#### Liposomes containing nanoparticles: preparation and applications 1 Vincenzo De Leo <sup>1,2,\*</sup>, Anna Maria Maurelli <sup>1</sup>, Livia Giotta <sup>3</sup> and Lucia Catucci <sup>1,2,\*</sup> 2 1 Department of Chemistry, University of Bari Aldo Moro, Via Orabona 4, 70126 Bari, Italy 3 2 CNR-IPCF S.S. Bari, c/o Dept. of Chemistry, University of Bari Aldo Moro, Via Orabona 4, 70126 Bari, Italy 4 3 Department of Biological and Environmental Sciences and Technologies, University of Salento, S.P. Lecce-Monteroni, 5 73100 Lecce, Italy 6 Correspondence: vincenzo.deleo@uniba.it; lucia.catucci@uniba.it 7 Abstract: The impetuous development of nanotechnology over the past two decades has enabled the production of 8 a plethora of nanomaterials with outstanding optical, magnetic, electrical, catalytic and mechanical properties. The 9 versatility of these materials attracted attention from the very beginning in the most disparate sectors of science and 10 technology. The application of nanomaterials in the biological and biomedical fields soon benefited from the 11 interaction with liposomes, which increased their biocompatibility and biostability. Liposomes indeed are versatile 12 self-assembling supramolecular (nano)structures constituted of an aqueous core enclosed by a lipid bilayer, able to 13 host hydrophobic and hydrophilic cargo, and with superior biocompatibility and great similarity with the biological 14membranes. The result is the construction of hybrid nanoscale architectures, in which nanoparticles (NPs) are 15 allocated either in the aqueous core, in the palisade of the lipid bilayer or on the outer surface of the vesicles. In the 16 first part of this review, the principal methods for the preparation of NP-loaded liposomes are carefully illustrated in 17 a tutorial manner. In the second part, an overview of the great potentialities deriving from the conjugation of 18liposomes with NPs is presented. In each paragraph, the main characteristics of the most notable classes of NPs, the 19 related issues, and the advantages arising from their association with liposomes are shown. Here, the most 20 significant research works in literature for each kind of system are presented. 21 **Keywords:** hybrid liposome-nanoparticle; hybrid liposome-nanoparticle preparation; metallic NP loaded liposome, 22 QD loaded liposome, silica NP loaded liposome, carbon NP loaded liposome. 23 **Highlights:** 24 Current methods for incorporating NPs into liposomes are illustrated. 25 The advantages of incorporating NPs into liposomes are discussed. • 26 A tutorial section for non-expert researchers in the field is included. ٠ 27 An updated review of the main hybrid NP-liposomes and their applications is shown. 28 The impacts in the field of innovative therapies, theranostics and sensing are shown. • 29 30 31 32

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1. Introduction	39
In 1964 A. D. Bangham and R.W. Horne published for the first time electron microscopic images of phospholipid	40
vesicles [1]. Since that moment, an impressive succession of research advancements and discoveries has made	41
liposomes, similar in composition and structural organization to cell membranes, protagonists of numerous sectors	42
of basic and applied research.	43
Liposomes are supramolecular aggregates formed by amphiphilic components, such as polar lipids, dispersed in an	44
aqueous solution. These building blocks form bilayer structures organized in closed, spherical vesicles. Liposomes	45
have an aqueous core and therefore possess core-shell structures that enable the encapsulation of both hydrophilic	46
and hydrophobic molecules. Liposomes are relatively easy to prepare and, by modulating their composition, size,	47
and degree of lamellarity, they can be adapted to several experimental and application needs. Furthermore,	48

liposomes can be decorated with a great variety of molecules, acquiring specific properties that soon expanded the 49 range of possible applications. By virtue of their peculiar characteristics, liposomes have been applied to the most 50 diverse fields ranging from the administration of drugs and other bioactive molecules to the development of 51 vaccines [2-5], from the study of protocells and the origin of life to the reconstitution of proteins membrane [6, 7]. 52 Their use as micro / nanoreactors, sensing agents and pollutant removal systems is also reported [8, 9]. If the first 53 generation liposomes suffered from important limitations (lack of stability, payload leakage etc), the extraordinary 54 properties of these vesicles soon benefited from the integration with various natural and synthetic polymers to give 55 rise to lipid-polymer hybrid structure, with improved performances in the most disparate contexts, mainly in the 56 field of drug and diagnostic probe delivery [10]. In fact, liposomes are excellent carriers of drugs and molecular 57 imaging probes for in vivo applications. Liposomes with a diameter of less than 200 nm are characterized by large 58 blood circulation capacity and lead to increased accumulation of their payload at target tumor/inflamed tissues 59 through passive targeting by enhanced permeation and retention (EPR) effect. In addition, active targeting can be 60 achieved by modifying the vesicle surface with antibodies or other suitable targeting ligands [11]. 61

Liposomes can also be loaded with nanoparticles (NPs) of various nature and size, thus extending the field of 62 application of this class of materials with extraordinary characteristics and properties that have dominated the last 63 decades of research [12]. The high surface area and quantum confinement effects give rise to new and unexpected 64 physical and chemical properties in these materials. Due to their tunable properties (optical, magnetic, catalytic...), 65 dependent on their size and shape as well as on the intrinsic properties of the constituent elements, NPs have found 66 a plethora of applications in the fields of medicine, imaging, catalysis, energy-based research, sensing and 67 environmental applications as well. Although there is no general consensus on the definition of nanomaterials, they 68 are usually described as materials that have at least one dimension in the range of 1 to 100 nm. In 2011 the 69 European Commission adopted indeed a definition of nanomaterial, describing them as materials in an unbound 70 state or as an aggregate or as an agglomerate and where, for 50% or more of the particles in the number size distribution, one or more external dimensions is in the size range 1 nm - 100 nm [13]. It follows that even the liposomes fall within this definition as long as they are prepared with certain dimensional characteristics and the hybrid structures made with various NPs are themselves nano-composite nanomaterials. The main applications of hybrid liposome-NP complexes have been proposed in the field of drug delivery, theranostic and sensing. The integration of nanomaterials into the structure of liposomes is motivated by the need to overcome some of the limitations of first-generation liposomes and / or add new desired properties to them. On the other hand, incorporating nanomaterials into the structure of liposomes is often a valid way to reduce their toxicity and in general increase their compatibility with biological milieus. What results is the emergence of new features and new application possibilities for these hybrid structures by virtue of a synergistic effect of the two constituent partners (Table 1).

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What nanoparticles can do for liposomes	Stabilize the liposomes with respect to fusion (avoid intra-vesicle fusion, unwanted) or on the contrary initiate fusion (favor vesicle-cell membrane fusion, desired) in response to a stimulus	:	Making the nanoparticles soluble in water, preserving their peculiar "as synthesized" properties
	Avoid the premature release of the payload, modulate it, or initiate it in response to a stimulus (light, magnetic field, pH variation)	<sup>in</sup> What liposomes can do for nanoparticles	Increase their biocompatibility
	Increase the carrying capacity of a drug, a biomolecule or another biologically active payload	-	Prevent the aggregation of nanoparticles in biological fluids and reduce recognition by the immune system
	Make the vesicles fluorescent, magnetic etc.	-	Offer an easy way to enter the cell by acting as a trojan horse

Table 1. Mutual advantages which can derive from the integration of liposomes with NPs.

Although other nanostructured systems capable of incorporating NPs have been developed, liposomes remain highly 95 attractive considering a series of undoubted advantages. Compared to polymer-NPs for example, liposomes have a 96 good ability to carry hydrophilic and hydrophobic molecules and NPs at the same time. They have excellent 97 biocompatibility and can effectively promote the diffusion of their payload across the plasma membrane due to their 98 peculiar composition and structural organization. In addition, liposomes also have the advantages of easy 99 modification and targeting potential, which could be implemented by modifying the surface with appropriate 100 molecules to actively bind a target on specific cells or tissue. However, due to the limited bilayer thickness of 101 liposomes, stable loading of hydrophobic NPs is limited to dimensions of approximately 4-5 nm. Compared to 102 polymer-NPs, liposomes are generally less stable and have controlled release properties that are more difficult to 103 control. Nevertheless, the loading capacity and the encapsulation rate of polymer-NPs are lower, and due to the high
 molecular weight of polymers, polymer NPs can easily induce immune response [14]. Therefore, liposomes are often
 the first choice when carrying NPs in a biological environment.

