \alpha - d_{\mathbf{S}}(i,j), 0 \right)^{2} \right), \quad (1)$$

where  $f_{\mathbf{S}}(\cdot)$  is the embedding learned with the source Siamese network,  $d_{\mathbf{S}}(i,j) = ||f_{\mathbf{S}}(\mathbf{X_S}^1(i,j)) - f_{\mathbf{S}}(\mathbf{X_S}^2(i,j))||_2$  and  $\alpha$  is the margin. Notice that, during this training stage, the desired source embedding  $f_{\mathbf{S}}(\cdot)$  is learned achieving that the distance between the bi-temporal MS vectors of the changed pixels of  $(\mathbf{D_S}, \mathbf{Y_S})$  get larger than the unchanged pixel distances of  $(\mathbf{D_S}, \mathbf{Y_S})$ by a margin of  $\alpha$ .

#### 267 4.2. Active learning

An AL strategy is used to identify a portion of the target scene  $\mathbf{A}_{\mathbf{T}} \subseteq \mathbf{T}$ 268 (active target scene) that covers few relevant pixels of  $\mathbf{T}$  (active pixels) for 269 which it is suitable to acquire the unknown CD labels associated with the 270 bi-temporal MS vectors contained in  $\mathbf{D}_{\mathbf{T}}$ . To this aim, a segmentation algo-271 rithm is used, in order to group together similar adjacent pixels in visually 272 meaningful spatial regions – super-pixel objects – that can be used to re-273 duce the number of primitives for the AL analysis. In this study, we use 274 the Simple Linear Iterative Clustering algorithm, referred as SLIC (Achanta 275 et al. 2012), as segmentation approach. SLIC is inspired by the standard 276 k-means clustering algorithm, in order to generate super-pixel object. The 277 complexity of SLIC is linear in the number of pixels and independent of the 278 number of super-pixels. It adopts a weighted distance measure combines 279 colour and spatial proximity while simultaneously providing control over the 280 size and compactness of super-pixel objects. In SENECA, the segmentation 281 is performed to divide the target scene  $\mathbf{T}$  into super-pixel objects and, suc-282 cessively, sample an active pixel to label for each super-pixel object. This 283 segmentation step is expected to allow the selection of active pixels for both 284 classes (change=1 and non-change=0) in **T** based on the MS information 285 enclosed in  $D_{T}$ . 286

To perform the segmentation step, first the tensor  $\mathbf{X}_{\mathbf{T}} = \mathbf{X}_{\mathbf{T}}^{1} \bullet \mathbf{X}_{\mathbf{T}}^{2}$  is built by applying pixel-wise the concatenation operator  $\bullet$  through the MS bands of both  $\mathbf{X}_{\mathbf{T}}^{1}$  and  $\mathbf{X}_{\mathbf{T}}^{2}$ . More precisely,  $\mathbf{X}_{\mathbf{T}}$  is a tensor of  $m_{\mathbf{T}} \times n_{\mathbf{T}}$  pixels and 2K spectral bands. The spectral dimensionality of  $\mathbf{X}_{\mathbf{T}}$  is then reduced from 2K bands to 2 principal components –  $PC_1$  and  $PC_2$ . This preprocessing step is based on a previous study (Deng et al. 2008) that used the Principal Component Analysis (PCA) as transformation to better highlight the difference between two images. Based upon the theory reported in this previous study, the change can be identified in the second component, while the first component is assumed to be the sum of the common information. Subsequently, SLIC is used to segment  $\mathbf{T}$  into  $\kappa$  super-pixel objects based on the information enclosed in  $\mathbf{X}^{\mathbf{T}}$ . The user-defined parameter  $\kappa$  allows us to control the number of super-pixel objects and, therefore, the number of active exemplars sampled through the super-pixel objects. In particular, for each super-pixel object  $\mathbf{o}$ , the medoid pixel of  $\mathbf{o}$ , i.e., the pixel of  $\mathbf{o}$  that is the closest in space to the centre of  $\mathbf{o}$ , is identified. Formally,

$$medoid(\mathbf{o}) = \operatorname*{argmin}_{(i,j)\in\mathbf{o}} \left( (i-i_c)^2 + (j-j_c)^2 \right), \tag{2}$$

where  $(i_c, j_j)$  is the centre of **o** having coordinates  $i_c = \frac{\sum_{(i,j) \in \mathbf{o}} i}{|\mathbf{o}|}$  and  $j_c = \sum_{(i,j) \in \mathbf{o}} j$ 

 $\frac{(i,j)\in \mathbf{o}}{|\mathbf{o}|}$ . Finally, the active target scene  $\mathbf{A}_{\mathbf{T}}$  is populated with the medoid pixels of the super-pixel objects:

$$\mathbf{A}_{\mathbf{T}} = \{ medoid(\mathbf{o}) | \mathbf{o} \in SLIC(\mathbf{X}^{\mathbf{T}}) \}.$$
(3)

Notice that the active target scene  $\mathbf{A_T}$  defines the AL-based set of relevant pixel exemplars of  $\mathbf{T}$  whose ground truth CD labels  $\mathbf{Y_T}$  are acquired with respect to the bi-temporal MS vectors of  $\mathbf{D_T}$ .  $\mathbf{A_T}$  is used to complete the limited supervision of the target Siamese network with the TL strategy. Henceforth, we rely on  $\mathbf{Y_T}(i, j) = 0/1$  for each  $(i, j) \in \mathbf{A_T}$ , unknown otherwise.

