

1 **Ultrasound and Deep Eutectic Solvents: an efficient combination to tune**  
2 **the mechanism of steviol glycosides extraction**

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13 **Abstract:**

14 Ultrasound-assisted extraction is widely recognized as an eco-friendly technique due to low  
15 solvent consumption and time extraction as well as enhanced extraction efficiency with  
16 respect to conventional methods. Nevertheless, it would be convenient to avoid the usually  
17 used organic solvents to reduce the environment pollution. In this regard, Deep Eutectic  
18 Solvents (DES) represent nowadays a green and sustainable alternative for the extraction of  
19 bioactive compounds from natural sources. In this study, an efficient extraction of stevioside  
20 and rebaudioside A from *Stevia rebaudiana* coupling ultrasound with DES was developed. A  
21 solvent screening was performed using the predictive approach CONductor-like Screening  
22 MOdel for Real Solvent (COSMO-RS). The effect of three independent variables, namely %  
23 of water, temperature, and sonication amplitude, were investigated by the response surface  
24 methodology (RSM). Comparing ultrasound-assisted extraction (UAE) with conventional  
25 extraction, it has been demonstrated that the amount of steviol glycosides through UAE is  
26 almost three times higher than that obtained by the conventional method. Possible  
27 physicochemical factors involved in the UAE mechanism were discussed.

28 **Keywords:** *Stevia rebaudiana*, Ultrasound, Deep Eutectic Solvents, COSMO-RS, Green  
29 Extraction.

30 **Abbreviations:** **CCD**, Central Composite Design; **CER**, constant extraction rate period;  
31 **COSMO-RS**, COnductor-like Screen MOdel for Real Solvent; **DC**, diffusion-controlled rate  
32 period; **DEEAC**, *N,N*-diethyl ethanol ammonium chloride; **DES**, Deep Eutectic Solvent;  
33 **DFT**, density functional theory; **EG**, Ethylene glycol; **FER**, falling extraction rate period;  
34 **Gly**, glycerol; **HBA**, Hydrogen Bond Acceptor; **HBD**, Hydrogen Bond Donor; **HPTLC**,  
35 High Performance Thin Layer Chromatography; **LA**, levulinic acid; **N-HBA**, Non-Hydrogen  
36 Bond Acceptor; **N-HBD**, Non-Hydrogen Bond Donor; **RSM**, Response Surface  
37 methodology; **TBAB**, tetrabutylammonium bromide; **TBAC**, tetrabutylammonium chloride;  
38 **TEG**, triethylene glycol; **TEAB**, tetraethylammonium bromide; **TEAC**, tetraethylammonium  
39 chloride; **TPAB**, tetrapropylammonium bromide; **TZVP**, triple  $\zeta$  valence potential; **UAE**,  
40 Ultrasound-Assisted Extraction.

## 41 **1. Introduction**

42 *Stevia rebaudiana* Bertoni, botanically classified in 1899 by Moisés Santiago Bertoni, is a  
43 perennial semi-shrub of the *Asteraceae* family, native to Paraguay. Today its cultivation is  
44 widespread in almost all regions of the world including Europe, Canada, and Asia. In *Stevia*  
45 *rebaudiana* leaves are present more than 30 glycosides of the diterpenic carboxylic alcohol  
46 steviol (13-hydroxy-*ent*-kaur-16-en-19-oic acid), among which stevioside and rebaudioside A  
47 are the most abundant. Although their concentrations depend on the genotype and cultivation  
48 conditions, the values usually found in dried leaves are 4–13% for stevioside and 2–4% for  
49 rebaudioside A [1,2]. They are responsible for the *Stevia* sweet taste, having sweetening  
50 power about 250 and 450 times higher than saccharose, respectively. Other steviol glycosides  
51 are present in smaller quantities in the leaves and include rebaudioside B, C, D, E, F,  
52 dulcoside A, and steviolbioside [3,4]. In general, different therapeutic benefits such as

53 antibacterial, anti-inflammatory, hypotensive, diuretic, and anti-tumors effects are associated  
54 with *Stevia rebaudiana* glycosides [4,5]. Interestingly, steviol glycosides can induce pancreas  
55 production of insulin thus resulting useful in the treatment of diabetes mellitus. Most of the  
56 natural products on the market having functional properties such as sweetening, antioxidant,  
57 antibacterial, and antidiabetic, are obtained through extractive processes. Therefore,  
58 convenient green extraction methods are required to obtain healthy food products. In recent  
59 years, the extractive scenario has changed leading to a reduction in solvent and energy  
60 consumption, as well as promoting the use of alternative solvents, anyway providing a high  
61 extractive yield of the target compounds. Several innovative techniques have been applied in  
62 different fields such as nutraceutical, pharmaceutical, food, and cosmetic production. They  
63 include ultrasound extraction, supercritical fluid extraction, subcritical water extraction, pulse  
64 electric field, controlled pressure drop process, and microwave extraction [6–10].

65 In the last decade, ultrasounds have been successfully applied not only to inorganic and  
66 medicinal chemistry but also in the food industry [11–14]. Ultrasonic waves accelerate the  
67 extraction process through the cavitation phenomena that generate high-speed micro-jets  
68 inducing fragmentation, erosion, and sonoporation of the solid matrix surfaces, thus  
69 improving the mass transfer process. However, several parameters can greatly affect the  
70 ultrasound-assisted extraction (UAE) process such as solvent composition, temperature,  
71 presence of dissolved gases, sonication time, particle size of the raw material, and matrix  
72 parameters [15]. Unfortunately, organic solvents with high level of toxicity, volatility, and  
73 flammability are often required and, to overcome these drawbacks, Deep Eutectic Solvents  
74 (DESs) have been proposed as green and sustainable alternative on the basis of the six  
75 principles of green extraction. DESs have emerged as a new generation of ionic liquids  
76 synthesized by combining a hydrogen bond acceptor (HBA) and a hydrogen bond donor  
77 (HBD) [16]. Thermal stability, low vapor pressure, non-flammability, low toxicity, and

78 water-solubility make them green solvents, while being also cheap and easy to prepare [7,17–  
79 19]. Recently, DES were successfully used in the extraction of various kind of bioactive  
80 compounds from different plant materials [20–22]. This study aimed to develop a new green  
81 DES-UAE method for the extraction of stevioside and rebaudioside A from *Stevia*  
82 *rebaudiana* leaves. The choice of the best extraction solvent was made using the  
83 computational prediction software COSMO-RS, which allowed for calculating the theoretical  
84 value of the solubility index of target steviol glycosides in different solvents. The recovery  
85 yields of stevioside and Rebaudioside A content were determined using HPTLC as the  
86 analytical method.

## 87 **2. Materials and methods**

### 88 *2.1 Plant material and chemicals*

89 Dry leaves of *Stevia rebaudiana* were obtained from Stevia Natura (Riom, France). Their  
90 initial dry matter content was  $0.92 \pm 0.04$  g per 1g of dry leaves determined by a moisture  
91 balance (Ohaus, model MB 35). Ethylene glycol, ethyl acetate (HPLC grade), methanol  
92 (HPLC grade), acetic acid, sulfuric acid were purchased from Merck KGaA. Absolute  
93 ethanol, methanol, and water (HPLC grade) were purchased from VWR international  
94 (Leuven, Belgium). Tetraethylammonium chloride was purchased from TCI EUROPE N.V.  
95 (France). Stevioside (analytical standard) and rebaudioside A (analytical standard) were  
96 purchased from Extrasynthese S.A. (Genay, France).