The current review is focused on hybrid structures based on liposomes and synthetic NPs of a hard nature, such as 107 metal NPs, semiconductor quantum dots, silica, carbon dots and carbon nanotubes. Compared to other previous 108 works dealing with the same topic [15-17], our review aims to provide an updated and more general overview of the 109 various liposome-NP complexes, taking into consideration materials and applications that have not been previously 110 reviewed, such as uncommon metallic oxides, graphene, carbon nanotubes and carbon dots. Structures containing 111 exclusively nanocrystalline drugs will not be considered, as this topic is covered by other specialized reviews [18]. 112 Furthermore, only hybrids in which the structure of the liposome is strictly conserved (one or more closed lipid 113 bilayers on an aqueous core) will be reviewed, and therefore will not be considered lipid bilayers supported on NPs. 114In the first part of the review there is a tutorial section where different methods of preparation of these hybrid 115 structures will be discussed. This is a totally new and unprecedented section, which is proposed as a guide for the 116 reader in choosing the most suitable method for the realization of the liposome-NP complexes of interest, 117 depending on the characteristics of NPs to be embedded and the type of application envisaged. In the second part of 118 the review different categories of NPs embedded in hybrid vesicles and their main characteristics and applications 119 will be shown, focusing on the most recent publications without however neglecting the basic works for a simple and 120 complete understanding by the reader. 121

### 2. Preparation Methods for Hybrid Vesicles

There are several methods for the preparation of liposomes and all of them can be adapted to incorporate NPs. Each124method has its own peculiarities, leading to the formation of vesicles of different sizes, degree of lamellarity and125vesicularity, polydispersion, etc. Figure 1 shows the structures and size range of the most commonly obtained126vesicles to incorporate NPs and discussed in this review. Since the characteristics of the liposomes greatly influence127their field of application and their performances, the method used for the preparation must be carefully evaluated.128Furthermore, some preparation methods are more scalable than others, or are more suitable for the incorporation129of hydrophilic rather than hydrophobic NPs.130

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Figure 1. Schematic illustration of the types of liposome-NP complexes discussed in this review and their size range.133Hydrophilic NPs are represented in yellow, hydrophobic ones in red. (A) The thickness of a lipid bilayer of pure134phosphatidylcholine is approximately 4 nm. (B) The lipid bilayer undergoes deformation to accommodate135hydrophobic NPs of similar size to its native thickness. The dimensions of the various constituent elements are not to136scale.137

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NPs are usually incorporated into the liposomes during the assembly of the vesicles, a way often called passive 139 loading. For the success of the incorporation, the dimensional affinity between the nanoparticle and the phase that 140 will host it, should be evaluated. For example, the size mismatch between hydrophobic NPs and the thickness of the 141lipid bilayer of the liposomes can lead to a destabilization of the vesicle structure or to a lack of NPs incorporation. 142 The thickness of a lipid bilayer of pure phosphatidylcholine (PC) is approximately 4 nm (Figure 1A) [19]. Ideally, NPs 143 with a diameter below this threshold should be selected for a stable incorporation (Figure 1B), also considering the 144contribution of any capping agents on their surface. Still, the nature of the stabilizers on the surface of the NPs could 145 have decisive effects for successful incorporation. For example, De Leo et al. found that the original capping of 146 trioctylphosphine oxide (TOPO) on the surface of CdSe@ZnS quantum dots (QDs) was detrimental to the insertion of 147 QDs into the lipid bilayer through the detergent depletion method. Conversely, alkyl thiols with different lengths of 148the carbon chain allowed for a successful QDs incorporation [20]. TOPO capping, on the other hand, proved to be 149 compatible with insertion of CdSe@ZnS QDs into liposomes using the thin lipid film hydration protocol [21]. NPs can 150 be also chemically conjugated to lipids and then incorporated into the liposome bilayer as a lipid building block. For 151 this purpose it has been used for example 1,2-dipalmitoyl-sn-glycero-3-phosphothioethanol, a synthetic S–H-152 terminated phospholipid [20]. For the incorporation of hydrophilic NPs, the trapping yield depends on the volume of 153 the aqueous core: a larger internal volume generally leads to higher encapsulation efficiency. In addition, to 154 modulate the leakage of NPs, the properties of the bilayer should be optimized by appropriately choosing the lipid 155 membrane composition, chain length of the phospholipid, superficial charge, etc. 156

NPs can be loaded even after liposome formation. In this way, rather than being incorporated into the vesicles, NPs157decorate their external surface. One way to achieve this task is to exploit the electrostatic interactions between the158nanoparticles and the lipid vesicle surface. Alternatively, NPs are functionalized with a molecular pendant equipped159

with a hydrophobic anchor, capable of interleaving in the double layer, or with a functionality capable of interacting 160 covalently with the hydrophilic end of a suitably functionalized phospholipid. 161

In any case, whichever method is chosen, it must be taken into account that size, type and concentration of 162 embedded NPs affect the lipid packing, fluidity, and phase transition temperature of phospholipid bilayers [22, 23]. 163 Therefore, a careful design and planning phase is necessary to obtain hybrid liposome-NP systems with the desired 164 characteristics, avoiding unpleasant and frustrating experimental failures. 165

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# 2.1. Thin-film hydration method

One of the most popular methods for the preparation of liposomes is the *thin-film hydration method*. It is an easy-to-167 perform method, requiring no special equipment and skills, and was the first to be adapted to the incorporation of 168 both hydrophilic and hydrophobic NPs in liposomes [24-27]. As a first step, a solution of lipids in chloroform and/or 169 methanol in a round bottom flask is dried to obtain a thin lipidic film adherent to the inner wall of the flask. This lipid 170 film should be dried under vacuum for several hours to eliminate any trace of organic solvents, ideally until the 171 weight of the dry film remains constant. Then, the film is hydrated with an aqueous solution or buffer under 172 vigorous shaking to form liposomes by lipid swelling (Figure 2A). For lipids with  $T_m$  higher than room temperature, 173 the thin lipid film and the buffer should be preheated above  $T_m$  before the hydration step. 174

Lipophilic NPs to be encapsulated in the liposome bilayer, can be dissolved in the lipid solution before the formation 175 of the thin film. The lipid bilayer can distort to accommodate hydrophobic NPs with a diameter close to its thickness 176 (Figure 1B), and this distortion reduces lipid ordering. This behaviour is similar to that reported for the 177 accommodation of integral membrane proteins [20, 22]. Often, the NPs do not distribute uniformly in the bilayers: 178electron microscopy (EM) analyses return images of vesicles completely loaded with NPs, vesicles completely empty 179 and others in which the NPs are grouped in limited portions of the bilayer. Thermodynamic evaluations of 180 hydrophobic NPs insertion into the lipid bilayer have suggested that side by side association of NPs may reduce 181 strained regions at the NP – lipid interface and void space around the NPs, thus minimizing the energy penalty to 182 deform the bilayer due to NP insertion [28, 29]. 183

Hydrophilic NPs to be trapped in the aqueous core of liposomes, can be dissolved in the buffer solution used for the184hydration of the lipid thin film. Although the thin-film hydration method leads to low entrapment efficiencies, it is185frequently used for loading with hydrophilic NPs due to its simplicity and reproducibility, despite its difficulty of186scaling up.187

With this method, multi-lamellar vesicles (MLVs) of different sizes are obtained. The size and degree of lamellarity of 188 these vesicles can be reduced by subjecting these MLVs to subsequent treatments of resizing by sonication, 189 extrusion, or freeze-thaw cycling. Sonication with a high-intensity ultrasonic horn leads to the formation of small 190 unilamellar vesicles (SUVs). The extrusion method, that involves the forced passage of the MLVs through a thin 191 polycarbonate membrane filter of desired pore size using an extruder, leads to the formation of SUVs or large 192 unilamellar vesicles (LUVs). Freeze-thaw cycling is implemented not only to reduce the lamellarity of liposomes and 193 form a less polydisperse system, but especially to increase the encapsulation efficiency within the aqueous lumen of 194 195 the vesicles. The method consists in freezing the liposomes with liquid nitrogen and thawing at a temperature above

the phase transition temperature of the lipids for several cycles, causing fragmentation and reformation of the196bilayer which thus encapsulates very efficiently the aqueous phase [30].197

Although the loading of hydrophobic NPs can, within certain limits, be considered quantitative, an excess of198hydrophilic NPs remains excluded from the liposome core and is found in the external solution. Surprisingly, many199authors report no indications concerning the purification of these preparations, but a removal of the non-trapped200material should always be accomplished by (ultra)-centrifugation, size-exclusion chromatography, or dialysis [31].201



