

1 <https://doi.org/10.1002/jsfa.13435>

2 **Title**

3 Feasibility of excitation-emission fluorescence spectroscopy in tandem with chemometrics for  
4 quantitation of *trans*-resveratrol in vine shoots ethanolic extracts

5 **Running title**

6 Analysis of resveratrol by fluorescence and multiway methods

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15 **Abstract**

16 **Background.** *Trans*-resveratrol (TR) is a well-known phytochemical compound with important biological  
17 properties, that could be recovered from agri-food by-products or wastes, such as vine shoots. Once  
18 recovered, its concentration should be measured, possibly in a green, non-destructive, and efficient  
19 manner. With these premises, this work aims to explore the feasibility of excitation-emission  
20 fluorescence spectroscopy combined with chemometrics for the analysis of TR in raw extracts obtained  
21 from vine shoots. A total of 75 extracts were produced and analysed by UPLC-DAD and  
22 spectrofluorimetry. Then, the feasibility of two calibration strategies (a PARAFAC based calibration and  
23 the NPLS regression) for TR quantitation was assessed.

24 **Results.** The extracts showed a variable content of TR, whose excitation/emission maxima were at  
25 around 305/390 nm, respectively. The best PARAFAC based calibration allows to obtain a RMSEP = 22.57  
26 mg L<sup>-1</sup>, and an RPD = 2.91 but a large number of PARAFAC components should be considered to improve  
27 the predictions. The results of the NPLS regression were slightly better, with a RMSEP = 19.47 mg L<sup>-1</sup>,  
28 and an RPD = 3.33, in the best case.

29 **Conclusion.** Fluorescence could be an alternative analytical technique to measure TR in complex  
30 samples. Chemometrics tools allowed the identification of the TR signal in the fluorescence landscapes  
31 that could be further used for its non-destructive quantitation. The need of a more accurate criterion  
32 for the optimal PARAFAC complexity emerged.

33 **Keywords:** Stilbenes; by-products; chemometrics; multiway; PARAFAC; NPLS.

## 34 **Introduction**

35 Stilbenoids are a family of natural polyphenolic compounds deriving from the secondary metabolism of  
36 many plants, known as phytoalexins. Their synthesis occurs primarily to cope with plant biotic stresses.<sup>1</sup>  
37 However, abiotic factors have also been shown to contribute to their formation (e.g., to protect plants  
38 from UV damage).<sup>2,3</sup> In recent years, numerous studies have been carried out on this category of  
39 compounds, especially on *trans*-resveratrol (TR), which is considered one of the most scientifically  
40 interesting stilbenes. Researches have shown that TR possesses important antioxidant, antimicrobial,  
41 antiaging, and anti-inflammatory properties; it has neuroprotective and cardioprotective functions; and  
42 it acts as an inhibitor of cell proliferation.<sup>4-7</sup> According to some authors, this stilbene could be used as  
43 an alternative anti-phytopathogen to protect plants such as grapevines from the most notorious fungal  
44 attacks and as a preservative to be used in post-harvest to protect fruits and vegetables.<sup>8,9</sup>

45 Since TR shows important benefits for health, agriculture, and other applications, its demand is  
46 expected to increase in multiple sectors.<sup>10</sup> In this context, attention is being turned to convenient  
47 sources of this compound, such as agri-food by-products and wastes. It is known that *Vitis vinifera* L.  
48 constitutes an excellent source of TR, which is found distributed throughout the whole plant, with  
49 relevance in the vine shoots, one of the main wastes of the grape/wine supply chain.<sup>11</sup> According to the  
50 literature, the concentration of TR in the vine shoots is mainly related to factors such as variety,  
51 geographical area, climatic conditions, and practices such as the storage of the vine shoots after  
52 pruning.<sup>10-14</sup> Recently Noviello et al. (2022)<sup>15</sup>, have proven that the heat pre-treatments applied to vine  
53 shoots before the extraction process had a slight impact on the TR concentration, compared to the  
54 genotype, which was the most important variable. Finally, also the extraction method has a crucial effect  
55 on the recovery of TR. Several works have investigated the use of solid-liquid extraction methods, even

56 combined with emerging technologies (such as ultrasound-assisted extraction or microwave-assisted  
57 extraction), to obtain raw TR-rich extracts from vine shoots.<sup>14-15</sup>

58 Apart from TR recovery, the need to develop analytical methods for its measurement has been  
59 highlighted.<sup>16</sup>

60 Typically, the quantification of TR is carried out by high-performance liquid chromatography (HPLC)  
61 coupled with diode array detection (DAD) or mass spectrometry (MS).<sup>11,16</sup> These traditional approaches  
62 allow targeted detection of TR, but have some limitations, as they are expensive and time-consuming.

63 Moreover, due to the huge consumption of organic solvents they cannot be considered “green”, and  
64 their applicability out of the labs or for real-time monitoring are challenging. Basically, chromatography

65 has the advantage of allowing the separation of TR from other analytes in the complex mixtures in which  
66 it could be found, and to quantify it by means of a proper calibration strategy. However, it is interesting

67 to know that the term “stilbene” derived from the Greek word “stilbos” meaning “shining”, that could  
68 be associated to the ability of stilbenes to emit light when excited by UV radiation.<sup>11</sup> Hence, by

69 leveraging the fluorescent properties of TR, and through the application of suitable chemometric tools  
70 <sup>17</sup> to the fluorescence signal of TR containing samples, chromatographic-like benefits, such as signal

71 extraction and quantification, could be obtained. In this case, the use of solvents and time is significantly  
72 reduced while the selectivity and sensitivity, typical of fluorescence spectroscopy are retained.<sup>18</sup>

73 Furthermore, fluorescence spectroscopy is already successfully used as non-destructive technique for  
74 on-line measurement in different fields.<sup>19</sup>

75 Several types of fluorescence spectroscopy exist, but, in the case of multicomponent systems, the most  
76 comprehensive characterization of a sample is obtained by collecting the excitation-emission matrix

77 (EEM).<sup>18</sup> A EEM consist of a series of emission spectra recorded at different excitation wavelength and  
78 represents a unique fingerprint of the measured sample. Each EEM of a sample is a data matrix

79 (excitation  $\lambda \times$  emission  $\lambda$ ) whose entries are the fluorescence intensity values per each excitation-  
80 emission combination. When more EEMs are collected, these could be stacked one over the other,  
81 resulting in a 3D data cube (also called a three-way array), and properly treated by using multiway  
82 chemometric methods.<sup>17,18,20</sup> Among these, parallel factor analysis (PARAFAC), N-way partial least  
83 squares (NPLS), are the most widely used algorithms for the exploration, characterization and  
84 quantification of fluorescent compounds present in food and non-food matrices.<sup>20</sup>

85 Based on these considerations, the present study aimed at assessing the feasibility of excitation-  
86 emission fluorescence spectroscopy combined with chemometrics for the analysis of TR in a complex  
87 matrix, represented by the raw ethanolic extracts obtained from vine shoots of several *Vitis vinifera* L.  
88 varieties.

## 89 **Materials and methods**

### 90 *Chemicals and Reagents*

91 Methanol ( $\geq 99.9\%$ ) was purchased from Honeywell (Honeywell International, Inc., Morristown, NJ, USA)  
92 while glacial acetic acid ( $\geq 99.7\%$ ) from JT Baker (Avantor Performance Materials LL, Center Valley, PA).  
93 All solvents were HPLC grade. Ultrapure water from an Elga Purelab Option R system (Veolia  
94 Environnement S.A., Paris, France) was used for preparing all solutions. Finally, ethanol for analysis  
95 ( $\geq 99.8\%$ ) was obtained from VWR (VWR BDH Chemicals, Rou d'Aurion, France) while *trans*-resveratrol  
96 standard from United States Pharmacopeia (USP, Rockville, MD, USA).

### 97 *Plant materials*

98 Vine shoots were collected in two different periods. The first set (dataset A, n = 52) include vine shoots  
99 collected in winter 2021 from a varietal collection located in Locorotondo (Puglia, Italy; coordinates:  
100 longitude 17°13'3.741" E, latitude 40°45'42.763" N), representative of 23 different varieties of *Vitis*  
101 *vinifera* L, together with vine shoots subjected to different post-harvest treatments, as reported in

102 Noviello et al.<sup>15</sup> The second series of samples (dataset B, n = 23) was collected in spring 2022 in part  
103 from the same collection located in Locorotondo (as dataset A), and partly from a vineyard located in  
104 Laterza (Puglia, Italy; coordinates: longitude 16°78'3.448" E, latitude 40°71' 1.255" N). These samples  
105 were representative of other 13 different varieties of *Vitis vinifera* L. For those varieties of which large  
106 amounts of vine shoots were available, at least two different specimens were collected and analyzed  
107 independently to extend the covered range of TR concentrations.

#### 108 *Extracts production and chromatographic analysis of TR*

109 The raw extracts were obtained as reported by Noviello et al.<sup>15</sup> In brief, after air drying, vine shoots were  
110 manually cut with a pruning shear (size about 2 cm long), milled using a hammer mill (Dietz-Motoren  
111 KG, Elektromotorenfabrik, 7319 Dettingen-teck, Germany), and immediately subjected to TR extraction  
112 performed according to Vergara et al.<sup>21</sup> with some modifications. About 2 g of ground vine shoots was  
113 added with 16 mL of an ethanol/water solution (80:20 v/v) and sonicated in an ultrasonic bath (CP104  
114 Standard Ultrasonic Cleaning Machine, CEIA, Padova, Italy) at room temperature and 50 Hz for 5 min.  
115 The extract was centrifuged at 10 000 × g for 5 min (SL 16R Centrifuge, Thermo Fisher Scientific, MA,  
116 USA), the supernatant was separated, filtered through Whatman filter paper (GE Healthcare, Milan,  
117 Italy) (67 g m<sup>-2</sup>), and then filtered using 0.45 µm nylon filters (Sartorius Stedim Biotech GmbH, Göttingen,  
118 Germany). The extraction was carried out once per sample. Once produced, the extracts have been  
119 stored at -18 °C and, before analysis, thawed and balanced at room temperature.

120 Raw vine shoots extracts were analyzed by UHPLC-DAD as described in Noviello et al.<sup>15</sup> Specifically, a  
121 UHPLC Dionex Ultimate 3000 system (Thermo Scientific, Munich, Germany) was used, consisting of an  
122 HPG-3200RS binary pump, WPS-3000RS autosampler, TCC-3000RS column oven, a DAD-3000RS  
123 photodiode array detector, and a fluorescence detector FLD-3400RS. HPLC separation was achieved by  
124 an Acclaim™ C18 column (120 Å 3 × 150 mm, 3 µm) maintained at 25 °C, using a mobile phase consisting

125 of 1% (v/v) acetic acid in Milli-Q water (A) and methanol (B). The flow rate was 0.6 mL min<sup>-1</sup> under the  
126 following gradient elution conditions: 0 min (20% B), 10 min (20% B) 6.5 min (37% B), 12.6 min (50% B)  
127 and 21.0 min (100% B). Under these conditions, TR retention time was 14.5 ± 0.1 min. The  
128 chromatograms were recorded at 306 nm. Quantification of TR was achieved by an external calibration  
129 curve prepared using TR standard solutions in the concentration range of 1-500 mg L<sup>-1</sup> (R<sup>2</sup> = 0.9993).  
130 The chromatograms were also registered by the FLD detector, set at an excitation wavelength of 350 nm  
131 and at an emission of 380 nm. The mean TR value of two technical replicates was reported and used for  
132 the multivariate data analysis.