### 293 4.3. Transfer learning

A TL strategy is used to adapt the embedding  $f_{\mathbf{S}}(\cdot)$  pre-trained on  $\mathbf{D}_{\mathbf{S}}$ to  $\mathbf{D}_{\mathbf{T}}$ . This adaptation is completed using the limited supervision provided by the labels acquired in  $\mathbf{Y}_{\mathbf{T}}$  in correspondence of active pixels of  $\mathbf{A}_{\mathbf{T}}$ . In particular, the fine tuning strategy is applied. This is an application of the

transfer learning principle in deep learning (Tan et al. 2018) that allows 298 us to train a deep neural model using limited labelled samples of a target 299 distribution. Instead of weights being randomly initialised, they are those 300 pre-trained on samples from a different – but related – source distribution. In 301 this study, the fine tuning strategy starts with the weights of the pre-trained 302 Simultiples of Simular Simula 303 it updates these weights to minimise the contrastive loss formulated in Eq. 304 1 and right now evaluated on the bi-temporal MS vectors of  $\mathbf{D}_{\mathbf{T}}$  and the 305 labels of  $\mathbf{Y}_{\mathbf{T}}$ , which are associated with active pixels of  $\mathbf{A}_{\mathbf{T}}$ . This allows 306 us to adapt the pre-trained Siamese network to new changes in the target 307 bi-temporal MS dataset without retraining from scratch with limited class 308 estimates only, which would incur in significant overhead and cause artefacts. 309 We denote  $f_{\mathbf{T}}(\cdot)$  the target embedding trained with the fine tuning strategy. 310

### 311 4.4. Target CD map

Finally,  $f_{\mathbf{T}}(\cdot)$  is used to predict the unknown CD map  $\mathbf{Y}_{\mathbf{T}}'$  associated with  $\mathbf{D}_{\mathbf{T}}$ . For each pixel  $(i, j) \in \mathbf{A}_{\mathbf{T}}, \mathbf{Y}_{\mathbf{T}}'(i, j) = \mathbf{Y}_{\mathbf{T}}(i, j)$ , where  $\mathbf{Y}_{\mathbf{T}}(i, j)$ is the CD label acquired in the AL step. For each pixel  $(i, j) \in \mathbf{T} - \mathbf{A}_{\mathbf{T}},$  $\mathbf{Y}_{\mathbf{T}}'(i, j)$  is predicted as follows:

$$\mathbf{Y}_{\mathbf{T}}'(i,j) = \begin{cases} 1 & ||f_{\mathbf{T}}(\mathbf{X}_{\mathbf{T}}^{1}(i,j)) - f_{\mathbf{T}}(\mathbf{X}_{\mathbf{T}}^{2}(i,j))||_{2} \ge \theta \\ 0 & otherwise \end{cases}$$
(4)

In Eq. 4, the threshold  $\theta$  is automatically identified with the Otsu's algorithm (Otsu 1972). This is an adaptive threshold algorithm that is commonly used in image binarization problems to turn a single intensity threshold that separates pixels into two classes. Using the Otsu's algorithm, the threshold is determined by minimising the intra-class intensity variance defined as a weighted sum of variances of the two classes <sup>4</sup>. To this aim, we assume that the MS bi-temporal vector distances, computed pixel-wise in  $\mathbf{D}_{\mathbf{T}}$ , are represented in an histogram with L equal-width bins (levels) denoted as  $[1, \ldots, L]$ . Let  $\eta_i$  be the number of pixels at level i, so that  $\sum_{i=1}^{L} \eta_i$  corresponds to the

<sup>&</sup>lt;sup>4</sup>Minimising the intra-class variance is equivalent to maximising the inter-class variance, since the total variance (the sum of the intra-class variance and the inter-class variance) is constant for different partitions.

total number of pixels in the target scene T, i.e.,  $\sum_{i=1}^{L} \eta_i = n_{\mathbf{T}} m_{\mathbf{T}}$ . According to this, the probability of each level i is computed as  $p_i = \frac{\eta_i}{n_{\mathbf{T}} m_{\mathbf{T}}}$ . The Otsu's algorithm identifies the optimal threshold level  $\theta$ , in order to divide the pixels of the target scene into the class 0 (no-change), spanned over the distance levels  $[1, 2, \ldots, \theta]$ , and the class 1 (change), spanned over the distance levels  $[\theta+1, \ldots, L]$ , respectively. The optimal  $\theta$  is chosen with the goal to minimize the intra-class variance that is defined as a weighted sum of variances of the two classes:

$$\theta = \underset{1 \le \theta \le L}{\operatorname{argmin}} \left( w_0(\theta) \sigma_1^2(\theta) + w_1(\theta) \sigma_2^2(\theta) \right),$$
(5)

where  $\sigma_1^2(\theta)$  ad  $\sigma_2^2(\theta)$  are the variance computed on the two classes separated by  $\theta$ . Finally, the weights  $w_0(\theta)$  and  $w_1(\theta)$  are the probabilities of the two classes, which are computed as follows:

$$w_0(\theta) = \sum_{i=1}^{\theta} p_i \text{ and } w_1(\theta) = \sum_{i=\theta+1}^{L} p_i.$$
 (6)

Final considerations concern the fact that the predicted CD map can contain 312 errors or mistakes. To cope with these issues, we may apply the principle of 313 local auto-correlation of objects, according to which detected clusters, com-314 prising changed objects, generally expand across contiguous regions (Appice 315 & Malerba 2019). Based on this principle, we may decide to change the as-316 signment of pixels that strongly disagree with surrounding assignments. It 317 mainly corresponds to performing a spatial-aware correction of the change 318 assignment defined with Otsu's threshold. This correction, also used in (Ap-319 pice et al. 2020, Andresini et al. 2022), assigns each pixel to the label that 320 originally groups the majority of its neighbouring pixels reached within a 321 fixed radius, in order to ensure spatial smoothness reducing salt and pepper 322 errors. 323