Figure 2. (A) Schematic illustration of the thin-film hydration method for the preparation of liposomes (in blue) and203the simultaneous encapsulation of hydrophobic (red spheres) and/or hydrophilic (yellow stars) NPs, followed by204procedures of size reduction and purification. (B) Schematic illustration of the ethanol injection method for the205preparation of NP-loaded liposomes and subsequent purification. Hydrophobic NPs (red spheres) are dispersed in206ethanol with the lipid molecules (blue spheres) into the syringe, while hydrophilic NPs (yellow stars) are in water.207

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#### 2.2. Ethanol injection method

Ethanol injection method is the main alternative technique to the thin-film hydration method used to produce SUVs 210 in a simple and rapid manner. The method belongs to the solvent injection techniques, in which a water-miscible 211 organic solvent containing lipids is injected into a large amount of aqueous buffer. To prepare liposomes using this 212 method, lipids are dissolved in ethanol and then are injected into a large volume of a water phase under vigorous 213 stirring. After rapid dilution of lipid ethanol solution in water, the lipids first rearrange at the boundary phase 214 between ethanol and water in the form of phospholipid bilayer fragments, and then these join together to form 215 vesicles. Numerous parameters such as injection velocity, stirring rate, lipid concentration, and ethanol / water ratio, 216 influence the process and determine the characteristics of the vesicles obtained and the encapsulation efficiency 217 [32]. Hydrophobic NPs can be dissolved together with lipids in ethanol prior to injection in water, while hydrophilic 218 NPs are dissolved in the aqueous receiving phase (Figure 2B). 219

Non-encapsulated NPs are removed by ultracentrifugation or size exclusion chromatography [33, 34]. Excess ethanol 220 can also be removed from the liposome suspension either by rotary evaporation or by centrifugation through a silica 221 gel column [35]. 222

This method leads to the formation of SUVs with a better degree of monodispersity than the thin film hydration 223 method. Therefore, resizing by extrusion or sonication is not necessary, with undoubted advantages in terms of 224 speed of realization, cost-effectiveness and stability of lipids and encapsulated NPs. The method is also useful for the 225 preparation of large quantities of liposomes on an industrial scale. However, both the lipids and the hydrophobic 226 NPs that are desired for the realization of the hybrid vesicles may have a limited solubility in ethanol. Furthermore, 227 the concentration of the obtained liposomes is generally low and the complete removal of traces of organic solvent 228 can be difficult. This method is very frequently used for the incorporation of hydrophilic NPs in the aqueous lumen of 229 liposomes, although the encapsulation efficiency is generally not high. 230

### 2.3 Reverse phase evaporation method

The reverse-phase evaporation technique is the most used among the liposome preparation methods that belongs233to the category of emulsification methods, where an emulsion of water in organic phase (generally called water-in-234oil emulsion) is formed. The lipid blend is dissolved in organic solvents, such as diethyl ether or isopropyl ether. A235two-phase system is made after the addition of an aqueous solution, and a homogeneous dispersion is formed by236sonication or vigorous shaking.237

The water phase can be loaded with hydrophilic NPs while hydrophobic NPs are added to the organic phase together 238 with the lipids for forming the liposome bilayer [24, 36, 37]. The organic solvent is at this point slowly evaporated 239 under reduced pressure conditions. The emulsion is then converted into a viscous gel and subsequently into an 240 aqueous suspension containing liposomes. The residual solvent can be removed by centrifugation, dialysis, or size 241 exclusion chromatography [38]. Liposomes generated by the reverse-phase evaporation technique usually need to 242 be reduced in size by a subsequent extrusion step (Figure 3A). This method is generally used to encapsulate 243 hydrophilic NPs inside the aqueous lumen of vesicles since, compared to other preparation techniques, it allows to 244 obtain higher NP entrapment efficiencies [39]. 245

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Figure 3. (A) Schematic illustration of the phase reverse evaporation method to obtain liposomes (in blue)247encapsulating hydrophobic (red spheres) or hydrophilic (yellow stars) NPs. (B) Schematic illustration of the detergent248depletion method to obtain liposomes encapsulating hydrophobic (red spheres) or hydrophilic (yellow stars) NPs. First249mixed micelles, composed of lipidic (blue) and detergent (red) molecules, are obtained and then they are converted250into liposomes through the depletion of the detergent.251

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# 2.4 Detergent depletion method

Detergent depletion method for liposome preparation is superior over other methods, when homogeneous254populations of essentially SUVs of tailored size are needed. The size and size distribution of liposomes has indeed255been demonstrated to strongly affect some important biological properties [4]. Furthermore, a spherical shape of256the liposomes is better realized with this method rather than with mechanical procedures [40]. In addition, this257

method is suitable for the reconstitution of integral membrane proteins in lipid vesicles [6, 41]. The first step of the 258 preparation involves the formation of a dry lipidic film, as for the thin-film hydration method. Again, lipophilic NPs 259 can be dissolved in the lipid solution before the formation of the thin film. At this point the NPs-lipid film is hydrated 260 with a high critical micellar concentration (CMC) detergent solution. Hydrophilic NPs can be dissolved in this 261 detergent solution. After vigorous vortexing or sonication, mixed micelles are formed. Mixed micelles may show 262 variable appearance but essentially consist of a microheterogeneous suspension of lipid bilayer fragments in which 263 the detergent molecules are mainly distributed at the hydrophobic edges (Figure 3B). NPs remain trapped within 264 micelle lipid bilayer region [20]. Non aggregated monomeric molecules of detergent in the bulk phase are in rapid 265 equilibrium with mixed micelles. By means of different techniques (size exclusion chromatography, dialysis, 266 dilution...), detergent molecules can be easily removed from the solution. To maintain the equilibrium, detergent 267 molecules move progressively from mixed micelles into the bulk solution, promoting the merging of bilayer 268 fragments until closed vesicles are formed. The hydrophilic particles are partly trapped in the aqueous core of the 269 vesicles although the entrapment yields are not high. A final purification step is therefore necessary to remove non-270 encapsulated material. 271

# 2.5 Surface decoration of preformed liposomes

Liposomes can be decorated with NPs on their outer surface after their preparation by exploiting both covalent and non-covalent interactions. Hydrophilic NPs should be suitably functionalized to interact in the desired way with the charged polar portion of the phospholipids or with hydrophilic functionalities suitably inserted on the vesicle surface during their preparation.

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In order to achieve a covalent bond, a hydrophobic anchor is used to insert the desired functionality on the surface 278 of the liposomes. Generally, a long chain fatty acid or modified phospholipid is used for this purpose. For example, 279 variously derivatized phosphatidylethanolamine (PE) phospholipids are commercially available. At the same time, 280 the hydrophilic particles are also enriched on the surface with suitable functionalities, thus being able to interact 281 covalently with the liposomes (Figure 4A). In this way, Zhu and coworkers conjugated carboxyl-modified Au@Ag NPs 282 with amino-ended, pegylated PE (1,2-distearoyl-sn-glycero-3-phosphoethanolamine- (DSPE)-PEG2000-NH2) on the 283 surface of liposomes via amide bond formation [42]. Similarly DSPE, which bears a primary amine group, was used 284 for liposome formulation in order to covalently bind vesicles to carboxylated multiwalled carbon nanotubes by 285 amide bonds [43]. The reaction between thiol functions and maleimide groups can also be used to bind NPs to 286 liposomes through a stable thioether bond formation. Maleimide-functionalized QDs were conjugated to fusogenic 287 liposomes through binding to 1,2-dipalmitoyl-sn-glycero-3-phosphothioethanol (DHPTE), a thiolated phospholipid 288 added at  $10^{-3}$  mol% to the lipid formulation [44]. 289

Charged NPs easily adsorb to lipid polar head groups of opposite charge or to the zwitterionic head groups of outer290leaflet lipids through charge-dipole interaction in a non-specific way [45] (Figure 4B). The charge on the surface of291the vesicles can be modulated by adding to the lipid blend suitable charged lipids or other charged lipophilic292molecules, while the charge on the NPs is imparted by the capping and stabilizing agents on their surface. This route293has been used extensively to decorate liposomes on the outer surface with hydrophilic NPs [12]. Aizik and coworkers294used electrostatic interaction between the negatively charged QDs and the positively charged vesicles to obtain295

liposomes coated with QDs for imaging applications. Hydrophilic and negatively charged CdSe@CdZnS QDs were296obtained by replacement of the pristine TOPO hydrophobic coating with glutathione, using the ligand exchange297method. Carboxylic acid residues on glutathione are deprotonated in physiological conditions, and impart negative298charge to the QDs. The liposomes were obtained by the thin-film hydration method by adding to the lipid blend 1,2-299dioleoyl-3-trimethylammonium propane (DOTAP), a well known cationic lipid [46].300