#### 133 *Standard solutions of TR for PARAFAC based calibration*

134 Four standard (STD) solutions of TR (20, 50, 100, and 200 mg L<sup>-1</sup>) in ethanol/water (80/20, v/v) were  
135 prepared for the development of a PARAFAC based calibration model and submitted to fluorescence  
136 analysis. The excitation (at emission = 380 nm) and emission (at excitation = 300 nm) spectra of the TR  
137 standard solution at 200 mg L<sup>-1</sup> were used as references for comparison with the excitation and emission  
138 loadings profiles of the factors extracted by PARAFAC. The EEMs were collected once per each STD  
139 solution.

#### 140 *Spectrofluorimetric analysis*

141 The fluorescence measurements were carried out by using a Fluoromax 4 spectrofluorometer (Horiba  
142 Scientific, New Jersey, USA), in a right-angle acquisition geometry. Before each measurement, the  
143 extracts or the STD solutions were diluted 1:100 with the extraction mixture (ethanol/water, 80/20 v/v).  
144 EEMs were obtained by recording the emission spectra from 275 to 500 nm, with excitation wavelengths  
145 ranging from 220 to 360 nm, at 5 nm steps. The excitation and emission slits were set to 2 nm. The  
146 integration time was 1 s. The measurements were made in quartz cuvettes with an optical path length  
147 of 1 cm. A EEM of the blank (ethanol/water, 80/20 v/v) was collected under the same conditions. During

148 each measurement, the raw signal ( $S$ ), the corrected signal ( $S_c$ ), and the corrected reference signal ( $R_c$ )  
149 were acquired. The final signal used for further processing was the corrected and normalized signal  
150 ( $S_c/R_c$ ). The EEMs were collected once per each sample. The pH of 20 diluted samples was measured  
151 by a pHmeter (Hanna edge<sup>®</sup> HI2020, Hanna Instruments, Villafranca Padovana, Italy).

### 152 *Data elaboration and statistical analysis*

153 All the multiway data elaboration were carried out in MATLAB environment (R2021a, The MathWorks,  
154 Inc., Natick, MA, USA), using built-in functions and the PLS\_toolbox 9.1 (Eigenvector Research Inc.,  
155 Manson, WA, USA). The EEMs were exported as excel files (Microsoft, Redmond, WA, USA), imported  
156 in MATLAB, and arranged in a three-way array (sample  $\times$  excitation  $\lambda \times$  emission  $\lambda$ ). The array was then  
157 preprocessed by blank subtraction and first order Rayleigh scatter removal (half-width 5 nm) followed  
158 by replacement with interpolated data. Two different regression approaches were followed.

159 The first one was a PARAFAC based calibration model as reported in <sup>22</sup>. Each EEM is matrix of size  $J \times K$ ,  
160 where  $J$  is the number of excitation  $\lambda$ , and  $K$  is the number of emission  $\lambda$ . When more than one sample  
161 are present, these EEMs can be organized into a three-dimensional array, or a 3D data cube, with  
162 dimensions equal to  $I \times J \times K$ , where  $I$  represents the number of samples. Each entry in this array contains  
163 a value, identified by the three indices  $(i, j, k)$ , representing the fluorescence intensity of the  $i$ th sample  
164 for the  $j$ th emission  $\lambda$  and the  $k$ th excitation  $\lambda$ . PARAFAC allows to decompose this three-dimensional  
165 array into a set of trilinear components (or factors) that, under ideal conditions, suitable constrains, and  
166 a proper choice of the number of components, correspond to the fluorophores in the samples. In other  
167 words, PARAFAC extracts, or resolve, chemical meaningful signals from complex and multicomponent  
168 fluorescence landscapes. Being a trilinear decomposition, each component is described by a set of three  
169 loadings that correspond to i) the emission profile of the fluorophore, ii) the excitation profile, and iii)



170 the corresponding relative abundance within the samples of the dataset. In mathematical terms we  
171 have the following equation:

$$172 \quad x_{ijk} = \sum_{f=1}^F a_{if} \times b_{jf} \times c_{kf} + e_{ijk} \quad 23$$

173 where  $x_{ijk}$  is the fluorescence intensity registered for sample  $i$ , at the excitation wavelength  $j$ , and at  
174 the emission wavelength  $k$ . The trilinear array is decomposed into a triad made of sample loadings  $a_{if}$ ,  
175 excitation loadings  $b_{jf}$ , and emission loadings  $c_{kf}$  for each component  $f$ . Variations in the data not  
176 captured by the model are represented by the residual term  $e_{ijk}$ . For more details about PARAFAC the  
177 reader is referred to other sources.<sup>22-24</sup>

178 Hence, following the first regression strategy<sup>22</sup>, a unique tensor was built including the EEMs of the TR  
179 STD solutions together with those of the samples ( $79 \times 29 \times 46$ ). Then, it was decomposed by PARAFAC  
180 and, once the component of interest has been identified (in our case the one associated with TR) a  
181 linear calibration model was developed in the form: sample mode loadings vs TR concentration (of the  
182 STD solutions). Then, with this calibration line, the TR concentration in the extracts has been calculated  
183 (i.e., the predicted concentrations) starting from the PARAFAC loadings in the sample mode, and the  
184 predicted vs measured concentrations of TR have been compared. A schematic representation of this  
185 regression approach is reported in Figure S1. For PARAFAC decomposition, the non-negativity constraint  
186 was set for all the modes and core-consistency (CONCORDIA), split-half analysis, total variance  
187 explained, model residuals, loadings' meaningfulness, and loadings' correlation with the excitation and  
188 emission spectra of the TR standard were the diagnostics used to define the proper number of PARAFAC  
189 components.

190 The same elaboration was done also considering a reduced emission range, excluding the emissions  
191 wavelengths below 335 nm, as further discussed in the Results and Discussion section.

192 The second regression approach was NPLS. In this case, in the begin the whole dataset (n = 75) was split  
193 into a calibration set (n = 50) and a validation set (n = 25) by the onion algorithm of the PLS\_toolbox.  
194 The calibration set was preprocessed as already reported for PARAFAC, plus the mean-centering over  
195 the sample mode. The optimal number of latent variables (LVs) was defined by minimizing the cross-  
196 validation error (7 random splits and 5 iterations). Two NPLS regression models were developed using  
197 the full and the reduced emission range. The regression models were assessed by the coefficient of  
198 determination ( $R^2$ ), the root mean square errors (RMSE) in calibration (C), cross-validation (CV), and  
199 prediction (P), the percentage relative error in prediction (RE) and the relative prediction deviation  
200 (RPD) <sup>25</sup>.

201

## 202 **Results and discussion**

### 203 *Trans-resveratrol content of vine shoots extracts by reference analysis*

204 Table 1 shows the concentration of TR in the analyzed vine shoots extracts.

205

#### **Table 1.**

206 The mean concentration of TR was 93.03 mg L<sup>-1</sup> but varied strongly depending on the variety and the  
207 dataset considered. Minimum and maximum values of 0.42 and 203.90 mg L<sup>-1</sup> were registered for  
208 Malvasia Bianca and Negramaro, respectively, with an overall range equal to 203.48 mg L<sup>-1</sup>. Numerous  
209 studies have shown that variety is an important factor influencing the content of phenols in grapes and  
210 vines.<sup>15,21,26</sup> Many authors have found an important difference between white and red varieties, with  
211 the latter having the highest content of polyphenols.<sup>27,28</sup> Moreover, other factors such as the  
212 geographical location of the vineyard, the climatic conditions, the environmental stresses (such as sun  
213 exposure, temperature and the amount of water available), and even the mechanical treatments  
214 applied to the vine shoots (such as pruning, post-pruning conservation), affect the phenols content.

215 Finally, the concentration that could be found in raw extracts is also dependent on the kind of extraction  
216 used.<sup>14</sup> Overall, to our aim of developing and testing regression models for TR quantitation based on  
217 fluorescence, the great variability observed is welcome, allowing to cover a wide range of  
218 concentrations.

### 219 *Fluorescence characteristics of vine shoots extracts*

220 Figure 1 depicts the fluorescence landscape of four different specimens, two from dataset A (No. 48 and  
221 31 in Table 1) and two from dataset B (No. 68 and 67 in Table 1), having low and high TR concentration,  
222 respectively.

### 223 **Figure 1.**

224 As it could be observed, the fluorescence landscape could be different among the samples although the  
225 overall shape is quite consistent.

226 Two main fluorescence emission bands could be distinguished, characterized by excitation/emission  
227 maxima at nearly 235, 275/310 nm, and 240, 305/390 nm, respectively. In the samples with low TR  
228 concentration (Figure 1A and Figure 1C) emerged also an emission band at 390 nm with excitation at  
229 260 nm, that is probably masked when the intensity of the TR signal is predominant. According to the  
230 literature data, these bands could be associates with phenolic compounds, amino acids, or vitamins.<sup>29</sup>  
231 TR was reported to have excitation/emission maxima at 300/360 nm<sup>30</sup>, 330/400 nm<sup>20</sup>, or 300/380 nm<sup>31</sup>,  
232 depending on the solution considered and, consequently, it could be associated with our observed  
233 emission band at around 400 nm (Figure 1). It should be considered that environmental factors can  
234 cause shifts in the fluorescence peaks<sup>32</sup> and, in the case of TR, the pH affects its acid–base equilibria and  
235 isomerization, modifying the observed maxima.<sup>31</sup> However, in our case, the pH among the samples was  
236 quite similar ( $5.15 \pm 0.12$ ,  $n = 20$ ) (indeed all were diluted 1:100 with the ethanol/water (80/20 v/v)

237 mixture before the fluorescent measurements) and the observed TR fluorescence profiles were  
238 comparable to those reported in this weak acidic condition.<sup>31</sup>  
239 The EEMs of other samples (data not shown) were consistent with the one presented in Figure 1 for  
240 what concern the bands position (i.e., the excitation/emission maxima), although the fluorescence  
241 intensity pattern varied. In general terms, the observation of the fluorescence landscapes let us assume  
242 that i) the extracts were characterized by almost similar fluorophores, ii) whose amount (absolute and  
243 relative) in the extracts was different. For what concern the first assumption, the UHPLC-FLD data (Figure  
244 2) suggested the presence of 4 to 6 major fluorescent species, plus an unspecified number of other  
245 minor contributors (considering the detector excitation/emission settings). The second assumption is  
246 corroborated, for what concern TR, by the reference data which proved the great variability of such  
247 stilbene in the extracts under study (Table 1).

