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4	Running head: Spermatogenesis in captive greater amberjack
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6	Rearing in captivity affects spermatogenesis and sperm quality in greater amberjack
7	Seriola dumerili (Risso, 1810) <sup>1</sup>
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35 ABSTRACT: The greater amberjack Seriola dumerili (Risso, 1810) is a promising candidate for the diversification European aquaculture production, but inconsistent 36 37 reproduction in captivity prevents commercial production. Recent studies showed that greater 38 amberjack confined in sea cages exhibited scarce gonad development and early interruption of 39 gametogenic activity during the reproductive season. The aim of the present study was to 40 improve our understanding on the observed impairment of spermatogenesis. Adult wild and captive-reared males were sampled during three different phases of the reproductive cycle: 41 42 early gametogenesis (EARLY, late April-early May), advanced gametogenesis (ADVANCED; 43 late May-early June) and spawning (SPAWNING, late June-July). Spermatogonial stem cells 44 and proliferating germ cells were identified through the immunohistochemical localization of 45 Pou5f1 and Proliferating Cell Nuclear Antigen, respectively. Apoptotic germ cells were 46 identified throughout the terminal deoxynucleotidyl transferase-mediated d'UTP nick end 47 labeling method. Sperm quality of captive-reared fish was evaluated using computer-assisted 48 sperm analysis. Captive-reared males exhibited seminiferous lobules of a smaller diameter, a 49 precocious and progressive decrease of spermatogonial mitosis and high level of apoptosis at 50 the beginning of the reproductive season. Except for E<sub>2</sub> that was many-fold higher in captive-51 reared fish during the EARLY phase, sex steroid plasma levels were lower in captive-reared 52 males compared to wild individuals. Motile spermatozoa percentage of captive greater 53 amberjack was lower than in other teleosts and a drastic decrease of spermatozoa motility 54 duration, velocity and ATP content occurred along the reproductive season. An abnormal 55 increase of sperm concentration as well as an increase of dead spermatozoa occurred during the SPAWNING phase, probably because of lack of sperm hydration and ejaculation, and 56 57 consequent sperm ageing. The present study demonstrates the extreme susceptibility of greater 58 amberjack to rearing stress and underscores the need for an improvement of the handling 59 procedures to ameliorate gametogenesis dysfunctions in commercial aquaculture production.

Key words: germ cell apoptosis, germ cell proliferation, greater amberjack, rearing in
captivity, *Seriola dumerili*, sperm quality.

#### **INTRODUCTION**

The greater amberjack *Seriola dumerili* (Risso 1810) is a highly valuable teleost considered as a promising aquaculture species. However, a proper commercial aquaculture production of the species has not developed so far, mainly due to its unpredictable reproduction in captivity (Micale et al., 1999; Garcia et al., 2001; Kožul et al., 2001; Mylonas et al., 2004), which prevented the development of hatchery production of juveniles and the conversion of the capture-based farming activity into a true aquaculture industry.

71 A renewed effort to develop a technology for the aquaculture production of greater 72 amberjack is currently in progress within the EU Project DIVERSIFY (www.diversifyfish.eu). 73 A comparative study on the reproductive development in captive-reared greater amberjack 74 (Zupa et al., 2017), demonstrated a reduced testis development and an early cessation of 75 spermatogenic activity; this gametogenesis impairment was associated with important changes 76 in sex steroid plasma concentrations. Gametogenesis dysfunctions in fish reared in captivity 77 involve an inadequate pituitary gonadotropin (GtH) synthesis and/or release (Zohar and 78 Mylonas, 2001; Mylonas et al., 2010; Berkovich et al., 2013), which has been attributed to 79 captivity-induced stress, lack of suitable environmental conditions (Mylonas et al., 2010) 80 and/or nutritional deficiencies (Izquierdo et al., 2001). Spermatogenesis dysfunctions may 81 result in a qualitative and quantitative decrease of sperm output (Rurangwa et al., 2004; Cabrita et al., 2009; Bobe and Labbé, 2010) and, therefore, in unsuccessful spawning and production 82 83 of fertilized eggs.

The aim of the present study was a) to compare male germ cell proliferation and apoptosis in wild and captive-reared greater amberjack sampled in different phases of the reproductive cycle and b) to assess sperm quality of greater amberjack specimens reared in sea cages, in an effort to improve our understanding on the spermatogenesis impairment recently described in this species (Zupa et al., 2017).

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#### MATERIALS AND METHODS

92 Sample collection

93 Ethical approval was not required because this study did not fall within the obligations 94 contained in the Italian decree n. 26 of 04 March 2014 regarding the permission to carry out research studies on experimental animals, as the fish came from a registered aquaculture 95 96 facility and from commercial catches. The research did not involve any experiments on live 97 animals. Captive-reared fish originally came from the fishery at 0+ year of age, and were then 98 reared at a registered aquaculture facility for 3 years, according to routine farming practices, 99 before they were recruited for this study, sacrificed and sampled. No specific permission was 100 required for wild greater amberjack sampling since these fish were commercially caught from 101 an authorized purse-seine fishing vessel during routine fishing operations. Immediately after 102 death, those fish considered suitable for the present study were purchased and sampled on board. The greater amberjack is classified as "Least Concern" in the IUCN Red List of 103 104 Threatened Species (Smith-Vaniz et al., 2015).