Other types of non-covalent interactions can be used to bind NPs and liposomes, such as the interaction between a 301 protein and a ligand or between two strands of DNA (Figure 4C). The first case is well represented by the interaction 302 of biotin and avidin or streptavidin, which rapidly form a stable complex in a wide range of experimental conditions 303 (pH, temperature, organic solvents ...). A supramolecular nano-hybrid based on carbon nanotubes and liposomes 304 was obtained by using avidin - biotin interactions and self-assembly techniques. Carbon nanotubes and liposomes 305 were decorated with avidin and biotin respectively, thanks to two pegylated phospholipids suitably modified on the 306 polar head. Thus, the supramolecular complex was obtained spontaneously by combining the two building blocks 307 [47]. The interaction between DNA strands was exploited by Neeshma and collaborators. They conjugated a strand 308 of DNA to liposomes by reacting maleimidophenyl functionality introduced into the vesicles with a modified lipid 309 (1,2-dioleoyl-sn-glycero-3-phosphoethanolamine-N-[4-(p-Maleimidophenyl)butyramide] (MPB-PE)) and with thiol-310 modified DNA (DNA1). In parallel, AuNPs were functionalized with a different thiol-modified DNA (DNA2). By mixing 311 the so modified liposomes and NPs in the presence of a DNA linker, they obtained the formation of assembled AuNP-312 liposome complexes, in a programmable and reversible way [48]. 313

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Figure 4. Decoration of preformed liposomes with hydrophilic NPs (yellow stars), through (A) covalent bonds, (B)317electrostatic interactions, and (C) non-covalent interactions between two different ligands, respectively bound on the318surface of liposomes and NPs.319

#### 3. Liposomes with metallic and metallic oxides nanoparticles

Metallic nanoparticles gained particular interest in the last decades thanks to the unique optical, electronic,321chemical, and catalytic properties, which arise from the great surface-volume ratio obtained in the nano-regime.322Metallic NPs have been exploited for drug delivery applications, chemotherapy, and antithrombotic therapies also323due to their capability to interact with microbes and viruses [49]. The most used compounds are gold, silver, cerium324oxide, zinc oxide, and titanium oxide. Furthermore, extensive scientific research focused on the subclass of the325magnetic NPs, with particular attention on the hybrid systems prepared using iron oxides [50].326

#### 3.2 Gold

Gold nanoparticles (AuNPs) exhibit unique physical chemical properties, high stability, high reactivity, photothermal328and plasmonic properties. In addition, AuNPs are characterized by a chemical inertness that assures good329biocompatibility for *in vitro* and *in vivo* applications [51]. All these properties make AuNPs interesting in several330fields, such as drug delivery, radiation therapy, diagnostics, electronics, biosensing, and much more.331

Researchers have encapsulated AuNPs into different platforms in order to allow an easy biodistribution in the332organism, increase their circulation lifetime and enhance their accumulation in the site of interest [52]. In detail,333AuNPs have been conjugated with liposomes in several ways, by loading NPs into the phospholipid bilayer or in the334aqueous core. Furthermore AuNPs were bound to the vesicle surface through chemical or physical adsorption [53].335

There is a growing interest in the research of stimuli-triggered carriers able to release the drugs in the site of 336 interest. Among the most exploited, there are pH-, heat-, photo- and metabolite-responsive systems. The coupling of 337 AuNPs with liposomes offers a variety of opportunities to realize carriers with these desired properties. For example, 338 AuNPs have been loaded into liposomes to obtain a pH-triggered system [54, 55]. Notably, Pornpattananangkul et 339 al., prepared pH-stimuli responsive liposomes by attaching carboxyl-modified AuNPs to the surface of cationic (Egg-340 PC/DOTAP)-liposomes to obtain drug delivery systems for dermal applications [54]. It is noted that the human skin is 341 characterized by acidic pH, especially when affected by lesions and acne. Therefore, they prepared hybrid systems in 342 which, at neutral pH, the carboxyl group is deprotonated (Au-COO<sup>-</sup>) and it strictly binds to the cationic bilayer, 343 stabilizing the liposomes and preventing their reciprocal fusion and the fusion with undesired cells, such as bacterial 344 cells. At pH < 5, the carboxyl group undergoes protonation, and the NPs detach from the liposomes, allowing them 345 to interact and fuse with the skin cell membranes. 346

AuNPs loaded liposomes have been widely used also to obtain light-stimuli responsive drug carries in order to 347 improve the release characteristics of thermo-sensitive liposomes into cells or to obtain a targeted delivery [56-60]. 348 By virtue of the Surface Plasmon Resonance (SPR), which arises in the nano-regime, AuNPs absorb light and convert 349 the acquired energy into heat that induces a phase transition within the membrane, with a consequent increase of 350 the fluidity and the permeability. This kind of application is useful for the delivery of drugs to superficial tissues (skin, 351 eyes, etc..) and to combine the synergistic effect of chemo- and photothermal therapy for the treatment of cancer 352 [61-63]. Paasonen et al. for the first time tested this kind of approach by loading the AuNPs into liposomes in three 353 ways: hydrophobic hexanethiol-AuNPs (Au-C<sub>6</sub>SH) have been loaded into the lipid bilayer, negatively charged 354 hydrophilic mercaptosuccinic acid-AuNPs (Au-MSA) have been encapsulated in the core of liposomes, and lipid 1,2-355 dipalmitoyl-sn-glycero-3-phosphorylethanolamine (DPPE)-Nanogold<sup>®</sup> have been bound to the inner and the outer 356

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surface of the 1,2-dipalmitoyl-sn-glycero-3-phosphocholine (DPPC) / 1,2-distearoyl-sn-glycero-3-phosphocholine 357 (DSPC) bilayer. They saw that the light-triggered calcein release rate with Au-C<sub>6</sub>SH and DPPE-Nanogold<sup>®</sup> was more 358 than a hundred times greater than with plain liposomes [57]. Lajunen et al., tried to couple both light- and pH-359 triggers by modifying a DPPC/DSPC/LysoPC thermosensitive liposome with the pH-sensitive component 360 diolein/cholesteryl hemisuccinate (CHEMS) and loading Au nanorods, in order to obtain a selective drug release into 361 the cytosol [56]. In addition, bacterial toxin-stimulated drug release has been achieved by binding chitosan-AuNPs to 362 the surface of liposomes. This binding prevents the fusion of liposomes in physiological conditions, but in presence 363 of bacteria, their toxins insert into the liposomal membrane and open pores through which drugs are released [64]. 364 Finally, DOX-loaded liposomes susceptible to pH and temperature were prepared by Garcia et al. for the treatment 365 of breast and ovarian cancer. In detail, they prepared liposomes with nucleolipids having a negative charge on the 366 polar head. The presence of this particular kind of lipids improves the cellular uptake and biodistribution of the 367 liposomes and allows to anchor positive charged AuNPs on their surface, thus enabling to trigger the release of the 368 DOX in correspondence of the cancer cells [65]. 369

Considering the great use of AuNPs embedded liposomes, some studies have been conducted to understand the 370 influence of the presence of AuNPs on the properties of a phospholipid membrane and to find the best formulation 371 for the desired purpose. Park et al. highlighted how the presence of stearylamine-coated AuNPs into the lipid bilayer 372 causes an increase of the fluidity over the transition temperature and a decrease of fluorescence anisotropy values, 373 demonstrating as this stratagem can be used to obtain thermosensitive liposomes [66]. Moreover, Živanović et al. 374 studied the interaction of citrate-AuNPs with PC and PC/sphingomyelin (SM) liposomes through Surface-Enhanced 375 Raman Spectroscopy (SERS) and Cryo-EM, finding that there is a strong influence of the quantity of citrate bound to 376 the AuNPs on the membrane properties: high citrate concentrations lead to a destruction of the lipidic membrane, 377 while low concentrations favour AuNPs interaction with the liposomal surface [67]. 378

Liposome-AuNPs hybrids were not designed only for biomedical applications, in which liposomes act as delivery 379 carriers but, for instance, they have been also used for the fabrication of electrochemical sensors. In this field, Chen 380 et al., designed a three-dimensional system composed of AuNPs, ferrocene and liposomes cluster to obtain an 381 electrochemical biosensor able to detect liposaccharides in food matrices (Figure 5) [68]. 382