#### 324 4.5. Time complexity

The time complexity of SENECA is the sum of the time costs of training a Siamese Network, selecting active samples with the segmentation-based AL strategy and performing the fine-tuning of the Siamese Network on the active samples. The time cost of both training and fine-tuning a Siamese Network depends on the cost of training a deep neural network. This mainly depends on the cost of computing the gradient descent (in the back-propagation

Table 2: Characteristics (acquisition time points, scene size and percentage of changed pixels) of the bi-temporal MS images gathered with Sentinel-2 satellites in five scenes (Abu Dhabi, Beihai, Beirut, Cupertino and Las Vegas)

Scene	Timestamp 1	Timestamp 2	Scene size	%Change
Abu Dhabi	Jan 20, 2016	Mar 28, 2018	$785 \times 799$	0.037
Beihai	Dec $09, 2016$	Mar 09, 2018	$772 \times 902$	0.024
Beirut	Aug 20, $2015$	Oct 03, 2017	$1070\times1180$	0.026
Cupertino	Sep $08, 2015$	Mar 26, $2018$	$788 \times 1015$	0.023
Las Vegas	Aug 20, 2015	Feb $05, 2018$	$824 \times 716$	0.076

stage), that is, O(lwde), where *l* is the number of layers in the network,  $w = O(r^2)$  is the number of weights per layer, *r* is the maximum number of neurons per layer, *d* is the number of samples and *e* is the number of epochs. The time cost of the segmentation-based AL step mainly depends on the complexity of SLIC that is O(N) with *N* the number of segmented pixels.

## 336 5. Experimental Evaluation and Discussion

We evaluated the effectiveness of the CD methodology implemented by SENECA on five co-registered, bi-temporal MS images (see Section 5.1) that were acquired with Sentinel-2 satellites in locations picked all over both Asia and USA. The implementation of SENECA used in this evaluation is illustrated in Section 5.2. The measured performance metrics are described in Section 5.3, while the results are discussed in Section 5.4.

## 343 5.1. Imagery data description

We considered five co-registered, bi-temporal MS images<sup>5</sup> with various levels of visible urbanisation. Image were picked over Asia (Abu Dhabi, Beihai and Beirut) and USA (Cupertino and Las Vegas), respectively (Caye Daudt et al. 2019). Each image was gathered by the Sentinel-2 satellites of the Copernicus program, in 13 spectral bands between visible and short wavelength infrared in the period between 2015 and 2018. All bands

<sup>&</sup>lt;sup>5</sup>https://rcdaudt.github.io/oscd/

are resampled at a spatial resolution of 10m. The pixel-level change ground truth was provided for each bi-temporal image with the annotated changes focused on urban land cover (e.g., new buildings or new roads). In this study, each bi-temporal MS imagery dataset was used for both learning a CD Siamese network with labelled data, as well as for fine tuning a pretrained CD Siamese network with limited labelled samples. A summary of the characteristics of the bi-temporal MS images is reported in Table 2.

#### 357 5.2. Implementation details

SENECA was implemented in Python 3.8, using Keras 2.4— a high-level neural network API with TensorFlow as the backend (Abadi et al. 2015). In the pre-processing step, the spectral data were scaled in the range [0, 1] using the Min-Max normalization <sup>6</sup>.

The Siamese network was implemented with two base feed-forward net-362 works with shared weights. Each base network is a deep neural network with 363 three layers with  $256 \times 128 \times 64$  neurons and two dropout layers. The Rectified 364 Linear Unit (ReLU) activation function was used as activation to each hid-365 den layer and the contrastive function (Hadsell et al. 2006) was used as loss 366 function. In the supervised initialization step, the weights were initialised 367 following the Xavier scheme, while, in the fine tuning step, the weights saved 368 from the previous network were used as a starting point. For each dataset, 369 we optimized the hyper-parameter using the tree-structured Parzen estima-370 tor algorithm as implemented in the Hyperopt library (Bergstra et al. 2013). 371 This hyper-parameter optimization was performed by using 20% of the entire 372 training set as a validation set according to the Pareto Principle. We selected 373 the hyper-parameter configuration that achieved the lowest validation loss. 374 The hyper-parameters and their corresponding possible values are reported in 375 Table 3. We trained the network with mini-batches using back-propagation. 376 and the gradient-based optimization was performed using the Adam update 377 rule (Kingma & Ba 2014). 378

For the AL strategy, we performed the segmentation step using the SLIC algorithm as implemented in Scikit-image library <sup>7</sup>. The number  $\kappa$  of segments to detect in the target scene through SLIC was set as a percentage

<sup>&</sup>lt;sup>6</sup>https://scikit-learn.org/stable/modules/generated/sklearn. preprocessing.OneHotEncoder.html

<sup>&</sup>lt;sup>7</sup>https://scikit-image.org/docs/dev/api/skimage.segmentation.html

Table 3: Hyperparameter search space for the Siamese model.

Hyper-parameter	Values
batch size	${2^5, 2^6, 2^7, 2^8, 2^9}$
learning rate	[0.0001, 0.01]
dropout	[0,0.5]

 $\kappa\%$  of the target scene size, where  $\kappa\%$  is a user-defined parameter. By default  $\kappa\% = 1\%$ . Since SLIC algorithm processes RGB images, we scaled the two principal components extracted from the bi-temporal target dataset for the segmentation step in a range 0-255. In addition, we added a dummy component, set equal to 0, in order to create the third channel of the RGB representation.

Threshold  $\theta$  used to predict the target CD map was estimated using the implementation of Otsu's algorithm from scikit-image library <sup>8</sup>. Finally, the radius of the kernel used for spatial-aware correction R was set equal to 5 for all the target scenes.