### 97 *2.2 Preparation of DESs*

98 Tetraethylammonium chloride (TEAC) was used as the HBA, while ethylene glycol (EG)  
99 was used as the HBD. DES was prepared by heating the mixture, as previously describes by  
100 Warrag et al. [23]. In brief, TEAC was mixed with EG at 1:2 molar ratio, then heated to 82  
101 °C with constant agitation until a clear, homogeneous, and stable liquid was formed.

### 102 *2.3 COSMO-RS model and computational details*

103 Geometry optimization was run on HBA [choline chloride (ChCl), *N,N*-diethyl ethanol  
104 ammonium chloride (DEEAC), tetraethylammonium chloride (TEAC), tetraethylammonium  
105 bromide (TEAB), tetrapropylammonium bromide (TPAB), tetrabutylammonium chloride  
106 (TBAC), tetrabutylammonium bromide (TBAB)], HBD [glycerol (Gly), levulinic acid (LA),  
107 ethylene glycol (EG), triethylene glycol (TEG)], and steviol glycosides (stevioside,  
108 rebaudioside A, rebaudioside B, rebaudioside C, rebaudioside D, rebaudioside E,  
109 rebaudioside F). The initial structure of each HBA and HBD was drawn by TURBOMOLE  
110 software (TmoleX license version 7.4 COSMOlogic GmbH &Co. KG) and geometry  
111 optimization was performed at the Hartree-Fock level by using the 6-31G\* basis set [def-  
112 SV(P)]. From the optimized geometry of each HBD and HBA the corresponding **.cosmo**  
113 **file** was generated using the density functional theory (DFT) combined with Becke-Perdew  
114 functional and triple  $\zeta$  valence potential (TZVP) basis set. All of these tasks were performed  
115 with the Turbomole software package. Thereafter, the **.cosmo files** were imported into the  
116 COSMOthermX software (COSMO thermX, license version 19.0, COSMOlogic GmbH &Co.  
117 KG) package with the parameterization file BP\_TZVP\_18.ctd [24]. For each steviol  
118 glycoside the corresponding **.cosmofile** was generated in COSMOthermX program using  
119 DFT combined with Becke-Perdew functional and TZVP basis set. The  $\sigma$ -surface,  $\sigma$ -profiles,  
120  $\sigma$ -potentials, and the affinity between the solute and the solvents were retrieved from  
121 COSMOthermX. The affinity of the solvent to the solute can be associated to the  $\sigma$ -potential.  
122 In this work, the model is based on the prediction of the chemical potential of each solute in  
123 26 different DESs. The absolute solubility of target steviol glycosides was calculated with  
124 COSMOthermX software as follows:

$$125 \log_{10}(x_j) = \log_{10}[\exp(\mu_j^{\text{pure}} - \mu_j^{\text{solvent}} - \Delta G_{j,\text{fusion}})/RT)]$$

126 where:  $\mu_j^{\text{pure}}$  is the chemical potential of pure compound  $j$  (J/mol);  $\mu_j^{\text{solvent}}$  is the chemical  
127 potential of solvent  $j$  at infinite dilution (J/mol);  $\Delta G_{j,\text{fusion}}$  is the free energy of fusion of



#### 141 2.4.2 High Performance Thin Layer Chromatography Analysis

142 The samples and standards were spotted in the form of bands of 8 mm width with a Camag  
143 microliter syringe controlled by the Automatic TLC Sampler ATS 4 (Camag, Muttentz,  
144 Switzerland) on precoated silica gel glass Plate 60 Å F254 (20 × 10 cm; Merck, Darmstadt,  
145 Germany). The plates were prewashed by methanol and activated at 100 °C for 30 min on the  
146 TLC plate heater (CAMAG, Muttentz, Switzerland) prior to spotting. The chromatogram was  
147 developed in an Automatic Development Chamber ADC2 (Camag, Muttentz, Switzerland)  
148 with a mixture of ethyl acetate/methanol/acetic acid (3:1:1, v/v/v) as a mobile phase. The  
149 spots were visualized by dipping the plate in a solution of acetic acid/sulfuric acid/absolute  
150 ethanol (1:1:10, v/v/v) then heated at 120 °C for 15 min on a TLC plate heater. The  
151 densitometric analysis was performed on CAMAG TLC Scanner 3. The scanning was  
152 performed at 500 nm in reflectance/absorption mode. Visualizer documentation system was  
153 used to take image of the TLC plate under the white light. Standard solutions of stevioside  
154 and rebaudioside A were prepared by solubilizing 10 mg of each standard compound in 10  
155 mL of methanol. The calibration of the method was performed by spotting increasing  
156 volumes of each standard solution to obtain six concentrations in the range 0.1–2 µg/spot.  
157 Stevioside and rebaudioside A quantification was performed in duplicate and all the recorded  
158 data were processed with WinCATS software (V 1.4.7.2018, Camag, Muttentz, Switzerland).  
159 The final results are expressed as the mean value ± SEM of three experiments for each  
160 analysis.

#### 161 2.5 Extraction procedures

##### 162 2.5.1 Kinetic study

163 A preliminary kinetic study was performed in a cylindrical glass reactor where 7 g of *Stevia*  
164 leaves were macerated at 25 °C in 70 mL of solvent. A magnetic stir bar was used to ensure  
165 homogenization of the mixture at 450 rpm during all the experiments. In order to follow the

166 kinetic, 1 mL of sample was collected from the reactor after 5, 10, 15, 30, 40, 60, and 90 min.  
167 The sample was transferred into a 2 mL microtube and centrifuged at 8875 g for 10 min  
168 (Sigma 4-16KS). The suspension was diluted in methanol and filtered through a 0.45  $\mu\text{m}$   
169 PTFE filter and stored at  $-20\text{ }^{\circ}\text{C}$  for subsequent analysis. Each extraction was performed in  
170 triplicate.

### 171 *2.5.2 Ultrasound-assisted extraction*

172 Seven grams of dried leaves were poured in 70 mL of solvent and extracted with ultrasonic  
173 device in 40 min. Throughout the sonication, a magnetic stir bar was used to ensure uniform  
174 absorption of ultrasonic energy and medium homogeneity. The sample was transferred into a  
175 2 mL microtube and centrifuged at 8875 g for 10 min. The suspension was diluted in  
176 methanol and filtered through a 0.45  $\mu\text{m}$  PTFE filter and stored at  $-20\text{ }^{\circ}\text{C}$  for subsequent  
177 analysis. Extraction experiments were made in triplicate (except for the experimental design).

### 178 *2.5.3 Conventional extraction*

179 Conventional extraction was carried out in the same conditions as above but without  
180 ultrasound. All experiments were carried out in triplicate.

## 181 *2.6 Experimental design study*

182 The investigation of the performance of UAE and the optimization of extraction parameters  
183 were performed by a response surface methodology (RSM) using the software  
184 STATGRAPHICS PLUS (Rockville, USA, 2000). Central composite design (CCD), also  
185 called Box-Wilson design, was used to evaluate the relevance and interaction of the three  
186 controlled factors in the extraction process, namely % of water, temperature, and sonication  
187 amplitude. This multivariate study provides a complete exploration of the experimental  
188 domain using a two-level full factorial design (coded  $\pm 1$ ), superimposed by center points  
189 (coded 0) and star points (coded  $\pm \alpha$ ) located on variable axes at a distance  $\alpha$  from the centre,  
190 thus establishing new extremes for the parameters of the factors involved, for a total of 20



191 experiments. The selected optimization responses were the concentrations of stevioside ( $Y_1$ ,  
192 mg/g dried leaves) and rebaudioside A ( $Y_2$ , mg/g dried leaves). An analysis of variance  
193 (ANOVA) with 95% confidence level was then carried out for each response variable in  
194 order to determine the validity of the model.