Figure 5. (A) Illustration of the electrochemical biosensor composed from a AuNPs/ferrocene/liposome cluster. (B)385Differential pulse voltammograms and (Inset) peak current for electrochemical biosensor composed by ferrocene,386ferrocene tagged liposome, and AuNPs/ferrocene/liposome cluster, respectively. (C) Complex plane plot for the387electrochemical impedance measurements of the graphite electrode at different modification stages and illustration388of the different types of electrodes. [68]389

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Instead, Aili et al. performed a colorimetric bioassay for the detection of the phospholipase activity by loading the391liposomes with a polypeptide that associates with a different polypeptide immobilized onto AuNPs. The392phospholipase induces the release of the polypeptide from the liposomes, which aggregates with the polypeptide393bonded on the AuNPs leading to a colour change [69].394

Finally, in literature, liposomes have been also used as nanoreactors for the synthesis of AuNPs [70, 71], for example395by encapsulating chloroauric acid and using a reducing agent. This kind of compartmentalized synthesis allows396obtaining homogeneous NPs in shape and size.397

# 3.3 Silver

Silver nanoparticles (AgNPs) are characterized by high chemical stability, thermal end electrical conductivity,399catalytic activity, but above all by antibacterial properties [51]. In fact, it is commonly noted the use of silver as a400disinfectant agent. AgNPs in physiological conditions suffer from problems of low stability, aggregation, and401oxidation [72], causing cytotoxic effects for the organism. Hence, they have been usually encapsulated into402biocompatible systems, such as liposomes, niosomes [73] and silica NPs.403

Researchers have long exploited the AgNPs-liposomes to obtain antimicrobial and antioxidant systems, going 404 through the preparation of coatings, films, and drug delivery carriers [74-78]. Among these, Wu et al. designed 405 Lignin-AgNPs encapsulated liposomes containing laurel essential oil and mixed them with chitosan to obtain an 406 antimicrobial and antioxidant coating for polyethylene films for the pork packaging [79]. AgNPs have been loaded 407 into liposomes also to improve their use as active agents against cancer and arthritis targets, as macrophages. It has 408 been seen that this encapsulation suppresses the reactive oxygen species (ROS) production and reduces the 409 glutathione (GSH) level, generating a redox imbalance which leads to a DNA damage and to the death of the 410 macrophages [80]. Moreover, as explained before, metallic NPs are usually exploited to obtain stimuli-responsive 411 drug release. For this purpose, Al-Ahmady et al. compared the efficiency of gold, silver, and iron oxide NPs in 412 obtaining Doxorubicin (DOX)-lysolipid-containing thermosensitive liposomes (LTSL) for the treatment of cancer. They 413 found that there are no significant differences among the three types of NPs in terms of physicochemical properties 414 and drug release profiles and that all three systems induced lower rates of DOX release compared to simple LTSL 415 liposomes [81]. Recently, Skora et al proposed to encapsulate AgNPs into the aqueous core of the liposomes to take 416 advantage of the cytotoxic effect of AgNPs against cancer cells, while preserving healthy cells. These hybrid systems 417were tested on human keratinocytes, used as skin model, and it was found that the encapsulation process mitigates 418 the toxic effect of the NPs. Then, similar systems labelled with the epidermal grow factor, were designed and 419 investigated for the targeted treatment of human lung carcinoma and human tongue squamous carcinoma [82, 83]. 420

Shmakov et al. used lipid vesicles as a template to obtain AgNPs embedded hollow polymer nanocapsules, developing a method which allows simultaneously the reduction of the Ag<sup>+</sup> in the aqueous core and the polymerization of the monomer embedded into the lipid bilayer [84].

As for gold, also the influence of AgNPs on the membranes properties is object of several studies. Bothun and 424 coworkers prepared Ag-decanethiol NPs and studied their interaction with DPPC bilayers, finding that the dispersion 425 is stable if in liquid-crystalline phase, while a phase separation occurs if the bilayer is in the gel state. Moreover, by 426 increasing the NPs concentration, the melting temperature decreases, and the gel phase disappears, demonstrating 427 the capability of the lipid bilayer to distort in order to accommodate the NPs. Finally, the AgNPs SPR wavelength 428 resulted to be independent on the bilayer phase, but the absorbance changes [22]. Another study compared the 429 interaction of citrate-AgNPs and phytomolecules-AgNPs with zwitterionic (1,2-dimyristoyl-sn-glycero-3-430 phosphocholine (DMPC)) and anionic (DMPC:1,2-Dimyristoyl-sn-glycero-3-phosphorylglycerol (DMPG)) monolayers 431 and with E. coli membranes and it has been found that the interaction is stronger for the phytomolecules-AgNPs 432 [85]. Finally, Webbe et al. used curcumin as a probe to evaluate the influence of the AgNPs on DMPC liposomal 433 bilayer, finding that low AgNPs concentrations cause a decrease of the curcumin partition into the liposomes and 434 that in presence of the NPs, curcumin tends to collocate near the stern layer. Notably, the presence of AgNPs broads 435 the phase transition temperature of the membrane, which spans from 20 °C to 35 °C [86]. 436

### 3.4 Zinc oxide

Zinc oxide (ZnO) NPs possess interesting antibacterial properties thanks to their capability to act as photocatalyst438agents. Moreover, they are recognized as GRAS (Generally Recognized As Safe) compounds by the FDA (Food and439Drug Administration) [87]. These properties have been particularly exploited for food packaging applications [88].440Furthermore, the optical properties arising in the nano-regime allow the generation of ROS under UV and X-rays441

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exposure, hence this behaviour has been exploited in photodynamic cancer therapy (PDT) [89]. In literature, there
are not a lot of works in which this kind of NPs have been used with liposomes. In one interesting application, the
PDT of ZnO NPs action has been coupled with chemotherapy by preparing ZnO NPs-liposomes encapsulating
Daunorubicin able to induce the release of the drug under acidic conditions [90].

### 3.5 Cerium oxide

Cerium oxide nanoparticles, also known as "nanoceria", show excellent catalytic, redox and antioxidant properties,447due to the presence of defects onto the surface of the material caused by the switch of cerium between two448different oxidation states, Ce<sup>3+</sup> e Ce<sup>4+</sup> [91, 92]. Their high reactivity has been exploited both in environmental449remediation and biomedical fields [12].450

As for ZnO NPs, also nanoceria's capability to modulate the production of ROS in tumor environment has been used 451 for cancer therapy applications [93], however they suffer from problems of aggregation, low half-life in vivo fluids, 452 and their surface properties are sensitive to adsorption phenomena, which can occur in vivo. Grillone et al. loaded 453 nanoceria into liposomes to improve their colloidal stability and use them for therapeutic purposes. Besides, they 454 found that the encapsulation preserves their antioxidant activity and generates systems well tolerated by cells [94]. 455 Before them, Liu et al. studied the interaction between nanoceria and lipid membranes through a fluorescence 456 quenching assay performed using phosphocholine-liposomes. They observed that nanoceria adsorb onto the surface 457 of the liposomes through electrostatic interactions and that the system is stable at acidic pH, thanks to the presence 458 of a positive charge on the NPs, while at neutral pH large aggregates form [95]. 459

### 3.6 Titanium dioxide

Titanium dioxide (TiO2) NPs have been widely used for environmental remediation, electronic devices, cosmetics,461sun creams, and biomedicine [96, 97]. Among their most useful properties, there is the capability to act as a462photocatalyst under UV irradiation [98]. Their applications in the biomedical field concerns targeted drug delivery,463cancer therapy, construction of scaffolds, implants, etc [96]. Heidari Khoee et al. exploited the porous surface of TiO2464nanotubes by loading them with 5-fluorouracil, an anticancer drug, and capping them with liposomes in order to465obtain an extended-release of the drug and so, reduce the side effect of chemotherapy [99].466

As said before, TiO<sub>2</sub> NPs are used for several applications, so they can be easily found in the environment. As a 467 consequence, several methods for their quantification in aqueous wastes and for evaluation of their effect on 468 human health have been developed. Some of them exploit the use of liposomes. Zhao et al., proposed a protocol in 469 which  $TiO_2$  and ZnO NPs are first covered with the polydopamine to prevent their aggregation, and then 470 encapsulated into liposomes, in order to obtain better performances in terms of peak area in a quantitative gel 471 filtration chromatography [100]. Moreover, to evaluate the effect of the presence of the commercial metal oxide 472 NPs on human health, it has been studied how liposomes can stabilize metal oxide NPs, preventing their fusion and 473 sedimentation. Specifically, DPPC/phosphatidylglycerol (PG) are typical lungs surfactant, so DPPC/PG-liposomes have 474 been prepared in order to simulate the lipid corona which forms when NPs present in the air were inhaled [101]. 475