## 248 **Figure 2.**

### 249 *Regression models for TR quantitation*

250 The fluorescence landscapes prove that a signal referable to TR was present allowing us to proceed with  
251 the development of regression models for TR quantitation. For three-way data different regression  
252 approaches could be used, such as i) the bilinear PLS on the unfolded three-way array, ii) PARAFAC based  
253 calibrations, iii) the NPLS.<sup>24,33</sup> The application of common PLS has the disadvantage of requiring a  
254 bilinear decomposition of trilinear data. Thus, in this work this strategy was not followed, although other  
255 reports showed good results.<sup>34,35</sup> On the other hand, PARAFAC based calibrations have shown good  
256 results<sup>22</sup> and are often preferred because offer the so-called second-order advantage, i.e., the  
257 mathematical separation of the analyte signal and its determination in the presence of unmodeled  
258 components/interferents that are not included in the calibration set.<sup>36,37</sup> Thus, as a first approach, we  
259 tested the feasibility of a PARAFAC based calibration. The first step has been the decomposition of the

260 augmented tensor ( $79 \times 29 \times 46$ ) to retrieve the signal ascribable to TR to be used in the regression.

261 Table 2 reports the results of the PARAFAC decomposition.

262 **Table 2.**

263 The results showed that by increasing the model complexity, the CONCORDIA value tend to decrease,  
264 as already known <sup>24</sup>, as well as the split-half quality. On the other hand, the explained variance of the  
265 PARAFAC model tend to increase, more consistently up to the 5<sup>th</sup> component and, after that, only slight  
266 improvements are registered up to the 12<sup>th</sup> component. The average model residuals (Figure S2) are in  
267 accordance with these observations. Considering the profiles of the extracted factors (Figure 3 and  
268 Figure S3), the 1-component model was clearly under-specified, with both the excitation and emission  
269 profiles not-well resolved. Thereafter, more meaningful profiles were progressively extracted and, at  
270 the same time, an increasing correlation of one of the extracted components with the excitation and  
271 emission profiles of TR STD was observed (Table 2).

272 **Figure 3.**

273 At a first glance, the optimal model complexity, that allowed to extract the factor that best correlates  
274 with TR excitation and emission spectra, seem to have 5 or 6 components. In both the cases, component  
275 1 was the best correlated, and thus ascribable, to TR. Following, a calibration line was built by using the  
276 PARAFAC loadings in the sample mode of the STD solutions (considering component 1; Figure 3) and the  
277 relative concentrations and used to predict the TR concentrations in the extracts. The results are  
278 presented in Table 3, while Figure 4 shows the fit and predicted vs measured concentrations plot for the  
279 6-components PARAFAC model.

280 **Table 3.**

281 **Figure 4.**

282 The regression models in calibration were excellent, as shown by the  $R^2$  and the RMSEC. However, when  
283 it comes to predict the TR concentration in the extracts, a general over-estimation of TR was observed  
284 (Figure 4B). The results were poor and unsatisfactory with a very high RMSEP and relative error. The  
285 RPD values could also be considered as very poor.<sup>38</sup> These bad results in prediction might be due to a  
286 non-optimal extraction of the TR component by the PARAFAC model. In fact, if the signal is not well  
287 resolved, suffering from the overlapping of other fluorophores, additive effects could cause an over-  
288 estimation. With this regard, Halberg and colleagues<sup>39</sup> have critically shown that in real complex  
289 matrices, as it could be considered an ethanolic extract from vine shoots, often more components than  
290 those found optimal by common metrics (such as core consistency or split-half analysis) should be  
291 considered. In our study, this observation is corroborated by Figure 2 that shows that a higher number  
292 of minor fluorophores could be observed by HPLC.

293 According to these premises, the best results were obtained with a 12-components model. Figure 5  
294 shows the fit and predicted vs measured concentrations plot while in Table S2 the predicted TR  
295 concentrations could be observed.

296

#### **Figure 5.**

297 In this case, by using the factor ascribed to TR (factor no. 2), the improvement in the prediction  
298 performance respect to the 5 or 6 component model is evident (Table 3). Thus, although some of the  
299 extracted components' loadings could not have a clear chemical meaning, it seems worthing extracting  
300 them in order to retrieve a better resolved TR component.

301 Considering that the lower emission wavelengths were dominated by other signals, not ascribable to TR  
302 (Figure 1), we also tried to reduce the emission range deleting the emission  $< 335$  nm. With this new  
303 dataset ( $79 \times 29 \times 34$ ) the same strategy presented for the full emission range was followed. Even in this  
304 case, with a few number of components the predicted concentrations were over-estimated. By

305 increasing the number of PARAFAC components, it was observed an improvement of the results, again  
306 up to 12 components. The prediction results were little worse ( $R^2 = 0.876$ , a RMSEP = 22.99 mg L<sup>-1</sup>, a RE  
307 = 25%, and an RPD = 2.85) than those obtained by using the full emission range.

308 As already commented, it seems that many components should be considered to reduce the prediction  
309 error and, overall, it emerged that the optimal PARAFAC complexity for the subsequent quantitation of  
310 TR could not be easily achieved by only considering the best correlation with the TR excitation/emission  
311 spectra. The need of a more accurate criterion came up. On the other hand, the second order advantage  
312 of PARAFAC based calibration emerged, as it has been possible to predict the TR content in complex  
313 samples having several interferent signals by only calibrating the system on four TR standard solutions.  
314 Despite the prediction of TR in our context has been revealed challenging, the results appear promising  
315 and in line, or even better, than those reported by Cabrera-Bañegil et al. (2019)<sup>20</sup> for the prediction of  
316 TR in diethyl ether extracts of grapes, although the regression strategy was not the same. In fact, the  
317 authors reported a relative error of prediction (REP) of 20.01% in calibration while, in prediction, the  
318 REP increased reaching 56.62%. At the same time, it is worth recall that the use of PARAFAC based  
319 calibration has demonstrated effectiveness in different contexts. Examples span from the agri-food  
320 sector, to the clinical one, and others.<sup>22-24</sup>

321 Finally, also NPLS regression models were computed. Table 4 reports the results of the regression, while  
322 Table S1 presents the statistics of the calibration and validation sets. The established regression models  
323 were satisfactory in both calibration and cross-validation. Furthermore, a good prediction accuracy was  
324 obtained. The performance of the models was almost similar considering the entire or the reduced  
325 emission range, although the former performed slightly better, in accordance with what already  
326 observed in the case of PARAFAC based calibration. The predictions of the TR concentration in the test  
327 set could be observed in Table S2.

328

**Table 4.**

329



## Conclusions

The development of rapid methods for quantitation of bioactive compounds is a hot topic that matches the modern requirement of clean analytical methods, and chemometrics tools offer an invaluable help to reach this goal. *Trans*-resveratrol is an important bioactive found in food but also used as supplement in diets or in the cosmetics field and its recovery from wastes or by-products is welcome. It has been proved that ethanolic extracts of vine shoots could be a source of TR which, in any case, should be quantified. Given his fluorescence properties, the feasibility of a spectrofluorimetric method coupled with chemometric has been tested. The results showed that raw vine shoots extracts are variable in TR concentration as well as for what concern the presence and abundance of interfering compounds. PARAFAC decomposition allow to retrieve a component with excitation and emission profiles highly correlated with those of standard TR, suitable for its quantitation. Nonetheless, based solely on this criterion, the optimal components model gave a biased estimation of TR that could be linked to interference from other fluorescent components.

The prediction was improved by increasing the number of PARAFAC components used in the tensor decomposition. The second-order advantage seems thus exploited, although the need of an objective way of selecting the best number of PARAFAC factors emerged, as the sole correlation of a factor with TR excitation and emission spectra did not ensure the optimal results. The NPLS regression gave also promising results, slightly better than those observed with the PARAFAC based calibration.

Overall, considering the general aim of this work, we can conclude that fluorescence spectroscopy in tandem with chemometrics seems promising for the development of non-destructive, convenient, and rapid methods of analysis of TR in complex matrices, although more efforts are needed to rich a definitive analytical protocol. This could open the doors to new and innovative applications in the agri-food sector, also matching the latest trends in on-line and real-time measurements.

**Conflict of interest**

All authors have declared no conflicts of interest.

**Credit author statement**

Antonio Francesco Caputi: Conceptualization, Formal analysis, Investigation, Writing - Original Draft, Writing - Review & Editing; Giacomo Squeo: Conceptualization, Methodology, Software, Formal analysis, Investigation, Writing - Original Draft, Writing - Review & Editing; Ewa Sikorska: Conceptualization, Methodology, Formal analysis, Writing - Review & Editing; Roccangelo Silletti: Investigation, Writing - Review and Editing; Mirella Noviello: Investigation, Formal analysis, Writing - Review & Editing; Antonella Pasqualone: Visualization, Writing - Review & Editing; Carmine Summo: Formal analysis, Writing - Review & Editing; Francesco Caponio: Conceptualization, Resources, Writing - Review & Editing, Supervision, Project administration. All authors have read and agreed to the published version of the manuscript.

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## Figure Legends

**Figure 1.** Example of EEMs of vine shoots extracts. Contour plots of four different specimens, two from dataset A (No. 48 and 31 in Table 1; indicated as A and B) and two from dataset B (No. 68 and 67 in Table 1; indicated as C and D), having low and high TR concentration, respectively.

**Figure 2.** Example of UHPLC-FLD (excitation = 350 nm, emission = 380 nm) chromatogram of a vine shoots extract. The TR peak is marked.

**Figure 3.** Excitation and emission spectral loadings of the PARAFAC models with 1 (A), 5 (B), 6 (C), and 12 (D) components.

**Figure 4.** Regression line between sample mode loadings of standard solutions of TR and the relative concentration (A), and predicted vs measured TR concentrations in the extracts (B) for a 6-components PARAFAC model (component 1 sample mode scores were used for the regression).

**Figure 5.** Regression line between sample mode loadings of standard solutions of TR and the relative concentration (A), and predicted vs measured TR concentrations in the extracts (B) for a 12-components PARAFAC model (component 2 sample mode scores were used for the regression).

## Appendices

Submitted as Supplementary material.



