
A computationally efficient method for the attenuation of alternating current stimulation artifacts in electroencephalographic recordings

Roberto Guarneri¹□, Alfredo Brancucci²□, Anita D'Anselmo²□, Valerio Manippa²□, Stephan P Swinnen^{1,3}□, Franca Tecchio⁴□ and Dante Mantini^{1,5,6}□

Keywords transcranial alternating current stimulation (tACS), closed-loop, low-computational processing, artifact removal, electroencephalography (EEG), principal component analysis (PCA)

Abstract

Objective. Recent studies suggest that the use of noninvasive closed-loop neuromodulation combining electroencephalography (EEG) and transcranial alternating current stimulation (tACS) may be a promising avenue for the treatment of neurological disorders. However, the attenuation of tACS artifacts in EEG data is particularly challenging, and computationally efficient methods are needed to enable closed-loop neuromodulation experiments. Here we introduce an original method to address this methodological issue. *Approach.* Our alternating current regression (AC-REG) method is an adaptive (time-varying) spatial filtering method. It relies on a data buffer of preset size, on which principal component analysis (PCA) is applied. The resulting components are used to build a spatial filter capable of regressing periodic signals in phase with the stimulation. PCA is performed each time that a new sample enters the buffer, such that the spatial filter can be continuously updated and applied to the EEG data. *Main results.* The AC-REG accuracy in terms of tACS artifact attenuation was assessed using simulated and real EEG data. Alternative offline processing techniques, such as the superimposition of moving averages (SMA) and the Helfrich method (HeM), were used as benchmark. Using simulations, we found that AC-REG can yield a more reliable reconstruction of the stimulation signal for any frequency between 1 and 80 Hz. Analysis of real EEG data of 18 healthy volunteers showed that AC-REG was able to better recover hidden neural activity as compared to SMA and HeM. Also, significantly higher correlations between power spectrum densities in tACS on and off conditions, respectively, were obtained using AC-REG ($r = 0.90$) than using SMA ($r = 0.80$) and HeM ($r = 0.86$). *Significance.* Thanks to its low computational complexity, the AC-REG method can be employed in noninvasive closed-loop neuromodulation experiments, with potential applications both in healthy individuals and in neurological patients.

1. Introduction

During closed-loop neuromodulation experiments, neural activity is continuously recorded, relevant signal signatures are extracted, and the applied magnetic/electrical stimulation is dynamically adapted based on those features (Rebesco *et al* 2010,

Guggenmos *et al* 2013). Notably, closed-loop neuromodulation has been shown to facilitate and/or enhance neural plasticity processes as compared to open-loop neuromodulation, for which a fixed set of stimulation parameters is chosen *a-priori* by the experimenter (Sun and Morrell 2014). The adaptive nature of closed-loop neuromodulation intrinsically

leads to a lower intra- and inter-subject variability (Iturrate *et al* 2018), opening the way to its use for the treatment of neurological and psychiatric disorders (Sun and Morrell 2014). Probably, one of the most promising applications of closed-loop neuromodulation is based on deep brain stimulation (DBS) of the basal ganglia for the treatment of movement disorders, including Parkinson’s disease, essential tremor and dystonia (Perlmutter and Mink 2006). DBS is however invasive, and not suitable for a wide range of other conditions involving malfunctioning of large-scale brain networks rather than very specific brain regions.

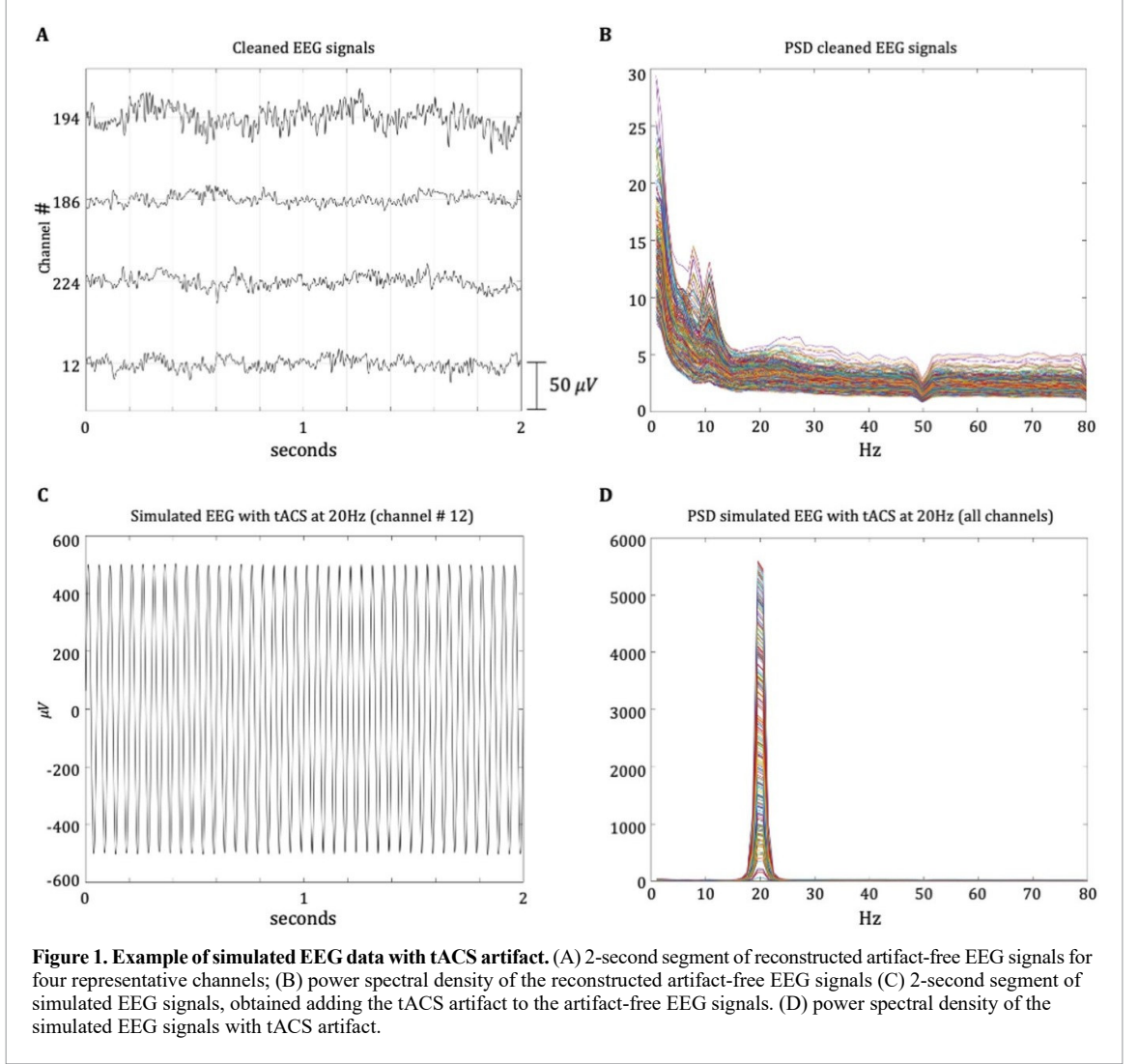
Transcranial alternating current stimulation (tACS) is a non-invasive neuromodulation approach that can be suitable for use in a wider number of neurological and psychiatric conditions (Woods *et al* 2016). With tACS, small amounts of current are injected into the scalp via rubber electrodes enclosed in saline soaked sponges (Morales-Quezada *et al* 2014). The spatial specificity of the stimulated area is limited, but the use of multi-channel montages (Alekseichuk *et al* 2019) and frequency-based interference techniques (Grossman *et al* 2017) can mitigate this potential problem. The number of studies employing tACS for addressing basic and clinical neuroscience questions is nowadays very large (Alexander *et al* 2019, Dowsett *et al* 2019). There is considerable experimental (Marshall *et al* 2011, Herrmann *et al* 2013) and computational (Ali *et al* 2013, Merlet *et al* 2013) evidence that tACS can effectively entrain brain oscillations. It has been successfully used to modulate vision (Vossen *et al* 2015), movement (Feurra *et al* 2011) and audition (Riecke *et al* 2015). Moreover, functional magnetic resonance imaging showed that tACS can induce short-term neuroplastic effects over relatively specific cortical regions (Cabral-Calderin *et al* 2016, B”achinger *et al* 2017).