### 3.7 Magnetic nanoparticles

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Magnetic nanoparticles (MNPs) based on ferric or ferrous oxides have been widely used in the biomedical field 477 thanks to their nontoxicity, biocompatibility, injectability, strong accumulation in tissues or organs, 478 superparamagnetic properties, and capability to be carefully transported to specific sites using an electromagnet 479 [102, 103]. In iron oxides, iron can have different oxidation states, and magnetite (Fe<sub>3</sub>O<sub>4</sub>) is the most used in 480 biomedical applications, but it is susceptible to oxidation processes [51]. The main applications of 481 superparamagnetic iron oxide nanoparticles (SPIONs) fall within the scope of chemotherapy, hyperthermia, and 482 magnetic resonance imaging [49, 104]. Cobalt and Nickel can be further exploited to enhance the magnetic 483 properties of MNPs, but they have less stability to the oxidation and they are considered more toxic for the organism 484 [103]. However, alternatively to the magnetite, other bimetallic MNPs are gaining interest for their application in 485 nanomedicine, such as Fe-Co, Fe-Ni, Fe-Pt, Zn-Fe [105-109]. 486

Generally, to increase the colloidal stability of the MNPs and avoid aggregation processes, their surface is coated 487 with citrate, sodium bis(2-ethylhexyl)sulfosuccinate (AOT), peptides, polymers, or other ligands [25, 110, 111]. The 488 presence of these coatings has also been exploited to give a hydrophilic or hydrophobic character to the NPs. 489 Besides, to reduce their toxicity and immunogenicity, facilitate their transport in aqueous media, increase their 490 bioavailability and obtain stealth systems, researchers have encapsulated MNPs into vehicles, such as liposomes, 491 chitosan, protein, silica NPs [112, 113]. MNP-embedded liposomes, also known as magnetoliposomes [114, 115], 492 were prepared mainly with the thin-film hydration method, the reverse phase evaporation method, and the ethanol 493 injection method described previously, and were exploited for numerous applications, integrating the multiple 494 properties of the MNPs with the capability of liposomes to deliver them in an easy and safe mode. 495

Liposomes with iron oxide MNPs have been used as contrast agents for magnetic resonance imaging [116], cancer 496 therapy and controlled drug release [116, 117], as iron supplies for the treatment of iron deficiency anaemia [118] 497 and bioassays [24]. 498

Moreover, it is well known that MNPs accumulate in the tumour region and here can act as hyperthermia-inducing 499 agents by application of a high-frequency magnetic field to raise the local temperature around the cells and cause 500 cell death [26, 119, 120]. Exploiting the same principle, MNPs can be used to deliver drugs in the site of interest. 501 However, problems for thermosensitive drug-release systems can be encountered when the delivery must take place 502 in tissues susceptible to temperature, such as the brain. To overcome this limit, thermosensitive liposomes with iron 503 oxide NPs capable to induce the drug release under a non-invasive alternating magnetic field (AMF) exposure, have 504 been synthesized [121]. This goal has been achieved because the released heat induces the break of the liposomal 505 membrane instead of the tissue warming-up. Hyperthermia process was further exploited in synergy with 506 antitumoral agents for the treatment of cancer by the development of folate magnetic liposomes with iron oxide 507 NPs and DOX [31]. In addition, Lu et al. designed thermal and magnetic dual-responsive thermosensitive liposomes 508 which co-encapsulate in the aqueous core Camptosar, an anticancer drug, citric acid-coated Fe<sub>3</sub>O<sub>4</sub> NPs and conjugate 509 the monoclonal antibody Cetuximab on the surface, to allow the specific recognition of the epidermal growth factor 510 receptor, overexpressed in different brain tumors. This system can trigger the release of the encapsulated drug at 511 cancer cells when exposed to a high-frequency AMF [27]. Moreover, Di Corato et al., developed nanovehicles based 512 on dually loaded hybrid liposomes: the aqueous core has been loaded with iron oxide NPs and the lipidic bilayer with 513 a photosensitizer payload, in order to couple PDT to hyperthermia [37]. Iron oxide nanoflowers loaded into 514

thermosensitive liposomes were also proposed for the treatment of lung adenocarcinoma. Since the magnetic 515 properties are strictly dependent on the size of the NPs, nanoflowers of about 15 and 35 nm were compared, and it 516 was observed that nanoflowers of 35 nm are more effective as hyperthermia-inducing agents [122]. Beyond the use 517 in hyperthermia therapy, magnetite NPs were used as photothermal agents and were encapsulated into liposomes 518 loaded with the anticarcinogenic Bufalin to obtain a system able to be guided from a magnetic field and to act both 519 as a photothermal and chemotherapeutic agent for the treatment of lymph metastatic breast cancer. Results 520 showed an effective capacity of these systems to inhibit and lessen the growth of the tumor compared to free 521 Bufalin (Figure 6) [123]. 522



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Figure 6. (**A**) In vivo fluorescence images obtained using a mouse model of lymph metastatic breast cancer following i.v injection of free near-infrared fluorescent cyanine dye (DiR) and DiR-Fe<sub>3</sub>O<sub>4</sub>-Liposomes (**B**) In vitro fluorescence images of excised sentinel lymph nodes (SLNs). (**C**) Temperature change in the area of SLN obtained using a mouse model of lymph metastatic breast cancer, following i.v injection of Bufalin-Fe<sub>3</sub>O<sub>4</sub>-Liposomes. (**D**) Thermographic images of mouse model of lymph metastatic breast cancer following i.v injection of Bufalin-Fe<sub>3</sub>O<sub>4</sub>-Liposomes [123].

Magnetic resonance imaging (MRI) is based on the principle that after the excitation with a radio-frequency, a529system can return to the equilibrium through two types of relaxation with a proper time constant: the spin-lattice530relaxation time (T1) and the spin-spin relaxation time (T2), and that the relaxation time depends on the local531

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environment and the mobility of the molecules. SPIONs are able to enhance the local magnetic fields experienced by 532 water molecules, increasing the rate of relaxation and so they can be used as T<sub>2</sub> contrast agents, while gadolinium 533 derivatives can enhance the  $T_1$  relaxation rates [124]. In this field, the ability of SPION-liposome hybrids to act as a  $T_2$ 534 MRI probe and the possibility to properly functionalize the surface of liposomes with antitumoral ligands have been 535 exploited for the *in vivo* guidance and monitoring of the drug delivery into tumoral sites in a non-invasive way [125-536 127]. Notably, Han et al. suggest that the use of hydrophobic magnetite-liposomes reduces the side effects typical of 537 hydrophilic MNPs, especially their leakage from the core of the liposomes and their dispersion into human tissues 538 [128]. 539

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### 4. Liposomes with semiconductor quantum dots

QDs are small (<10 nm) fluorescent semiconductor nanocrystals composed of groups II – VI, III – V or IV elements. 541 The most common ones are based on a combination of zinc, cadmium, selenide, sulfide, and several additional 542 components (e.g. stabilizing surface coatings) and dopants [129]. QDs exhibit superior optical and electronic 543 properties with respect to traditional fluorescent organic dyes in terms of size- and composition-tuneable 544fluorescence properties, brightness, high quantum yield (QY), resistance to quenching and photo-stability [130]. All 545 these properties have allowed their application in very different sectors such as luminescence, electronics, catalysis, 546 and optoelectronics. Furthermore, QDs have emerged as a versatile tool for the investigation of biological systems at 547 the molecular scale, with multiple applications in the study of complex processes in cells and biological tissues [129]. 548 However, some factors limit their applications, especially in the biological field. In fact, QDs with the best 549 performances in terms of fluorescence and QY are usually synthesized in a non-polar environment and are insoluble 550 in water. Furthermore, in a biological milieu, they can release toxic ions (the core of these structures contains heavy 551 metals) which can cause toxicity problems for in vitro and, above all, in vivo applications. The capping agents used to 552 limit crystal growth and increase the stability of QDs also raise concerns about their safety [129]. Several strategies 553 have been put in place to circumvent these obstacles, such as the exchange of ligands on the crystalline surface or 554 the encapsulation of QDs in various biocompatible shells, such as silica, natural and synthetic polymers, micelles, and 555 liposomes [20, 131-133]. 556