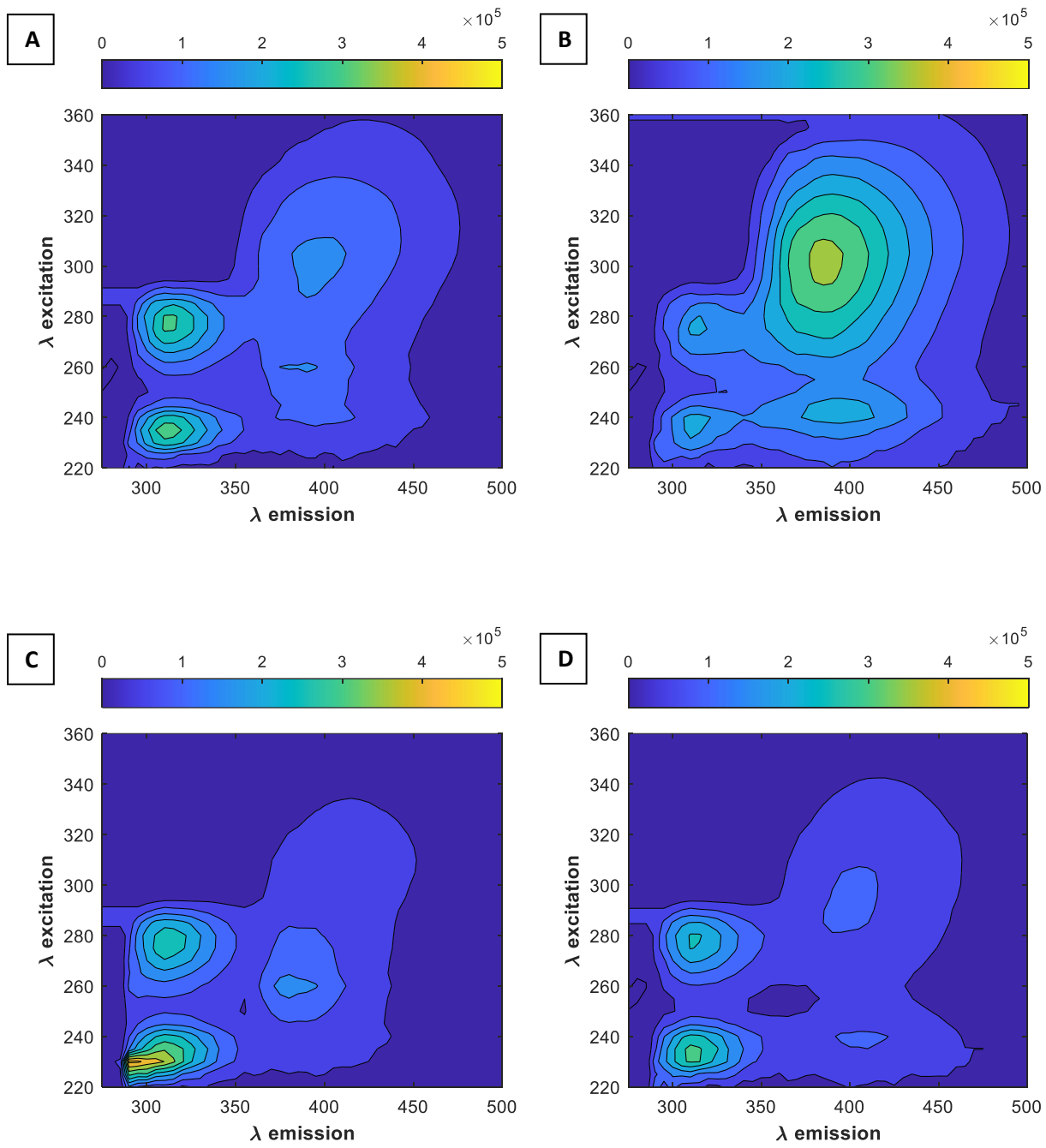


Figure 1.

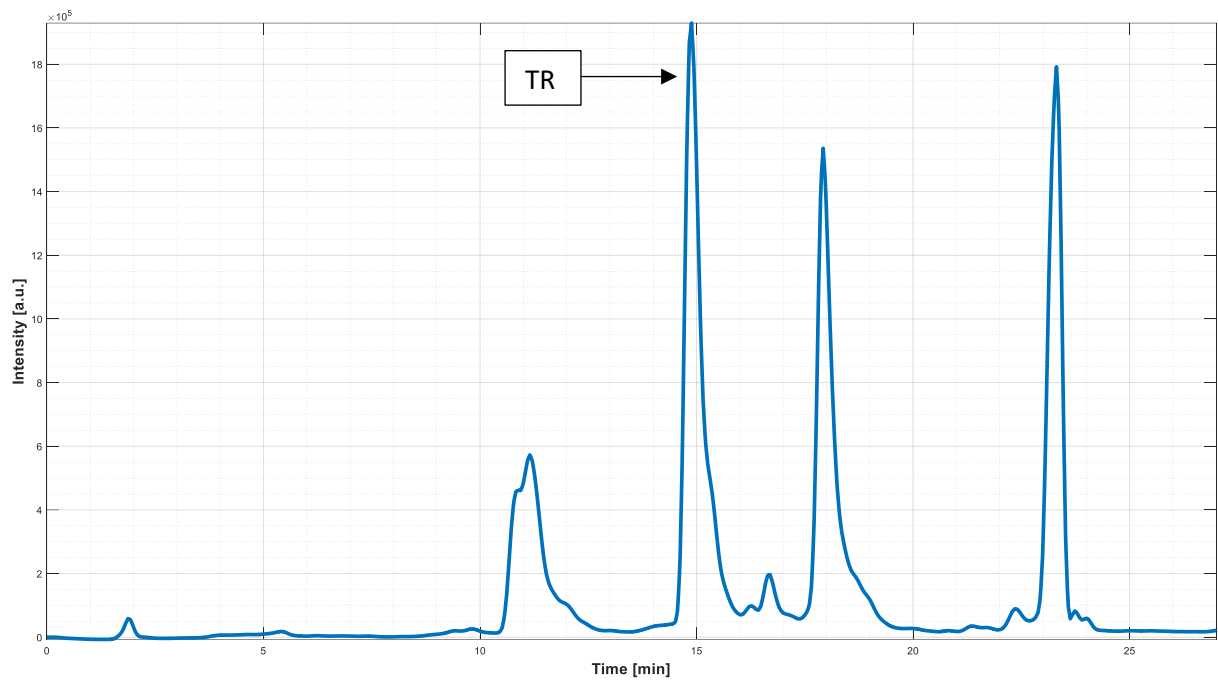


Figure 2.

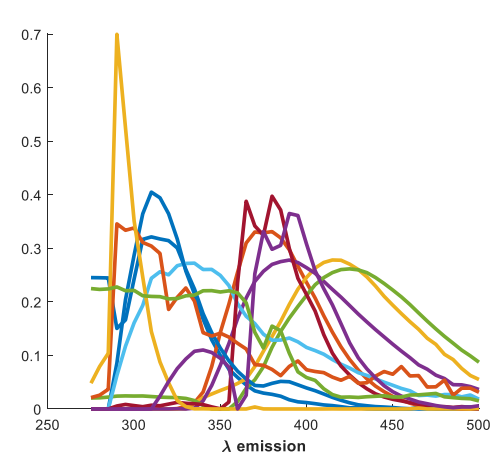
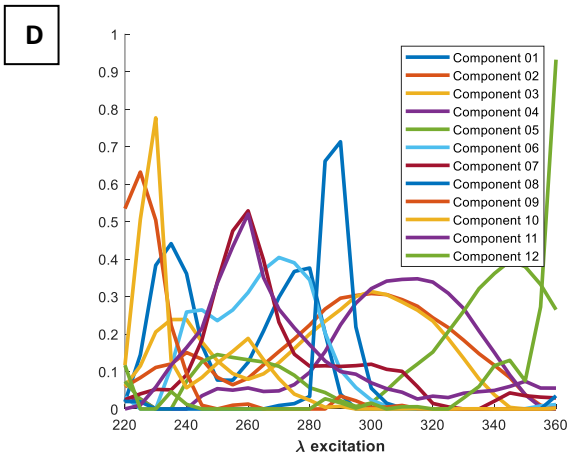
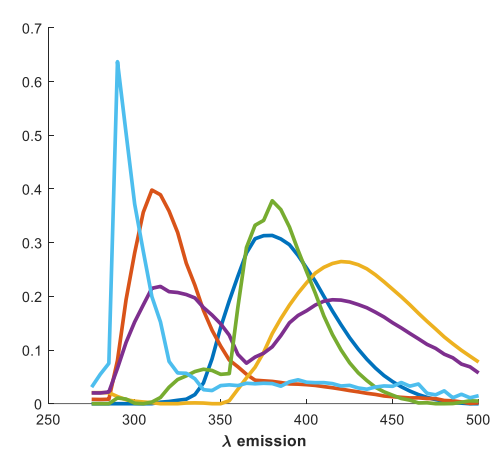
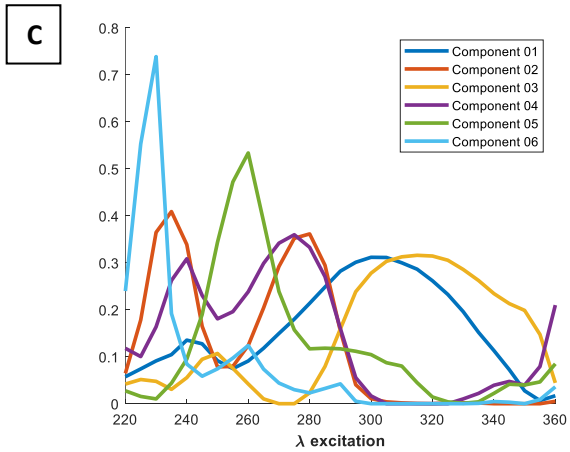
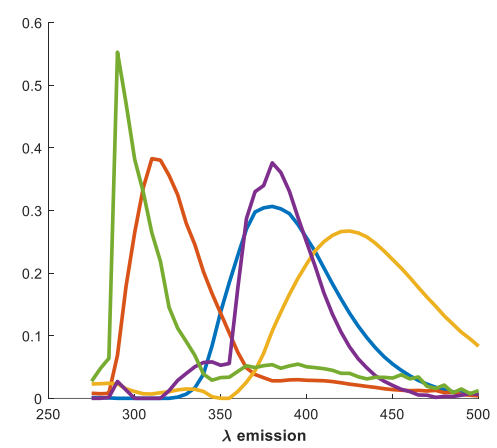
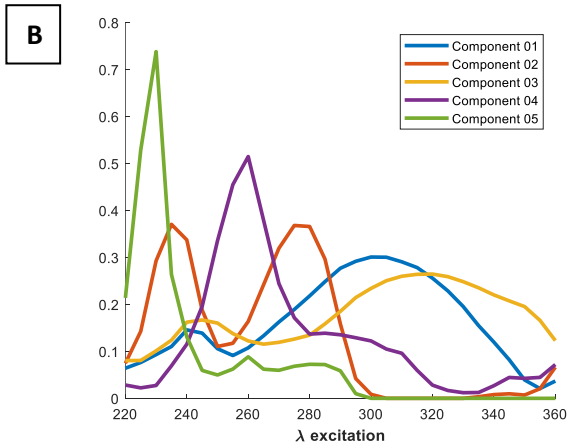
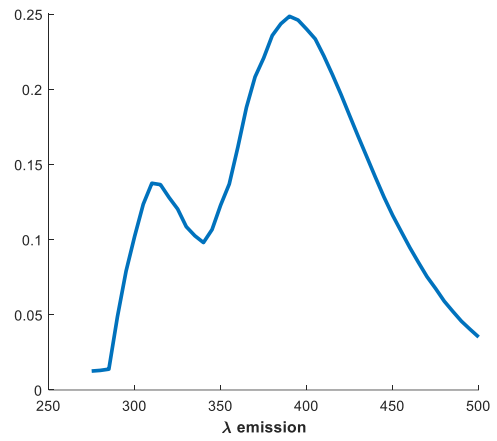
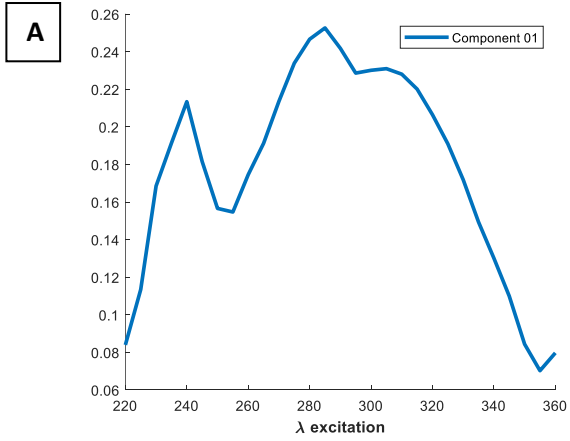