When using tACS for closed-loop neuromodulation, neural signals usually need to be acquired in a noninvasive manner, for instance using electroencephalography (EEG). EEG electrodes, which are placed over the scalp of the participant, measure the potentials induced by electrical activity of pyramidal neurons in the cortex (Beres 2017). EEG systems are typically portable and not particularly expensive, especially when the number of recording channels is low. It should be noted, however, that the direct estimate of neural activity in the cortex requires the use of high-density EEG montages, with more than 100 electrodes (Tucker 1993). The combination of EEG and tACS is technically challenging, because of the massive artifact that is mixed in the EEG data, in the form of a quasi-sinusoidal signal with main harmonic at the stimulation frequency. For this reason, most EEG-tACS studies have been so far conducted

using an interleaved stimulation protocol, and in particular analyzing the EEG data collected in the off-stimulation period (Vossen *et al* 2015, Mansouri *et al* 2017, Pahor and Jaušovec 2018).

To the best of our knowledge, few solutions exist to attenuate the tACS artifact from EEG recordings. A first approach that has been proposed is the subtraction of a constant sine wave fitted to the EEG signal. Due to variations in the EEG signal primarily induced by slow changes in electrode conductance and by movements of the participant’s head, this solution often yields unsatisfactory results (Helfrich *et al* 2014). Other tACS methods require the whole EEG recording to be available, and are therefore unsuitable for closed-loop neuromodulation studies (Helfrich *et al* 2014, Kohli and Casson 2020). For instance, the one proposed by Helfrich and colleagues follows a two-step procedure: an artifact template is first subtracted from the data, and the remaining artifacts are then attenuated using principal component analysis (PCA) (Helfrich *et al* 2014). Only one method, the superimposition of moving averages (SMA) (Kohli and Casson 2015), has been used for real-time removal of tACS artifacts. However, in its current implementation, SMA can simultaneously process only few EEG signals. Furthermore, it tends to strongly suppress the harmonics associated with the tACS artifact, possibly inducing large distortions in the frequency characteristics of neural signals (Kohli and Casson 2019).

Recent studies suggest that the use of closed-loop neuromodulation based on tACS and EEG may be a promising avenue for the treatment of neurological disorders (Semprini *et al* 2018). Therefore, there is a compelling need of a technological solution with low computational complexity for tACS artifact removal, which could be effectively used with low-density as well as high-density EEG recordings. In this paper we introduce a novel solution to this problem. Our alternating current regression (AC-REG) method can be conceptualized as an adaptive (time-varying) spatial filter method. Specifically, we use a data buffer of preset size, on which we apply PCA; the resulting principal components (PCs) are used to build a spatial filter for the regression of periodic signals in phase with the stimulation. PCA is performed each time that a new sample enters the buffer, such that the spatial filter can be continuously updated and applied to the EEG data. In this study we assess the performance of AC-REG using both simulated and real EEG signals. Simulated data are used to define the main parameters of the method and to assess its performance under controlled conditions. A comparison in terms of accuracy with alternative methods is conducted, using both real and simulated data.



2. Methods

2.1. Description of the method

The AC-REG method relies on the use of EEG signals that are continuously read and stored in a buffer of n samples. A spatial filter is dynamically updated and applied to the most recent data samples to attenuate the contribution of the tACS artifact mixed in the EEG data. For each given time epoch, PCA is calculated on the data buffer to retrieve the PCs, i.e. underlying signals that are statistically uncorrelated with each other and linearly mixed in the data (Turnip and Junaidi 2014). Notably, the number of samples n in the data buffer will inherently have an impact on PCA performance, in terms of accuracy and computation time (Guarnieri *et al* 2018). The PCA model can be mathematically described as

$$X(\tau) = A \cdot Y(\tau) \quad (1)$$

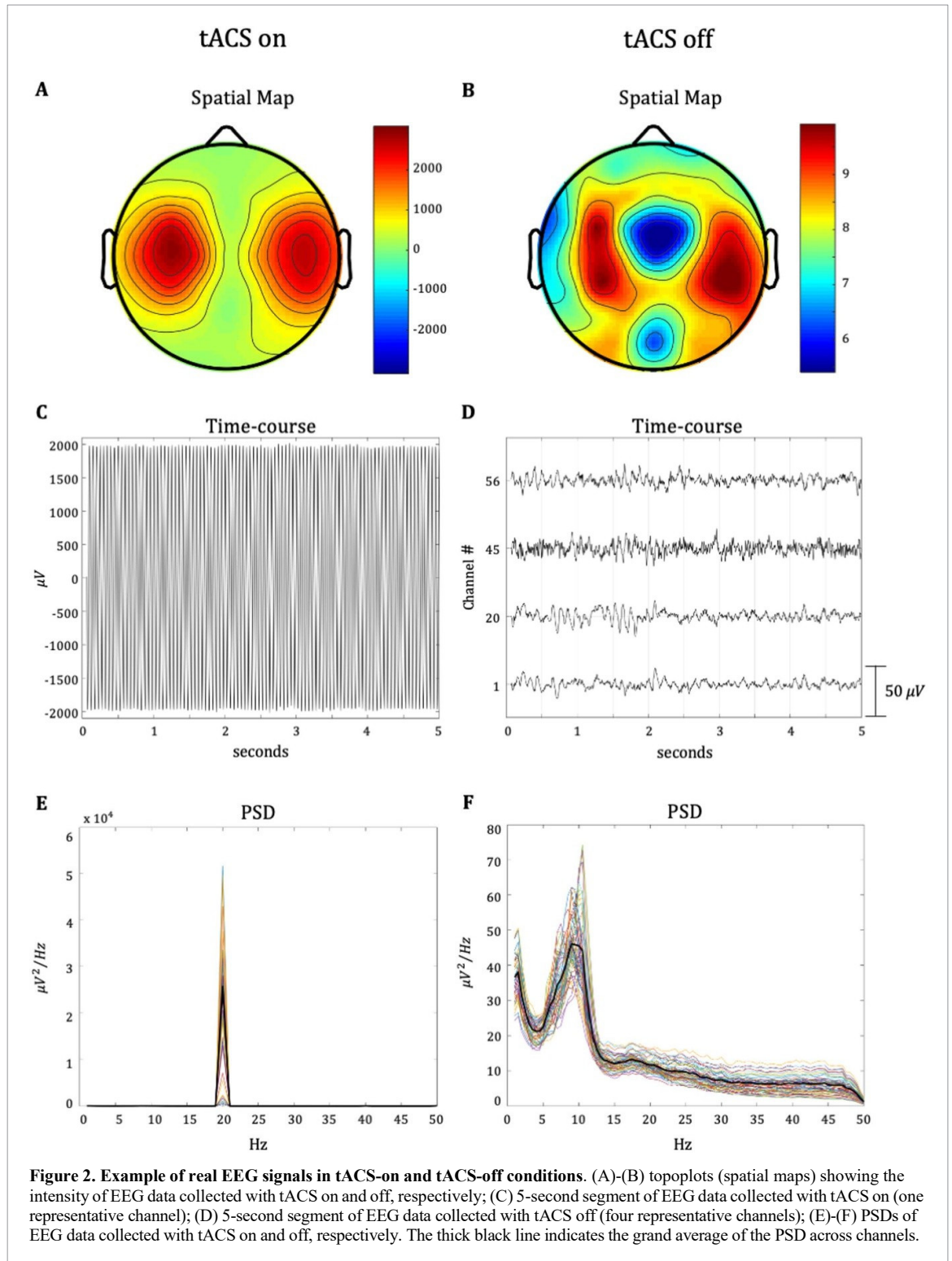
where $X(\tau)$ is a $[k \cdot n]$ -dimensional matrix of k recordings and $Y(\tau)$ is a $[k \cdot n]$ -dimensional matrix of PC time-courses. Furthermore, A is a $[k \cdot k]$ -dimensional matrix that contains the PC weights over

the recordings. The matrices A and $Y(\tau)$ can be estimated using a singular value decomposition (SVD), which imposes a constraint of orthogonality among the PCs (Golub and Kahan 1965, Turnip and Junaidi 2014). After PCA decomposition, the PCs with quasi-sinusoidal time-courses in phase with the stimulation signal are selected, by imposing a stringent criterion in terms of temporal correlation ($r > 0.5$). This classification of PCs is used to build the spatial filter capable of attenuating tACS artifacts. To this end, a $[n \cdot n]$ diagonal matrix Z is created, setting each element z_{ii} equal to 0 if the i -th PC is classified as related to tACS artifact, or equal to 1 otherwise. The spatial filter $W(t)$ at any given time τ of the EEG recording, is then obtained as follows:

$$W(t) = A \cdot Z \cdot A^{-1}. \quad (2)$$

The vector of EEG data corresponding to the last sample in the buffer, indicated as $X(t)$, is combined with the spatial filter $W(t)$ calculated using the buffer data to obtain the vector of artifact-free EEG data $X_c(t)$:

$$X_c(t) = W(t) \cdot X(t). \quad (3)$$



In this manner, the artifacts are subtracted from the EEG recordings with appropriate weights for each channel, and the reconstruction of artifact-free EEG signals is accomplished in a continuous manner.