105 In the present study, the same male wild and captive-reared greater amberjack used in Zupa 106 et al. (2017) were analysed. A total of 14 wild and 12 captive-reared greater amberjack males 107 were sampled in three different phases of the reproductive cycle that were determined 108 according to the available literature (Mandich et al., 2004; Sley et al., 2014): early 109 gametogenesis (EARLY, late April-early May), advanced gametogenesis (ADVANCED, late 110 May-early June) and spawning (SPAWNING, late June-July). Wild fish were caught by a 111 professional purse seine fishing vessel during two consecutive fishing seasons (2014-2015) 112 around the Pelagic Islands (Sicily, Italy). Immediately after capture, fish were placed on ice 113 and left to die before sampling. Captive-reared fish belonged to a broodstock captured in the 114 area of Astakos (Ionian Sea) in 2011, and transferred in a sea cage of Argosaronikos Fish Farm (Salamina Island, Greece) in September 2013. Fish were reared for two years according to 115 116 standard farming practices and fed to apparent satiation with a commercial extruded broodstock 117 diet (Vitalis Cal, Skretting SA, Norway) every other day. Before sampling, captive-reared fish 118 were confined in a small cage area using a PVC curtain and then anesthetized lightly with 0.01 ml l<sup>-1</sup> clove oil. Then, they were gently directed into a PVC stretcher, brought on board of a 119 120 service vessel and anesthetized deeply with 0.03 ml l<sup>-1</sup> clove oil for sex recognition by means 121 of gonad cannulation. Subsequently, 10-20 ml blood samples were taken from the caudal 122 vasculature using heparinized syringe and then transferred to 10 ml tubes containing 200 IU sodium heparin ml<sup>-1</sup> of blood. Then, fish were euthanized by decapitation, placed in crushed 123 124 ice and transferred to the farm facility for the subsequent sample collection.

125 Biometric data (fork length, FL, nearest cm; body mass, BM, nearest kg; testis mass, TM, nearest g), gonado-somatic index ( $GSI = 100 * TM BM^{-1}$ ) and reproductive state are reported in 126 127 Table 1 (data already presented in Zupa et al., 2017). For the present study, one-cm thick cross 128 sections were taken from one of the testis of each fish, fixed in 10% buffered formalin for 129 further histological (germ cell type description), immunohistochemical (stem and proliferating 130 germ cells) and apoptosis analysis. For the assessment of sperm quality, sperm samples were 131 taken from all 12 captive-reared greater amberjack. After unsuccessful attempts to collect 132 sperm by applying pressure on the fish's abdomen, samples of intratesticular semen were 133 obtained by squeezing the dissected testes. Part of each sperm sample was left undiluted and 134 part was diluted 1/3 (vol/vol) in modified Leibovitz medium according to Fauvel et al. (2012); 135 all the samples were stored at 4°C until analysis, which took place within 30 min.

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#### 137 Histology, immunohistochemistry and identification of apoptotic germ cells

138 Testis slices were dehydrated in ethanol, clarified in xylene and embedded in paraffin wax. 139 Four-um thick sections were cut and stained with haematoxylin-eosin (H-E) or processed for 140 immunohistochemistry and for detection of apoptotic cells. The identification of 141 spermatogonial stem cells was carried out through the immunohistochemical identification of 142 Pou5f1, a transcription factor involved in the maintenance and self-renewal of undifferentiated 143 and pluripotent cells, which is considered a reliable molecular marker for spermatogonial stem 144 cells in fish (Schulz et al., 2010; Lacerda et al., 2014). The identification of proliferating germ 145 cells was performed through the immunohistochemical localization of the Proliferating Cell 146 Nuclear Antigen (PCNA), a polymerase delta accessory protein that is synthesized in late G1 147 and S phases of the cell cycle and is, therefore, used as a nuclear marker of proliferation.

148 The immunohistochemical detection of Pou5f1 and PCNA were performed using the same 149 protocol, with the exception of an antigen retrieval procedure that was applied only to Pou5f1 150 immunostaining. This procedure was performed by boiling testis sections in citrate buffer (0.01 151 M, pH 6.0; 4x5 min cycles) in a microwave oven on high power (750 watts). Endogenous 152 peroxidase was inhibited by treating sections for 10 min with 3% H<sub>2</sub>O<sub>2</sub> and then rinsing them 153 with distilled water and PBS (0.01 M, pH 7.4, containing 0.15 M NaCl). Subsequently, 154 sections were incubated for 30 min in normal horse serum (NHS; Vector, Burlingame, Ca) to 155 block non-specific binding sites for immunoglobulins and then incubated overnight in a moist 156 chamber at 4°C with rabbit polyclonal antibodies raised against synthetic peptide of Pou5f1 157 (Abnova, Taipei, Taiwan) and monoclonal antibodies to PCNA (Santa Cruz Biotechnology 158 Inc., Dallas, Texas). Anti Pou5f1 and anti PCNA antibodies were diluted 1:500 and 1:100, 159 respectively, in PBS containing 0.1% BSA (Sigma-Aldrich, Milan, Italy). After rinsing for 10 160 min in PBS, immunohistochemical visualization was obtained using the Vectastain Universal Elite Kit (Vector, Burlingame, Ca). This method uses the avidin-biotin-peroxidase complex 161 162 (ABC) procedure. Peroxidase activity was visualized by incubating for 10 min with a Vector 163 3,3'-diaminobenzidine (DAB) Peroxidase Substrate Kit (Vector, Burlingame, Ca), which produces a brown precipitate. To confirm the specificity of the immunoreaction, a control-164 165 staining procedure was carried out by replacement of the primary antibody with NHS and PBS. 166 The localization of apoptotic germ cells was performed using the terminal deoxynucleotidyl transferase-mediated d'UTP nick end labeling (TUNEL) method with an in 167 168 situ Cell Death Detection Kit, AP (Roche Diagnostics, Mannheim, Germany) that was used in accordance with the manufacturer's instructions. Prior to incubation with the reaction mixture, 169 170 the sections, after their re-hydration through graded ethanol solutions, were incubated in a 171 permeabilization solution of 0.1% Triton X-100 in 0.1% sodium citrate for 8 min at 37°C. 172 Terminal deoxynucleotidyl transferase was diluted 1:10 in TUNEL Dilution Buffer (Roche 173 Diagnostics, Mannheim, Germany). A ready-to- use solution of nitro-blue tetrazolium 174 chloride/5-bromo-4-chloro-3'-indolyphosphate p-toluidine salt (NBT/BCIP) (Roche 175 Diagnostics, Mannheim, Germany) served as a substrate for the signal conversion.