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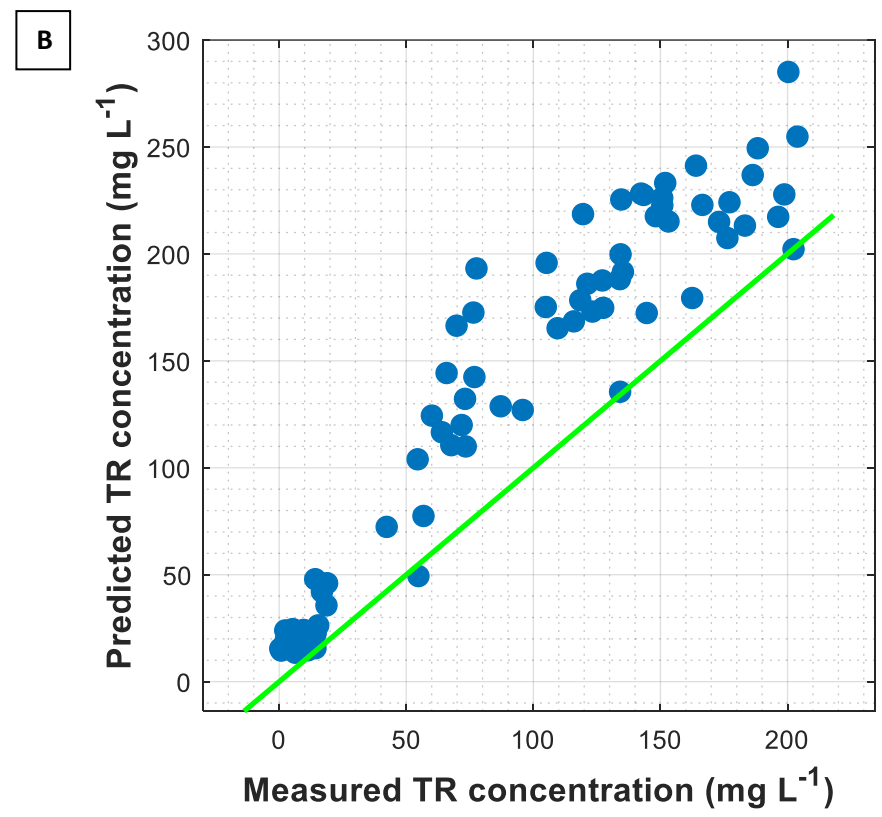
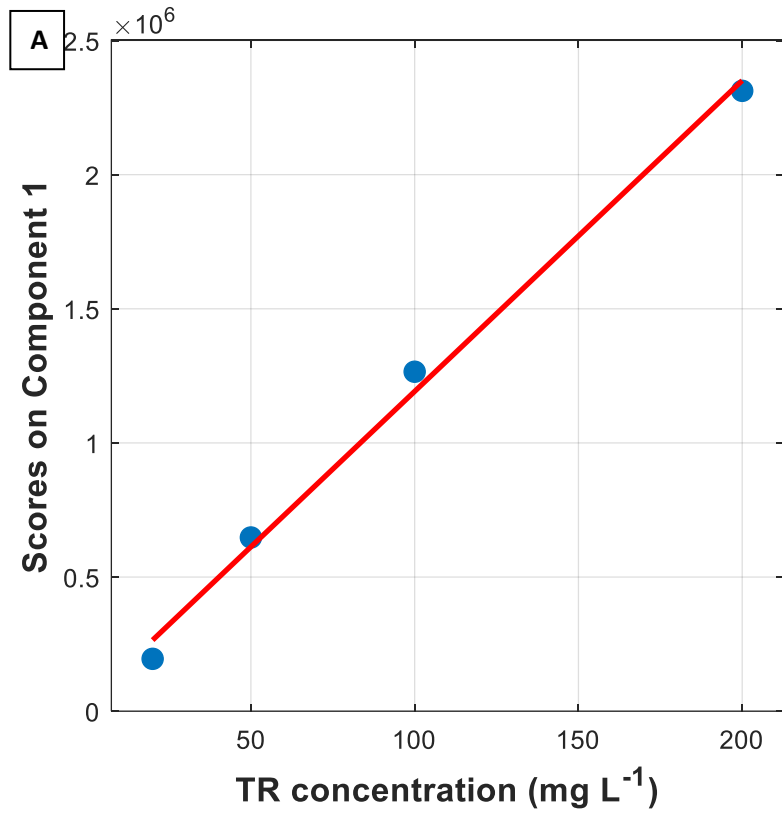


Figure 4.

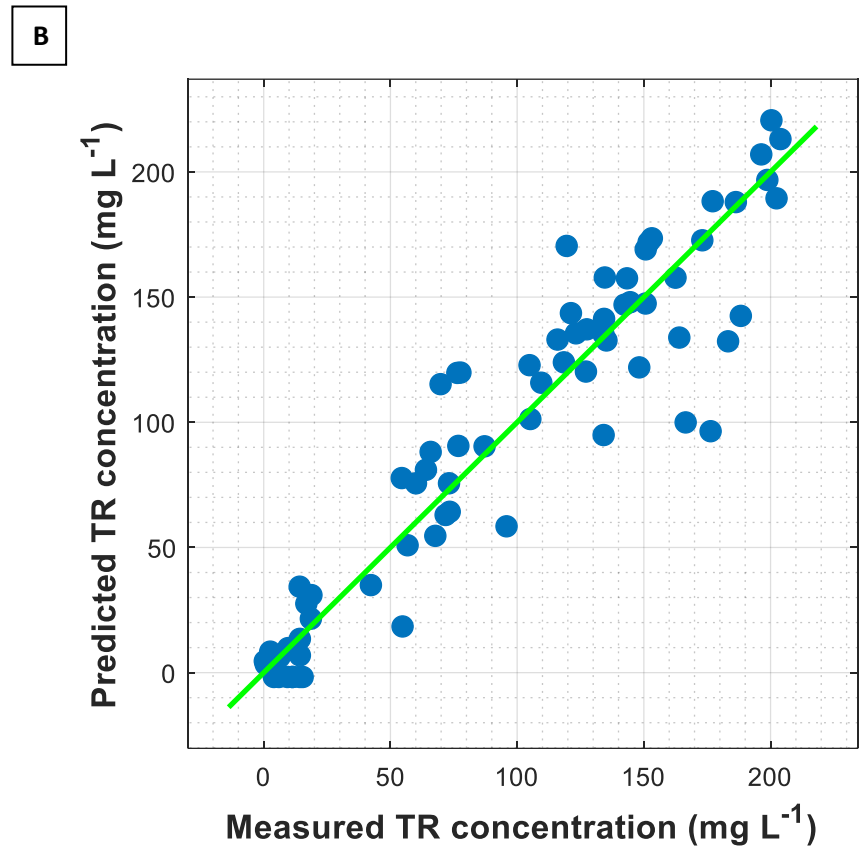
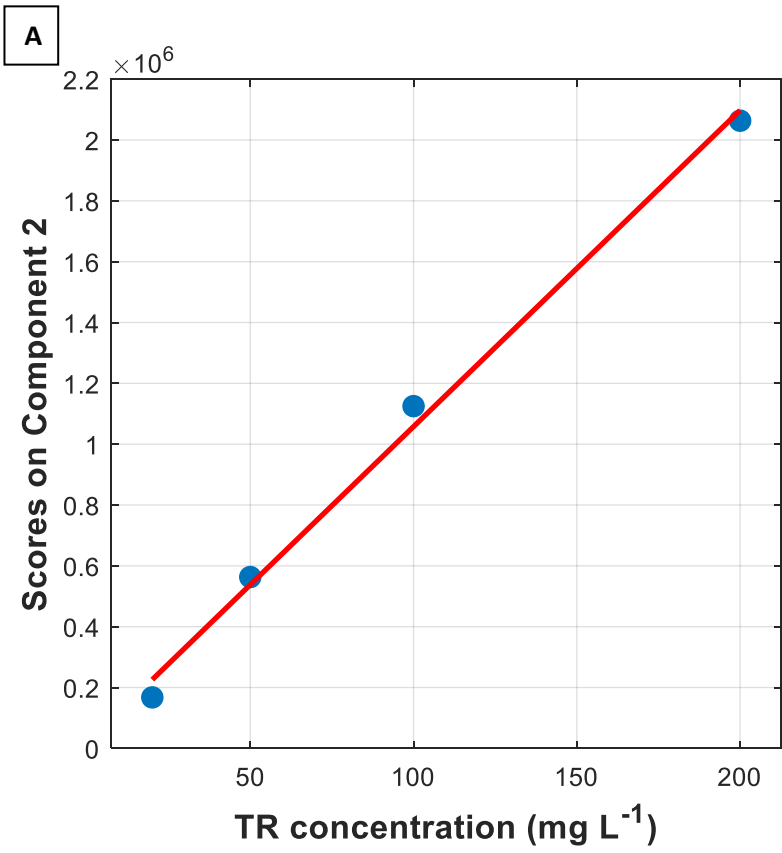


Figure 5.

**Table 1.** *Trans*-resveratrol concentration (mg L<sup>-1</sup>) in the vine shoots extracts.

Set	#	Variety	TR concentration †
A	1	Aglianico	76.79 ± 0.75
A	2	Bianco d'Alessano	115.93 ± 0.65
A	3	Bianco d'Alessano	127.47 ± 0.60
A	4	Bombino Bianco	123.22 ± 0.55
A	5	Bombino Bianco	134.13 ± 0.23
A	6	Bombino Nero	105.20 ± 0.47
A	7	Bombino Nero	121.22 ± 1.96
A	8	Ciliegiolo	95.81 ± 0.15
A	9	Ciliegiolo	104.88 ± 0.46
A	10	Fiano Bianco d'Avellino	143.34 ± 0.08
A	11	Fiano Bianco d'Avellino	151.88 ± 0.20
A	12	Italia	150.73 ± 0.26
A	13	Italia	153.15 ± 0.98
A	14	Malvasia Bianca	69.78 ± 0.12
A	15	Malvasia Bianca	76.41 ± 0.08
A	16	Malvasia Nera di Brindisi	73.11 ± 0.72
A	17	Malvasia Nera di Brindisi	73.39 ± 0.74
A	18	Maresco Bianco	109.54 ± 0.34
A	19	Maresco Bianco	118.45 ± 1.96
A	20	Minutolo Bianco	134.03 ± 0.80
A	21	Minutolo Bianco	134.30 ± 0.61
A	22	Montepulciano	183.23 ± 0.39
A	23	Montepulciano	188.27 ± 1.44
A	24	Negroamaro	144.60 ± 0.84
A	25	Negroamaro	162.49 ± 0.80
A	26	Negroamaro	173.06 ± 0.01
A	27	Negroamaro	177.16 ± 0.57
A	28	Negroamaro	198.73 ± 0.59
A	29	Negroamaro	200.33 ± 0.31
A	30	Negroamaro	202.35 ± 0.46
A	31	Negroamaro	203.90 ± 0.29
A	32	Nero di Troia	186.30 ± 0.01
A	33	Nero di Troia	196.33 ± 0.25
A	34	Notardomenico	163.98 ± 0.41
A	35	Ottavianello	67.71 ± 0.14
A	36	Ottavianello	71.78 ± 0.57
A	37	Palieri	166.49 ± 0.05
A	38	Palieri	176.34 ± 0.92
A	39	Primitivo	60.08 ± 0.19
A	40	Primitivo	65.86 ± 0.74
A	41	Primitivo	127.14 ± 0.88
A	42	Primitivo	148.18 ± 0.92
A	43	Primitivo	150.70 ± 0.35
A	44	Sangiovese	77.61 ± 0.31
A	45	Sangiovese	87.14 ± 0.07