2.2. Validation of the method

2.2.1. Performance analysis using simulated EEG data
 Simulated data used in this study were derived from resting-state EEG recordings collected for 5 min in 12 right-handed healthy participants (four males and

eight females, with ages ranging from 21 to 43 years). These recordings were already used in some of our previous studies (Liu *et al* 2017, Guarnieri *et al* 2018, Samogin *et al* 2019). Before undergoing the examination, the participants gave written informed consent to the experimental procedures, which were approved by the Institutional Ethics Committee of ETH Zurich. EEG data were recorded at 1000 Hz using a 256-channel system from Electrical Geodesics (Eugene, US). The electrode at vertex (labeled as Cz in the 10/20 international system) was used as physical reference.

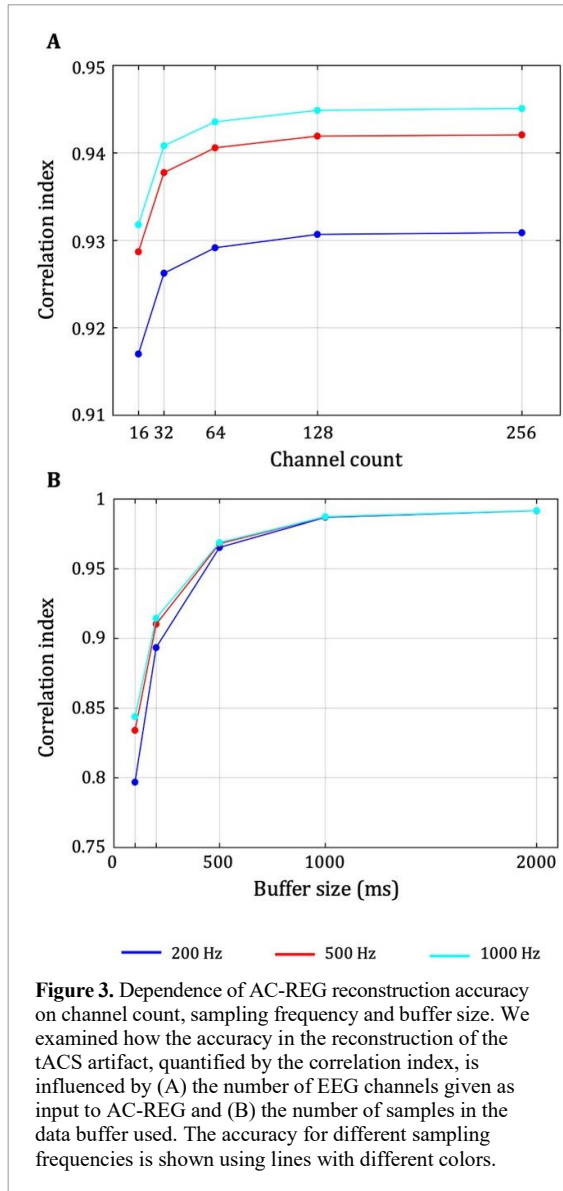


Figure 3. Dependence of AC-REG reconstruction accuracy on channel count, sampling frequency and buffer size. We examined how the accuracy in the reconstruction of the tACS artifact, quantified by the correlation index, is influenced by (A) the number of EEG channels given as input to AC-REG and (B) the number of samples in the data buffer used. The accuracy for different sampling frequencies is shown using lines with different colors.

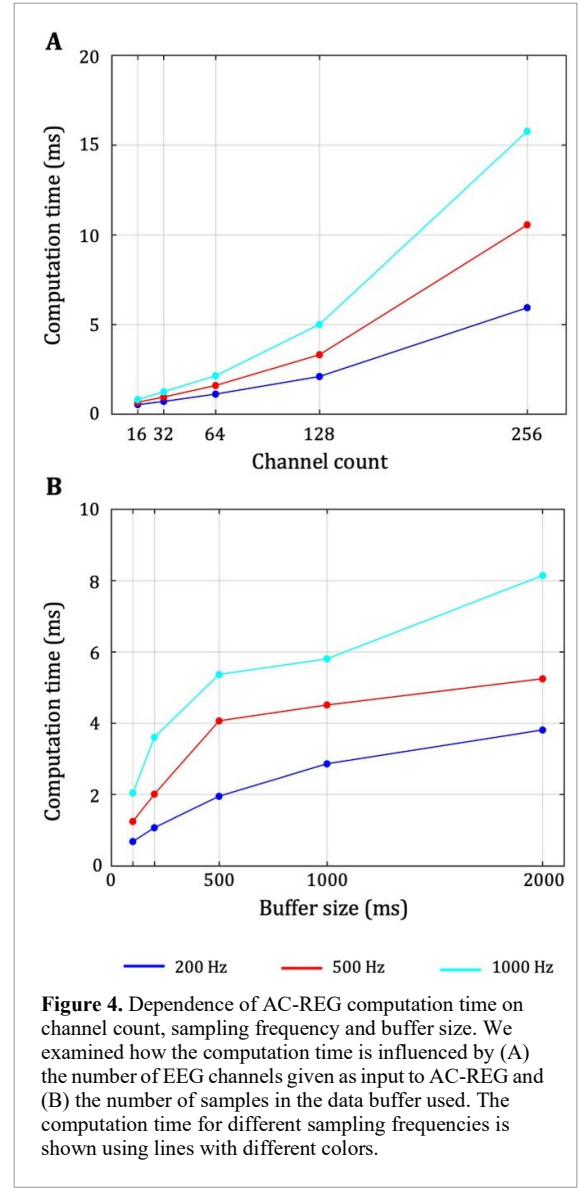


Figure 4. Dependence of AC-REG computation time on channel count, sampling frequency and buffer size. We examined how the computation time is influenced by (A) the number of EEG channels given as input to AC-REG and (B) the number of samples in the data buffer used. The computation time for different sampling frequencies is shown using lines with different colors.

EEG data preprocessing was carried out using EEGLAB (<https://sccn.ucsd.edu/eeglab/index.php>) and built-in MATLAB functions. The preprocessing steps included: bad-channel detection and interpolation, digital filtering in the band 1–80 Hz, resampling to 200 Hz and average re-referencing. In addition, removal of biological artifacts was performed by means of independent component analysis (ICA), as described in Liu *et al* (2017). The resulting artifact-cleaned EEG data were then mixed with simulated tACS artifact, generated using the open source toolbox ARTACS (<https://github.com/agricolab/ARTACS>). Temporal changes in artifact amplitude over time were modelled using an Ornstein–Uhlenbeck process. The intensity of the tACS was set as being 100 times larger than background EEG activity, as observed in real data. Simulated tACS artifacts were generated at varying frequencies ranging between 1 and 70 Hz. More detailed analyses were conducted with simulated tACS artifacts at 10, 20 and 70 Hz, as

these are frequencies commonly used in tACS studies (Cappon *et al* 2016, Clayton *et al* 2018, Sugata *et al* 2018).

Using the simulated EEG data (see figure 1), we first performed an optimization of AC-REG settings. Pseudo-online tests were conducted using a moving window approach, to generate a ‘virtual’ buffer of data to be given as input to AC-REG. Specifically, we analyzed how accuracy and computational time vary with different channel numbers, sampling frequencies and data buffer sizes. The number of EEG channels was equal to 16, 32, 64, 128 and 256, respectively, and the sampling frequency was set to 200, 500 and 1000 Hz, respectively; different lengths of the buffer size were tested, in the range between 100 and 2000 ms. Accuracy was quantified by using the correlation between the reconstructed EEG data and those without tACS artifact. Computation time was measured using MATLAB (release 2016b) running under MacOS (with 2.5 GHz Intel Core i7 processor and 16 GB RAM).