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#### 177 Seminiferous lobule diameter and quantification of germ cell proliferation and apoptosis

178 At least 50 seminiferous lobules were selected and measured randomly from the sections used for germ cell proliferation and apoptosis analyses. The density of anti-PCNA positive 179 single A spermatogonia (number of cells mm<sup>-2</sup> germinal epithelium) and the density of anti-180 181 PCNA positive spermatocysts (i.e. number of cysts containing type A and type B spermatogonia or primary spermatocytes mm<sup>-2</sup> germinal epithelium), as well as the surface 182 occupied by TUNEL positive apoptotic cells ( $\mu m^2 mm^{-2}$  germinal epithelium), were measured 183 184 on 5 randomly selected fields of each testicular section. All these parameters were measured from microphotographs taken with a digital camera (DFC 420; Leica, Cambridge, UK) 185 186 connected to a light microscope (DIAPLAN; Leitz, Wetzlar, Germany), using an image 187 analysis software (Leica Application Suite, version 3.3.0; Cambridge, UK).

189 Sex steroid plasma level measurement

190 Plasma was separated from the blood by centrifugation (2408 x g for 5 minutes at room 191 temperature) and then was kept at -80°C until assayed for sex steroid determination. The 192 quantification of testosterone (T), 11-Ketotestosterone (11-KT), and the putative maturation-193 inducing steroid (MIS) 17,20β-dihydroxypren-4-en-3-one (17,20β-P) in the plasma was 194 already reported by Zupa et al. (2017). For the quantification of  $17\beta$ -estradiol (E<sub>2</sub>), the same 195 procedure was used, with reagents from Cayman Chemical Company (USA). For steroid 196 extraction, 200 µl of plasma were extracted twice with 2 ml diethyl ether. Extraction was done 197 by vigorous vortexing (Vibramax 110, Heidolph, Germany) for 3 min. After vortexing, 198 samples were frozen for 10 min at -80°C and the supernatant organic phase was collected in 199 new tubes and evaporated under a stream of nitrogen (Reacti-vap III, Pierce, Germany). 200 Samples were reconstituted in reaction buffer for running in the ELISA.

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#### 202 Analysis of sperm quality

203 The analyses of the captive-reared greater amberjack sperm quality were carried out at the 204 sampling site (Argosaronikos Fishfarms SA, Salamina Island, Greece), using a microscope 205 (Nikon Eclipse 50i, Japan) equipped with a video camera (SONY SSC-DC58AP, Japan) 206 recording 25 frames per second (FPS). In order to estimate spermatozoa concentration (spz 207 ml<sup>-1</sup>), sperm samples were diluted to 1/500 (vol/vol) in tap water and placed on a cell counting 208 chamber (Thoma, 0.1 mm depth). Spermatozoa were allowed to settle on the counting chamber for 10 minutes, and then pictures were taken with the microscope at x20 magnification, focused 209 210 so as to get highly contrasted spermatozoa and slightly apparent grid. The particles were then 211 counted on a cropped part of each picture adjusted to a known number of squares using the free 212 ImageJ software (NIH, USA).

In order to assess motility, a 20- µl sperm sample from each fish was diluted (1/10, vol/vol) 213 214 initially in modified Leibovitz, and then activated by mixing with 1 ml seawater containing 2% 215 BSA, for a final dilution of 1/500 (vol/vol); concomitantly, the 25 FPS video record (.avi 216 format) was launched so as to record sperm activity from its beginning. Immediately after 217 short mixing by a vigorous shake, 1 µl of activated sperm was placed in a pre-focused, 10 µm-218 deep, dedicated cell (Leja, Nieuw-Vennep, The Netherlands) on the microscope. The recording 219 was interrupted at the cessation of any progressive spermatozoa movement. The time between 220 activation and first possible motility analysis was around 10 seconds. For computer-assisted 221 sperm analysis (CASA), the videos were subsequently transformed into sequences of frames 222 using the free software 'Virtualdub' (www.virtualdub.org); then sequences of 2 seconds (e.g. 223 50 frames) were analyzed every 10 seconds using the plugin CASA developed by Wilson-224 Leedy and Ingermann (2007) for ImageJ (NIH, Bethesda, MD, USA). Due to variations of 225 motility recording quality at the different times of sampling, the image treatment settings were 226 adjusted to each sampling time and each sample, while the parameters of CASA associated to 227 motility evaluation were common for all the analyses. The only motility features that showed 228 variations among the three different phases of the reproductive cycle and were relevant were 229 the motility (% of motile spz) and the velocity on smoothed trajectory called average path 230 velocity (VAP;  $\mu m s^{-1}$ ). Therefore, only these values are presented.

In order to determine spermatozoa ATP content, 1 and 10-µl aliquots of each sperm sample were prepared according to Boryshpolets et al. (2009) and assessed using ATPlite luminescence kit (Perkin-Helmer, Waltham, MA, USA). The integrity of spermatozoa plasma membrane was tested using the LIVE/DEAD Sperm Viability Kit (Molecular Probes, Eugene, OR, USA) after dilution to 1/100 (vol/vol) and pre-fixation for 4 minutes in 4% glutaraldehyde as described by Beirão et al. (2009). This procedure stains live, dying and dead spermatozoa in fluorescent green, green+red and red, respectively.

#### 239 Statistical analysis

240 Differences in GSI, mean diameter of seminiferous lobules, density of anti-PCNA positive 241 single A spermatogonia, density of anti-PCNA positive spermatocysts (cysts containing type 242 A and type B spermatogonia + cysts containing primary spermatocytes), surface occupied by 243 apoptotic germ cells and sex steroid concentrations were evaluated by a two tailed Student's t-244 test in the following groups: wild specimens sampled in consecutive phases of the reproductive 245 cycle; captive-reared specimens sampled in consecutive phases of the reproductive cycle; wild 246 vs captive-reared specimens sampled in the same phase of the reproductive cycle. Prior to the 247 Student's t-test, the raw data of apoptotic surfaces were square root-transformed, as appropriate 248 with surface data (Sokal and Rohlf, 1981).