### 195 *2.7 Determination of ultrasound physical impact: localized sonication*

196 To evaluate the impact of ultrasounds on *Stevia* leave extraction yields, appropriately selected  
197 dry leaves were subjected to sonication at the operating conditions predicted by CCD. All the  
198 selected leaves (1.5 cm width, 5 cm length) were fixed in a perforated disk with a central  
199 opening diameter of 1 cm. The disk was introduced in a cylindrical glass reactor (volume 500  
200 mL) connected to a heating/cooling system (Huber, Germany) to maintain the temperature at  
201  $59.4 \pm 1$  °C. 300 mL of a TEAC:EG with 10% of water were then added. Localized  
202 sonication was achieved using the ultrasonic probe, fixed at the distance of 0.5 cm from the  
203 leaf. All experiments were carried out with 90% of the amplitude generated from the probe.  
204 Different duration (times) of sonication (30 sec, 1 min, 3 min) were investigated to highlight  
205 the ultrasound physical impact during the treatment. Untreated and treated leaves were  
206 subsequently analyzed by macroscopic technique. All experiments were carried out in  
207 triplicate.

### 208 *2.8 Macroscopic analysis*

209 To visualize the impact of ultrasound, sonicated and non-sonicated leaves were fixed on a  
210 microscope slide and were analyzed using a stereomicroscope (Leica EZ4, Leica  
211 Microsystems, Germany) with a magnification of 0.68. All experiments were carried out in  
212 triplicate.

### 213 *2.9 Determination of contact angles*

214 To determine the contact angles of different solvents, a drop (5  $\mu$ L) was gently dispensed on  
215 the surface of the dry leaf (1cm width, 5 cm length), previously fixed on a microscope slide

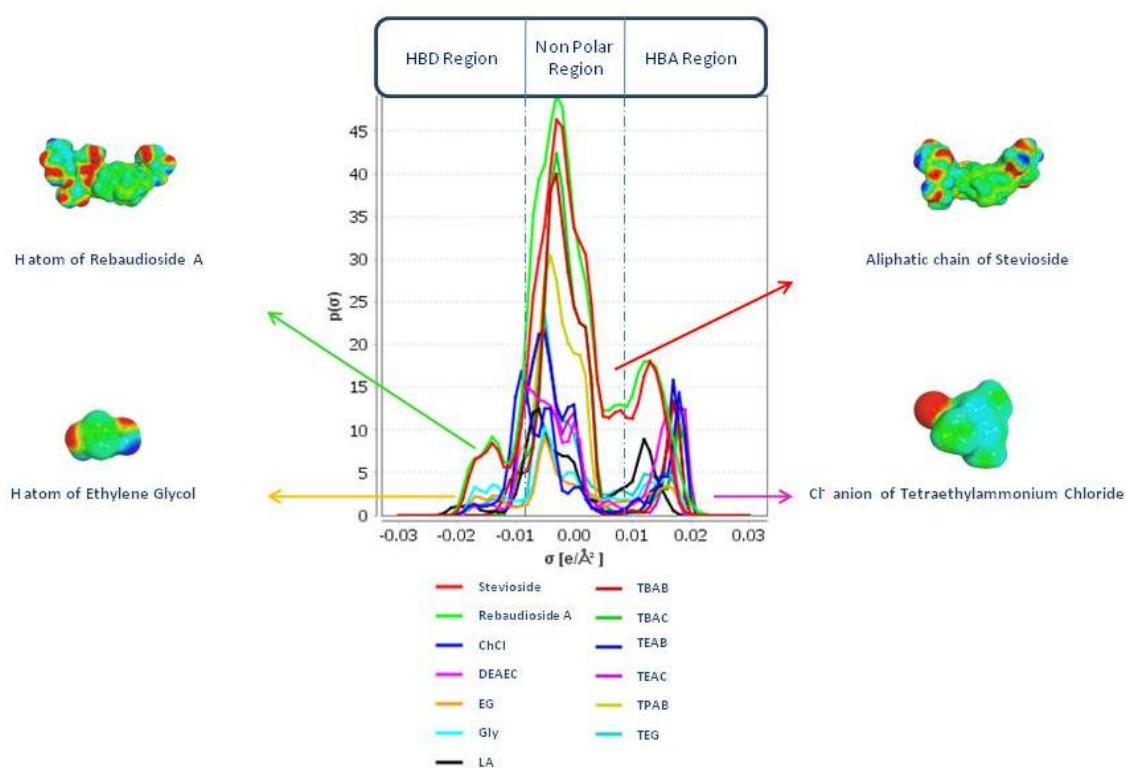
216 by a syringe (Agilent, 5162-9600). The contact angle was measured through an image  
217 analysis, using a camera (Nikon) arranged from the microscope slide to 20 cm (magnification  
218 20X) and the contact angle was calculated by a goniometer. All experiments were carried out  
219 in triplicate.

### 220 **3. Results and discussion**

#### 221 *3.1 Solvent screening: COSMO-RS simulation*

222 Nowadays, solvents and mixtures available for extraction processes are numerous as well as  
223 the computational theoretical models which represent a valuable resource for the estimation  
224 of the thermodynamic properties of solutions and mixtures. Through these calculations, it is  
225 possible to reduce resources, time, and spending needed to find the best extraction solvent at  
226 the initial stage of solvent skimming in the laboratory. CONductor-like Screening MOdel for  
227 Real Solvent (generally known as COSMO-RS), one of the most widely used computational  
228 theoretical models [26,27], has been chosen in our study. It is well-known that DESs can be  
229 prepared by mixing and heating at about 80 °C a suitable HBA and HBD. A mixture with a  
230 large depression of the melting point, which results lower than those of the single  
231 constituents, is obtained. Generally, quaternary ammonium salt possesses HBA properties,  
232 while alcohol, carboxylic acids, amines, ureas, or carbohydrates possess HBD properties.  
233 Twenty-six different DESs (resulting from the combination of seven HBAs and four HBDs)  
234 were selected among those reported in the literature and the computational study was carried  
235 out on seven representative glycosides contained in the *Stevia* leaves (stevioside,  
236 rebaudioside A, B, C, D, E, F)[28–30]. The used molar ratios are reported in Figure S1  
237 (Supplementary Material). In COSMO-RS,  $\sigma$ -profile (Figure 2) provides information about  
238 the molecular polarity distribution. For example, the broad peaks around  $-0.015 \text{ e}/\text{\AA}^2$  identify  
239 the H atoms of the alcoholic groups of stevioside or EG, whilst the narrow distribution of the  
240 charge densities around zero identifies the aliphatic chain of diterpene groups. Finally, all the

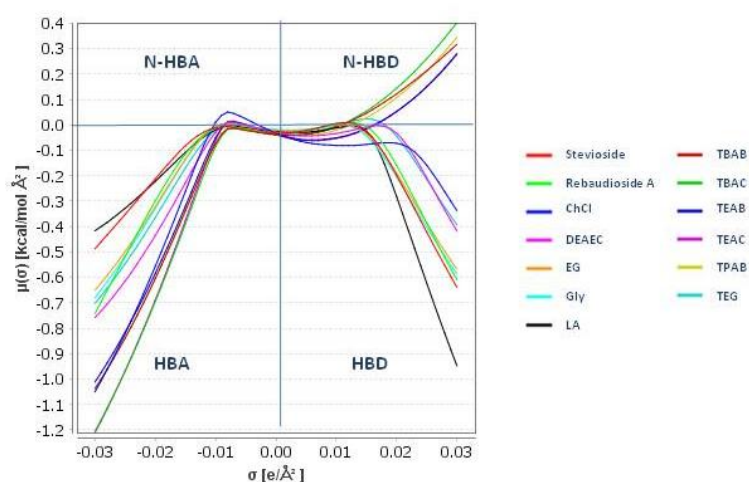
241 overlapping peaks at about  $+0.02 \text{ e}/\text{\AA}^2$  are related to chloride or bromide ions of the  
 242 considered ammonium salt. Similar information can be achieved by the  $\sigma$ -potential analysis  
 243 (Figure 3). In general, negative  $\mu(\sigma)$  values indicate HBA and HBD proprieties, in the left  
 244 and right quadrant, respectively. Conversely, non-HBA (N-HBA) and non-HBD (N-HBD)  
 245 groups are included in the upper left and right quadrants, respectively, having positive  $\mu(\sigma)$   
 246 values.