A	46	Susumaniello	135.24 ± 0.45
A	47	Susumaniello	142.38 ± 0.10
A	48	Trebbiano	42.29 ± 0.56
A	49	Verdeca	54.31 ± 0.22
A	50	Verdeca	64.00 ± 0.08
A	51	Vittoria	119.54 ± 0.04
A	52	Vittoria	134.59 ± 0.51
B	53	Aleatico	2.38 ± 0.09
B	54	Aleatico	5.33 ± 0.27
B	55	Alicante	14.26 ± 0.25
B	56	Alicante	15.35 ± 0.02
B	57	Ciliegiolo	4.11 ± 0.06
B	58	Ciliegiolo	6.28 ± 0.05
B	59	Italia	14.18 ± 0.12
B	60	Italia	16.81 ± 0.04
B	61	Lambrusco	14.26 ± 0.06
B	62	Lambrusco	15.30 ± 0.19
B	63	Malvasia Bianca	0.42 ± 0.01
B	64	Moscato	11.31 ± 0.05
B	65	Moscato	11.34 ± 0.02
B	66	Palieri	54.78 ± 0.01
B	67	Palieri	56.76 ± 0.05
B	68	Primitivo	0.79 ± 0.02
B	69	Primitivo	2.58 ± 0.08
B	70	Sangiovese	9.37 ± 0.01
B	71	Sangiovese	9.62 ± 0.03
B	72	Susumaniello	4.01 ± 0.03
B	73	Susumaniello	5.97 ± 0.24
B	74	Vittoria	18.60 ± 0.05
B	75	Vittoria	18.83 ± 0.01

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† Mean ± standard deviation of two technical replicates.



**Table 2.** Results of PARAFAC decomposition on the augmented three-way array (79 × 29 × 46).

Number of components	Core consistency (%)	Split-half quality (%) †	Explained variance (%)	Correlation excitation ‡	Correlation emission ‡	Best correlated component
1	100	99.77	81.14	0.874	0.857	Component 1
2	99.88	99.66	96.74	0.873	0.909	Component 1
3	95.68	81.17	97.84	0.900	0.994	Component 1
4	92.77	69.30	98.41	0.906	0.996	Component 1
5	83.26	77.80	99.11	0.972	0.998	Component 1
6	< 0	16.52	99.29	0.976	0.995	Component 1
7	< 0	36.07	99.49	0.929	0.995	Component 2
8	< 0	36.63	99.62	0.933	0.995	Component 1
9	< 0	0	99.60	0.818	0.990	Component 4
10	< 0	0	99.72	0.959	0.997	Component 1
11	< 0	0	99.75	0.940	0.997	Component 1
12	< 0	0	99.80	0.989	0.985	Component 2

† Mean value of 10 random splits.

‡ Pearson's linear correlation coefficient between the loadings of the excitation/emission mode of the selected PARAFAC component and the reference excitation/emission spectra of TR standard solution.

**Table 3.** Results of the PARAFAC based calibration.

Number of components	Calibration		Prediction			
	R <sup>2</sup>	RMSEC (mg L <sup>-1</sup> )	R <sup>2</sup>	RMSEP (mg L <sup>-1</sup> )	RE (%)	RPD
5	0.996	4.52	-0.182	70.85	76	0.93
6	0.995	4.91	0.346	52.69	57	1.26
12	0.995	4.75	0.880	22.57	24	2.91

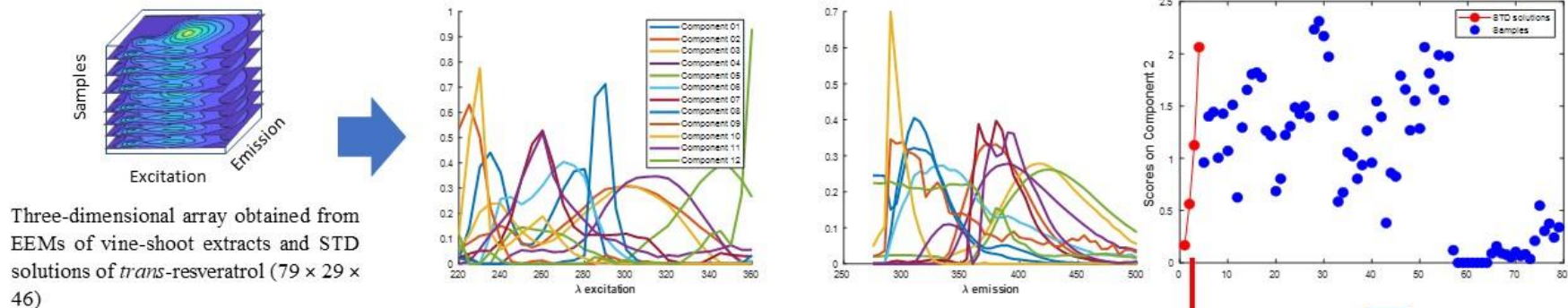
RMSE, root mean square error; RE, percentage relative error; RPD, relative prediction deviation.

**Table 4.** Results of the NPLS regression models.

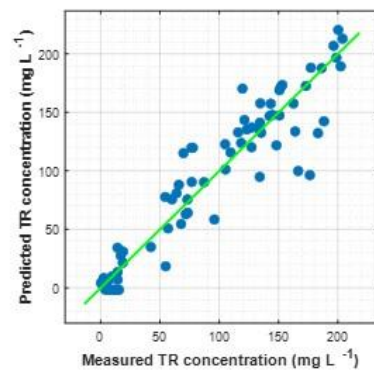
Range	Calibration				Cross-validation			Prediction			
	LV	X e.v.	Y e.v.	R <sup>2</sup>	RMSEC	R <sup>2</sup>	RMSECV	R <sup>2</sup>	RMSEP	RE	RPD
Full emission range	8	98.46	97.54	0.975	10.34	0.947	16.02	0.914	19.47	20	3.33
Reduced emission range	8	99.18	97.11	0.971	11.21	0.943	16.27	0.915	20.25	21	3.20

LV, number of latent variables; e.v., percentage of explained variance of X and Y; RMSE, root mean square error in mg L<sup>-1</sup>; RE, percentage relative error; RPD, relative prediction deviation.

1) Extraction of fluorophores signals by using PARAFAC and identification of the TR signal



3) Prediction of the samples TR concentration by using the developed calibration line and the PARAFAC extracted scores



$$[C] = \frac{scores - q}{m}$$

2) Development of a calibration line using the data of TR STD solutions

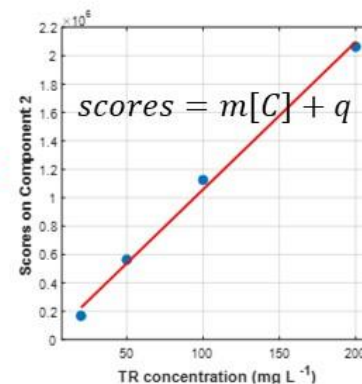
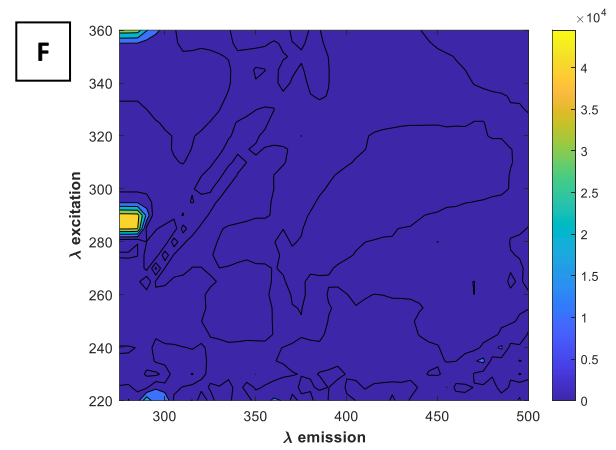
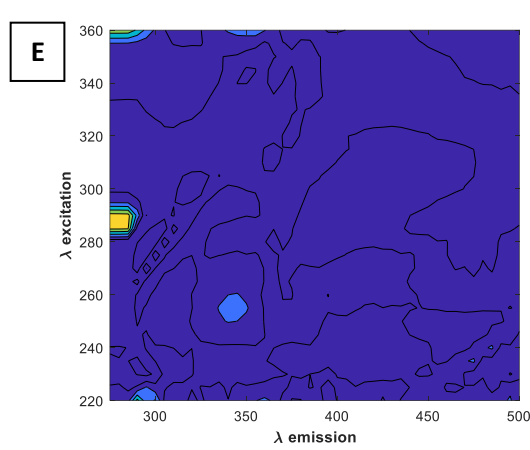
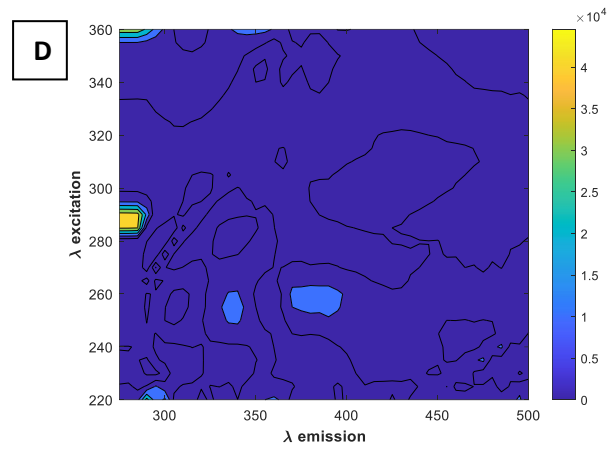
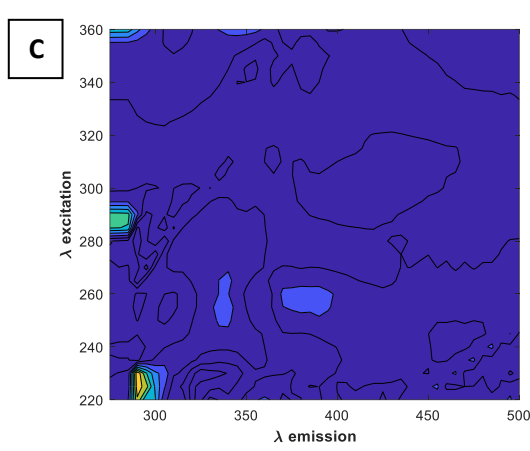
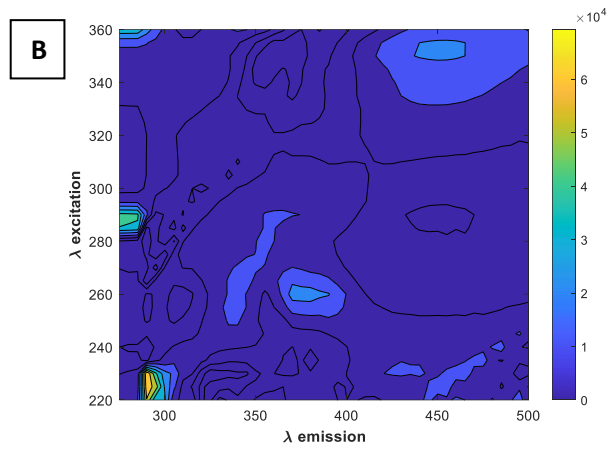
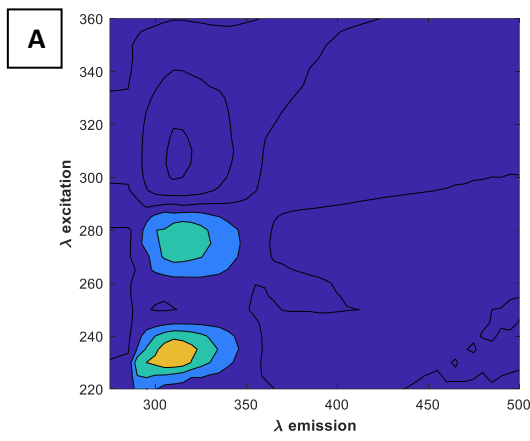


Figure S1. PARAFAC based calibration scheme.