Differences in the sperm quality indexes between the three sampling phases were assessed either by ANOVA (after angular transformation in the case of % of motile spermatozoa), or by nested design ANOVA (in the case of sperm velocity where individual spermatozoa performances were taken into account for each male at the different sampling periods). Means were compared using Duncan's New Multiple Range (DNMR) post hoc test. All the results are presented as means  $\pm$  SE, and the statistical probability significance was established at the P < 0.05 level.

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#### RESULTS

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#### 259 Changes in gonado-somatic index and diameter of seminiferous lobules

The testicular development of wild and captive-reared greater amberjack during the sampling periods was evident by the observed significant changes in GSI and the diameter of seminiferous lobules (Table 2). Both in wild and captive-reared fish, GSI and seminiferous 263 lobule diameter increased significantly (P < 0.05) from EARLY to ADVANCED periods and 264 decreased thereafter. Wild fish had higher GSI compared to captive-reared fish at all three 265 phases (P < 0.05), and seminiferous lobules were significantly larger (P < 0.05) in wild than in 266 captive-reared greater amberjack during the EARLY and SPAWNING phases.

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### 268 Histological and immunohistochemical analysis of the testes

269 The immunohistochemical staining with antibodies against the stemness marker Pou5f1 270 labeled single intralobular cells (type A spermatogonia not making part of spermatocysts), 8.0 271  $\pm 0.1 \ \mu m$  in diameter, as well as sparse interlobular cells (Fig. 1a). Intralobular anti-Pou5f1 272 positive cells were more frequently observed beneath the tunica albuginea; in sections stained 273 with H-E, they likely corresponded to cells having a spherical nucleus with heterochromatin 274 dots and two nucleoli, surrounded by a thin acidophilic cytoplasm (Fig. 1b). A larger type of 275 single A spermatogonia,  $10.6 \pm 0.2 \mu m$  in diameter, not immunoreactive to anti-Pou5f1, 276 showed a roundish/ovoidal nucleus with a prevalent euchromatic appearance and sparse 277 heterochromatic patches, with a preeminent nucleolus and an acidophilic cytoplasm (Fig. 1b). 278 Spermatogonia contained in cysts appeared as two different cell types: larger cells (mean 279 diameter 8.8  $\pm$  2.0 µm) with one or more nucleoli and a moderately acidophilic cytoplasm 280 making part of small cysts containing few cells (presumptively type A spermatogonia) (Fig. 281 1b); smaller cells (mean diameter:  $4.8 \pm 0.8 \,\mu\text{m}$ ), showing a small heterochromatic nucleus and 282 thin rim of a weakly acidophilic cytoplasm (Fig. 1b), making part of larger cysts (presumptively 283 type B spermatogonia).

The appearance of primary  $(4.4 \pm 0.9 \ \mu\text{m})$  and secondary  $(3.3 \pm 0.8 \ \mu\text{m})$  spermatocytes differed according to the different phases of meiosis; metaphasic figures were often observed within spermatocyte I and spermatocyte II cysts (Fig. 1b). Spermatids had a mean diameter of  $2.6 \pm 0.7 \ \mu\text{m}$  and were characterized by a compacted and strongly basophilic nucleus (Fig. 1b). Flagellated spermatozoa showed an oval head stained intensely with haematoxylin and were observed within cysts or in the lumina of seminiferous lobules after the cyst breakdown (Fig. 1b).

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#### 292 Germ cell proliferation and apoptosis

293 Anti-PCNA immunostaining was observed in the nuclei of single A spermatogonia, spermatogonia contained in cysts and primary spermatocytes (Fig. 2a). A weak staining of the 294 295 nuclei of secondary spermatocytes was also observed, but these cells were not included in the 296 quantitative analysis. The relative quantification of anti-PCNA positive single A 297 spermatogonia and spermatocysts throughout the sampling period is shown in Fig. 3. In wild 298 greater amberjack, anti-PCNA positive single A spermatogonia gradually decreased 299 throughout the three examined phases, although a statistically significant change (P < 0.05) 300 was detected only at the SPAWNING stage (Fig. 3a); anti-PCNA positive spermatocysts 301 decreased from the EARLY to the ADVANCED phase and then increased slightly in the 302 SPAWNING phase (P < 0.05) (Fig. 3b). In captive-reared greater amberjack, the density of 303 anti-PCNA single A spermatogonia was stable throughout the EARLY and the ADVANCED 304 phase and decreased dramatically in the SPAWNING phase (P < 0.05) (Fig. 3a); a progressive 305 decrease of anti-PCNA positive spermatocysts density was observed in captive-reared 306 specimens throughout the examined phases of the reproductive cycle (P < 0.05) (Fig. 3b).

All the captive-reared and most of the wild greater amberjack showed TUNEL-positive germ cells. Apparently, the TUNEL reaction involved mainly single A spermatogonia, spermatogonia contained in cysts and primary spermatocytes (Fig. 2b). In wild males, the surface occupied by apoptotic germ cells increased significantly (P < 0.05) from the EARLY to the ADVANCED phase and remained stable thereafter, whereas in captive-reared individuals the surface occupied by apoptotic cells was already high at the EARLY gametogenesis stage and remained unchanged during the three sampling phases, and wascomparable to the highest levels of the wild specimens (Fig. 4).

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#### 316 Sex steroid plasma levels

The trend of T, 11-KT and 17,20 $\beta$ -P (reported by Zupa et al., 2017) and E<sub>2</sub> plasma levels of wild and captive-reared greater amberjack is shown in Fig. 5. Except for E<sub>2</sub>, plasma levels of the analysed steroids were generally higher in wild than in captive-reared fish. Many-fold higher (P < 0.05) E<sub>2</sub> plasma levels were observed in captive-reared fish during the EARLY phase, but these levels decreased significantly in the following phases and were similar to wild fish.

