

## Manuscript Details

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### Abstract

AiV-1 is considered an emerging human enteric pathogens and foodborne transmission has been documented as an important source of exposure for humans, chiefly in relation to non-safe, risky food habits. We surveyed the presence of AiV-1 in retail shellfish, including oysters and mussels, identifying the virus in 3/170 (1.8%) of the analysed samples. The AiV-1 positive samples were of different geographic origin. Upon sequence analysis of a portion of the 3CD junction region, two AiV strains identified from harvesting areas in Northern Italy were characterised as genotype B and displayed 99-100% identity at the nucleotide level to other AiV-1 strains detected in sewages in Central Italy in 2012, suggesting that such strains are stably circulating in Italian ecosystems. Interestingly, a strain identified from mussels harvested in Southern Italy could not be characterised firmly, as inferred in the Bayesian analysis and by sequence comparison, indicating that different AiV strains are also circulating in Italy. Viral contamination in retail shellfish challenges the microbiological guidelines for food control and requires the development and optimization of additional diagnostic and prevention strategies.

**Keywords** retail shellfish; molecular methods; Aichi virus

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ALDO MORO

dipartimento di  
medicina  
veterinaria



Valenzano, 7/9/2017

*Food Microbiology*

Dear Editor,

Please find attached the manuscript entitled “**Occurrence of Aichi Virus in retail shellfish in Italy**” by Valentina Terio\*, Bottaro M., Di Pinto A., Fusco G., Barresi T., Tantillo G., Martella V., for publication as original research paper in *Food Microbiology*.

Awaiting a your reply, thanks very much for your attention.

Dr Valentina Terio

Prof.ssa Valentina Terio

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## Comments for the Author

I thank the referee for the important remarks provided and can find below a point-by-point reply to the Editor's suggestions/criticisms.

**R1:** The manuscript describes a study on the presence of Aichivirus in retail shellfish in Italy. After analyzing 170 samples, the virus was detected in 3 (1.8%) samples, indicating a really low prevalence. However, this fact is ignored by the authors which insist in the importance of the detection, considering the increasing interest on emerging pathogens. But, can Aichivirus be considered as an emerging pathogen in Italy?

### Reply 1.1:

There are several studies reporting the presence of AiV-like viruses, kobuviruses, in different animal species including goat, roe deer, pig, red fox, cats and dogs in Italy (Di Martino et al., 2013; Di Profio et al., 2013; Di Martino et al., 2014; Di Martino et al., 2015; DiBartolo et al., 2015). However, specific direct investigations for AiV in human patients have not been carried out, so it is not possible to assess the impact of AiVs on local population. Regardless, in some Italian regions, as in other European and extra-European countries, there are some dietary habits (i.e., consumption of row shellfish) that may influence the ecology of some foodborne pathogens. Filter-feeding animals (mussels, clams, oysters) are a good proxy to assess the presence of human enteric pathogens in local population. Our findings are supported by a 2013 study in Italy, demonstrating environmental contamination by those viruses. Di Martino et al. (2013) assessed the presence of AiV in untreated influent sewage samples collected at four wastewater treatment plants in central Italy. AiV was detected in 6 (12.5 %) of the 48 specimens and in all plants. Accordingly, we have indirect evidence that local population is exposed to infection by AiVs.

**R1.2:** On the other hand, the authors tried to link the positive detections with the original harvesting areas, omitting the possibility of contamination by handling during postharvesting operations. Such possibility should be mentioned and discussed in the manuscript.

Reply 1.2: The samples collected and included in the study are retail shellfish, so contamination by handling during post harvesting operations is very difficult. Shellfish in the depuration centre are placed in tanks containing controlled and purified water through ozone and UV. The most likely hypothesis, not only for us, is contamination of the harvesting areas.

**R1.3:** Conclusions are not fully supported by the results obtained. i.e. it is stated that the study confirms the lack of correlation between bacterial indicators and viral pathogens, but no estimations of *E. coli* numbers were made. I assume that this statement is based in the fact that are retail shellfish. However, the possibility of a bias in the food chain resulting in the non compliance of the regulations cannot be ruled out.

Reply 1.3: The main objective of the work was the research of AiV in bivalve molluscs. We analyzed retail shellfish, and we take for granted that the products were in strict compliance with bacteriological parameters lay down by EU Reg 2073/2005. As for the lack of correlation between bacterial indicators and viral pathogens, there are a lot of studies that have demonstrated the lack of this correlation including Di Pinto et al., 2004; Croci et al., 1999; Goyal et al., 1979; Koopmans and Duizer, 2004.