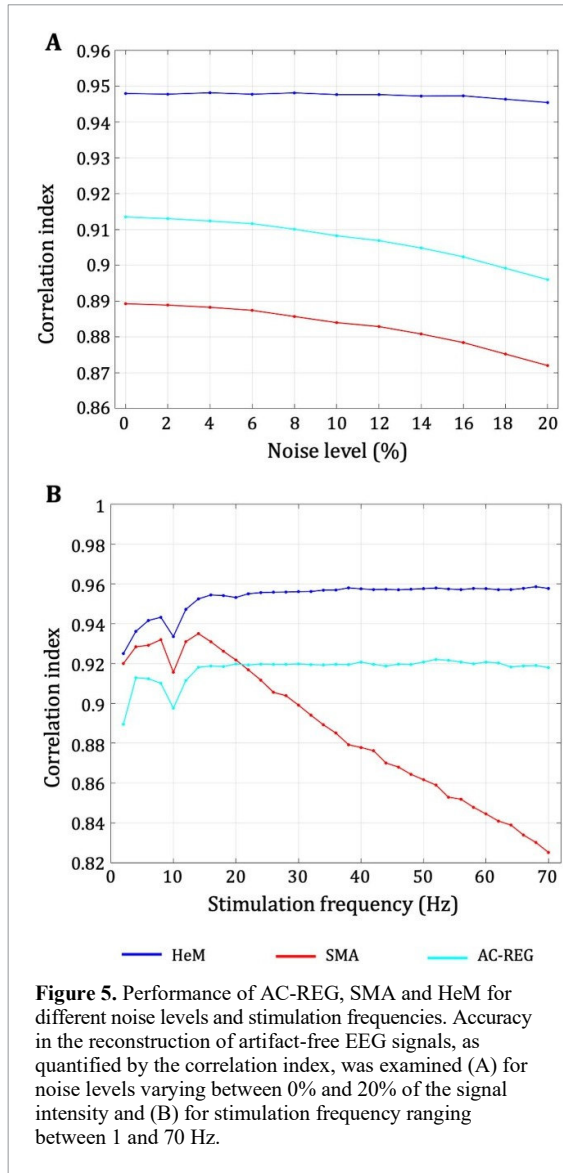


Figure 5. Performance of AC-REG, SMA and HeM for different noise levels and stimulation frequencies. Accuracy in the reconstruction of artifact-free EEG signals, as quantified by the correlation index, was examined (A) for noise levels varying between 0% and 20% of the signal intensity and (B) for stimulation frequency ranging between 1 and 70 Hz.

Subsequently, we focused on EEG simulations with 64 channels and with sampling frequency at 500 Hz. The size of the data buffer for AC-REG was set to 500 ms. Hence, we compared the accuracy of AC-REG in tACS artifact attenuation with respect to two alternative approaches: SMA (Kohli and Casson 2015) and the Helfrich method (HeM) (Helfrich *et al* 2014). As for SMA, we used the implementation included in the ARtACS toolbox. Accordingly, the parameter M was set equal to 10, and the parameter N was equal to the number of stimulation cycles. HeM was implemented in MATLAB, based on the information included in the original study. First, we assessed the sensitivity of AC-REG, SMA and HeM with respect to the noise level, which was varied between 0% and 20% of the average standard deviation of the clean EEG signal. Furthermore, after selecting a noise level of 5% (Bai and He 2006), we compared the accuracy of the three methods as a function of the stimulation frequency.

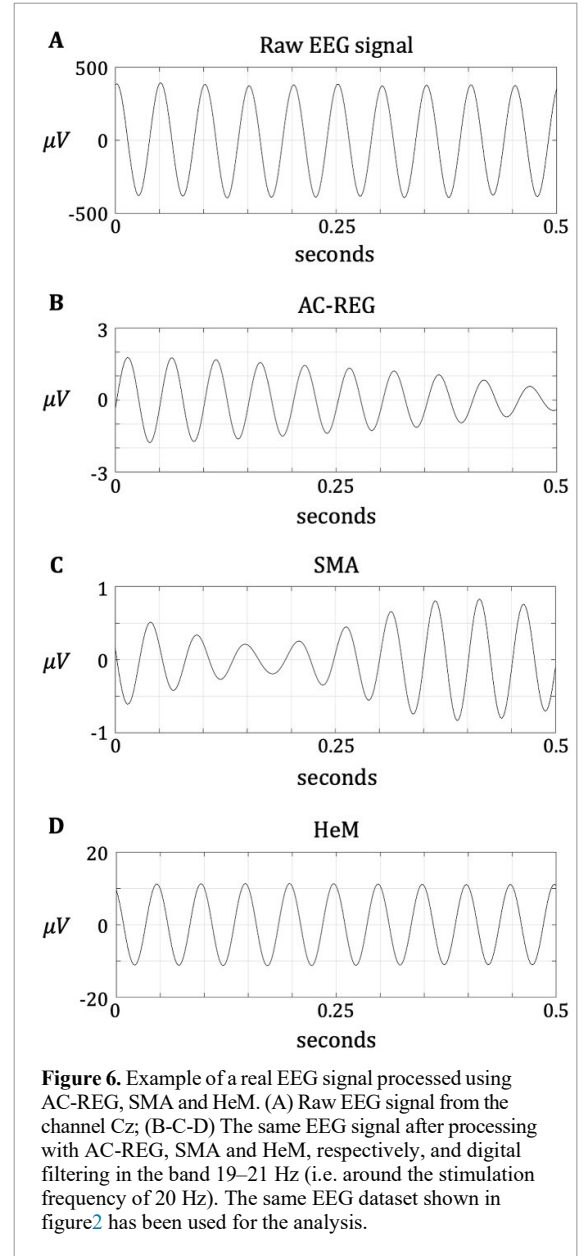
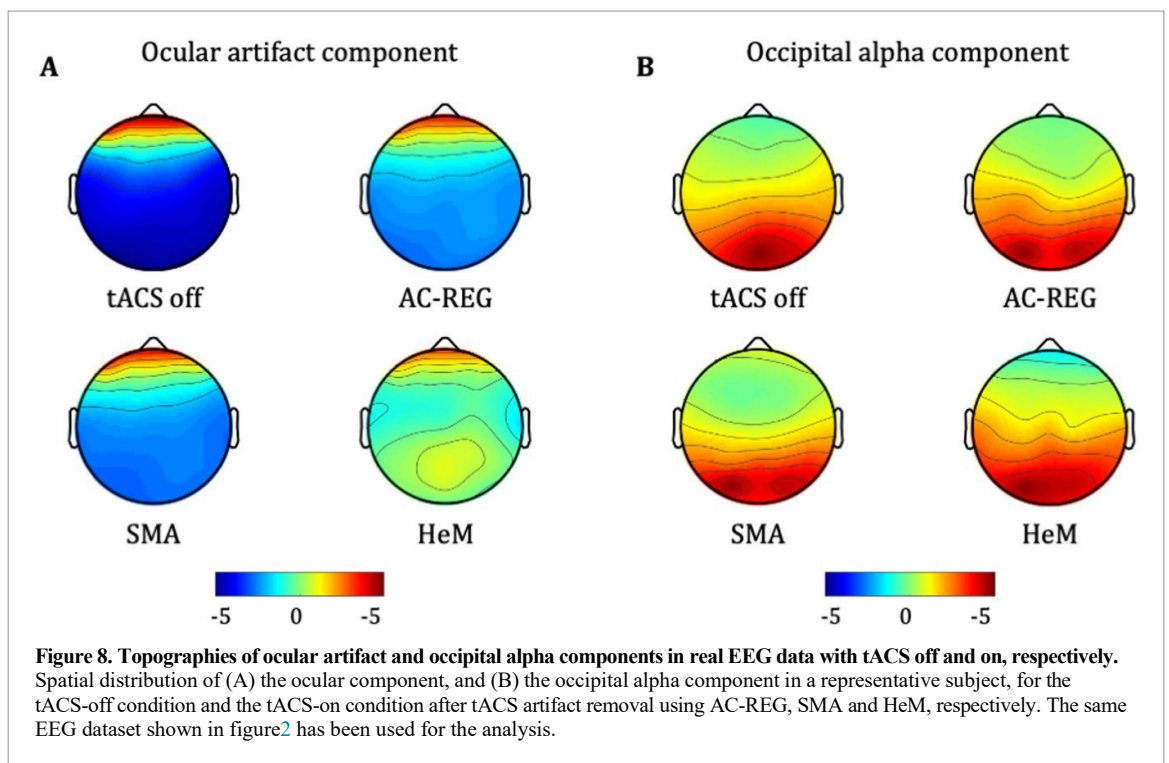
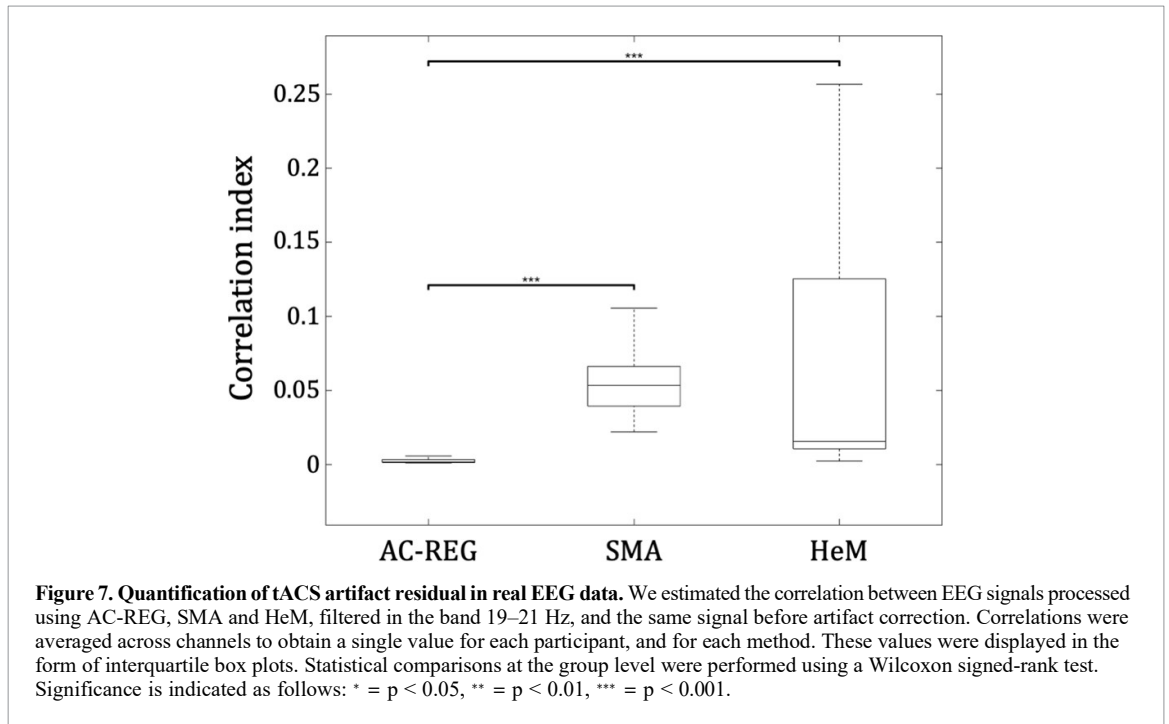