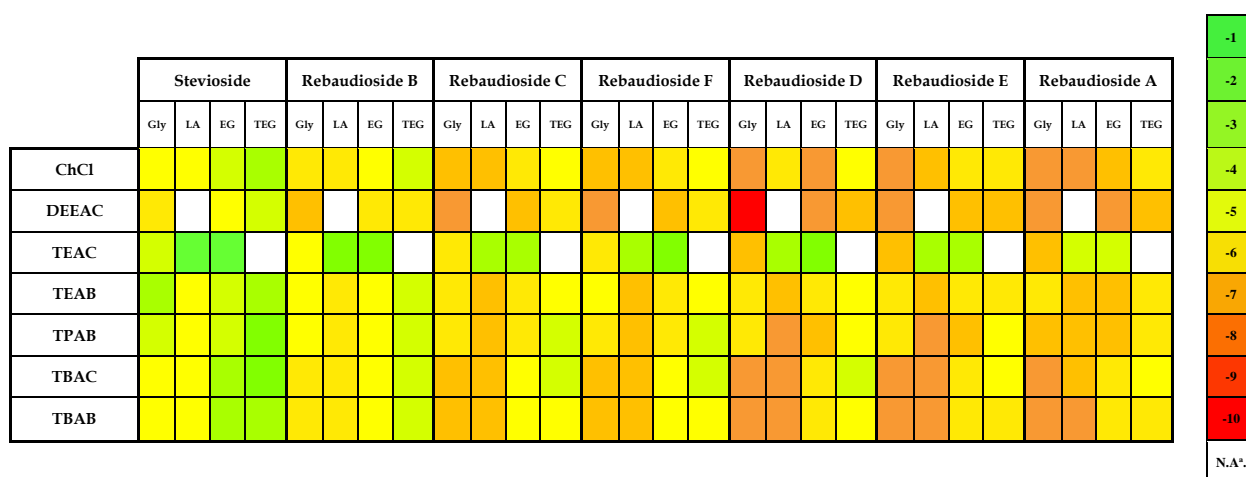
247 **Figure 2.**  $\sigma$ -profile of selected solutes and solvents calculated using COSMO-RS.

248 As concerns the compounds selected for our study, a symmetric shape of  $\sigma$ -potential (Figure 3) has  
 249 been obtained in the lower quadrants for steviol glycosides, EG, TEG, GLY, LA, all having both  
 250 HBA and HBD proprieties. Conversely, ammonium salts such as TEAC, TEAB, TPAB, TBAC, and  
 251 TBAB, which possess only HBA properties, showed an asymmetric trend from the HBA to the N-  
 252 HBD quadrant. The absolute solubility values, expressed in  $\log_{10}(x_j)$  and calculated for the selected  
 253 solutes (stevioside, rebaudioside A, B, C, D, E, F) in the 26 DESs chosen for the study, are reported

254 in Figure 4. A color scale with values ranging from  $-1$  to  $-10$  has been generated with values  
 255 included between  $-1$  and  $-4$ , depicted in green chromatic scale, indicating high solubility indices.  
 256 The results of COSMO-RS simulations show that the pair TEAC:EG is the best pair among all the  
 257 combinations of HBAs and HBDs for all the steviol glycosides. Therefore, it was chosen to carry  
 258 out the extraction process and our attention was focused in particular on the recovery of stevioside  
 259 and Rebaudioside A, which are notoriously the most abundant metabolites in *Stevia* leaves.



260 **Figure 3.**  $\sigma$ -potential of select solutes and solvents calculated using COSMO-RS.



261 **Figure 4.** COSMO-RS predicted solubilities of steviol glycosides in 26 DESs at 25 °C. <sup>a</sup>N.A.: Not  
 262 available. Values included between  $-1$  and  $-4$ , depicted in green chromatic scale, indicate high  
 263 solubility indices. Values included between  $-5$  and  $-7$ , depicted in yellow chromatic scale, indicate  
 264 medium solubility indices. Values included between  $-8$  and  $-10$ , depicted in red chromatic scale,  
 265 indicate lower solubility indices.

266 3.2 Kinetic study

267 At first, to evaluate the interaction between solvent and solute surface, solvent diffusion, solute  
268 diffusion, and solute transfer outside the matrix surface, a kinetic study was performed by  
269 conventional extraction [6]. Forecasting the difficulties we would have encountered in the UAE step  
270 due to the high TEAC:EG viscosity, this preliminary study was performed using DES plus 50%  
271 water. Quantitative analysis of extracted steviol glycosides was performed at different extraction  
272 times by HPTLC (Figure 5). The kinetic results obtained are depicted in Figure 6 and the  
273 corresponding values are reported in Table S2 (Supplementary Materials). The two glycosides were  
274 extracted to the same extent regardless of time of observation, with the maximum value reached at  
275 90 min ( $30 \pm 5$  mg/g and  $30 \pm 6$  mg/g for stevioside and rebaudioside A, respectively). On the other  
276 hand, the plateau observed after 40 minutes indicates that the extraction process could be stopped at  
277 this time since the metabolites are completely extracted from the plant.  
278 The kinetic study performed using TEAC:EG as such gave no detectable quantity of the two  
279 metabolites in the HPTLC analysis, thus indicating the need to use a certain amount of water to  
280 extract steviol glycosides from *Stevia* leaves.

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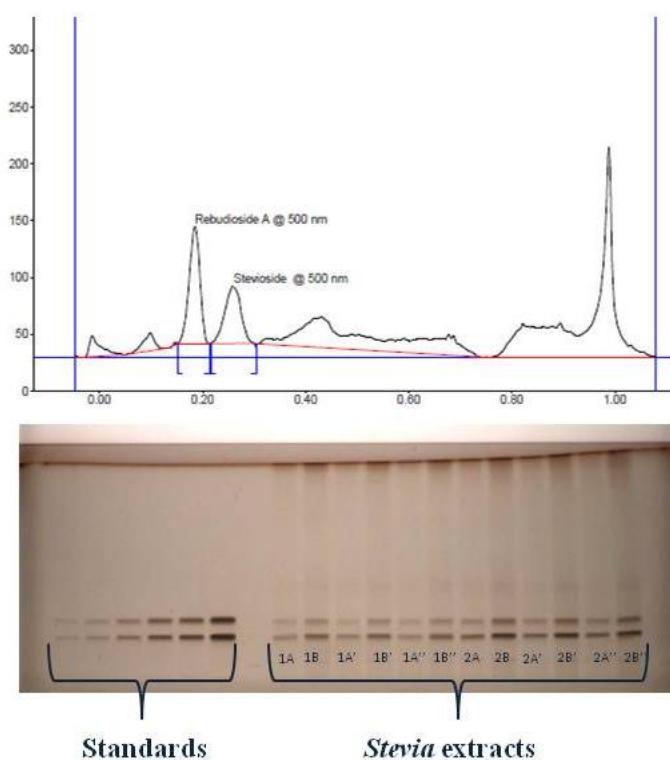
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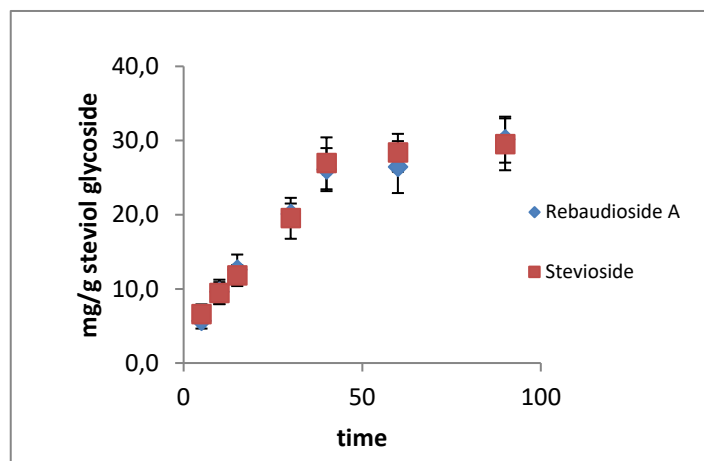
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291 **Figure 5.** HPTLC chromatogram of *Stevia* extract documented under white light illumination  
292 (down) and the densitogram at 500 nm (up). Tracks from left to right: 0.1, 0.2, 0.4, 0.8, 1, 2  $\mu\text{g}/\text{spot}$   
293 for both standards; *Stevia* extracts (5  $\mu\text{L}/\text{spot}$ ) after 60 min (1) and 90 min (2) diluted 40 (A) and 20  
294 (B) times, respectively.