**R1.4:** Again, the potential role of Aichivirus in food-related gastroenteritis (as stated in the conclusions) is not firmly supported by the results obtained. Were the shellfish tested for other

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63 viruses? If so, information on the existence of mixed contaminations would be of interest for the  
64 readers. Some information is repeated in different parts of the manuscript. Please, avoid such  
65 iterations  
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67  
68 Reply 1.4: As suggested by the referee, we have changed the conclusions of the manuscript, in  
69 order to get rid of repetitions.

70 At p. 10, line 235-237 and 237-242, the sentences were either deleted or replaced.  
71

72 We agree with the Referee's comments that our findings do not demonstrate firmly the role of AiV  
73 in human gastro-enteritis. We had already disclosed this in the discussion at p 8-9, line 199-202,  
74 stating that "caution must be taken when considering the public health implications, since only  
75 molecular methods were used in our study. It will be necessary in the future to define the  
76 correlation between the level of viral contamination detected by PCR in shellfish and virus residual  
77 infectivity."  
78

79 We toned down throughout the manuscript claims on "the potential role of Aichivirus in food-  
80 related gastroenteritis".

81 At p 10, line 239-241, the sentence " Our finding suggest the potential role of Aichivirus in food-  
82 related gastroenteritis caused by contaminated bivalves, chiefly in countries or population (as in  
83 Southern Italy where consumption of raw or slightly cooked seafood is common" was replaced with  
84 "We were successful to identify AiV-1 RNA in shellfish at retail, i.e. in products at the end of the  
85 production chain and destined to direct human consumption without any further action/control by  
86 the health bodies".  
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88  
89 The specific task of this work was to gather information on the presence of AiV in food destined to  
90 human consumption. We agree that screening for other enteric pathogens can provide useful  
91 information, but this was not in the scopes of the study.  
92

93 **R1.5:** The distribution of genotypes in the tree is incorrect. Sequences AB034659 and AB092832  
94 belong to genotype B.  
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96 Reply 1.5: The referee's observation is correct. We have corrected the brackets in the tree to display  
97 the correct information for the two sequences AB034659 and AB092832 of genotype B.  
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**Highlights:**

- Presence of Aichi virus in retail shellfish was evaluated
- Shellfish were analysed using validated methods
- Aichi virus were detected in 1.8% of the 170 samples

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3 1 **Occurrence of Aichi Virus in retail shellfish in Italy**  
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5 2 **Valentina Terio <sup>a</sup>, Marilisa Bottaro <sup>a</sup>, Angela Di Pinto <sup>a</sup>, Giovanna Fusco <sup>b</sup>, Teodosio Barresi <sup>a</sup>,**  
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61 **Abstract**  
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63 27 AiV-1 is considered an emerging human enteric pathogens and foodborne transmission has been  
64 28 documented as an important source of exposure for humans, chiefly in relation to non-safe, risky  
65 29 food habits. We surveyed the presence of AiV-1 in retail shellfish, including oysters and mussels,  
66 30 identifying the virus in 3/170 (1.8%) of the analysed samples. The AiV-1 positive samples were of  
67 31 different geographic origin. Upon sequence analysis of a portion of the 3CD junction region, two  
68 32 AiV strains identified from harvesting areas in Northern Italy were characterised as genotype B and  
69 33 displayed 99-100% identity at the nucleotide level to other AiV-1 strains detected in sewages in  
70 34 Central Italy in 2012, suggesting that such strains are stably circulating in Italian ecosystems.  
71 35 Interestingly, a strain identified from mussels harvested in Southern Italy could not be characterised  
72 36 firmly, as inferred in the Bayesian analysis and by sequence comparison, indicating that different  
73 37 AiV strains are also circulating in Italy. Viral contamination in retail shellfish challenges the  
74 38 microbiological guidelines for food control and requires the development and optimization of  
75 39 additional diagnostic and prevention strategies.  
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## 1. Introduction

Aichi virus, a human enteric virus of the genus *Kobuvirus*, family *Picornaviridae*, was first recognized in 1989 as the cause of oyster-associated non-bacterial gastroenteritis in humans in Aichi Prefecture, Japan (Yamashita et al., 1991).