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#### 324 Sperm quality in captive-reared greater amberjack

Spermatozoa concentration of captive-reared greater amberjack was stable throughout the 325 EARLY  $(2.3 \pm 0.5 \text{ x } 10^{10} \text{ spz ml}^{-1})$  and ADVANCED  $(3.6 \pm 0.4 \text{ x } 10^{10} \text{ spz ml}^{-1})$  phases, and 326 increased significantly during the SPAWNING period ( $4.6 \pm 0.6 \times 10^{10} \text{ spz ml}^{-1}$ ; ANOVA, P 327 328 < 0.05). For all the three sampling phases, the highest spermatozoa motility (%) was reached 329 within the first 20 s after activation, and was followed by a progressive decrease until complete 330 cessation of movement (Fig. 6a). However, sperm movement within the first 20 s presented 331 variations linked to the sampling time, with the highest mean percentage of swimming 332 spermatozoa recorded in the ADVANCED phase ( $59 \pm 16.9$  % of motile spz), and the lowest 333 mean value registered in the SPAWNING phase ( $21 \pm 9.7$  % of motile spz). The mean VAP 334 of the spermatozoa varied during the three different phases, with the highest mean value 10 s after activation recorded in the ADVANCED phase  $(102.7 \pm 7.0 \text{ } \text{\mu}\text{m} \text{ s}^{-1})$  and the lowest mean 335 VAP during the SPAWNING phase  $(36.5 \pm 3.3 \ \mu m \ s^{-1})$ ; the highest maximum value of 336 337 individual velocity was reached during the ADVANCED phase (164 µm s<sup>-1</sup>) (Fig. 6b). Finally,

a progressive significant decrease (P < 0.05) of sperm motility duration was observed from the EARLY to the SPAWNING phase (Fig. 6c).

340 The ATP level of captive-reared greater amberjack sperm was generally very low, and 341 close to the detection threshold for several samples (data not shown). A progressive, but not 342 statistically significant, decrease of spermatozoa ATP concentration occurred from the EARLY phase (4.7  $\pm$  1.7 n mole 10<sup>-9</sup> spz) to the ADVANCED (1.9  $\pm$  0.6 n mole 10<sup>-9</sup> spz) and the 343 SPAWNING phase ( $1.2 \pm 0.4$  n mole  $10^{-9}$  spz). In terms of spermatozoa viability, there were 344 significant variations among fish within each sampling time. Notwithstanding this individual 345 346 variability, a significant increase (P < 0.05) of the proportion of dead and live spermatozoa was 347 observed from the ADVANCED to the SPAWNING phase, while the proportion of dying 348 spermatozoa did not vary significantly (Fig. 7).

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#### DISCUSSION

351 The negative influence of captivity on reproductive function has been widely demonstrated 352 in all vertebrate classes, including fishes (Zohar and Mylonas, 2001; Corriero et al., 2009; 353 Mylonas et al., 2010; Schreck, 2010; Corriero et al., 2011; Rosenfeld et al., 2012; Zupa et al., 354 2013). As part of a larger effort to improve our understanding of the gametogenesis 355 dysfunctions reported recently in greater amberjack maintained in captivity (Zupa et al., 2017), 356 the present study adds further information on the effects of confinement in captivity on greater 357 amberjack germ cell proliferation and apoptosis, as well as on sperm quality. Recently, Zupa 358 et al. (2017) reported scarce gonad development, precocious cessation of the spermatogenic 359 activity as well as abnormal T, 11-KT and 17,20β-P plasma levels. On the other hand, 360 vitellogenesis proceeded without any noticeable impairment (C. Pousis, unpublished data). 361 The present study suggests that the earlier reported dysfunctional spermatogenesis of captive-362 reared greater amberjack involves also smaller seminiferous lobules in the EARLY and 363 SPAWNING gametogenesis phases, an altered pattern of germ cell proliferation and an
 364 increased amount of apoptotic germ cells, as well as abnormally high E<sub>2</sub> plasma concentrations
 365 during the EARLY spermatogenesis phase.

366 The smaller seminiferous lobules of captive-reared greater amberjack reported here was correlated with a lower GSI, and indicates a reduced capacity of the testes to develop and reach 367 368 full maturation. In turn, this reduced capacity to reach a full gonad development was likely related to the lower sex steroid (T, 11-KT and 17,20β-P) plasma concentrations reported for 369 370 the same fish by Zupa et al. (2017). In terms of germ cell proliferation, the employed basic 371 histology and immunohistochemistry in the present study made it possible to distinguish and 372 describe three different type A spermatogonia, of which two types were single cells and a third 373 type was represented by cells being part of spermatocysts, type B spermatogonia, primary and 374 secondary spermatocytes, spermatids and spermatozoa. Only one of the two type A single 375 spermatogonia immunoreacted with anti Pou5f1 antibodies, revealing stemness properties. 376 This stem spermatogonium type, likely corresponding to the type A undifferentiated\* (A<sub>und</sub>\*) 377 spermatogonium of the classification used by Schulz et al. (2010), is responsible for germ cell 378 self-renewal. The second type of A single spermatogonia found in greater amberjack are non-379 stem spermatogonia whose activity is likely related to differentiation and rapid proliferation 380 This cell type may correspond to the type A undifferentiated (A<sub>und</sub>) towards meiosis. 381 spermatogonia referred by Schulz et al. (2010), although these authors did not exclude a 382 residual stem capacity for this cell type. In greater amberjack testis, anti-PCNA positive 383 undifferentiated single type A spermatogonia (both positive and negative cells to the stemness marker Pou5f1), differentiated spermatogonia (type A and B spermatogonia being part of cysts) 384 385 and primary spermatocytes were detected during all the investigated reproductive phases.