#### <sup>392</sup> 5.3. Performance metrics

In this Section we introduce the metrics measured to evaluate the accuracy of the predicted CD maps, the homogeneity of super-pixel segmentation and the efficiency of the learning process.

We measured the accuracy of the predicted CD maps with the Fscore 396 (F1)(Tan et al. 2005), the Area Under the ROC curve (AUCROC)(Tan et al. 397 2005) and the Geometric mean (G-mean) (Kubat & Matwin 1997). These 398 metrics are commonly considered in the remote sensing field for the eval-399 uation of CD methods. Let us consider: tp – the number of pixels of the 400 scene with the class *change* that are correctly predicted as belonging to that 401 class type; fp – the number of pixels not belonging to the class *change* that 402 are wrongly predicted as belonging to the class change; tn – the number 403 of pixels not belonging to class *change* that are predicted as not belong-404 ing to class *change*; fn – the number of pixels of the class *change* that are 405 wrongly predicted as not belonging to that class type; n is the total num-406 ber of pixels in the scene. The F1 measures the harmonic mean of precision 407

<sup>&</sup>lt;sup>8</sup>https://scikit-image.org/docs/dev/api/skimage.filters.html\#skimage. filters.threshold\\_otsu

and recall, i.e.,  $Fscore = 2 \frac{precision \times recall}{precision + recall}$ . The higher the F1, the better the 408 balance between precision and recall achieved by the evaluated method. In 409 particular, the precision measures how many pixels are correctly classified 410 for the class *change*, given all predictions of that class type in the scene, 411 i.e.,  $precision = \frac{tp}{tp+fp}$ . The recall measures how many pixels are correctly 412 predicted for the class *change* given all occurrences of that class type in the 413 scene, i.e.,  $recall = \frac{tp}{tp+fn}$ . The AUCROC measures the Area Under the ROC 414 curve as it was defined with the False Positive Rate (FPR) on the x-axis and 415 the True Positive Rate (TPR) on the y-axis. The FPR measures how many 416 pixels are wrongly classified in the class *change* given all the occurrences of 417 negative samples of that class type, i.e.,  $FPR = \frac{fp}{fp+tn}$ . The TPR measures how many pixels are correctly predicted for the class *change* given all occur-418 419 rences of that class, i.e.,  $TPR = \frac{tp}{tp+fn}$ . Hence, the AUCROC value expresses 420 the probability that a given method will rank a positive sample of the class 421 change higher than a negative sample of the considered class. The G-mean 422 measures the geometric mean of *specificity* and *recall* by equally consider-423 ing the errors on both classes, i.e.,  $G - mean = \sqrt{specificity \times recall}$ . In 424 particular, the *specificity* measures how many pixels are correctly predicted 425 for the class unchange given all occurrences of that class in the scene, i.e., 426  $specificity = \frac{tn}{tn+fp}$ . 427

In addition, we measured the homogeneity of the super-pixel objects with the Purity and F1. The Purity is a simple evaluation criterion of cluster quality. To compute Purity, each super-pixel object  $\mathbf{o}_i$  identified through the segmentation step is assigned to the class  $c_j$  (*change* vs *non-change*) that is the most frequent in the object. The accuracy of this assignment is measured by counting the number of correctly assigned target pixels and dividing by the

total number of target pixels 
$$m_{\mathbf{T}} \times n_{\mathbf{T}}$$
, i.e.,  $Purity = \frac{1}{m_{\mathbf{T}} \times n_{\mathbf{T}}} \sum_{i=1} \max_{j} |\mathbf{o}_{i} \cap c_{j}|$ ,

where  $\kappa$  is the number of super-pixel objects detected in the segmentation 435 step, while  $|\cdot|$  denotes the cardinality operator. Similarly, the F1 of the 436 segmentation output is measured by assuming the most frequent CD class 437 observed in a super-pixel object as the CD class assigned by the segmentation 438 to each pixel grouped in the super-pixel object. We measured F1 per class 430 considering firstly the change class (F1 (change)) and, successively, the non-440 change class (F1 (non-change)). These two scores allow us to monitor the 441 ability of the segmentation step of depicting super-pixel objects covering 442 both homogeneous changed regions and homogeneous non-changed regions, 443

### <sup>444</sup> respectively.

Finally, we evaluate the time performance (TIME) spent both learning the pre-trained CD model from the source scene and fine tuning a pre-trained CD model to the target scene. They were collected on a Linux machine with an Intel(R) Core(TM) i9-10900K CPU @ 3.70GHz and 64GB RAM. All the experiments are executed on a single GeForce RTX 3070. In this study, the training TIME was measured in minutes.

451 5.4. Results

The empirical validation was done with the Siamese network as CD model, in order to answer the following questions:

Q1 To what extent the number of active pixels selected in the target scene
by the AL labelling strategy has an effect on the performance of the
CD model adapted with TL? (Sensitivity analysis in Section 5.4.1)

457 Q2 Is the CD model adapted to a target scene with the proposed ATL 458 strategy more powerful in labelling the target scene than the CD model 459 pre-trained on the source scene? (Ablation study in Section 5.4.2)

Q3 How does the performance of a CD model pre-trained on a source scene and adapted to a target scene through the proposed ATL strategy
change with either the source scene or the target scene? (Source/target scene study in Section 5.4.3)

464 Q4 Does the defined CD method outperform recent, state-of-the-art CD 465 systems? (Competitor study in Section 5.4.4)

Experiments were performed by considering 20 configurations of sourcetarget scenes. More precisely, for each target scene we generated four configurations by varying the source scene among the left-out scenes. For example, given the target scene **Abu Dhabi**, four configurations were generated by selecting the source scene among: **Beihai**, **Beirut**, **Cupertino** and **Las Vegas**, respectively.