The *Kobuvirus* genus includes three species, Aichivirus A (formerly Aichi virus) (Yamashita et al., 1998), Aichivirus B (formerly Bovine kobuvirus) (Yamashita et al., 2003) and Aichivirus C (porcine kobuvirus) (Reuter et al., 2009). The species Aichivirus A includes the prototype Aichi virus 1 (AiV-1) identified in humans, along with canine kobuvirus 1 (Kapoor et al., 2011; Li et al., 2011), feline kobuvirus 1 (Chung et al., 2013) and murine kobuvirus 1 (Phan et al., 2011).

AiV-1 is a small non-enveloped virus of approximately 27–30 nm in diameter with a single-stranded, positive polarity RNA genome of 8,280 nucleotides (nt) in length. The single large open reading frame encodes a polyprotein of 2,432 amino acids that is cleaved into the structural proteins VP0, VP3 and VP1 and non-structural proteins 2A, 2B, 2C, 3A, 3B, 3C and 3D (Sasaki et al., 2001; Yamashita et al., 1998).

Upon sequencing of a short genome fragment of the 3C and 3D (3CD) junction region, AiV-1 has been further classified into at least 2 main phylogenetic lineages or genotypes, indicated with the letters A and B (Di Martino et al., 2013; Le Guyader et al., 2008; Yamashita et al., 2003; Yamashita et al., 2000) and this is exploited for epidemiological investigations and molecular tracking of sporadic cases and outbreaks of gastro-enteritis. An AiV-1 strain identified in France has been proposed as a distinct lineage/genotype, C (Ambert-Balay et al., 2008).

AiV-1 has been suspected to play a role as human gastroenteric pathogen. AiV-1-related clinical signs and symptoms include diarrhea, abdominal pain, nausea, vomiting and fever (Yamashita et al., 1991).

Virological surveys suggest that AiV-1 is responsible for sporadic cases of gastroenteritis (0.5%-1.8%) (Kitajima et al., 2015). However, serological investigations have revealed high antibody prevalence in humans of different age groups, suggesting that AiV-1 infections are quite common

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238 101 (Khamrin et al., 2014; Ribes et al., 2010). Serological studies in Spain, Germany, and Tunisia have  
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240 102 revealed that 70, 76, and 92 % of the population across all age groups has antibodies specific for  
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242 103 AiV-1 (Oh et al., 2006; Ribes et al., 2010; Sdiri-Loulizi et al., 2010).  
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245 104 AiV-1 has been detected in Asia, Africa, South America and Europe in various types of  
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247 105 environmental samples, such as sewage, river water, groundwater, and shellfish, indicating a  
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249 106 worldwide distribution and suggesting a complex ecology, as observed for other enteric viruses  
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251 107 (Atmar et al., 1995; La Rosa et al., 2017). Accordingly, AiV-1 has been proposed as an emerging  
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253 108 viral pathogen associated with environmental contamination and water and foodborne infections  
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255 109 (Kitajima et al., 2015). AiV-1 transmission occurs through direct contact, by faecal-oral routes, or  
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257 110 through consumption of contaminated food or water. Importantly, AiV-1 has been associated with  
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259 111 human gastroenteritis outbreaks related to consumption of oysters or other shellfish (Hansman et  
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262 112 al., 2008; Le Guyader et al., 2008; Sdiri-Loulizi et al., 2010).  
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264 113 Filter-feeding shellfish are an important source for transmission of enteric viral diseases, since they  
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266 114 are able to accumulate and concentrate waterborne pathogens, especially when they are grown in  
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268 115 coastal areas contaminated by sewage (Le Guyader et al., 2000; Terio et al., 2010).  
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270 116 In the European Countries, the microbiological quality of commercially harvested shellfish intended  
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272 117 for human consumption must comply with the EU Food Hygiene Regulations (EC 2073/2005 and  
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274 118 subsequent amendments), which rely exclusively on bacterial indicators (*Escherichia coli* and  
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276 119 *Salmonella* spp). The microbiological requirements do not include human viral pathogens and  
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278 120 therefore fulfilment of the parameters established by the regulations does not rule out the presence  
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281 121 of viral pathogens in retail shellfish (Terio et al., 2010). Moreover, bacterial indicators are not  
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283 122 correlated with the presence of enteric viruses (Croci et al., 2000; Goyal et al., 1979; Koopmans and  
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285 123 Duizer, 2004).  
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287 124 Although the faecal indicator system has been in place for many years, it has been understood that  
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289 125 this system does not adequately index for the presence of viral agents transmitted by shellfish, such  
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297 126 as norovirus and hepatitis A virus (Formiga-Cruz et al., 2003) or of other food-borne viruses such as  
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299 127 Aichi virus.  
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301 128 Information on contamination of shellfish by AiV-1 is limited to a few countries (Rivadulla et al.,  
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303 2017; Sdiri-Loulizi et al., 2010), whilst it is scanty or absent for several geographical areas,  
304 129 including a number of European countries. This information may be relevant, chiefly in regions  
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306 130 where consumption of raw shellfish is more common, thus enabling additional epidemiological  
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308 131 cycles for enteric viruses and favouring the spread of foodborne pathogens (La Bella et al., 2017).  
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310 132 In this study, we assessed the presence of AiV-1 in retail shellfish in Apulia region, Italy, between  
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312 133 April 2016 and April 2017.  
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## 319 136 **2. Methodology**