Figure 6. Example of a real EEG signal processed using AC-REG, SMA and HeM. (A) Raw EEG signal from the channel Cz; (B-C-D) The same EEG signal after processing with AC-REG, SMA and HeM, respectively, and digital filtering in the band 19–21 Hz (i.e. around the stimulation frequency of 20 Hz). The same EEG dataset shown in figure 2 has been used for the analysis.

2.2.2. Performance analysis using real EEG data

We used recordings collected in 18 healthy right-handed volunteers (nine males and nine females, age ranging between 20 and 36). Participants gave their written consent before taking part in the experiment. The whole procedure was carried out in accordance with the principles of the Declaration of Helsinki, and the protocol was approved by the Local Ethical Committee of Chieti University.

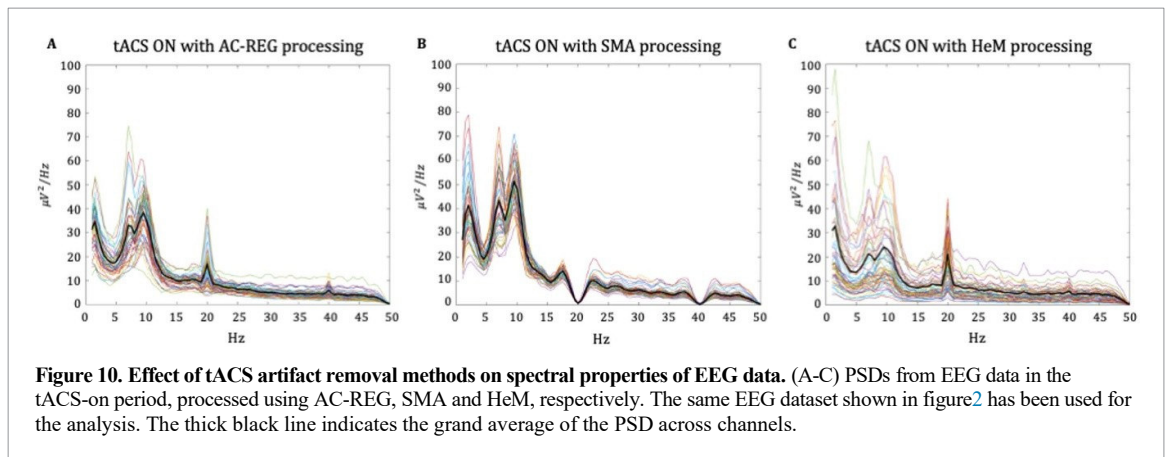
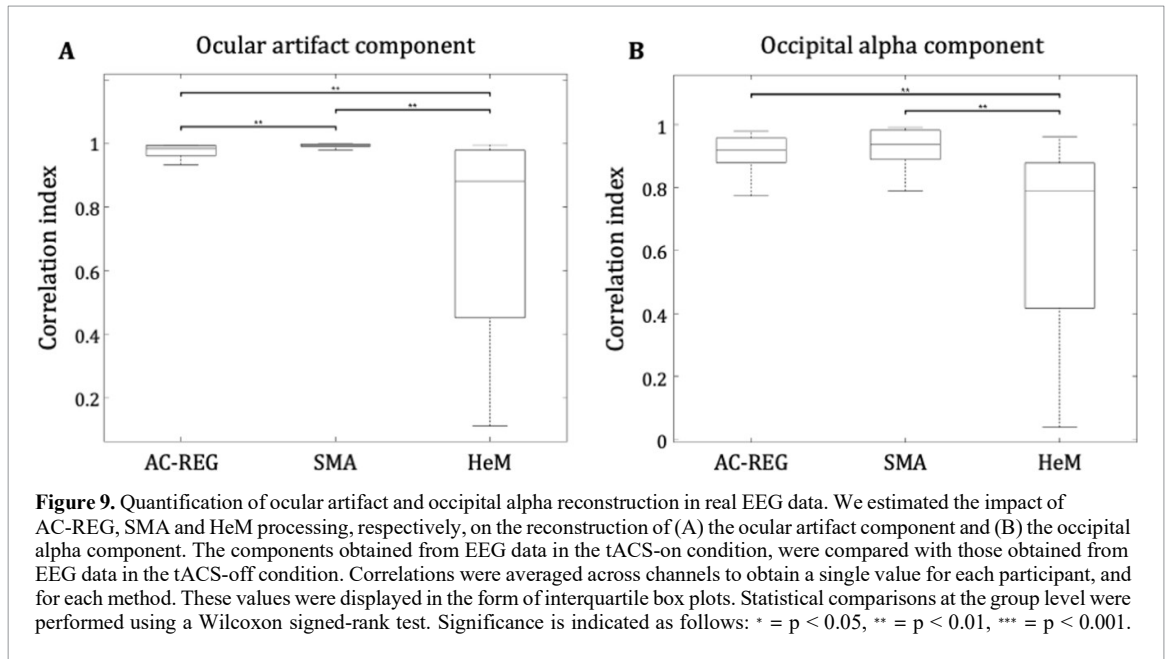
tACS was delivered by a battery-driven, current stimulator (DC-Stimulator, NeuroConn GmbH, Germany) through a pair of conductive rubber electrodes (3 cm × 3 cm, 9 cm²). An electroconductive gel was applied under the rubber electrodes to reduce contact impedance. We used a stimulation of 90 s duration and fade-in/fade-out times of 5 s. A bilateral montage was used, with patch electrodes placed at the C3 and C4 sites of the 10–20 EEG system. During the tACS stimulation, an alternating



current was transmitted with a sinusoidal waveform, the frequency was set at 20 Hz and the relative phase at 0° . Alternating current was applied at $1000 \mu A$ resulting in a mean current density 0.011 mA cm^{-2} .