472 5.4.1. Sensitivity analysis (Q1)

<sup>473</sup> The sensitivity analysis was performed, in order to assess the influence <sup>474</sup> of  $\kappa$ , i.e., the number of active pixels selected through the segmentation <sup>475</sup> step on the behaviour of SENECA. As in the implementation of SENECA, <sup>476</sup>  $\kappa = \kappa \% \times n_{\mathbf{T}} \times m_{\mathbf{T}}$ , we analysed the performance of SENECA by varying  $\kappa \%$ 

Table 4: F1, AUCROC, G-mean and TIME (in mins) of SENECA by varying  $\kappa\%$  among = 0.1%, 1% and 5%. We report the mean  $\pm$  standard deviation of performances measured on all the target scenes with every CD model pre-trained with each left-out source scene.

$\kappa\%$	F1	AUCROC	G-mean	TIME
0.1%	$0.40 \ (\pm 0.20)$	$0.73 \ (\pm 0.09)$	$0.68~(\pm 0.10)$	$13.94 (\pm 3.35)$
1%	$0.53 (\pm 0.18)$	$0.76~(\pm 0.05)$	$0.73 \ (\pm 0.07)$	$92.92 (\pm 48.97)$
5%	$0.57 (\pm 0.17)$	$0.79 \ (\pm 0.06)$	$0.76 \ (\pm 0.09)$	$61040.86 \ (\pm 2209.95)$

among 0.1%, 1% and 5%. The mean and standard deviation of F1, AUCROC, 477 G-mean and TIME measured for SENECA in all the tested configurations are 478 reported in Table 4. Figure 2 reports the F1 computed for each target scene 479 by varying both the source scene and  $\kappa$ %. These results show that the higher 480 the value of  $\kappa\%$  (and, consequently, the higher the number  $\kappa$  of active pixels), 481 the higher the accuracy of SENECA. On the other hand, this gain in accuracy 482 is at the cost of the extra time spent completing the learning stage, as well 483 as the higher effort and cost spent by experts acquiring the ground truth CD 484 labels for the active pixels. 485

Additional conclusions can be drawn by analysing the homogeneity of 486 super-pixel objects extracted through the segmentation step and considered 487 to sample the active pixels of each scene. Figure 3 shows the segmentation's 488 output of each considered scene as it was detected with  $\kappa\% = 1\%$ . Figure 4 489 reports the Purity, F1 for the class *change* and F1 for the class *non-change* as 490 they were measured on the output of the segmentation step by varying  $\kappa$ % 491 among 0.1%, 1% and 5%. These results reveal that the higher the value of 492  $\kappa\%$ , the finer-grained the segmentation of each scene in super-pixel objects 493 and the higher the homogeneity of CD labels grouped in each super-pixel 494 object. Detecting finer-grained super-pixel objects allows us to better depict 495 homogeneous segments that mainly contain either changed pixels or non-496 changed pixels. Notably, the gain in the homogeneity of super-pixel objects 497 is greater with respect to the class *change* than with respect to the class 498 non-change. 499

In general, we note that  $\kappa\% = 1\%$  allows us to achieve a good tradeoff among homogeneity of segmentation, accuracy of final CD predictions, computation time spent completing the learning process, as well as effort



Figure 2: F1 of SENECA by varying  $\kappa\%$  among 0.1%, 1% and 5%. For each target scene (Figures 2a-2e), we compare the F1 of the CD maps predicted by SENECA by varying the source scene.

and human cost spent acquiring CD labels. Due to these reasons, we report results achieved with  $\kappa\% = 1\%$  in the rest of the experimental evaluation.

## 505 5.4.2. Ablation analysis (Q2)

The ablation analysis of SENECA was conducted, in order to explore how 506 the ATL strategy can impact the performance of the CD model pre-trained on 507 a source scene by adapting it to each left-out target scene. To this purpose, we 508 ran the ATL strategy of SENECA with  $\kappa\% = 1\%$  and measured the accuracy 509 of the changes detected in each target scene by varying the source scene 510 considered to learn the pre-trained CD model. For the ablation study, we 511 also report the performance of Siamese that is the configuration that discards 512 the ATL strategy. Specifically, Siamese used the CD model pre-trained on 513 a source scene to detect changes of a target scene without performing any 514 adaptation of the pre-trained CD model. The mean and standard deviations 515 of F1, AUCROC, G-mean and TIME of both SENECA and Siamese are reported 516



Figure 3: Super-pixel objects detected with the segmentation step performed with  $\kappa\% = 0.1\%$ . The red areas denote the changed regions, while the blue areas denote the unchanged regions in the corresponding scenes. White circles denote the active pixels sampled throughout the segmentation step.

in Table 5. Figure 5 reports the F1 scores computed per each target scene by 517 varying the source scene. These results show that the use of the ATL strategy 518 allows SENECA to gain accuracy compared to the baseline Siamese. Notably, 519 this conclusion can be drawn independently of the source scene considered to 520 train the source CD model. As expected, the higher accuracy of SENECA is at 521 the cost of the more computation time spent performing the proposed ATL 522 strategy. Figure 6 shows the computation time spent completing the four 523 learning steps of SENECA in all the performed experiments. These results 524 reveal that SENECA spends the most of its computation time performing the 525 segmentation step in the AL component, while the time spent performing 526



Figure 4: Purity, F1 of the class *change* and F1 of the class *non-change* measured on the output of the segmentation step performed by varying  $\kappa\%$  among 0.1%, 1% and 5%

Table 5: F1, AUCROC, G-mean and TIME (in mins) of SENECA with  $\kappa\% = 1\%$  and its baseline configuration Siamese. We report the mean  $\pm$  standard deviation of performances measured on all the target scenes with every CD model pre-trained with each left-out source scene.