### 322 137 ***2.1 Sampling and processing of shellfish samples***

325 138 A total of 112 mussels (*Mytilus galloprovincialis*), 36 oysters (*Ostrea edulis*) and 22 clams (*Venus*  
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327 139 *gallina*) batches were collected from open-air markets, hypermarket and fish chops in the Apulia  
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329 140 region (SE Italy) from April 2016 to April 2017. All the samples were harvested in class A marine  
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331 141 areas. After collection, batches (each composed of 10 individual mollusks) of digestive glands were  
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333 142 processed according to ISO/TS 15216-2:2013 method.

335 143 RNA extraction was carried out with Nuclisens® Magnetic Extraction Kit – NucliSENS®  
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337 144 easyMAG system (BioMérieux, Marcy l'Etoile, France) following manufacturer's instructions after  
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339 145 adding an extraction control, following the guidelines of ISO/TS 15216-2:2013 method.  
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### 343 146 ***2.2 Detection of AiV-1 by Reverse transcriptase-polymerase chain reaction (RT-PCR)***

346 147 AiV-1 was detected by RT - PCR using SuperScript® OneStep RT-PCR System with Platinum®  
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348 148 Taq DNA Polymerase (Invitrogen, Ltd, Paisley, UK) and the primer set Ai6261 (5'  
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350 149 ACACTCCCACCTCCCGCCAGTA 3') and Ai6779 (5' GGAAGAGCTGGGTGTCAAGA 3'),  
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356 150 targeting a 519-bp fragment at the 3CD junction region (viral protease and RNA-dependant RNA  
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358 151 polymerase) (Pham et al., 2007; Yamashita et al., 2000).  
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360 152 The thermal profile was comprised of 50 °C for 60 min and 94 °C for 2 min, followed by 40 cycles  
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363 153 of 94 °C for 30 s, 50 °C for 30 s and 68 °C for 1 min, with a final extension at 68 °C for 10 min.  
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365 154 A nested PCR was performed with the primer pair C94b-246k (C94b, 5'  
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367 155 GACTTCCCCGGAGTCGTCGTCT 3'; 246k, 5' GACATCCGGTTGACGTTGAC 3') to amplify a  
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369 156 223-bp fragment within the 3CD junction region (Pham et al., 2007; Yamashita et al., 2000) using  
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371 157 the HotStarTaq Master mix kit (Qiagen, Hilden, Germany). The thermal profile consisted of 95 °C  
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373 158 for 15 min and 35 cycles of 94 °C for 30 s, 50 °C for 30 s and 72 °C for 30 s, with a final extension  
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375 159 at 72 °C for 10 min.  
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## 381 161 ***2.5 Sequencing and phylogenetic analysis*** 382 383

384 162 Nested PCR products (223-bp fragment) were separated by electrophoresis in a 1.5 % agarose gel  
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386 163 and appropriately sized bands were excised and purified on column (Qiaquick Gel extraction Kit,  
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388 164 Qiagen, Gmbh, Germany). Cycle sequencing was carried out using BigDye Terminator Cycle  
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390 165 chemistry (Applied Biosystems, Foster City, California, US). Raw sequences were edited using the  
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392 166 Geneious software version 10.0.5 (Biomatters Ltd, New Zealand).  
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394 167 The sequences were analysed using free access sequence databases by BLAST  
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397 168 (<http://www.ncbi.nlm.nih.gov>) and therefore compared to a selection of sequences representative of  
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399 169 recent epidemic strains with reference strains circulating worldwide.  
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401 170 The Enterovirus Genotyping Tool version 0.1 (<http://www.rivm.nl/mpf/typingtool/enterovirus>)  
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403 171 (Kroneman et al., 2011) was also used for correct classification of the AiV-1 sequence.  
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405 172 The phylogenetic analysis were performed by using Geneious software package (Geneious version  
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407 173 10.0.5 created by Biomatters).  
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### 3. Results and Discussion