EEG activity was recorded with a Be-plus system manufactured by Eb-Neuro (Florence, Italy) before, during and after tACS, using 57 scalp electrodes positioned according to a standard 10–10 montage. The electrode labeled as AFz was used as physical reference. Impedance of all electrodes was kept lower than $5 \text{ k}\Omega$. Signals were sampled at 512 Hz and

stored on a computer for offline analysis. The EEG recording started 3 min before the stimulation and ended 3 min after it. The stimulation period lasted 90 s. During the experiment, the participant was seated in a comfortable position and was asked to stay at rest, maintaining a relaxed position, with open eyes and without moving or engaging in any cognitive task. The EEG data were preprocessed offline, using the same analysis workflow used for the generation of the simulated data (see previous section). Specifically, bad-channel detection and interpolation,



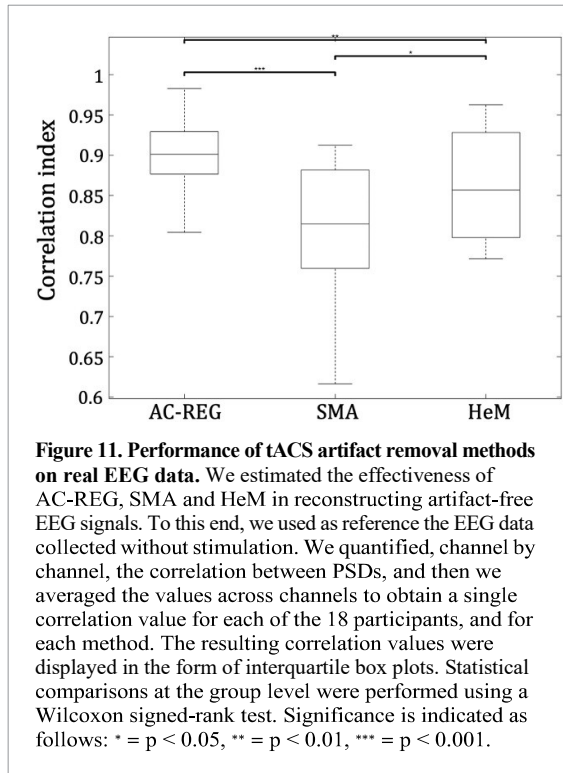
digital filtering in the band 1–80 Hz and average re-referencing were applied (see figure 2 for an illustration of real EEG data).

To assess the effectiveness of AC-REG in attenuation the tACS artifact on real EEG data, we conducted three analyses. First, we quantified the correlation between the tACS signal and the artifact-corrected EEG data, filtered around the stimulation frequency (19–21 Hz). This analysis provided an indication concerning the presence of residual artifacts in the EEG data. SMA and HeM were used as benchmark to evaluate the performance of AC-REG. Second, we performed ICA of the EEG signals using the FastICA algorithm (Hyvarinen 1999), to extract specific components related to eye blinks and the occipital alpha rhythm. The topology of the components extracted from the artifact-free EEG data in the tACS-on period were compared to those from the EEG data in the tACS-off period, by means of their spatial correlation. Third, we investigated the correspondence in the power spectral density (PSD) of the artifact-free EEG data in tACS-on period as compared to the EEG

data in the tACS-off period. This correspondence was quantified using the Pearson’s correlation, focusing on all frequencies in the band 1–80 Hz except the stimulation frequency and its harmonics (i.e. 20, 40, 60 Hz). A Wilcoxon signed rank test was used to test whether there were significant differences among the correlation values obtained with the three methods. The Bonferroni method was used to correct statistical significance, accounting for multiple comparisons (AC-REG vs. SMA, AC-REG vs. HeM, SMA vs. HeM). For all the analyses on real EEG data, AC-REG was used with a buffer size of 500 ms.

3. Results

The performance of AC-REG depends on the amount of data that can be used for the definition of the spatial filter. To examine how the number of data channels, sampling frequencies and data buffer sizes impact on accuracy and computational time, we performed pseudo-online tests using the simulated EEG data. Overall, the accuracy in the reconstruction of



tACS artifact did not strongly depend on the number of EEG channels and on the sampling frequency, but better performance was nonetheless obtained with higher channel counts and higher sampling frequencies respectively (figure 3(A)). In contrast, the buffer size used for AC-REG processing was an important factor in determining the quality in tACS artifact reconstruction. Specifically, the use of a buffer size of at least 500 ms for AC-REG seemed to be important to ensure an effective artifact attenuation (figure 3(B)). We also found that the computation time had a super-linear relationship with channel count (figure 4(A)), whereas it increased with both sampling frequency and buffer size in a sub-linear manner (figure 4(B)).

By using simulated EEG data, we could also assess the performance of AC-REG with respect to alternative approaches, such as SMA and HeM. As expected, the correlation between simulated and reconstructed EEG data was only slightly dependent on the noise level for any method under investigation, possibly due to the high intensity of the tACS artifact. Notably, correlations were higher for AC-REG than SMA and HeM (figure 5(A)). Interestingly, we found that the performance of AC-REG, SMA and HeM depended on the tACS frequency in a substantial manner (figure 5(B)). All methods had a performance drop below 4 Hz and at around 10 Hz, which are the frequencies with relatively lower ratio between artifact and neural signal amplitudes, respectively. Overall, we observed that SMA had a clear decrease in reconstruction accuracy with an increase of the stimulation frequency, whereas the performance of

AC-REG and HeM was largely stable across frequencies.

We finally moved to the assessment of AC-REG using real EEG data. First, we examined whether the EEG data processed by AC-REG, SMA and HeM possibly included residuals of the tACS signal. We found that the EEG signal at the stimulation frequency was in phase with the tACS artifact for HeM, whereas this was not the case for AC-REG and SMA (figure 6). The correlations between the tACS signal and the EEG signals at the stimulation frequency were significantly lower for AC-REG than SMA and HeM (both $p < 0.001$, with Bonferroni correction). Conversely, the correlation values obtained for SMA and HeM were not significantly different across participants ($p = 0.557$, before Bonferroni correction) (figure 7).

Next, we extracted and analyzed the EEG components associated with eye movements and the occipital alpha rhythm, respectively (figure 8). AC-REG, SMA and HeM permitted to reconstruct the ocular component and occipital alpha components. The EEG data processed with SMA had the highest correlations between the ocular component estimated in the tACS-on and tACS-off periods, respectively (figure 9(A)). These correlations were significantly higher than those obtained with AC-REG and HeM ($p = 0.002$ and $p = 0.0013$, respectively, with Bonferroni correction). Also, the values of AC-REG were significantly higher than those of HeM ($p = 0.018$, with Bonferroni correction). For the occipital alpha component, no significant differences were found between the correlations obtained with AC-REG and SMA processing, respectively ($p = 0.5614$, before Bonferroni correction). In turn, the values of AC-REG and SMA were significantly higher than those obtained with HeM ($p = 0.003$ and $p = 0.006$, respectively, with Bonferroni correction).

The PSD of the EEG signals reconstructed using AC-REG clearly showed a single prominent peak at around 10 Hz and revealed two additional narrow peaks at the main stimulation frequency (20 Hz) and its first harmonic (40 Hz), respectively (figure 10). The same two peaks are easily detectable also in the PSD of the EEG signals reconstructed using HeM. With this method, however, the peak at around 10 Hz was much less prominent. In turn, the PSD obtained using SMA showed a clear peak at around 10 Hz, whereas frequencies around 20 and 40 Hz were completely suppressed. In order to perform a more quantitative analysis, we used the PSD of the EEG data in the tACS-off condition as reference, and we quantified its correlation with the PSDs produced by AC-REG, SMA and HeM, respectively (figure 11). A Wilcoxon signed rank test showed that AC-REG had significantly higher values than SMA and HeM ($p < 0.001$ and $p = 0.0222$, respectively, with Bonferroni correction). Also, the values of SMA and HeM were not significantly different ($p = 0.075$, with Bonferroni correction).

4. Discussion

The AC-REG method has been developed for attenuation of tACS artifacts in EEG signals. Due to its low-computational complexity, it may be effectively used in noninvasive closed-loop neuromodulation experiments. AC-REG uses an adaptive spatial filter based on PCA to dynamically estimate and subtract the tACS signal from EEG recordings. It outperforms alternative methods, both on simulated and real data. The low computation time may permit its use in online modality not only with low-density but also high-density EEG recordings. Importantly, the compatibility with high-density EEG may enable the use of AC-REG for source-level closed-loop neuromodulation (Guarnieri *et al* 2020).

4.1. Primary features of AC-REG

The AC-REG method relies on the use of PCA, a data decomposition technique ensuring low computation times required for noninvasive closed-loop experiments. Specifically, the identification of principal components temporally coherent with tACS signals permits to obtain a spatial filter to be used for artifact removal. By using a data buffer, the spatial filter is dynamically updated such that artifact-free EEG data can be obtained in an online manner. A possible caveat of our approach is that the data buffer should contain enough samples for an effective PCA decomposition, hence for an accurate definition of the spatial filter. On the other hand, it should be considered that computation times need to be kept as low as possible to enable the use of AC-REG in closed-loop neuromodulation experiments. Our results suggest that AC-REG can be used for the simultaneous processing of up to 256 EEG recordings, with low computation time. This is essential for the development of source-level closed-loop neuromodulation systems, in which neural activity in the brain is estimated and used for tuning brain stimulation parameters (Guarnieri *et al* 2020).