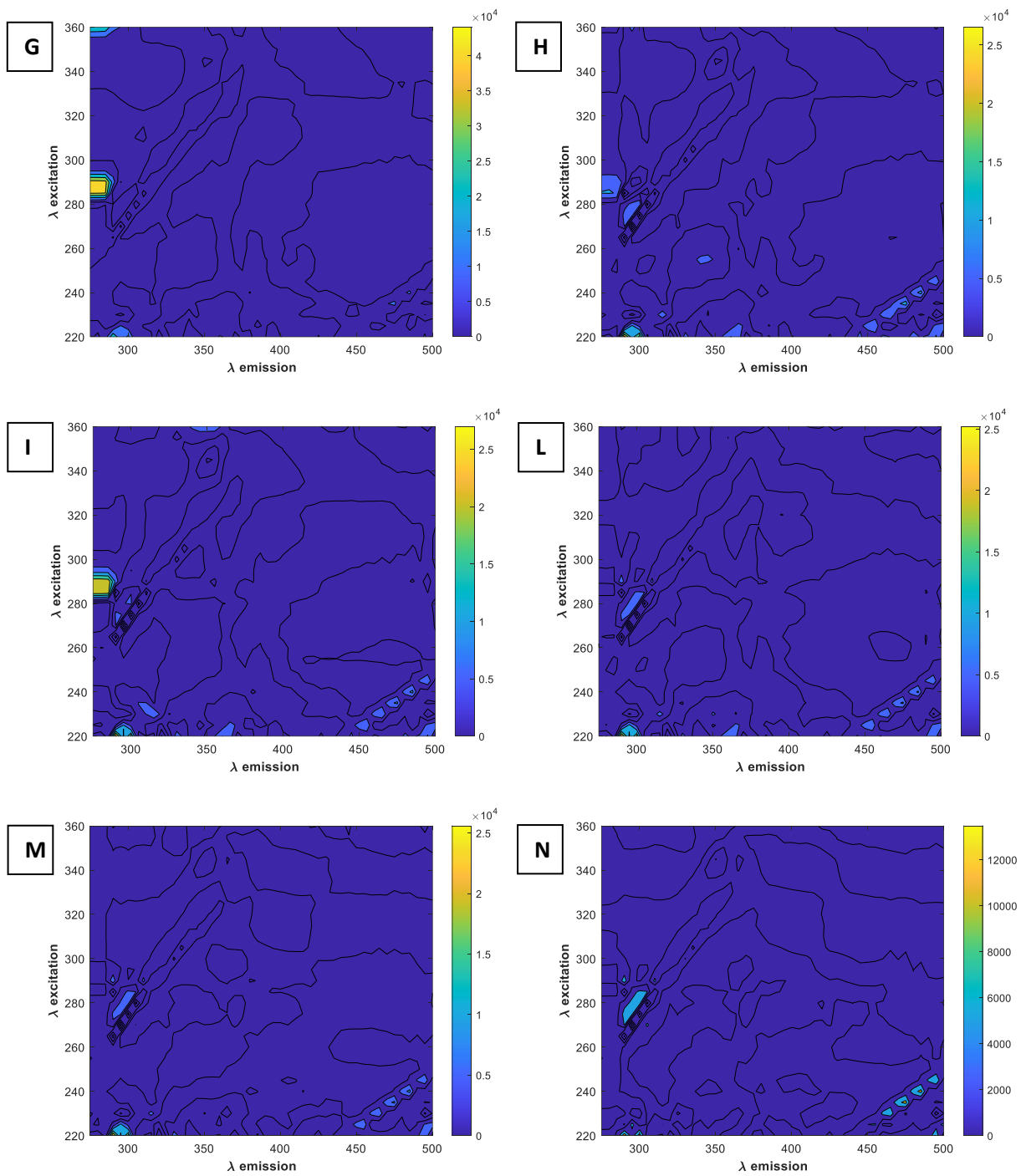
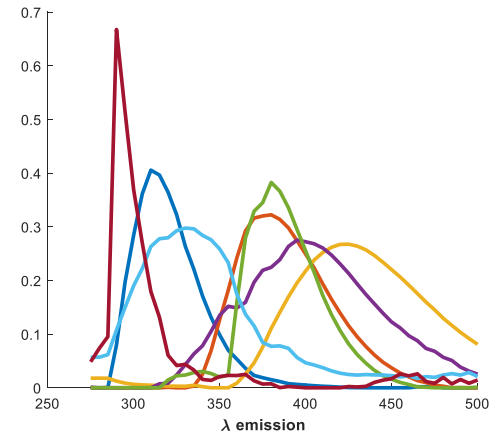
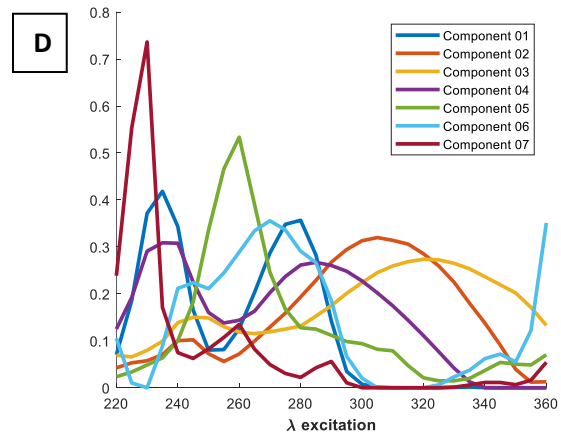
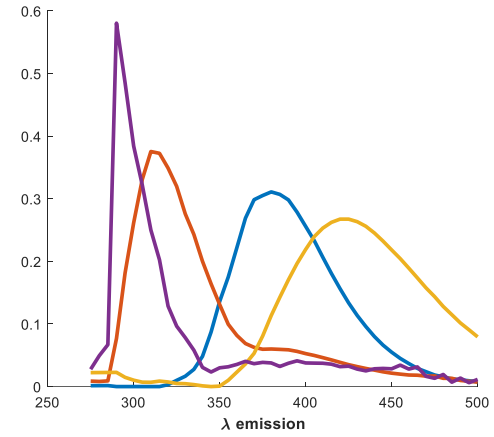
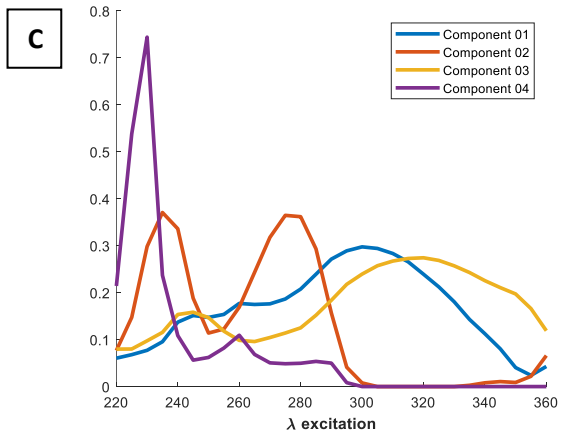
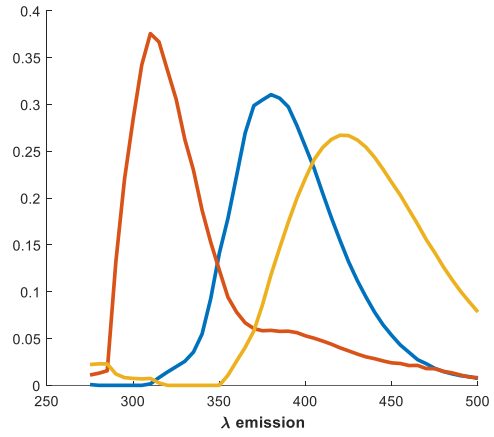
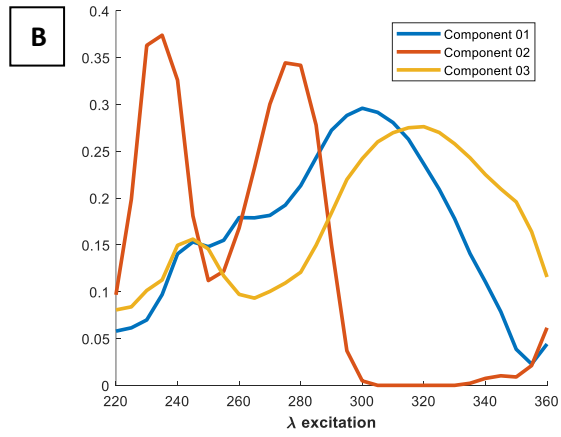
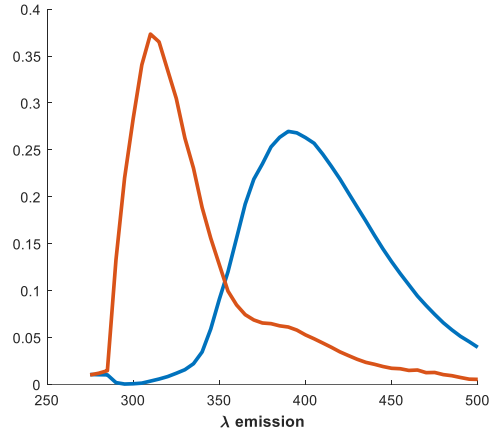
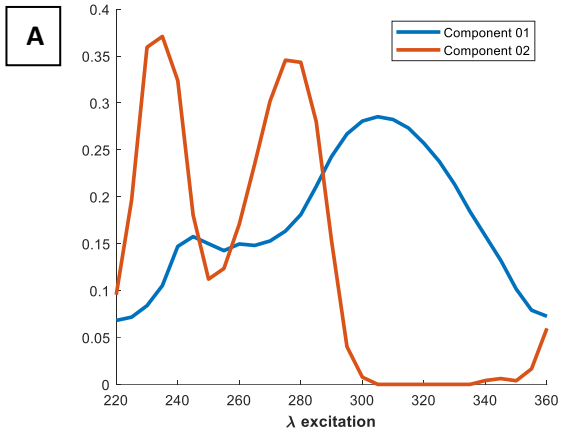


Figure S2. Average PARAFAC residuals for an increasing number of components from 1 (A) to 12 (N).