The incorporation of QDs into liposomes has proved to be a good strategy to make these NPs compatible with the 557 biological environment, reducing their cellular toxicity. Furthermore, the high QY of the QDs makes low 558 concentrations of fluorophore sufficient for cell labelling experiments, thus lowering concerns on safety of the hybrid 559 vesicles. The liposomal carrier has been shown to be able to rapidly deliver QDs into the cells. Delivery experiments 560 towards HeLa cells were evaluated by fluorescence investigation and confocal microscopy, and showed that 561 hydrophobic, red emitting and non-fusogenic QD-Liposomes are internalized by the cells through the endocytic 562 pathway, then enter the early endosomes and reach the lysosomes in 1 h [129]. To better preserve the peculiar 563 optical properties of the QDs it is necessary to: i) achieve a good interdigitation between the capping molecules and 564the lipid molecules of the bilayer (for hydrophobic QDs); ii) choose an encapsulation method that leads to the 565 formation of small vesicles, in order to minimize the scattering; iii) appropriately determine the final concentration 566 of QDs in the vesicle to avoid self-absorption and, therefore, self-quenching phenomena. For example, with the 567 detergent depletion method it was possible to obtain the entrapment of hydrophobic, 1-dodecanethiol capped 568 CdSe@ZnS QDs in liposomes of about 50 nm, while preserving their emission properties. The same method allowed 569 to encapsulate integral membrane proteins simultaneously and in a non-denaturing way, obtaining hybrid liposomes 570 [20]. It is known that liposomes can passively influence the biodistribution of NPs, through the EPR effect, a 571 unidirectional extravasation from the blood towards the inflamed tissue or tumor. For this purpose, QDs were 572 mainly trapped in neutral charged, PEGylated vesicles, with "stealth" properties. However, it is possible to obtain an 573 active delivery by modifying the lipid composition and the surface charge of the liposomes, as well as decorating 574 them with suitable targeting agents. For example, Aizik and collaborators trapped hydrophilic and anionic QDs in 575 cationic vesicles, making them able to interact with monocytes / macrophages. In this way they used these cells as 576 trojan horses to achieve monocyte-mediated delivery [134]. Liposomes were also used to combine QDs and SPIONs 577 in the same system to obtain multiple imaging agents for targeted delivery to glioma, guided under exogenous 578 magnetic field. The dual glioma imaging, including MRI negative contrast imaging and fluorescence imaging, was 579 successfully confirmed in vitro and in vivo. This system is therefore potentially useful for accurately localizing the 580 tumour during imaging-guided cancer surgery, avoiding non-specific distribution of QDs, and preventing 581 homogeneous leakage of individual SPIONs below 10 nm into healthy tissues [135]. 582

In a recent work, black phosphorus (BP) QDs were entrapped into the aqueous core of targeted and temperature-583 sensitive liposomes by thin-film hydration method. In this case both the inherent photothermal and 584 chemotherapeutic properties of BP were exploited for in vivo treatment of resistant tumours. Near-infrared (NIR) 585 light irradiation induces a direct BP photothermal effect, which results in hyperthermia ablation of tumour. 586 Simultaneously the rise in temperature triggers the liposome phase transition, with the consequent release of the BP 587 QDs (Figure 7), obtaining a synergistic chemotherapeutic effect [136]. Interestingly, Karabuga and coworkers 588 developed a multifunctional radiosensitizer by encapsulating Chlorine-e6-modified QDs in folic acid-modified 589 PEGylated liposomes in an attempt to reduce the X-ray doses currently used clinically for cancer therapy [137]. 590



Figure 7. (A) Photothermal effect of BP QD-Liposomes induced by NIR irradiation, by changing the BP concentration;592(B) Temperature variation during five laser on/off cycles; (C-D) TEM micrographs of BPQDs-Liposomes after 5 and 10593minutes of NIR irradiation, respectively; (E) Simplified sketch illustrating the release of BP QDs from liposomes under594NIR irradiation [136].595

#### 5. Liposomes with silica nanoparticles

Silica (SiO<sub>2</sub>) nanoparticles (SiNPs) are among the most used inorganic non-metallic nanomaterials for biomedical and 598 environmental applications. They, in fact, possess outstanding optical, electrical, and mechanical properties, are 599 biocompatible, and easy to functionalize [138, 139]. Moreover, by modulating the synthesis parameters, SiNPs with 600 different shapes, sizes varying from 10 to 500 nm, and with porosity between 2 and 50 nm can be obtained. When 601 used as drug carriers, the size and the shape greatly influence the interaction of the SiNPs with the biological media, 602 while the porosity determines the loading capacity and the release behaviour [138]. Depending on their structure, 603 SiNPs are generally classified into conventional non-porous SiNPs, mesoporous SiNPs (MSNs), hollow mesoporous 604 SiNPs and core-shell silica NPs [138]. In particular, MSNs possess high surface area, tunable and uniform size and 605

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volume of pores, which generate elevated loading capacity; therefore, they have been the most exploited silica derivatives for drug delivery purposes [140, 141].

Although SiNPs are usually recognized as safe for the organism, they retain inner cytotoxicity deriving from their 608 surface chemistry, shape and pore size ([142]). Besides, they suffer from premature drug leakage during the 609 administration; so, to enhance their biocompatibility and reduce the leakage of the drugs outer of the sites of 610 interest, MSNs were loaded into liposomes [143-145]. For instance, Sun and coworkers prepared systems composed 611 of DOX-Gd-MSNs loaded into thermosensitive indocyanine green (ICG)-liposomes able to achieve simultaneously 612 imaging and cancer therapy [143]. These hybrid structures constituted of SiNPs loaded into liposomes were obtained 613 mainly in two ways: by hydrating a thin lipid film with an aqueous suspension of SiNPs [143] or preparing separately 614 liposomes and SiNPs, mixing them and subjecting everything to ultrasounds [144, 146, 147]. On the other hand, 615 SiNPs around 20 nm in diameter were made to adsorb onto the surface of 200 nm insulin-loaded liposomes through 616 electrostatic interactions to protect the vesicles from degradation into the gastrointestinal tract [148]. An application 617 which involves the combined use of liposomes, SiNPs and polymer NPs of poly(dimethyldiallylammonium chloride) 618 (PDDA) was developed by preparation of multi-layer carriers for the delivery of curcumin by using a layer-by-layer 619 assembly method. Curcumin was used as a natural anticancer agent. Othman and co-workers compared curcumin-620 loaded liposomes coated with a single shell of PDDA, and curcumin-loaded liposomes coated with a triple shell of 621 PDDA-silica-PDDA or with a PDDA-silica-curcumin-PDDA shell. They found that the presence of multiple layers 622 improves the encapsulation efficiency of the curcumin by limiting its unwanted release and slows its diffusion [149]. 623

#### 6. Liposomes with carbon-based nanoparticles

#### 6.1 Graphene

Graphene is constituted by carbon hybridized sp<sup>2</sup> hexagonally arranged. It has a strong and flexible structure, with 627 considerable electronic properties, broadband adsorption, and the capability to act as a photothermal agent under 628 NIR irradiation. It is commonly considered biocompatible, though the nature of the source from which it is obtained 629 and the procedure of synthesis can strongly influence its properties [150]. Moreover, graphene is difficult to disperse 630 in water, with consequent limits for its applications. For this reason, Zappacosta et al., exploited liposomes to induce 631 the exfoliation of graphite and obtain a stable aqueous dispersion of graphene sheets, stabilized by the phospholipid 632 chains [151]. Anyway, to increment its solubility in water, graphene is usually oxidized into graphene oxide (GO), 633 which shows numerous oxygenated groups on its surface. In such a manner, GO can be also easily functionalized in a 634 covalent and non-covalent way, but its electrical and mechanical performances are poorer compared to graphene. 635 An intermediate material between graphene and GO is the reduced graphene oxide (rGO), which still retains some 636 oxygenated groups of the GO [152]. In addition, graphene quantum dots (GQDs) were synthetized. They are particles 637 constituted from graphene, with a size less than 100 nm and with interesting fluorescence properties [153]. 638 Concerning the use of nanostructured graphene (and derivatives) in conjugation with liposomes, Hashemi et al. 639 exploited GO to obtain a stimuli-responsive system for the release of DOX from liposomes for the treatment of 640 breast cancer [154]. Specifically, they prepared a multilayer system composed of alternated GO sheets and GO-poly-641 L-lysine sheets deposited on DOX-liposomes. The GO absorbs the NIR radiation and converts it into heat, while the 642 poly-L-lysine improves the cellular uptake. Instead, Tajvar et al. loaded DOX and graphene nanosheets into 643