4.2. Analysis of method performance

To assess the performance of the AC-REG, we first relied on a simulated dataset containing a mix of neural signals and tACS artifacts. In this manner, we could define the buffer size of AC-REG yielding a reasonable compromise between accuracy and computation time (figures 3–4). With known ground truth, we could also examine the effectiveness of AC-REG in tACS artifact removal with alternative approaches, such as SMA and HeM, under controlled conditions. We found that AC-REG outperformed the other methods for all the noise levels and the stimulation frequencies that were tested (figure 5). Notably, AC-REG permitted the simultaneous processing of up to 256 channels (figure 4) with relatively low processing time, theoretically compatible with its

use in quasi real-time applications. It should be considered that the processing of all EEG channels simultaneously can enable source localization in real-time (Guarnieri *et al* 2020).

The analysis conducted using AC-REG, SMA and HeM on real EEG data largely confirmed the findings from simulated data, and provided further insights in the capability of the methods for preserving true neural oscillations. The analysis of the artifact-corrected EEG data suggested that AC-REG successfully removes the sinusoidal signal that completely synchronized with the stimulation, possibly preserving neural signals entrained by the stimulation itself (figures 6–7). Notably, other sources of EEG activity were also preserved by AC-REG. For instance, we were able to reconstruct components associated with eye blinks and the occipital alpha rhythm (figure 8). In this regard, SMA seemed to be more accurate than AC-REG in preserving eye blinks, whereas no significant differences in reconstruction performance were found between AC-REG and SMA for the occipital alpha rhythm (figure 9). To evaluate the performance of AC-REG, SMA and HeM on real data, we also compared the spectral properties of EEG signals in tACS-on and tACS-off conditions. It should be noted that modulations of neural activity and connectivity during and following tACS have been reported (Neuling *et al* 2013, Vosskuhl *et al* 2016, B"achinger *et al* 2017). Considering the relatively short duration of the stimulation and the strength of the injected current, any assessment of stimulation-induced neural changes needs to be conducted with caution (V"or"oslakos *et al* 2018). On the other hand in this study, we focused on gross alterations in power spectrum density introduced by artifact removal methods. Our results suggested that AC-REG could largely preserve EEG signals for frequencies below the one of the stimulation itself (figures 10–11). We also found that, unlike AC-REG and HeM, SMA strongly suppressed all the harmonics associated with the tACS artifact, inducing distortions in the frequency content of neural signals. The differences between AC-REG and HeM were less marked, although still significant. Notably, the use of HeM yielded an overall reduction of power at all frequencies compared to AC-REG and SMA, in particular at around 10 Hz.

4.3. Limitations and future work

In this study, we introduced AC-REG and validated it using both simulated and real EEG data. Simulated EEG data were created by adding sinusoidal signals generated using the ARtACS toolbox to artifact-cleaned EEG signals. Temporal distortions introduced by changes in electrode impedance occurring during data acquisition were modelled using an Ornstein–Uhlenbeck process. However, the possible impact of time-sample jitters (Barban *et al* 2019) and biological artifacts (Noury and Siegel 2017) was not taken into

account. The validation on real data was conducted by testing the correspondence between the PSDs with tACS on and off, respectively, in resting state condition. This approach can be justified by the fact that the stimulation induces entrainment primarily of brain oscillations at the frequency of the tACS and its harmonics (Antal and Herrmann 2016, Adaikkan and Tsai 2020). To ensure the generalizability of our results, it would be important to extend the validation of AC-REG on real EEG data collected with other experimental protocols. In particular, event-related protocols may be used to test whether the reconstruction of neural activity at the single-trial level is unaffected by residual noise (Giroladini *et al* 2016, Mantini *et al* 2007, Helfrich *et al* 2014). Another important aspect to consider is that real EEG data were collected during tACS at 20 Hz. It is therefore worth investigating how our findings might generalize to other stimulation frequencies, also considering that non-linear distortions can be more easily observed at higher stimulation frequencies (Noury *et al* 2016).

AC-REG was introduced and validated using methods typically used for offline analyses, such as SMA and HeM. The comparison may be extended in future studies to other methods that have been proposed to attenuate high-voltage simulation artifacts mixed in EEG recordings, as for instance quadrature-regression independent vector analysis (q-IVA) (Lee *et al* 2019). This method has been so far validated only on EEG data collected during galvanic vestibular stimulation, but it could be readily used to attenuate artifacts generated by tACS. AC-REG may be particularly valuable for applications in which EEG recordings need to be processed simultaneously and in real-time, as for instance in source-based closed loop experiments (Bergmann *et al* 2016). It should be noted, however, that the specific multi-channel implementation of AC-REG make the method potentially sensitive to the presence of bad channels. In this regard, specific solutions for enabling the effective use of AC-REG in online modality will need to be addressed in future studies. It would be important to test the effectiveness and validity of AC-REG in a noninvasive closed-loop neuromodulation experiment. As such, EEG data will have to be processed in real-time for the attenuation of the tACS artifact (Schlegelmilch *et al* 2013, Kohli *et al* 2017), as well as biological signals (Guarnieri *et al* 2018). The readout of EEG activity will then be used to adjust phase and intensity of the stimulation (Bergmann *et al* 2016).

5. Conclusions

We have introduced AC-REG, a method for the attenuation of tACS artifacts removal that is suitable not only for low-density but also high-density EEG recordings. The method requires the use of PCA on

short time windows for the dynamic update of a spatial filter. This ensures low computation times, potentially enabling its use in real-time during EEG experiments. Just as importantly, AC-REG outperforms other methods for tACS artifact removal, which normally operate in an offline modality. We argue that AC-REG may enable further development of closed-loop neuromodulation techniques, with potential applications both in healthy individuals and in neurological patients.

Acknowledgments

The work was supported by the KU Leuven Special Research Fund (Grant No. C16/15/070), the Research Foundation Flanders (FWO) (Grant Nos. G0F76.16N, G0936.16N and EOS.30446199, and PhD fellowship 1104520N to RG) and the Italian Ministry of Health (Grant No. RF-2018-12366899).

ORCID iDs

Roberto Guarnieri <https://orcid.org/0000-0001-5019-2897>

Alfredo Brancucci <https://orcid.org/0000-0002-6826-1341>

Anita D'Anselmo <https://orcid.org/0000-0003-3940-2616>

Valerio Manippa <https://orcid.org/0000-0003-3892-5212>

Stephan P Swinnen <https://orcid.org/0000-0001-7173-435X>

Franca Tecchio <https://orcid.org/0000-0002-1325-5059>

Dante Mantini <https://orcid.org/0000-0001-6485-5559>

References

- Adaikkan C and Tsai L-H 2020 Gamma entrainment: impact on neurocircuits, glia, and therapeutic opportunities *Trends Neurosci.* **43** 24–41
- Alekseichuk I, Falchier A Y, Linn G, Xu T, Milham M P, Schroeder C E and Opitz A 2019 Electric field dynamics in the brain during multi-electrode transcranial electric stimulation *Nat. Commun.* **10** 2573
- Alexander M L, Alagapan S, Lugo C E, Mellin J M, Lustenberger C, Rubinow D R and Fröhlich F 2019 Double-blind, randomized pilot clinical trial targeting alpha oscillations with transcranial alternating current stimulation (tACS) for the treatment of major depressive disorder (MDD) *Transl. Psychiatry* **9** 106
- Ali M M, Sellers K K and Fröhlich F 2013 Transcranial alternating current stimulation modulates large-scale cortical network activity by network resonance *J. Neurosci.* **33** 11262–75
- Antal A and Herrmann C S 2016 Transcranial alternating current and random noise stimulation: possible mechanisms *Neural Plast.* **2016** 3616807
- Bai X and He B 2006 Estimation of number of independent brain electric sources from the scalp EEGs *IEEE Trans. Biomed. Eng.* **53** 1883–92
- Barban F, Buccelli S, Mantini D, Chiappalone M and Semprini M 2019 Removal of tACS artefact: A simulation study for