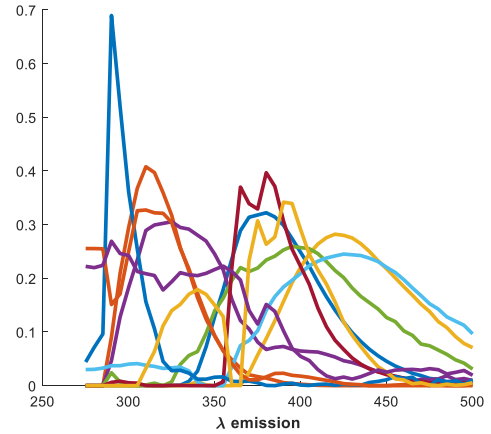
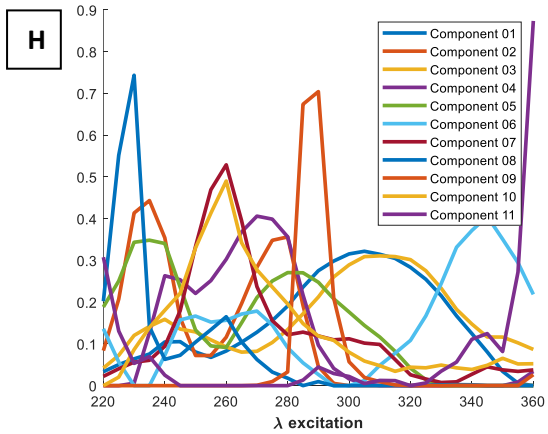
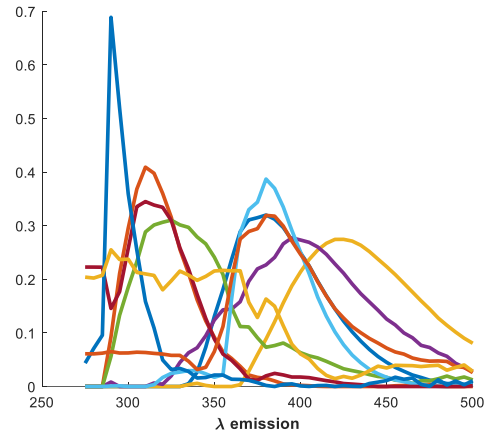
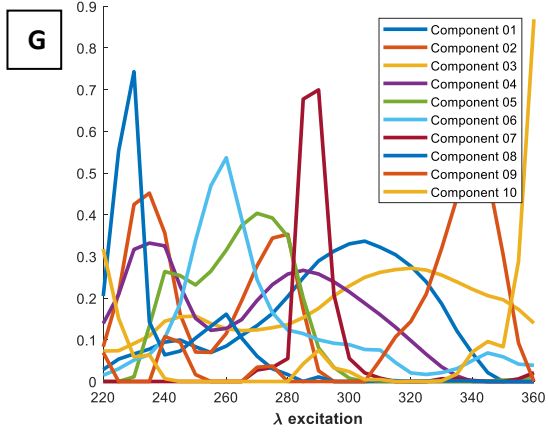
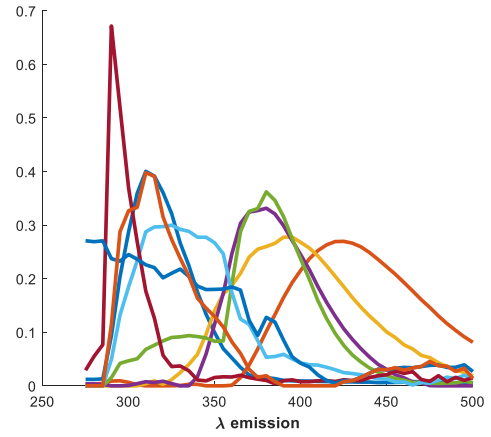
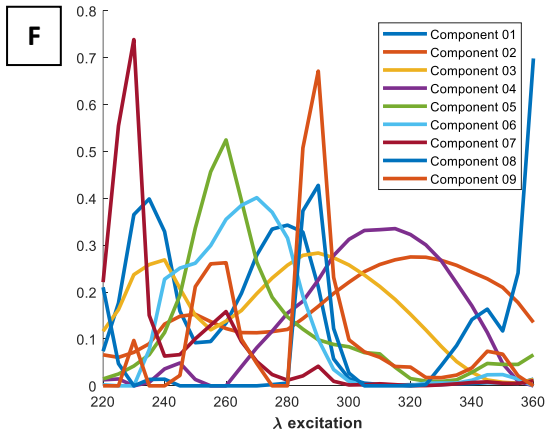
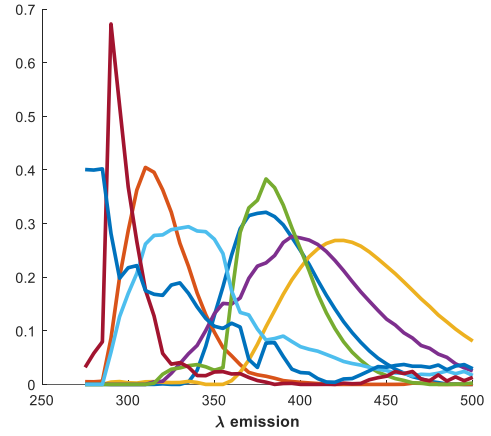
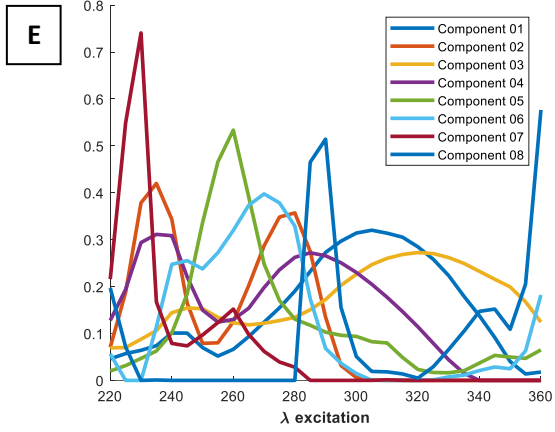




Figure S3. Excitation and emission spectral loadings of the PARAFAC models with an increasing number of components from 2 to 4 (A-C) and from 7 to 11 (D-H).

**Table S1.** Descriptive statistics of the calibration and validation sets used for NPLS regression.

Set	Number of samples	Min (mg L <sup>-1</sup> )	Max (mg L <sup>-1</sup> )	Mean (mg L <sup>-1</sup> )	SD (mg L <sup>-1</sup> )
Calibration	50	0.42	203.90	91.19	66.57
Validation	25	2.58	198.73	96.68	64.84

**Table S2.** Predicted TR concentration (mg L<sup>-1</sup>) in vine shoots ethanolic extracts by the best PARAFAC and NPLS models calculated. †

Set	#	Variety	TR concentration	PARAFAC based regression predictions	NPLS predictions
A	1	Aglianico	76.79	90.57	
A	2	Bianco d'Alessano	115.93	133.04	
A	3	Bianco d'Alessano	127.47	137.12	121.19
A	4	Bombino Bianco	123.22	135.52	
A	5	Bombino Bianco	134.13	94.90	
A	6	Bombino Nero	105.20	101.30	
A	7	Bombino Nero	121.22	143.59	
A	8	Ciliegiolo	95.81	58.45	142.34
A	9	Ciliegiolo	104.88	122.81	
A	10	Fiano Bianco d'Avellino	143.34	157.49	152.19
A	11	Fiano Bianco d'Avellino	151.88	171.88	
A	12	Italia	150.73	169.06	
A	13	Italia	153.15	173.49	
A	14	Malvasia Bianca	69.78	115.23	
A	15	Malvasia Bianca	76.41	119.73	85.65
A	16	Malvasia Nera di Brindisi	73.11	75.63	
A	17	Malvasia Nera di Brindisi	73.39	64.25	71.21
A	18	Maresco Bianco	109.54	115.80	94.55
A	19	Maresco Bianco	118.45	123.94	
A	20	Minutolo Bianco	134.03	135.28	
A	21	Minutolo Bianco	134.30	141.30	127.30
A	22	Montepulciano	183.23	132.34	
A	23	Montepulciano	188.27	142.50	
A	24	Negroamaro	144.60	147.96	
A	25	Negroamaro	162.49	157.76	
A	26	Negroamaro	173.06	172.69	
A	27	Negroamaro	177.16	188.30	
A	28	Negroamaro	198.73	196.80	189.62
A	29	Negroamaro	200.33	220.62	
A	30	Negroamaro	202.35	189.45	
A	31	Negroamaro	203.90	213.11	
A	32	Nero di Troia	186.30	187.94	166.24
A	33	Nero di Troia	196.33	206.99	190.08
A	34	Notardomenico	163.98	133.83	
A	35	Ottavianello	67.71	54.64	
A	36	Ottavianello	71.78	62.98	
A	37	Palieri	166.49	99.94	
A	38	Palieri	176.34	96.43	150.43

A	39	Primitivo	60.08	75.62	
A	40	Primitivo	65.86	88.17	
A	41	Primitivo	127.14	120.25	175.37
A	42	Primitivo	148.18	121.92	
A	43	Primitivo	150.70	147.44	180.96
A	44	Sangiovese	77.61	119.86	
A	45	Sangiovese	87.14	90.40	82.18
A	46	Susumaniello	135.24	132.68	
A	47	Susumaniello	142.38	146.96	143.89
A	48	Trebbiano	42.29	34.97	
A	49	Verdeca	54.31	77.72	
A	50	Verdeca	64.00	81.02	
A	51	Vittoria	119.54	170.44	145.57
A	52	Vittoria	134.59	157.84	152.50
B	53	Aleatico	2.38	7.13	
B	54	Aleatico	5.33	5.87	
B	55	Alicante	14.26	-1.73	
B	56	Alicante	15.35	-1.73	-4.01
B	57	Ciliegiolo	4.11	1.80	
B	58	Ciliegiolo	6.28	6.28	
B	59	Italia	14.18	34.34	23.81
B	60	Italia	16.81	27.56	
B	61	Lambrusco	14.26	6.91	4.91
B	62	Lambrusco	15.30	13.49	
B	63	Malvasia Bianca	0.42	4.61	
B	64	Moscato	11.31	-1.73	
B	65	Moscato	11.34	-1.73	
B	66	Palieri	54.78	18.45	
B	67	Palieri	56.76	50.88	35.78
B	68	Primitivo	0.79	3.32	
B	69	Primitivo	2.58	8.39	9.42
B	70	Sangiovese	9.37	-1.73	
B	71	Sangiovese	9.62	9.81	19.53
B	72	Susumaniello	4.01	-1.73	
B	73	Susumaniello	5.97	-1.73	20.37
B	74	Vittoria	18.60	21.56	
B	75	Vittoria	18.83	30.97	23.12

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† The best PARAFAC based model was a 12-components model calculated by using the whole emission range. The best NPLS model was the one calculated by using the whole emission range.