386 In the present study, the density of proliferating single spermatogonia remained at the 387 highest levels throughout the EARLY and ADVANCED phases, and decreased dramatically 388 during the SPAWNING phase, with differences between wild and captive-reared individuals. 389 This trend of proliferating activity of single spermatogonia during the reproductive season is 390 coherent with the decreasing trend of T and 11-KT plasma levels during the SPAWNING phase 391 (Zupa et al., 2017). The absence of significant differences in the density of proliferating single 392 spermatogonia between wild and captive-reared greater amberjack is apparently in contrast 393 with the lower T and 11-KT plasma levels observed in fish kept in captivity (Zupa et al., 2017). 394 However, this apparent incongruence may be explained by i) an increased spermatogonial self-395 renewal activity stimulated by abnormally high  $E_2$  levels during the EARLY phase, and/or ii) 396 a diminished capacity of spermatogonia to proceed towards meiosis (lower density of PCNA 397 positive spermatocytes, see below), resulting in a comparatively higher amount of less 398 developed germ cells in captive-reared fish. The lower spermatogonial capacity of captive-399 reared fish to proceed toward meiosis might have resulted from the combined effects of higher 400  $E_2$  and lower T/11-KT plasma concentrations. In fact, although  $E_2$  in male fish stimulates 401 spermatogonial self-renewal (Miura et al., 1999; Schulz and Miura, 2002; Schulz et al., 2010), 402 supra-physiological concentrations of this hormone inhibit spermatogenesis via negative 403 feedback effects on the brain and the pituitary, involving down-regulation of the testicular 404 androgen production capacity (Schulz and Nóbrega, 2011).

405 In captive-reared individuals, the density of spermatocysts containing proliferating type 406 A and B spermatogonia plus primary spermatocytes was lower than in wild fish in the EARLY 407 and SPAWNING gametogenesis stages, and showed a progressive decrease from the EARLY 408 to the SPAWNING phase. This is in agreement with previous observations showing that 409 captive-reared greater amberjack were already in spent condition during the SPAWNING 410 phase of the wild population, and their T, 11-KT and 17,20β-P plasma levels were abnormally 411 low (Zupa et al., 2017). Besides promoting germ cell proliferation, spermiogenesis and 412 spermiation, androgens have been proposed to act as survival factors for germ cells, both in

mammals (Young and Nelson, 2001) and in fish (Corriero et al., 2009; Zupa et al., 2013, 2014). 413 414 Withdrawal of androgens induces apoptosis in the testis (Nandi et al., 1999; Woolveridge et 415 al., 1999) and reintroduction of steroid hormones can reduce apoptotic cell death (Nandi et al., 416 1999). In the present study, apoptotic germ cells, spermatogonia and primary spermatocytes, 417 were observed in all the specimens analysed. In wild greater amberjack, apoptotic germ cell 418 density was highest in the ADVANCED and SPAWNING phases, corroborating the 419 physiological role of apoptosis in the quantitative control of germ cell populations and in the 420 prevention of aberrant germ cell development, as proposed for other large pelagic fish such as 421 the Atlantic bluefin tuna Thunnus thynnus (Corriero et al., 2009; Zupa et al., 2013, 2014) and 422 the swordfish Xiphias gladius (Corriero et al., 2007). In captive-reared greater amberjack, a 423 high density of germ cell apoptosis was observed at the beginning of the reproductive season 424 (EARLY phase). The high incidence of apoptosis at the onset of spermatogenesis, far from 425 playing a physiological role, was likely correlated to the low androgen and high E<sub>2</sub> plasma 426 levels reported in captive individuals and might be co-responsible for the reduced sperm 427 concentration (see below). Incidentally, the administration of high doses of  $E_2$  in male gilthead 428 seabream Sparus aurata induced apoptosis of spermatogonia (Chaves-Pozo et al., 2007).

429 In a mammalian model (rat), gonadotrophin withdrawal following hypophysectomy and 430 the consequent decline of sex steroid circulating levels were found to induce testicular atrophy, 431 reduction of germ cell proliferation and increase of apoptosis (Tapanainen et al., 1993). In 432 wild-caught captive-reared Atlantic bluefin tuna, an increase of 11-KT plasma levels produced 433 bv gonadotropin-releasing hormone agonist (GnRHa) administration. stimulated 434 spermatogonial proliferation and reduced the rate of apoptotic germ cells (Corriero et al., 435 2009). The observed low androgen levels found in captive-reared greater amberjack may have 436 been determined by a reduced release of gonadotropins from the pituitary and/or an altered steroid metabolism. In fact, testes of greater amberjack reared in captivity were found to have 437

a much reduced amount of arachidonic acid (Zupa et al., 2017) a molecule that stimulates
testosterone production by elevating cyclic adenosine monophosphate (cAMP) levels in a dose
dependent manner (Mercure and Van der Kraak, 1995; Mercure and Van der Kraak, 1996).
Moreover, from studies carried out in birds (Newman et al., 2008; Dickens et al., 2011) and in
mammals (Williams, 2012), it is known that the exposure to different types of stress can result
in an aromatase up-regulation with consequent increase of E<sub>2</sub> and decrease of androgens.