In this study, 170 shellfish samples collected in Italy over a 12-month period were screened for AiV-1. All the samples tested negative in the first-round RT-PCR with primer pair Ai6261 - Ai6779. However, in the second-round PCR with primers C94b-246k, AiV RNA was detected in 3/170 (1.8%) bivalve molluscan samples. The samples of *Mytilus galloprovincialis* species were purchased from fish markets located in the North of Apulia (Foggia) in April 2016 and April 2017.

However, the harvesting areas, all which were of class A, were located in Ravenna (Northern Italy, Adriatic sea) and Taranto (Southern Italy, Ionian sea), i.e. in two completely different ecosystems.

In a 2014-2015 Italian study, AiV-1 RNA was detected in 13/108 (12.04%) mussels obtained from both class A and class B harvesting areas in Campania region, Tirrenian sea (Fusco et al., 2017).

Also, analysis of untreated influent sewage samples collected from four wastewater treatment plants in central Italy identified AiV in 6 (12.5 %) out of 48 samples and 4 out of 4 plants (Di Martino et al. 2013). Overall, these scattered pieces of information suggest that AiV is present in different Italian ecosystems.

Interestingly, in our study there was no difference in the prevalence of various enteric viruses between class-A and class-B harvesting areas, suggesting that virus contamination is not strictly related to bacteriological contamination, as also observed elsewhere (La Bella et al., 2016; Terio et al., 2010; Loisy et al., 2005; Romalde et al., 2002).

Whether the observed differences also reflect a temporal/geographical variation or a different sensitivity of the diagnostic instruments used in the various studies remains to be assessed. Also, the fact that we only tested products at retail, and therefore fulfilling the severe production criteria, could have somewhat biased the results.

The presence of AiV-1 in mussels from class-A harvesting area is of particular importance since shellfish from these areas may be destined to direct human consumption, resulting in a potential public health risk. However, caution must be taken when considering the public health implications, since only molecular methods were used in our study. It will be necessary in the future to define the

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474 201 correlation between the level of viral contamination detected by PCR in shellfish and virus residual  
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476 202 infectivity.  
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478 203 Despite several efforts, viral contamination in shellfish remains a serious problem and recent papers  
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481 204 have demonstrated contamination of different bivalve molluscs worldwide (Benabbes et al., 2013;  
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483 205 Terio et al., 2010; Woods et al., 2016). According to an EFSA report (2015), in 2014 viruses were,  
484  
485 206 for the first time, the most commonly detected (20.4%) causative agent of foodborne outbreaks.  
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487 207 Although norovirus and hepatitis A virus are regarded as the most common causes of foodborne  
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489 208 infections, in recent years other viruses with zoonotic potential, including AiV-1, have been  
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491 209 identified in shellfish.  
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493 210 Based on the existing literature, geographical patterns can be observed in the distribution of AiV-1  
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495 211 genotypes. Genetic analysis of the AiV-1 identified in gastroenteritis outbreaks in several European  
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498 212 countries has revealed that genotype A is the most common genotype circulating in Europe.  
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500 213 Genotype A is predominant in Germany (Oh et al., 2006), France (Ambert-Balay et al., 2008),  
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502 214 Sweden (Jonsson et al., 2012) and Finland (Kaikkonen et al., 2010). Genotype A was also  
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504 215 predominant in Japan (Pham et al., 2007; Yamashita et al., 2000). Genotype B seems predominant  
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506 216 in Pakistan (Yamashita et al., 2000), Bangladesh (Pham et al., 2007), Malaysia (Yamashita et al.,  
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508 217 2000) and Brazil (Oh et al., 2006). Analysis of sewages and waste water in Italy 2012 identified only  
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510 218 AiV-1 strains of genotype B (Di Martino et al., 2013). Two of the sequences (samples #7 and #15)  
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512 219 determined in this study were characterised as genotype B. Upon sequence comparison with  
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515 220 cognate sequences available in the databases, they displayed the highest nt identity (99-100%) to  
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517 221 the Italian strains detected in sewages in 2012 (Di Martino et al., 2013), suggesting that such  
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519 222 genotype B AiV-1 strains are circulating in Italian environments. One of the three sequences,  
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521 223 sample #29, could not be characterized firmly in the Bayesian analysis, as it was not rooted strictly  
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523 224 with genotype A and B AiV-1 strains. In our analysis, other AiV-1 strains selected from the  
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525 225 databases also acted as genetic outlier between genotype A and B (*Figure 1*). By interrogation of  
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527 226 the sequence databases using web-based tools BLAST and FASTA, the strain #7 displayed the  
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533 227 highest nt identity (97%) to AiV-1 strains detected in Japan (accession AB092832). Whether the  
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535 228 sub-classification scheme into genotypes A to C developed in the literature is not adequate to  
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537 229 summarize the genetic heterogeneity of AiV-1 strains can not be ruled out and should be assessed  
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540 230 by full-genome sequencing of the viruses.