Method	F1	AUCROC	G-mean	TIME
SENECA	$0.53 (\pm 0.18)$	$0.76~(\pm 0.05)$	$0.73~(\pm 0.07)$	$92.92 (\pm 48.97)$
Siamese	$0.18~(\pm 0.09)$	$0.65~(\pm 0.09)$	$0.61~(\pm 0.11)$	$10.32 \ (\pm 2.39)$

#### <sup>527</sup> fine tuning in the TL component is generally small.

#### <sup>528</sup> 5.4.3. Source and target scenes (Q3)

This analysis was conducted to explore the effect of a specific source/target 529 scene on the accuracy of SENECA. Figure 7 shows the F1 of SENECA by 530 varying both the source scene and the target scene. Results show that the 531 accuracy performance of SENECA changes significantly with the target scene. 532 However, the differences in the F1 of SENECA are commonly negligible in each 533 target scene by varying the source scene. The only exception is observed with 534 the target scene **Beihai** where the F1 varies from 0.36 (with the source scene 535 Abu Dhabi) to 0.54 (with the source scene Las Vegas). Interestingly, also 536 the source CD Siamese network pre-trained on Las Vegas outperforms the 537 source CD Siamese network pre-trained on Abu Dhabi, Beirut and Cu-538 pertino when they were used to predict the CD map of **Beihai** without the 539



Figure 5: F1 of SENECA with  $\kappa = 1\%$  and its baseline configuration Siamese. For each target scene (Figures 5a-5e), we compare the F1 of the CD maps predicted by both SENECA and Siamese by varying the source scene.

ATL strategy (see result of Siamese in Figure 5b). This suggests that a future
research direction may focus on exploring which properties of the pre-trained
CD models may foster the better performance of the ATL strategy.

#### 543 5.4.4. Competitor analysis (Q4)

The comparative analysis is performed to assess the significance of accu-544 racy and novelty of SENECA compared to several related methods, selected 545 from the state of the art in CD literature. Table 6 reports a summary of 546 the main characteristics of the considered competitors. We point out that 547 the competitors that integrate the Siamese network (Shi, Liu, Li, Liu, Wang 548 & Zhang 2022) and the ATL strategy (Ruzicka et al. 2020) are the closest 540 to SENECA. Specifically the method CBAM described in (Shi, Liu, Li, Liu, 550 Wang & Zhang 2022) introduces a convolutional attention block module in 551 the Siamese network, but neglects any TL mechanism to adapt a CD model 552



Figure 6: TIME of SENECA with  $\kappa = 1\%$  and its baseline configuration Siamese. For each target scene (Figures 6a-6e), we compare F1 of the CD map predicted by both SENECA and Siamese by varying the source scene.

trained in a source scene to a new target scene. The method SiameseU-Net 553 described in (Ruzicka et al. 2020) trains a Siamese network with ResNet-34 554 base networks from a target source and uses an AL strategy to fine tune 555 a source CD model to a target domain. In particular, it uses an ensemble 556 procedure to select the tiles of pixels for the active labelling. It extends 557 the source training set with the selected target active samples and re-trains 558 the Siamese network from scratch using the augmented training set. In this 550 comparative study, we experimented the AL strategy of both SiameseU-Net 560 and SENECA to acquire the labels of the 1% of target samples. The meth-561 ods BIC<sup>2</sup>, ORCHESTRA, PCAK-Means and CVA perform an unsupervised 562 learning stage on the target scene by neglecting any information enclosed in 563 the source scene. Finally, the method CBAM performs a supervised learning 564



Figure 7: F1 of SENECA by varying both the target scene (axis X) and the source scene (axis Y)

Table 6: Compared algorithm description

Algorithm	Description
SENECA	Siamese network, ATL, Otsu's method
$BIC^2$ (Appice et al. 2020)	GMM, PCA, Random Forest
ORCHESTRA(And resini et al. 2022)	Autoencoder, CVA, spectral angle distance, Otsu'method
CBAM(Shi, Liu, Li, Liu, Wang & Zhang 2022)	Siamese network, ResNet18, Attention
SiameseU-Net(Ruzicka et al. 2020)	Siamese network, ResNet34, Active learning
PCAK-means(Celik 2009)	PCA, k-Means
CVA(López-Fandiño et al. 2019)	CVA, spectral angle distance, Otsu's algorithm

stage on the source scene and uses this pre-trained CD model on the target scene.

All the related methods were run using default parameters suggested 567 by the authors in the reference papers. In particular,  $BIC^2$  was run with 568 the number of trees in the Random Forest set equal to 20, the number of 569 principal components set equal to 20, the threshold considered to select the 570 samples to train the Random Forests set equal to 0.85. Random Forests 571 were constructed with the number of random independent features to look 572 for the best split set equal to  $\sqrt{\#independent features}$ , the bootstrap op-573 tion was enabled with the bootstrap size set equal to the size of the training 574 set and the function to measure the quality of a split, was set equal to the 575 Gini index. The GMM was run with number of components set equal to 576 2, the covariance type set equal to diagonal (i.e. each component had its 577 own diagonal covariance matrix), the non-negative regularisation added to 578