- algorithm comparison *9th Int. IEEE/EMBS Conf. on Neural Engineering (NER)* pp 393–6
- Beres A M 2017 Time is of the essence: a review of electroencephalography (EEG) and event-related brain potentials (ERPs) in language research *Appl. Psychophysiol. Biofeedback* **42** 247–55
- Bergmann T O, Karabanov A, Hartwigsen G, Thielscher A and Siebner H R 2016 Combining non-invasive transcranial brain stimulation with neuroimaging and electrophysiology: current approaches and future perspectives *Neuroimage* **140** 4–19
- B¨achinger M *et al* 2017 Concurrent tACS-fMRI reveals causal influence of power synchronized neural activity on resting state fMRI connectivity *J. Neurosci.* **37** 4766–77
- Cabral-Calderin Y, Williams K A, Opitz A, Dechent P and Wilke M 2016 Transcranial alternating current stimulation modulates spontaneous low frequency fluctuations as measured with fMRI *NeuroImage* **141** 88–107
- Cappon D, D’Ostilio K, Garraux G, Rothwell J and Bisiacchi P 2016 Effects of 10 Hz and 20 Hz transcranial alternating current stimulation on automatic motor control *Brain Stimulation* **9** 518–24
- Clayton M S, Yeung N and Cohen Kadosh R 2018 The effects of 10 Hz transcranial alternating current stimulation on audiovisual task switching *Front. Neurosci.* **12** 67
- Dowsett J, Herrmann C and Taylor P 2019 Modulation of SSVEPs using frequency matched tACS *Brain Stimulation* **12** 418
- Feurra M, Bianco G, Santarnecchi E, Del Testa M, Rossi A and Rossi S 2011 Frequency-dependent tuning of the human motor system induced by transcranial oscillatory potentials *J. Neurosci.* **31** 12165–70
- Giroldini W, Pederzoli L, Bilucaglia M, Melloni S and Tressoldi P 2016 A new method to detect event-related potentials based on Pearson’s correlation *EURASIP J. Bioinform. Syst. Biol.* **2016** 11
- Golub G and Kahan W 1965 Calculating the singular values and pseudo-inverse of a matrix *J. Soc. Ind. Appl. Math.* **B** **2** 205–24
- Grossman N *et al* 2017 Noninvasive deep brain stimulation via temporally interfering electric fields *Cell* **169** 1029–1041.e16
- Guarnieri R, Marino M, Barban F, Ganzetti M and Mantini D 2018 Online EEG artifact removal for BCI applications by adaptive spatial filtering *J. Neural Eng.* **15** 056009
- Guarnieri R, Zhao M, Taberna G A, Ganzetti M, Swinnen S P and Mantini D 2020 RT-NET: real-time reconstruction of neural activity using high-density electroencephalography *Neuroinform.* <https://doi.org/10.1007/s12021-020-09479-3>
- Guggenmos D J, Azin M, Barbay S, Mahnken J D, Dunham C, Mohseni P and Nudo R J 2013 Restoration of function after brain damage using a neural prosthesis *Proc. Natl Acad. Sci. USA* **110** 21177–82
- Helfrich R F, Schneider T R, Rach S, Trautmann-Lengsfeld S A, Engel A K and Herrmann C S 2014 Entrainment of brain oscillations by transcranial alternating current stimulation *Curr. Biol.* **24** 333–9
- Herrmann C S, Rach S, Neuling T and Strüber D 2013 Transcranial alternating current stimulation: A review of the underlying mechanisms and modulation of cognitive processes *Front. Hum. Neurosci.* **7** 279
- Hyvarinen A 1999 Fast and robust fixed-point algorithms for independent component analysis *IEEE Trans. Neural Networks* **10** 626–34
- Iturrate I, Pereira M and Mill´an J D R 2018 Closed-loop electrical neurostimulation: challenges and opportunities *Curr. Opin. Biomed. Eng.* **8** 28–37
- Kohli S and Casson A J 2020 Machine learning validation of EEG+tACS artefact removal *J. Neural Eng.* **17** 16034
- Kohli S and Casson A J 2019 Removal of gross artifacts of transcranial alternating current stimulation in simultaneous EEG monitoring *Sensors* **19** 190
- Kohli S and Casson A J 2015 Removal of transcranial a.c. current stimulation artifact from simultaneous EEG recordings by superposition of moving averages *Proc. of the Annual Int. Conf. of the IEEE Eng. Med. Biol. Soc.* pp 3436–9
- Kohli S, Krachunov S and Casson A J 2017 Towards closed-loop transcranial electrical stimulation: a comparison of methods for real time tES-EEG artefact removal using a phantom head model *Brain Stimulation* **10** 467–8
- Lee S, Mckeown M J, Wang Z J and Chen X 2019 Removal of high-voltage brain stimulation artifacts from simultaneous EEG recordings *IEEE Trans. Biomed. Eng.* **66** 50–60
- Liu Q, Farahibozorg S, Porcaro C, Wenderoth N and Mantini D 2017 Detecting large-scale networks in the human brain using high-density electroencephalography *Hum. Brain Mapp.* **38** 4631–43
- Mansouri F, Dunlop K, Giacobbe P, Downar J and Zariffa J 2017 A fast EEG forecasting algorithm for phase-locked transcranial electrical stimulation of the human brain *Front. Neurosci.* **11** 1–14
- Mantini D, Perrucci M G, Cugini S, Ferretti A, Romani G L and Del Gratta C 2007 Complete artifact removal for EEG recorded during continuous fMRI using independent component analysis *NeuroImage* **34** 598–607
- Marshall L, Kirov R, Brade J, Mölle M and Born J 2011 Transcranial electrical currents to probe EEG brain rhythms and memory consolidation during sleep in humans *PLoS One* **6** e12905
- Merlet I *et al* 2013 From oscillatory transcranial current stimulation to scalp EEG changes: a biophysical and physiological modeling study *PLoS One* **8** e57330
- Morales-Quezada L, Cosmo C, Carvalho S, Leite J, Castillo-Saavedra L, Rozisky J R and Fregni F 2014 Cognitive effects and autonomic responses to transcranial pulsed current stimulation *Exp. Brain Res.* **233** 701–9
- Neuling T, Rach S and Herrmann C 2013 Orchestrating neuronal networks: sustained after-effects of transcranial alternating current stimulation depend upon brain states *Front. Hum. Neurosci.* **7** 161
- Noury N, Hipp J F and Siegel M 2016 Physiological processes non-linearly affect electrophysiological recordings during transcranial electric stimulation *NeuroImage* **140** 99–109
- Noury N and Siegel M 2017 Phase properties of transcranial electrical stimulation artifacts in electrophysiological recordings *NeuroImage* **158** 406–16
- Pahor A and Jaušovec N 2018 The effects of theta and gamma tacs on working memory and electrophysiology *Front. Hum. Neurosci.* **11** 651
- Perlmutter J S and Mink J W 2006 Deep brain stimulation *Annu. Rev. Neurosci.* **29** 229–57
- Rebesco J M, Stevenson I H, Körding K P, Solla S A and Miller L E 2010 Rewiring neural interactions by micro-stimulation *Front. Syst. Neurosci.* **4** 39
- Riecke L, Formisano E, Herrmann C S and Sack A T 2015 4-Hz transcranial alternating current stimulation phase modulates hearing *Brain Stimulation* **8** 777–83
- Samogin J, Liu Q, Marino M, Wenderoth N and Mantini D 2019 Shared and connection-specific intrinsic interactions in the default mode network *NeuroImage* **200** 474–81
- Schlegelmilch F, Schellhorn K and Stein P 2013 A method for online correction of artifacts in EEG signals during transcranial electrical stimulation *Clin. Neurophysiol* **124** e166–8
- Semprini M, Laffranchi M, Sanguineti V, Avanzino L, De Icco R, De Michieli L and Chiappalone M 2018 Technological approaches for neurorehabilitation: from robotic devices to brain stimulation and beyond *Front. Neurol.* **9** 212
- Sugata H *et al* 2018 Modulation of motor learning capacity by transcranial alternating current stimulation *Neuroscience* **391** 131–9
- Sun F T and Morrell M J 2014 Closed-loop neurostimulation: the clinical experience *Neurotherapeutics* **11** 553–63
- Tucker D M 1993 Spatial sampling of head electrical fields: the geodesic sensor net *Electroencephalogr. Clin. Neurophysiol.* **87** 154–63

-
- Turnip A and Junaidi E 2014 Removal artifacts from EEG signal using independent component analysis and principal component analysis *2014 2nd Int. Conf. on Technology, Informatics, Management, Engineering & Environment* pp 296–302
- Vossen A, Gross J and Thut G 2015 Alpha power increase after transcranial alternating current stimulation at alpha frequency (a-tACS) reflects plastic changes rather than entrainment *Brain Stimulation* **8** 499–508
- Voskuhl J, Huster R J and Herrmann C S 2016 BOLD signal effects of transcranial alternating current stimulation (tACS) in the alpha range: A concurrent tACS–fMRI study *NeuroImage* **140** 118–25
- Vöröslakos M *et al* 2018 Direct effects of transcranial electric stimulation on brain circuits in rats and humans *Nat. Commun.* **9** 483
- Woods A J *et al* 2016 A technical guide to tDCS, and related non-invasive brain stimulation tools *Clin. Neurophysiol* **127** 1031–48
-