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542 231 Interestingly, strains #7 and #15 were identified in mussels harvested in Northern Italy, whilst strain  
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544 232 #29 was from mussels harvested in Southern Italy, i.e. in two different ecosystems.

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#### 548 234 **4. Conclusion**

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550 235 The observed increase in food-borne diseases related to the consumption of raw or lightly cooked  
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552 236 mussels, requires continuous monitoring of common, emerging and neglected enteric viral agents,  
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554 237 including AiV-1, in order to assess more precisely the risks for human health. In polluted  
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556 238 environments, shellfish can play an important role as *reservoirs* and/or vehicles of enteric viruses.  
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559 239 We were successful to identify AiV-1 RNA in shellfish at retail, i.e. in products at the end of the  
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561 240 production chain and destined to direct human consumption without any further action/control by  
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563 241 the health bodies. Monitoring of viral contamination in shellfish can be useful to gather, indirectly,  
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565 242 information on the circulation of human enteric pathogens in local population. This will also be  
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567 243 important to improve safety of food products and to plan more effective campaigns in consumers.

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#### 571 245 **5. Acknowledgments**

572  
573 246 This study was supported by Grant RF-2011-02350023 from Italian Ministry of Health.

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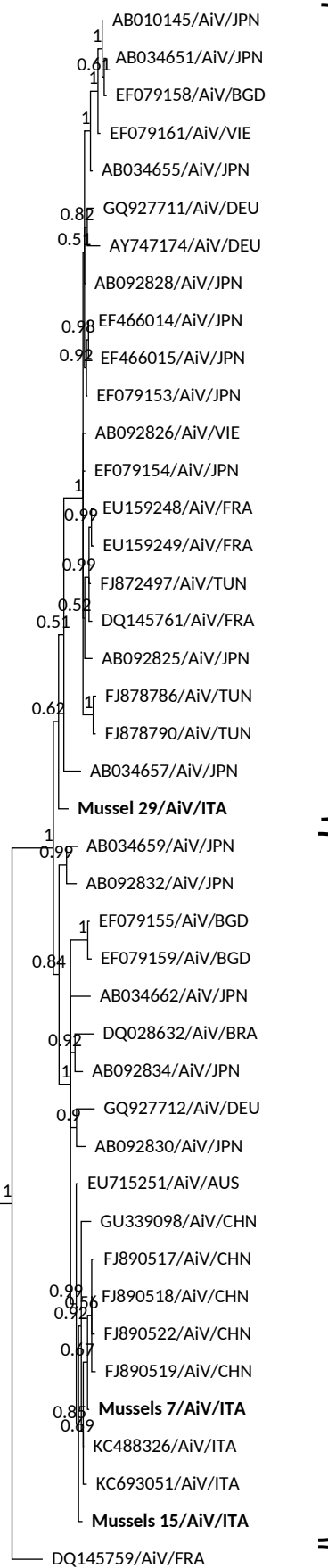
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**Figure 1: Phylogenetic tree of AiV-1**

Bayesian phylogenetic analysis of AiVs based on the 519-nt 3CD long fragment of 3CD. The viruses detected in this study are in bold and in a box. Tree was generated using the Bayesian inference with Generalized Time-Reversible (GTR) model and gamma rate variation and supplying statistical support with subsampling over 1000 replicates. Numbers on the tree branches indicate the posterior probability values. Values lower than 0,8 are not shown. The scale bar indicates the number of substitutions per site. Genotypes are indicated with letters A to C.

AB084788/Bovine-kobuvirus/U-1

EU787450/Porcine-kobuvirus/S-1-HUN



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