295 **Figure 6.** Steviol glycosides extraction kinetics. Each point represents the average value of three  
296 experiments; bars represent standard deviations.

### 297 3.3 Central composite design

298 RSM was used to evaluate the influence of some variables on steviol glycosides extraction. In  
299 particular, % of water, sonication amplitude, and temperature were chosen as independent variables.  
300 The sonication amplitude control of the processor allowed for setting the ultrasonic vibration at the  
301 probe at any desired level between 32–82% (with a range 20–100% for  $\alpha$  values in CCD) of the  
302 nominal power. As water concerns, having used in kinetic study 50% of water which was useful to  
303 increase the extraction efficiency, the range 18–42% (with a range 9.8–50.2% for  $\alpha$  values in CCD)  
304 was chosen in RSM with the aim of reducing as much as possible the water content. Lòpez-Carbon  
305 et al. reported 75 °C as the optimal temperature for steviol glycosides extraction [4]. Therefore,  
306 temperature values ranging between 35–65 °C (with a range 25–75 °C for  $\alpha$  values in CCD) were  
307 chosen. The responses obtained by HPTLC analysis for each of the 20 experimental points are listed  
308 in Table 1. The predicted model can be described by the following second-order polynomial  
309 equations:

310  $Y_1 = -49.0727 + 1.79784 * X_1 + 0.346136 * X_2 + 1.28873 * X_3 - 0.0279728 * X_1^2 + 0.0281667 * X_1 * X_2 -$   
311  $0.0280167 * X_1 * X_3 - 0.0110436 * X_2^2 + 0.00568 * X_2 * X_3 - 0.00365892 * X_3^2$   
312  $Y_2 = -22.2866 + 0.872493 * X_1 + 0.328113 * X_2 + 1.11326 * X_3 - 0.0180648 * X_1^2 + 0.0332361 * X_1 * X_2 -$   
313  $0.029575 * X_1 * X_3 - 0.0120643 * X_2^2 + 0.00402 * X_2 * X_3 - 0.0009066 * X_3^2$   
314 where  $Y_1$  is the stevioside concentration (mg/g *Stevia*) and  $Y_2$  is the rebaudioside A concentration  
315 (mg/g *Stevia*).

316 **Table 1.** Box-Behnken design of process variables along with observed values for the Y response.

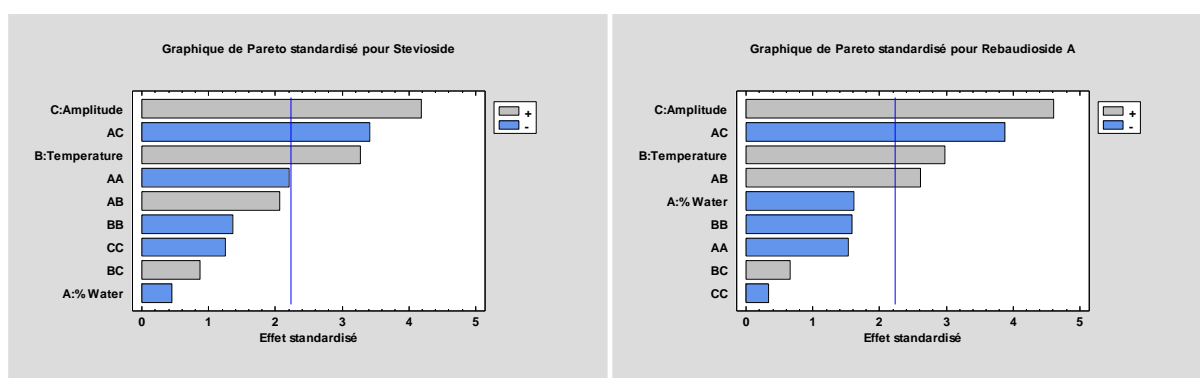
Treatment runs	Coded variables			Process variables			HPTLC Response mg/g			
	$x_1^a$	$x_2^b$	$x_3^c$	Water %	T °C	Amplitude %	$Y_1^d$	SD <sup>e</sup>	$Y_2^f$	SD <sup>e</sup>
1	0	$-\alpha$	0	30	24,77	57	24,7	2,4	31,3	2,1
2	+1	+1	-1	42	65	32	40,7	2,3	46,5	1,6
3	+1	+1	+1	42	65	82	60,1	4,2	63,4	4,4
4	0	0	$+\alpha$	30	50	99,04	47,7	1,7	56,0	1,3
5	0	0	0	30	50	57	41,1	0,4	45,7	1,0
6	0	$+\alpha$	0	30	75,23	57	37,5	1,8	39,9	3,6
7	0	0	0	30	50	57	41,0	2,9	45,3	1,1
8	$+\alpha$	0	0	50,18	50	57	19,9	0,5	27,4	2,1
9	+1	-1	+1	42	35	82	15,6	0,9	20,3	1,5
10	0	0	0	30	50	57	42,1	0,1	45,3	0,4
11	-1	-1	+1	18	35	82	47,0	2,3	58,9	0,9
12	+1	-1	-1	42	35	32	31,4	0,9	33,5	2,3
13	$-\alpha$	0	0	9,818	50	57	35,5	0,9	46,5	0,5
14	-1	-1	-1	18	35	32	11,1	0,4	20,0	1,2
15	0	0	$-\alpha$	30	50	14,96	19,7	1,6	28,9	0,7
16	-1	+1	+1	18	65	82	50,6	2,2	60,0	3,4
17	-1	+1	-1	18	65	32	25,5	2,3	31,5	3,3
18	0	0	0	30	50	57	42,0	0,5	45,7	1,0
19	0	0	0	30	50	57	42,1	1,9	44,9	0,9
20	0	0	0	30	50	57	41,6	0,6	45,1	1,2

<sup>a</sup>  $X_1$  is water (%); <sup>b</sup>  $X_2$  is temperature (°C);  $X_3$  is the amplitude (%), in section 3.3 are explained the level chosen for each one code variable; <sup>d</sup>  $Y_1$  is stevioside concentration (mg/g *Stevia*); <sup>e</sup> SD is the standard deviation; <sup>f</sup>  $Y_2$  is rebaudioside concentration (mg/g *Stevia*).