Another objective of this study was to assess if the above-described dysfunctions resulted 444 445 in a decreased sperm quality. Unfortunately, due to the difficulties to operate in the field, it 446 was not possible to collect and analyse sperm from the wild individuals collected on 447 commercial fishing vessels, which would have represented a valued reference for the 448 assessment of sperm taken from captive-reared specimens. Contrary to previous sperm 449 sampling attempts carried out in Croatia (Kožul et al., 2001), but consistent with attempts made 450 in Greece (Mylonas et al, 2004), in the present study it was not possible to take sperm by 451 stripping captive-reared greater amberjack. This failure was probably due to the strong 452 abdominal musculature of this species (Mylonas et al., 2004), but it also could be related to the 453 lack of significant testicular hydration. Indeed, during the dissection of the testes, it was 454 observed that the vasa deferens were not full of sperm and only a direct strong squeezing of 455 the testes allowed obtaining sperm, so that the following discussion actually refers to intra-456 testicular sperm, which might lack complete maturation and hydration. The sperm 457 concentration of captive-reared greater amberjack measured in this study was in the upper 458 range of marine fish species (Suquet et al., 1994; Cosson et al., 2008a), which is consistent 459 with a lack of hydration that, if realized, would have resulted a physiological reduction of this 460 parameter towards the spawning season. To our knowledge, the observed increase of sperm 461 concentration during the SPAWNING phase has never been reported in any other fish species; moreover, the histological analysis reported by Zupa et al. (2017) showed that while these 462

463 specimens had ceased their spermatogenic activity, they still retained a moderate amount of luminal spermatozoa in the testes. Altogether, these observations seem to support the 464 465 hypothesis of the lack of proper sperm hydration in captive-reared greater amberjack, probably 466 in response to low sex steroid levels. It is known that sperm hydration with seminal fluid and release via the sperm duct are under endocrine control, and a key-role in this process and in the 467 468 intensification of sperm motility is played by 17,20β-P (Schulz and Miura, 2002; Scott et al., 469 2010; Milla et al, 2008), whose plasma levels were reported to be abnormally low in captive-470 reared greater amberjack during the ADVANCED and SPAWNING phases (Zupa et al., 2017). 471 The sperm of captive-reared greater amberjack analysed in the present study showed a 472 general motility pattern similar to other fishes, with high initial spermatozoa motility 473 percentage and velocity at activation, followed by a decrease of both parameters until all 474 movement ceased (Cosson et al., 2008a, b). However, despite the fact that the velocity of the 475 faster spermatozoa in captive-reared greater amberjack sperm was similar to that of other 476 species, such as the European sea bass Dicentrarchus labrax (Fauvel et al., 2012) and the 477 Atlantic bluefin tuna (Zupa et al., 2013), the maximum spermatozoa motility recorded (about 478 60% of motile spermatozoa during the ADVANCED phase) was lower compared to most other 479 studied species (Cosson et al., 2008a), and percentage of motile spermatozoa, motility duration 480 and velocity declined drastically during the SPAWNING phase. Moreover, a decreasing trend 481 of spermatozoa ATP content occurred in captive-reared greater amberjack from the EARLY to 482 the SPAWNING phase. Spermatozoa ATP content is widely used as a sperm quality marker 483 (Cosson et al., 2008a; Fauvel et al., 2010), since it is a key limiting factor for maintaining 484 motility (Christen et al., 1987; Cosson, 2010; Ulloa-Rodríguez et al., 2017). Therefore, the 485 decrease of energy content observed in captive-reared greater amberjack in the present study 486 might explain, at least partially, the lower percentage of motile spermatozoa. Finally, the 487 assessment of sperm membrane integrity from captive-reared fish demonstrated that the 488 percentage of dead spermatozoa increased significantly from the ADVANCED to the 489 SPAWNING phase, which is consistent with the lack of sperm hydration and, presumably 490 ejaculation, and consequent spermatozoa ageing.

491 In conclusion, the present study demonstrated that rearing in captivity affected 492 spermatogenesis in greater amberjack from its early phase, when a high level of germ cell 493 apoptosis was observed. A constant reduction of the rate of spermatogonia entering meiosis 494 resulted in a precocious cessation of the spermatogenic activity. As a consequence of this 495 spermatogenesis impairment, greater amberjack confined in captivity showed low sperm 496 quality, in terms of sperm density, motility and velocity, as well as of the ATP content and 497 membrane integrity. This study provides further information on the occurrence of severe 498 reproductive dysfunctions in captive-reared greater amberjack males reported by Zupa et al. 499 (2017), and further supports the need for an improvement of rearing technology. In particular, 500 handling procedures minimizing stress could be effective in alleviating reproductive 501 deficiencies.

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Fish state	Sampling date	FL, cm	BM, kg	TM, g	GSI, %	Reproductive state
Early gameto	genesis (EARLY)					
wild	01/05/2015	111	14	300	2.14	All spermatogenic stages:
		112	20	450	2.25	luminal spermatozoa
		112	15	300	2.00	
		113	19	400	2.10	
		117	19	550	2.89	
captive	24/04/2015	92	12	65	0.54	All spermatogenic stages; few
1		94	12	60	0.50	luminal spermatozoa
		94	13	60	0.46	-
		101	15	95	0.63	
Advanced ga	metogenesis (ADVA	ANCED)				
wild	31/05/2014	99	14	1150	8.21	All spermatogenic stages;
		102	13	650	5.00	plenty of luminal spermatozoa
		115	19	2200	11.57	
		124	22	1900	8.63	
captive	04/06/2015	90	9	370	4.11	Ended spermatogenesis; plenty
		97	14	295	2.10	of luminal spermatozoa
		98	13	600	4.61	All spermatogenic stages:
		103	15	690	4.60	plenty of luminal spermatozoa
Spawning (Sl	PAWNING)					
wild	29/06/2015	100	12	650	5.41	All spermatogenic stages;
		102	14	700	5.00	plenty of luminal spermatozoa
		104	16	950	5.93	
	30/06/2014	99	11	577	5.24	
		100	11	400	3.63	Partially spent; residual luminal spermatozoa
captive	02/07/2015	91	10	70	0.70	Ended spermatogenesis; small
		95	11	155	1.40	amount of luminal spermatozoa
		96	13	140	1.07	
		96	12	130	1.08	

Table 1. Biometric data, gonado-somatic index (GSI) and maturity state of wild and captive-reared
 greater amberjack males sampled during the reproductive season in the Mediterranean Sea.