the diagonal of covariance set equal to 0.00001. The image difference was 579 computed with both the spectral angle distance and spectra-spatial cross 580 correlation-based distance and the best results were considered for this com-581 parative study.<sup>9</sup> ORCHESTRA was run with the autoencoder architecture 582 composed of 3 fully-connected (FC) layers of  $8 \times 4 \times 8$  neurons as proposed 583 by the authors to process Sentinel-2 images. The learning rate and batch 584 size were optimised with the tree-structured Parzen estimator in the range 585 [0.00001, 0.01] and the set  $\{32, 64, 128, 256, 512\}$ , respectively. The optimi-586 sation was done using 20% of the entire training set as a validation set. The 587 dropout layer was used to prevent overfitting. The mean squared error was 588 used as the loss function. The ReLu was selected as the activation function 589 for each hidden layer, while *Linear* activation function was used for the last 590 layer. The number of epochs was set equal to 150, retaining the best mod-591 els achieving the lowest loss on the validation set. CBAM was run with a 592 Siamese Network implementing a ResNet18 (He et al. 2016) pre-trained on 593 ImageNet. The ResNet18 was implemented with four basic blocks of depths 594 equal to 64, 128, 256, and 512, respectively. Each basic block was com-595 posed by two convolutional layers with a kernel size of 3x3 and two batch 596 normalization layers. The model was fine-tuned for 150 epochs using Adam 597 optimizer, ReLu activation was selected for each hidden layer. SiameseU-Net 598 was run with a Siamese Network composed of two autoencoders with shared 599 weights. The architecture of the encoder was implemented with a ResNet34 600 (He et al. 2016) pre-trained on ImageNet with a kernel size of 3x3. The 601 model was fine-tuned for 100 epochs using Adam optimizer and sigmoid as 602 activation function for each hidden layer. The number of models used for the 603 ensemble-based AL strategy was set equal to 5. PCAK-Means was run with 604 the number of eigenvector equal to 3 and the block size equal to 4. CVA 605 was run with the number of levels set equal to 256 in the Otsu's algorithm. 606 The mean and standard deviations of F1, AUCROC, G-mean and TIME 607 of both SENECA and related methods are reported in Table 7. These re-608 sults show that SENECA is able to outperform all the related methods in this 609 study in terms of F1. On the other hand, PCAK-Means outperforms SENECA 610 in terms of AUCROC and G-mean, where SENECA is the runner-up. This 611 is a consequence of the fact that PCAK-Means discovers a higher number of 612

 $<sup>^{9}</sup>$ The spectra-spatial cross correlation-based distance outperformed spectral angle distance in all scenes with the exception of Las Vegas.

Table 7: F1, AUCROC, G-mean and TIME (in mins) of SENECA with  $\kappa\% = 1\%$ , as well as the related methods. We report the mean  $\pm$  standard deviation of performances measured on all the target scenes with every CD model pre-trained with each left-out source scene.

Method	F1	AUCROC	G-mean	TIME
SENECA	$0.53 (\pm 0.18)$	$0.76 \ (\pm 0.05)$	$0.73 (\pm 0.07)$	$92.92 (\pm 48.97)$
$BIC^2$	$0.40 \ (\pm 0.29)$	$0.70 \ (\pm 0.14)$	$0.63 \ (\pm 0.17)$	$11.88 \ (\pm 5.36)$
ORCHESTRA	$0.23~(\pm 0.20)$	$0.66~(\pm 0.14)$	$0.65~(\pm 0.18)$	$57.94 (\pm 24.17)$
CBAM	$0.06~(\pm 0.04)$	$0.50~(\pm 0.07)$	$0.18 \ (\pm 0.13)$	$16.58 (\pm 3.46)$
SiameseU-Net	$0.33~(\pm 0.19)$	$0.65~(\pm 0.08)$	$0.65~(\pm 0.18)$	$114.04 \ (\pm 0.39)$
PCAK-Means	$0.40 \ (\pm 0.21)$	$0.79~(\pm 0.04)$	$0.78~(\pm 0.04)$	$1.22 \ (\pm 0.07)$
CVA	$0.24 \ (\pm 0.20)$	$0.70~(\pm 0.18)$	$0.64 \ (\pm 0.17)$	$1.40 \ (\pm 0.04)$



Figure 8: Nemenyi test of F1 of SENECA and related methods. Groups of methods that are not significantly different (at  $p \le 0.05$ ) are connected.

change samples (and consequently a lower number of non - change sam-613 ples) than SENECA. Hence, PCAK-Means performs a higher number of true 614 positive samples, but also a higher number of false positive samples than 615 SENECA. Therefore, SENECA outperforms PCAK-Means in terms of preci-616 sion  $(0.58\pm0.29 \text{ in SENECA vs } 0.34\pm0.26 \text{ in PCAK-Means})$  and specificity 617  $(0.97\pm0.04$  in SENECA vs  $0.91\pm0.08$  in PCAK-Means), while PCAK-Means 618 outperforms SENECA in terms of recall  $(0.55\pm0.11$  in SENECA vs  $0.67\pm0.06$ 619 in PCAK-Means). The impact of recall is higher in the formulation of G-mean 620 and AUCROC than in the formulation of F1. This motivates differences in 621 the observed performances of the compared methods with respect to F1, AU-622 CROC and G-mean. In any case, a high number of false alarms (false positive) 623 is not a desirable behaviour in imbalance classification problems such as CD 624