317 ANOVA data for stevioside and rebaudioside A are shown on a Pareto Chart (Figure 7), which  
318 represents the significant effects of all variables (linear and quadratic) and their interactions.  
319 Positive and negative effects of the factors in the response variable are represented by horizontal

320 bars while the dashed line represents the minimal magnitude of statistically significant effect (95%  
321 of the confidence interval) with respect to the response.

322 Both the response values were significantly affected by the linear terms amplitude and temperature  
323 ( $p < 0.05$ ) and, to a lesser extent, by the cross-product term between temperature and % of water ( $p$   
324 = 0.026). The greater effect of amplitude is certainly due to the ability of ultrasound irradiation,  
325 transmitted via solvent, to damage cell walls, thus improving metabolite diffusion. Hence, the  
326 higher the ultrasound irradiation power, the higher the extraction yields [31]. On the other hand,  
327 higher extraction temperatures reduce the viscosity of the extraction medium thus improving the  
328 extraction performance. The well-known positive effects of cavitation bubble collapsing on the  
329 surface of the leaves associated with increased temperatures have been previously reported in the  
330 literature [3].



331

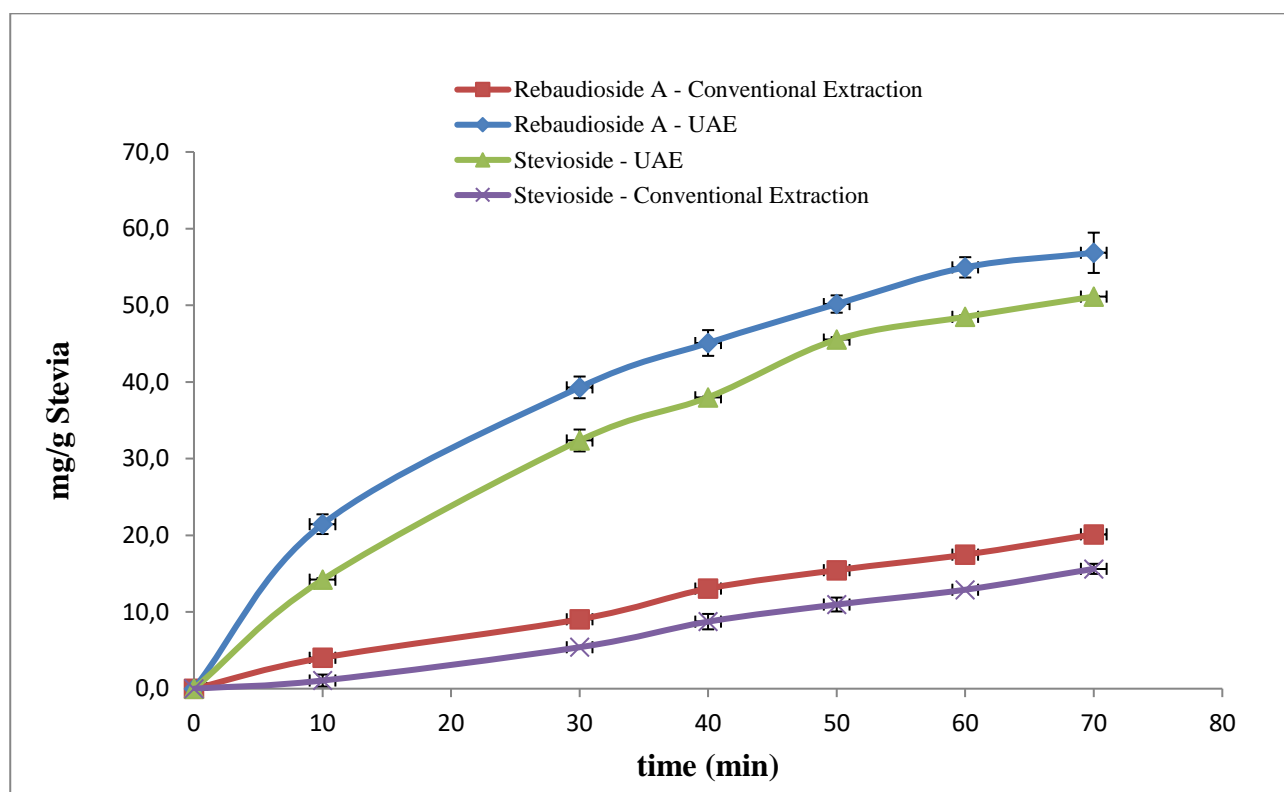
332 **Figure 7.** Standardized Pareto chart for stevioside (left) and rebaudioside A (right).

### 333 3.4 Comparison study between UAE and conventional extraction

334 Based on the quadratic model, the calculated optimum conditions for the ultrasound-assisted  
335 extraction of steviol glycosides from *Stevia* leaves were as follows: water content, 10%;  
336 temperature, 59.4 °C; amplitude, 90%. Thus, the extraction was carried out under these conditions  
337 and, with the aim of evaluating the influence of sonication on the extraction process, a conventional  
338 extraction was also performed at the same temperature and using the same water content. The  
339 quantities of extracted steviol glycosides were quantified by HPTLC and expressed as mg per gram  
340 of *Stevia* leaves (Figure 8). In particular,  $14.21 \pm 0.21$  mg/g of stevioside and  $21.4 \pm 1.3$  mg/g of



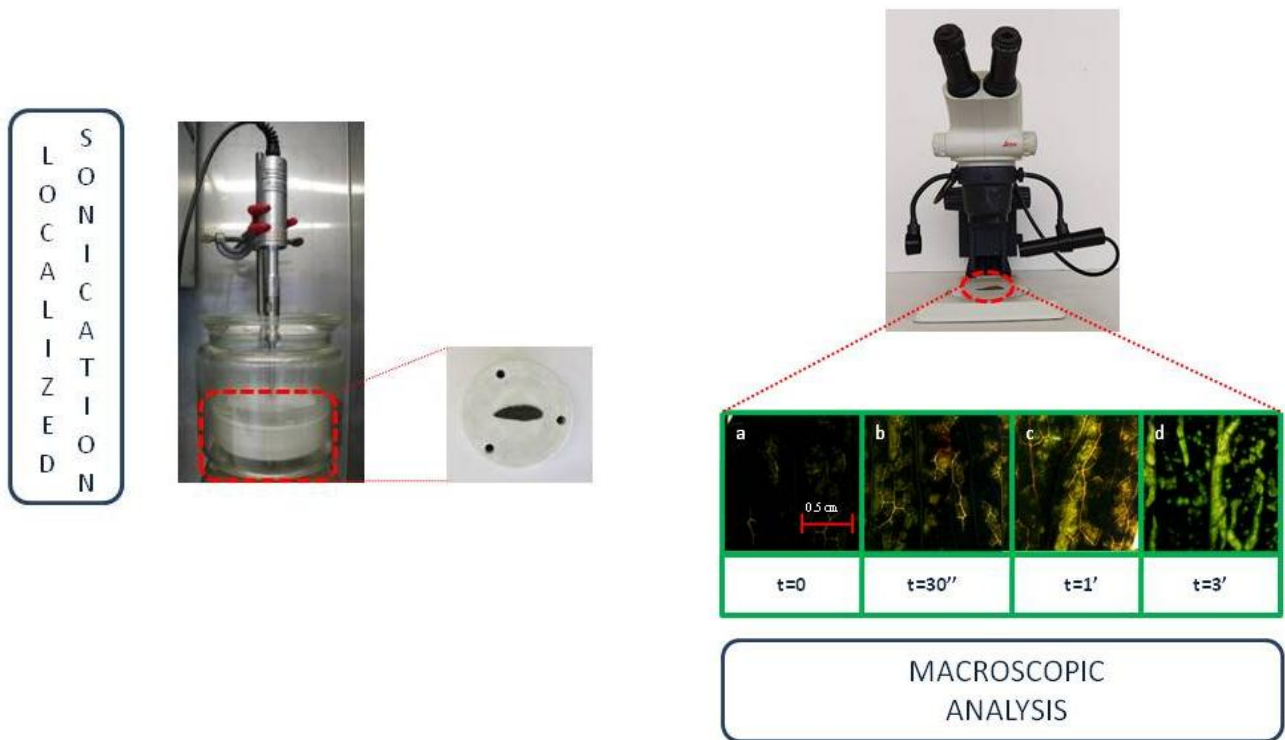
341 rebaudioside A were obtained after 10 min of sonication, with these values overlapping those  
342 obtained in 70 min of extraction ( $15.6 \pm 0.7$  mg/g and  $20.1 \pm 0.7$  mg/g, respectively). Furthermore,  
343 after 40 min of sonication the amounts of stevioside and rebaudioside A obtained were  $38 \pm 0.1$   
344 mg/g and  $45.1 \pm 1.7$  mg/g, respectively, demonstrating the powerful and the efficiency of DES-  
345 UAE proposed for steviol glycosides extraction. In addition, under the same extraction time and  
346 with a green solvent, the stevioside content obtained is four-fold higher than that the value reported  
347 by Rohuani [31]. Can we affirm that, the extractive method proposed is better than the method  
348 proposed recently by Rohuani. Moreover, comparing the quantities obtained with both techniques at  
349 the same time (70 min), the amount of steviol glycosides extracted by sonication was almost three  
350 times greater than that obtained by conventional extraction. Both results clearly demonstrate the  
351 usefulness of the ultrasonic effect, which was the only difference between the two experiments. The  
352 increased extraction efficiency is due to the cavitation as well as thermal effects resulting in cell  
353 wall disruptions, particle size reduction, and enhanced mass transfer across cell membrane.