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liposomes to obtain a multifunctional carrier for tumor tracking, photothermal and photodynamic therapy [155]. 644 Notably, Prasad and collaborators co-loaded AuNPs, GQDs and DOX into liposomes, decorated with folic acid on the 645 surface for an accurate diagnosis and ablation of 4T1 breast cancer, further tested on mouse models [156]. Recently, 646 4 nm GQDs were loaded into the aqueous core of the liposomes, through a modified reverse-phase evaporation 647 method and the formation of the GQD-loaded liposomes was found dependent on the applied pressure during the 648 evaporation of the organic phase [157]. Furthermore, the ICG was integrated into the system to obtain a NIR-649 triggered release of the GQDs, which showed good performances in vitro. Taking advantage of their superior 650 luminescence properties, Awad et al., loaded hydrophilic GQDs into liposomes for bioimaging applications, obtaining 651 in this way an increase in their biocompatibility and a controlled release in space and time under low-frequency 652 ultrasound by exploiting the sonoporation mechanism and the similarity of the liposomes with the biological 653 membranes [158]. 654

# 6.2 Carbon nanotubes

Carbon nanotubes (CNTs) were discovered in 1991 in Japan by lijima [159] and constitute another allotrope of 657 Carbon. They are composed of enrolled graphite sheets and can be multi-walled (MWCNTs) or single-walled 658 (SWCNTs). SWCNTs have a diameter from 0.4 to 2 nm, while MWCNTs from 2 to 100 nm. Both have micrometric 659 lengths. Therefore, CNTs possess an aspect ratio of about 1:1000, which confers them the capability to easily 660 penetrate the cell membranes and opens the door for their application in biomedicine. In addition, CNTs have 661 excellent conductivity, thanks to their aromatic structure, great chemical and thermal stability, and their elevated 662 surface area allows an easy functionalization in a covalent and non-covalent way [160, 161]. They also act as 663 photothermal agents since they show a great absorption of the NIR radiation with consequent conversion in heat 664 [162]. In general, they are considered not significantly cytotoxic for the organism. However, numerous debates are in 665 course about this, because of their similarity to asbestos fibers [163]: longer structures seem to be more cytotoxic, 666 and residual metals used in the synthesis process can induce some side effects when used for biomedical 667 applications [160]. Another problem correlated to the use of CNTs for drug delivery purposes is their low 668 encapsulation efficiency, which leads to the necessity of a massive dose to obtain significant therapeutic effects and 669 therefore to a possible increment of the cytotoxicity [160]. Therefore, some researchers proposed to conjugate CNTs 670 with liposomes, in order to join the directionality of the transport induced by the CNTs and their ability to enter into 671 the cells with the great encapsulation efficiencies of the liposomes [43, 164]. This conjugation was realized exploiting 672 ligands bonded on the surface of the CNTs and liposomes, such as biotin and avidin [47, 165], through electrostatic 673 interactions [166], or in a covalent way [43]. For instance, Miyako et al. first prepared CNTs-liposomes to obtain a 674 directional transport and a photo-triggered release of the cargo in order to induce an enzymatic reaction in a specific 675 area of interest [165] and then tried to exploit a similar system to obtain a biomimetic parasite and symbiotic system 676 able to allow a remote spatial-temporally control over the neuronal processes in C. elegans worm [47]. Moreover, 677 Madani et al. proposed an external stimuli-responsive drug delivery system by conjugating anionic DNA-CNTs with 678 cationic drug-loaded liposomes and introducing this system into a hydrogel to get an implantable NIR-responsive 679 device [166]. 680

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#### 6. Carbon Dots

Carbon dots (CDs) are spherical carbon NPs with a size less than 10 nm, a marked sp<sup>2</sup> character, and a passivated 683 surface. CDs can be obtained from natural or synthetic carbon sources. Since their chance discovery in 2004 [167], 684 they acquired increasing attention as they show combined properties of QDs and carbon-based materials. CDs, in 685 fact, possess outstanding optical properties, with high absorption in the UV spectrum, high photostability, elevated 686 fluorescence intensity and QY, and a  $\lambda_{em}$  generally dependent on the  $\lambda_{ex}$ . However, compared to classical QDs, they 687 are less cytotoxic, cheaper, more eco-friendly and possess high conductivity [168, 169]. In addition, their surface can 688 be easily functionalized with carboxyl, amino, and hydroxyl groups which confer them a good solubility in water 689 [170]. For these reasons, they were proposed for safer applications in agriculture and nanomedicine [169]. 690 Hydrophobic CDs are rarer because their synthesis is more difficult to realize, and they suffer from practical 691 limitations being incompatible with aqueous environments. To overcome these problems, Fan et al., proposed a 692 one-step procedure for the synthesis of blue and green-emitting hydrophobic CDs, which have been further loaded 693 into liposomes and acrylate films [171]. 694

In general, CDs were encapsulated into liposomes principally for bioimaging purposes [172, 173] and the loading 695 frequently led to an increment of the cell viability as well as to increased stability and enhanced optical properties of 696 the CDs. For instance, Guan et al. embedded hydrophobic CDs into D-mannose surface-modified liposomes to obtain 697 targeted imaging of HepG2 cells [174]. Notably, Xue et al. proposed smart nanocarriers by loading DOX-CDs into the 698 liposomal core and ICG into the lipid bilayer. ICG can enhance the fluorescence of the carrier and simultaneously act 699 as a photothermal agent [175]. Similarly, Ren et al. prepared a multifunctional nanocarrier, loading cinobufagin, a 700 hydrophobic cancer therapeutic agent, into the liposome bilayer and hydrophilic red-emitting CDs into the aqueous 701 core [176]. This encapsulation led to an enhancement of the CDs photoluminescence of 5-folds, a 10 nm blue shift, a 702 QY increment of 6-fold and a reduction of the lifetime. Moreover, the photoluminescence was found to be stable for 703 at least one month. This carrier shows integrated sustained-drug release of the cinobufagin into MCF-7 cells and 704 HepG2 cells, bioimaging detection and specific targeting of the tumor site in mice. 705

Instead of exploiting the CDs fluorescence, Zhang et al. proposed to use CDs as MRI contrast agent to be used also 706 for in vivo imaging in deep tissues [177]. They tried to take advantage of the inherent diamagnetic Chemical 707 Exchange Saturation Transfer (diaCEST) properties of the CDs generated by the presence of exchangeable protons on 708 their surface, without doping them with superparamagnetic metals. To enhance the diaCEST contrast, arginine was 709 used as dopant during the synthesis of the CDs. Moreover, to obtain a significant cellular uptake of CDs, they were 710 encapsulated into the aqueous core of liposomes and, in this way, they succeeded to label human glioma cells, 711 confirming once again the great advantages which arise encapsulating NPs into liposomes when they have to be 712 used for biomedical purposes. 713

CD-liposomes were also used for the detection of nucleic acids. Divya et al. proposed composite systems based on714CD-AgNP-decorated liposomes bonded on a gold electrode for a label-free DNA detection [178], while Jiang et al.715developed an analytical method for the detection of nucleic acids based on the chemiluminescence of the CoOOH-716luminol, using CDs instead of antioxidant reactants [179]. Here, the encapsulation of the CDs into the liposomes717avoids their complex-modification and preserves their free-radical scavenging properties. Finally, Chen et al.718fabricated micropatterns of photo-switchable CDs-liposomes through a photolithographic approach [180]. In719

particular, they obtained CD-liposomes keeping triolein into a furnace at 220 °C for 3 days and then extruding at 100 720 nm.

### 5. Conclusions

NPs are versatile materials with distinct chemical, optical, magnetic, electrical, catalytic, and mechanical properties.723They are able to respond to the numerous needs of the world of pure and applied research, finding already724application in numerous products on the market. The properties and applications of NPs can usefully be extended725thanks to the integration with liposomes of various compositions and architecture, especially in the field of726biomedical and biosensing. In a complementary way, traditional applications of liposomes can take advantage of727these innovative building blocks.728

In this review, a representative overview of the main methods for the integration of hydrophilic and hydrophobic729NPs in liposomes was presented, providing indications for a correct planning of a successful experimental approach.730In the second part of the manuscript, an extensive review of the main applications of hybrid NP-liposome systems731was provided, taking into consideration the most significant and recent literature relating to the main types of732nanomaterials.733

The challenge in the future will be to improve the integration of NPs into lipid systems, thus stably integrating734various properties within the same liposome. This will greatly influence the real applicability of hybrid systems735especially in the field of nanomedicine. It will be possible to exploit the multi-compartmentalization of liposomes for736the delivery of drugs of different nature and polarity, trigger their release thanks to the properties of the NPs737integrated in the bilayer and at the same time expand the therapeutic possibilities of the system with the738photodynamic and hyperthermic properties. The diagnostic aspect can also be simultaneously solved by these hybrid739nano systems.740

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