Table modified from Zupa et al. (2017). BM: body mass; FL: fork length; TM: testis mass; GSI: gonado-somatic index

Table 2. Mean (±SE) gonado-somatic index (GSI) and seminiferous lobule diameters of wild
 and captive-reared greater amberjack males sampled during the reproductive season in the
 Mediterranean Sea.

Fish State	Early spermatogenesis (EARLY)	Advanced spermatogenesis (ADVANCED)	Spawning (SPAWNING)
GSI (%)	()	(,	(
Wild	$2.3\pm0.2^{\rm a}$	$8.3 \pm 1.3^{*, a}$	$5.1 \pm 0.4^{*, a}$
Captive	$0.5\pm0.1^{\rm b}$	$3.8 \pm 0.6^{*, b}$	$1.1 \pm 0.1^{*, b}$
Seminiferous	lobule diameter (µm)		
Wild	$135.6 \pm 1.3^{a}$	$182.6 \pm 2.6^{*}$	$171.9 \pm 1.8^{*, a}$
Captive	$109.7 \pm 1.1^{b}$	$180.0\pm2.2^*$	$152.5 \pm 1.8^{*, b}$

679 Asterisks indicate statistically significant differences versus the preceding phase within the same group (wild or captive-reared). Different letters indicate significant differences between wild and captive-reared individuals at

681 the same phase of the reproductive cycle (Student's t-test, P < 0.05).



# Figure 2

- a b







## Figure 5



Figure 6



831 Figure 1. Micrographs of testis sections of greater amberjack sampled in different periods 832 of the reproductive cycle. (a) Peripheral region of the testis and detail of a seminiferous lobule 833 (inset) of an individual sampled in early May, immunostained with anti-Pou5f1 antibodies. 834 Interlobular (yellow curved arrows) and intralobular (yellow arrowheads) positive cells are 835 stained in brown. Magnification bar = 50  $\mu$ m; in inset = 10  $\mu$ m. (b) Testis section of an 836 individual sampled in late May showing the different germ cell types. Haematoxylin-eosin (H-837 E) staining. Magnification bar =  $25 \mu m$ . Single A spermatogonia are indicated by black arrows 838 and arrowheads; the latter point to cells likely corresponding to the intralobular anti-Pou5f1 839 positive spermatogonia (undifferentiated stem spermatogonia) shown in (a). Asterisk: type A 840 spermatogonial cyst; double asterisk: type B spermatogonial cyst; sd: spermatid cyst; scI: 841 primary spermatocyte cyst; scII: secondary spermatocyte cyst; sz: spermatozoa.

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843

844 Figure 2. Micrographs of greater amberjack testis sections sampled in different periods of 845 the reproductive cycle. (a) Testis section of a wild individual sampled in early May, 846 immunostained with antibodies against the Proliferating Cell Nuclear Antigen (PCNA), which 847 stains brown the nuclei of proliferating cells. Magnification bar =  $40 \mu m$ . Arrowhead: anti-848 PCNA positive single spermatogonium; double arrow: anti-PCNA positive spermatogonial 849 cyst; dashed arrow: primary spermatocyte cyst. (b) Testis section of a captive-reared individual 850 sampled during late April stained with the terminal deoxynucleotidyl transferase-mediated 851 d'UTP nick end labeling (TUNEL) method, with apoptotic cells appearing as dark blue dots. 852 Magnification bar =  $150 \mu m$ . Arrow: TUNEL positive single spermatogonium; curved arrow: 853 TUNEL positive spermatocysts.

856	Figure 3. Changes in mean (±SE) anti-PCNA positive germ cell density in wild and
857	captive-reared greater amberjack males during the reproductive season. (a) Anti-PCNA
858	positive single A spermatogonia. (b) Anti-PCNA positive spermatocysts. White asterisks
859	indicate statistically significant differences versus the preceding phase in wild fish. Black
860	asterisks indicate statistically significant differences versus the preceding phase in captive fish.
861	Different letters represent significant differences between wild and captive individuals within
862	the same sampling phase. (Student's t-test, $P < 0.05$ ).
863	
864	
865	Figure 4. Changes in mean (±SE) surface occupied by apoptotic germ cells in wild and
866	captive-reared male greater amberjack sampled during the reproductive season in the
867	Mediterranean Sea. White asterisk indicates statistically significant difference versus the
868	preceding phase in wild fish. Different letters indicate significant difference between wild and
869	captive individuals sampled in the same phase. (Student's t-test, $P < 0.05$ ).
870	
871	
872	Figure 5. Mean ( $\pm$ SE) plasma (a) 17- $\beta$ Estradiol (E <sub>2</sub> ), (b) Testosterone (T), (c) 11-
873	Ketotestosterone (11-KT), and (d) 17,20β-dihydroxypren-4-en-3-one (17,20β-P) in wild and
874	captive-reared greater amberjack males sampled during the reproductive season in the
875	Mediterranean Sea. White asterisks indicate statistically significant differences versus the
876	preceding phase in wild fish. Black asterisks indicate statistically significant differences versus
877	the preceding phase in captive fish. Different letters indicate significant differences between
878	wild and captive individuals sampled in the same phase. (Student's t-test, $P < 0.05$ ). Graphs
879	(b), (c) and (d) have been taken from Zupa et al. (2017).

881

882	Figure 6. (a) Sperm motility percentage, (b) average path velocity (VAP), and (c) motility
883	duration in captive-reared greater amberjack during three phases of the reproductive season in
884	the Mediterranean Sea. In (b), black lines illustrate the mean VAP of sperm population for
885	each phase, while grey lines show the maximum value of individual sperm velocity recorded.
886	In (c), black asterisks indicate significant differences versus the preceding phase (ANOVA, P
887	< 0.05).

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889

Figure 7. Proportion of live/dying/dead spermatozoa in captive-reared greater amberjack during three phases of the reproductive season in the Mediterranean Sea. Black and white asterisks indicate significant differences versus the preceding phase within the same spermatozoa condition (ANOVA, P < 0.05).