354  
355 **Figure 8.** Steviol glycosides extracted by ultrasound-assisted extraction and conventional  
356 extraction.

357 3.5 Process impact on *Stevia* leaf structures

358 In order to verify the impact of ultrasounds on *Stevia* leaves, localized sonication and macroscopic  
359 investigation were carried out. Localized sonication was performed at  $59.4 \pm 1$  °C, 90% sonication  
360 amplitude, using TEAC:EG with 10% of water, and placing the probe 0.5 cm from the leaf. A  
361 stereomicroscope was used to examine the ultrasound effects. As can be seen in Figure 9, the  
362 alteration of cell structures caused by ultrasounds increases with increasing time, with the leaf  
363 surface damage being maximum in 3 min. At this time, the leaf tissues have lost their shape and  
364 show numerous breakdowns. These effects stem from the well-known cavitation process: the micro-  
365 bubbles formed inside the medium grow and collapse on a solid surface, generating micro-jets and

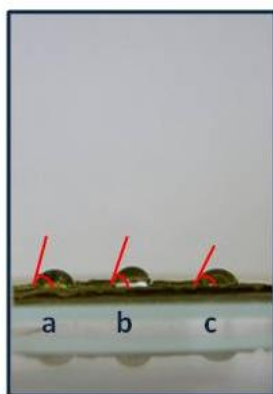


366 shock waves that determine erosion and fragmentation processes [32,33].

367 **Figure 9.** Experimental procedure: localized sonication and macroscopic investigation of *Stevia*  
368 leave structural modifications.

369 3.6 Proposition of a reaction mechanism

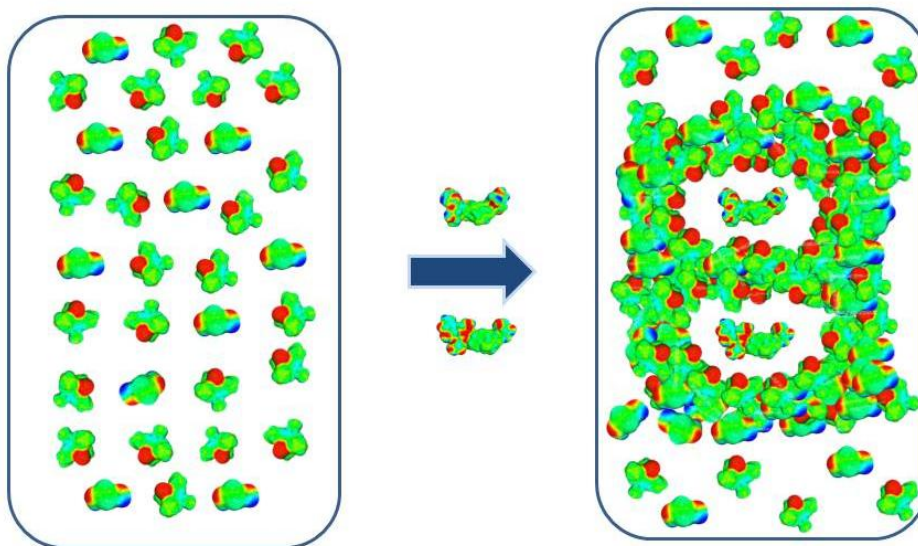
370 The plant extraction process can be described by thermodynamics or mass transfer mechanism  
371 which engenders a kinetic curve, as shown in Figure 8 [34]. Mass transfer depends on the  
372 wettability, defined by Brannan et al. as ‘the affinity of a fluid for a solid’[35], thus highlighting the  
373 pivotal role of the solvent in the extraction process. The wettability of a solid can be evaluated  
374 measuring the contact angle (CA,  $\theta$ ) of a liquid on the solid surface; CA values ranging between 0–  
375 90° indicate a good wettability, as opposed to CA values greater than 90°. In the present study,  
376 three different solvents were used for CA determination at 25 °C, namely TEAC:EG (molar ratio  
377 1:2), TEAC:EG with 10% water, and water (Figure 10). The CA of water on dried *Stevia* leaves was  
378 64°. The positive effect of water added to TEAC:EG was corroborated, with the CA being  
379 decreased from 75° to 71° when water increased to 10%.



380 **Figure 10.** Angle of contact investigation. (a) Tetraethylammonium Chloride : Ethylene glycol;  
381 (b) Tetraethylammonium Chloride : Ethylene glycol + 10% Water; (c) Water.

382 Bondarev et al. [35] reported that the epidermal structure of *Stevia* leaves is characterized, on both  
383 adaxial and abaxial leaf surfaces, by large trichomes, small trichomes, and glands, which represent  
384 the reserve of steviol glycosides. On the other hand, it is well known that in fruits and roots the  
385 solutes are distributed inside the solid matrix, whereas in flowers and leaves solutes are inside the

386 trichomes. Solid-liquid extraction is influenced by different parameters such as porosity and pore  
387 tortuosity, that can reduce the transport of the internal compounds towards the surrounding  
388 medium. [36] In the kinetic curve of UAE (Figure 8), three stages can be described: a constant  
389 extraction rate period (CER, 0–10 min), a falling extraction rate period (FER, 10–40 min), and a  
390 diffusion-controlled rate period (DC, 40–70 min) [37]. We suppose that the extraction of steviol  
391 glycosides could stem from the changes in the structure of the **cells** *Stevia* **leaves** **cells** arising from  
392 the presence of DES. In fact, to dissolve steviol glycosides, a DES solvent has to penetrate and  
393 destabilize the cell structure. In the first stage, DES molecules self-aggregate on the cell wall, with  
394 a reduction of surface forces at the interface that improves the cell wall's wettability.  
395 Subsequently, DES and water molecules can penetrate easily into ~~the cell *Stevia* structure and~~  
396 ~~access~~ the cell membrane. The penetration of DES into the cell wall and membrane structure  
397 probably induces molecular disorganization and alters the permeability of the membrane  
398 facilitating the solubilization of steviol glycosides **molecules** (Figure 11). [38]