Source	Target	SENECA	$BIC^2$	ORCHESTRA	CBAM	SiameseU-Net	PCAK-Means	CVA
Beihai	Aba Dhah!	0.28	0.19	0.15	0.07	0.24	0.19	0.17
Beirut		0.27	0.19	0.15	0.07	0.24	0.19	0.17
Cupertino	Abu Dhabi	0.31	0.19	0.15	0.07	0.24	0.19	0.17
Las Vegas		0.26	0.19	0.15	0.07	0.24	0.19	0.17
Abu Dhabi		0.36	0.09	0.05	0.09	0.34	0.41	0.05
Beirut	Daibai	0.39	0.09	0.05	0.04	0.34	0.41	0.05
Cupertino	Demai	0.36	0.09	0.05	0.04	0.34	0.41	0.05
Las Vegas		0.36	0.09	0.05	0.04	0.34	0.41	0.05
Abu Dhabi	Beirut	0.52	0.35	0.06	0.05	0.08	0.20	0.06
Beihai		0.51	0.35	0.06	0.05	0.08	0.20	0.06
Cupertino		0.51	0.35	0.06	0.05	0.08	0.20	0.06
Las Vegas		0.53	0.35	0.06	0.05	0.08	0.20	0.06
Abu Dhabi		0.64	0.68	0.44	0.00	0.60	0.55	0.43
Beihai	Cuportino	0.68	0.68	0.44	0.04	0.60	0.55	0.43
Beirut	Cupertino	0.70	0.68	0.44	0.04	0.60	0.55	0.43
Las Vegas		0.68	0.68	0.44	0.03	0.60	0.55	0.43
Abu Dhabi		0.74	0.72	0.46	0.00	0.41	0.67	0.45
Beihai	T <b>V</b>	0.73	0.72	0.46	0.14	0.41	0.67	0.45
Beirut	Las vegas	0.74	0.72	0.46	0.15	0.41	0.67	0.45
Cupertino		0.73	0.72	0.46	0.04	0.41	0.67	0.45

Table 8: F1 of SENECA ( $\kappa\% = 1\%$ ), as well as the related methods. The best results are in bold.

625 tasks.

Further considerations concern the analysis of TIME. The DL-based methods (i.e., SENECA, ORCHESTRA, CBAM and SiameseU-Net) spent more time than the remaining methods (BIC<sup>2</sup>, PCAK-Means and CVA). In any case, both SiameseU-Net and SENECA are the most time-consuming methods. Both methods train a Siamese network and integrate an AL-based strategy. However, SENECA uses a segmentation-based AL strategy, while SiameseU-Net uses an ensemble-based AL strategy.

We proceed this comparative study by examining in depth the F1 re-633 sults per each scene. Results reported in Table 8 show that SENECA (with 634  $\kappa\% = 1\%$ ) outperforms all the competitors of this study except for BIC<sup>2</sup> in 635 the configuration with source Abu Dhabi and target Cupertino. However, 636 SENECA outperforms (or performs equals to) BIC<sup>2</sup> on the target Cupertino 637 when the source is Beihai, Beirut or Las Vegas. In addition, the high-638 est accuracy on the target **Beirut** is achieved by SENECA with the source 639 **Beihai**. Finally, we ranked the compared methods by statistically testing 640 whether the improvement of F1 of the computed CD maps is significant over 641 the various experimental configurations. To this aim, we have used Fried-642

man's test (Demšar 2006). This is a non-parametric test that is commonly 643 used to compare multiple methods over multiple experiments. It compares 644 the average ranks of the methods, so that the best performing method gets 645 the rank of 1. The second best gets rank 2. The null-hypothesis states that 646 all the methods are equivalent. Under this hypothesis, the ranks of compared 647 methods should be equal. In this study, we rejected the null hypothesis with 648 p-value < 0.05. As the null-hypothesis was rejected, that is, no method was 649 singled out, we used a post-hoc test—the Nemenyi test—for pairwise com-650 parisons (Demšar 2006). The results of this test reported in Figure 8 shows 651 that SENECA enables the production of the CD map that commonly achieve 652 the highest F1 by having  $BIC^2$  as runner-up. 653

## 654 6. Conclusions

In this paper we have presented SENECA: an ATL methodology for CD 655 in co-registered, bi-temporal MS images acquired with Sentinel-2 satellites in 656 the same Earth's scene, at different time points. The proposed methodology 657 uses the TL strategy to adapt the Siamese network pre-trained from a source 658 domain to a related target domain. The adaptation is performed with the 659 limited supervision made available with the AL strategy. An experimental 660 study was performed to show the effectiveness of the proposed CD method-661 ology, quantified in terms of CD accuracy. In particular, the results obtained 662 have underlined that SENECA is able to produce decisions that outperform 663 decisions produced with the baseline Siamese that is the configuration that 664 discards the proposed ATL strategy. Furthermore, the experimental results 665 clearly highlighted that SENECA achieves high quality performance with a 666 limited amount of labels acquired via the AL process no matter the source 667 data considered to learn the pre-trained Siamese network. Finally, the pro-668 posed ATL framework helps us to gain accuracy compared to various CD 669 methods presented in the recent literature. 670

One limitation of the proposed methodology is the absence of any expla-671 nation mechanism. A future research direction could be devoted to explore 672 eXplainable Artificial Intelligence mechanism, e.g., attentions or transform-673 ers, possibly coupled with convolutions, to get insights about particular spa-674 tial characteristics that may help to better recognise specific changes through 675 a CD model. Another limitation is that the proposed methodology does not 676 discriminate among different change types. This may be explored as multi-677 class ATL problem where new change classes may appear or disappear in the 678

target domain with respect to the source domain. A further research direction refers to the systematic investigation of expected properties of both the source scene and target scene, to better foster the performance of the ATL strategy. Finally, recent studies have explored the CD problem in time series of co-registered MS images that exhibit some temporal trend in the change phenomena. Temporal change patterns may be explored to extend the proposed ATL strategy from the bi-temporal to the multi-temporal setting.

## 686 CRediT Authorship Contribution Statement

Giuseppina Andresini: Conceptualization, Methodology, Software, Data
curation, Investigation, Validation, Visualization, Writing - original draft,
Writing - review & editing. Annalisa Appice: Conceptualization, Methodology, Investigation, Validation, Supervision, Writing - original draft, Writing
- review & editing. Dino Ienco: Conceptualization, Investigation, Writing
- original draft, Writing - review & editing. Donato Malerba: Conceptualization, Writing
- review & editing. - review & editing.

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