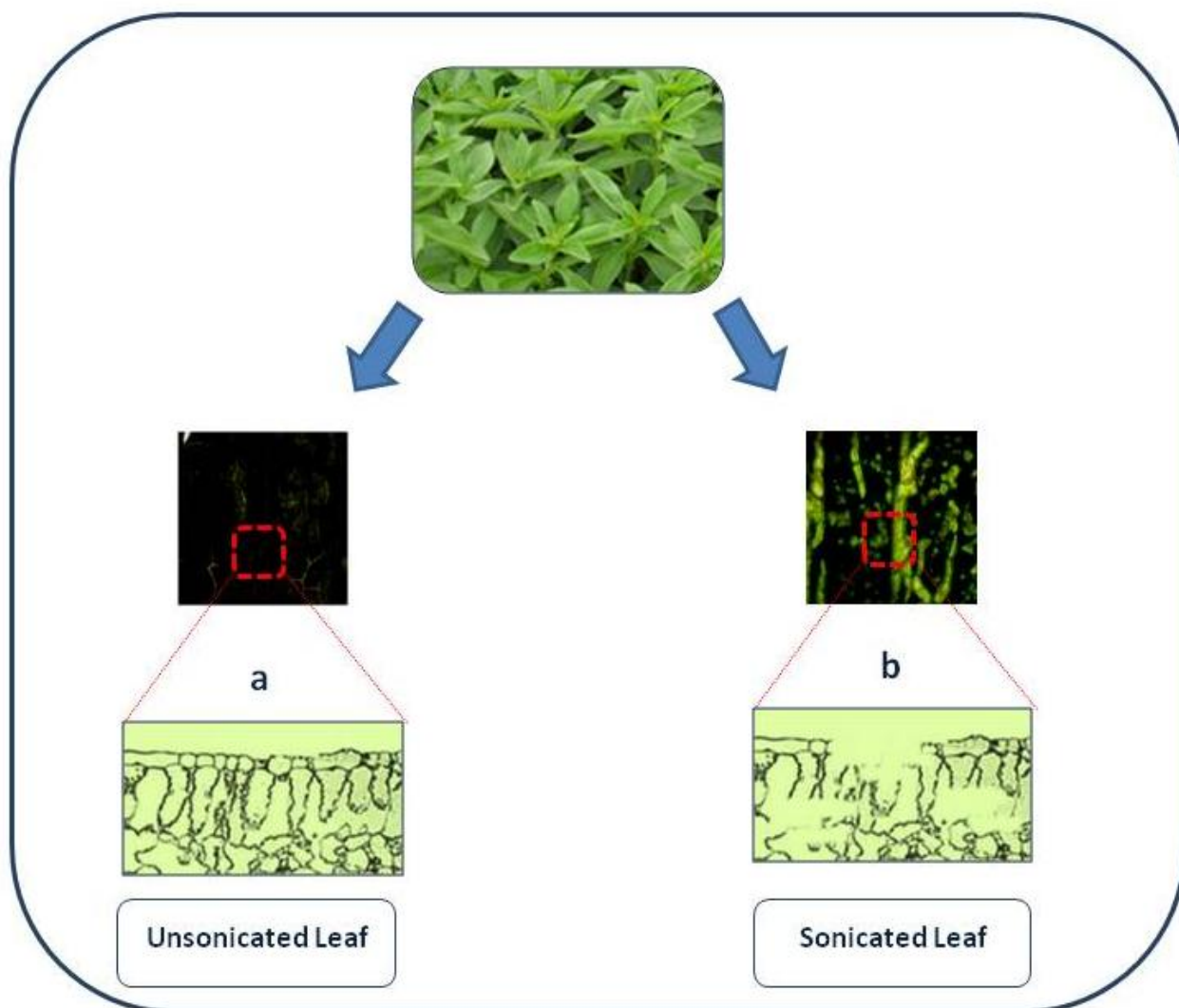


399

400 **Figure 11.** Cartoon representation of DES self-aggregation around rebaudioside A (**under the arrow**  
401 **and down in the right panel**) and stevioside (**over the arrow and up in the right panel**), to explain the  
402 steviol glycosides solubilization process.

403 Moreover, in the CER stage the ultrasound waves induce a longitudinal displacement of the solvent  
404 molecules which enhance the mass transfer of steviol glycosides, adsorbed on the matrix surface,  
405 into the solvent. Therefore, the highest extraction rate is reached, with surface phenomena  
406 occurring. In FER stage the pivotal role is played by the cavitation phenomena resulting from  
407 successive cycles of compression and rarefaction of the solvent molecules. During the rarefaction  
408 phase, the liquid experiences reduced pressure which generates a small cavity growing on  
409 successive rarefaction cycles, the cavitation bubble. After a few acoustic cycles, the bubble  
410 collapses onto the solid surface with the generation of microjets and shock waves responsible for  
411 the erosion and fragmentation of the matrix surface. Therefore, the solvent diffusion into the solid  
412 matrix is enhanced by ultrasound waves and the attractive forces holding the glycosides to the  
413 matrix are reduced. On the other hand, the superficial tissue disruption and macroscopic erosion  
414 have been demonstrated through the localized sonication study (Figure 12). Finally, the extraction  
415 rate decreases in the DC stage when the small amounts of residual solutes are extracted. Obviously,  
416 since no cavitation occurs in conventional extraction, the steviol glycosides content is lower than  
417 UAE at each stage of the extraction process. It is worth noting that steviol glycoside dissolution  
418 might be further favored by the presence of TEAC, representing the HBA in the DES we used,  
419 through the formation of hydrogen bonds with hydroxyl groups of stevioside and rebaudioside A,  
420 thus highlighting the success of the combination DES-UAE.

421



422 **Figure 12.** Investigation of *Stevia rebaudiana* leaf surface. (a) Visualization of the leaf before the  
 423 sonication treatment; (b) visualization after 3 min of localized sonication treatment with proposed  
 424 mechanism.

#### 425 **4. Conclusions**

426 In the present study, a green and efficient DES-UAE was developed to extract stevioside and  
 427 rebaudioside A from *Stevia rebaudiana* Bertoni. A COnductor-like Screening MOdel for real  
 428 solvent was used to predict the solubility indices for the selected solutes (stevioside, rebaudioside  
 429 A, B, C, D, E, F) in 26 different solvents and TEAC:EG has been chosen as the extraction solvent.  
 430 Furthermore, the response surface methodology has been applied to optimize the stevioside and  
 431 rebaudioside A extraction conditions which were 59.4 °C, 70 min, 90% amplitude, and TEAC:EG

432 (in molar ratio 1:2) with 10% of water. Under these conditions, the glycoside amount obtained by  
433 UAE was almost three times higher than that obtained by conventional extraction. Moreover, the  
434 DES-UAE method proposed is more efficiently than that recently reported by Rouhani [31]. A  
435 macroscopic investigation of sonicated and non-sonicated leaves was further carried out to  
436 demonstrate the impact of ultrasound waves on *Stevia* leaves. In conclusion, this study demonstrates  
437 for the first time the excellent synergism between DES and ultrasound in glycoside extraction from  
438 *Stevia rebaudiana* Bertoni.

#### 439 **Conflicts of interest**

440 There are no conflicts to declare.

#### 441 **Credit author statement**

442 Milani Gualtiero performed data curation, investigation and writing–original draft preparation.  
443 Maryline Vian performed methodology, software and validation. Maria Maddalena Cavalluzzi  
444 performed visualization. Carlo Franchini performed writing–review & editing. Filomena Corbo  
445 performed writing–review & editing. Giovanni Lentini performed supervision and visualization.  
446 Farid Chemat performed conceptualization, supervision and validation.

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