

1 **Biopolymer hybrid materials: development, characterization, and** 2 **food packaging applications**

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25

26 **Abstract**

27 Current trends in food packaging systems are oriented to biodegradable materials, especially
28 with enhanced features. The latter are often provided by the combination of natural biopolymers,
29 clays and bioactive molecules. This review summarises recent developments in the production of
30 biopolymers-clay hybrid materials for food packaging. The main production methods of bio-
31 composites and the improvement of their physicochemical properties due to the clay addition are
32 discussed. The improvement of the film properties is explained with a focus on the molecular
33 interactions between biopolymer matrix, clay, and bioactive compounds. Recent research on
34 their food application as active and intelligent packaging are summarised. This review shows
35 that the application of biodegradable bio-composites as packaging material is a promising green
36 option to ensure food quality and safety. The research on the chemical properties of tailored and
37 tunable biopolymers could lead to new functional films, suitable for the industrial scale-up.

38 **Keywords:** biopolymer, hydrocolloids, clay, film properties, analytical characterization, food
39 packaging

40

41 **Abbreviation list:** AMOS, aqueous miscible organic solvents; AMP, antimicrobial peptides;
42 CAGR, compound annual growth rate; CBHs, clay-bioactive compounds hybrids; CEO, clove
43 essential oil; CMC, carboxymethyl cellulose; DSC, differential scanning calorimetry; DPPH,
44 2,2-diphenyl-1-picrylhydrazyl; EOs, essential oils; EOCs, essential oil compounds; EU,
45 European Union; FDA, Food and Drug Administration; HAL, halloysite; HNTs, halloysite
46 nanotubes; JFE, Jamaica flower extract; LDH, layered double hydroxide; MMT,
47 montmorillonite; OMMT, organo-modified montmorillonite; PA, polyamide; PEC,
48 polyelectrolyte complex; PHAs, poly(hydroxyalkanoate)s; PHBs poly(hydroxybutyrate)s; PHC,
49 palygorskite; PLA, poly(lactic acid); PVA, poly(vinylalcohol); RH, relative humidity; SAS,

50 synthetic amorphous silica; TGA, thermogravimetric analysis; TPS, thermoplastic starch; TTI,
51 time temperature indicator; TVB-N, total volatile base nitrogen; WPI, whey protein isolate.

52

53 **1. Introduction**

54 Active packaging is defined as “packaging in which subsidiary constituents have been
55 deliberately included in or on either the packaging material or the package headspace to enhance
56 the performance of the package system” (Robertson, 2006). As recently reviewed by
57 Janjarasskul and Suppakul (2018), the most important active packaging systems are the O₂
58 scavengers, the CO₂ scavengers and emitters, the moisture and ethylene gas regulators,
59 releasing/adsorption systems of flavours and odours as well as those with the ability to release
60 antimicrobial agents or antioxidants.

61 Intelligent packaging refers to a packaging system that can detect environmental changes, since
62 it possesses logic capabilities and intelligent functions (e.g., detecting, sensing, recording,
63 tracing, communicating, and applying algorithms to extend the shelf-life), in turn providing
64 information, and warning about potential issues (Janjarasskul & Suppakul, 2018).

65 More than 30% of the worldwide plastic production is used as packaging materials. The 47% of
66 this percentage is represent by bioplastics. Interestingly, the food sector represents over the 75%
67 of the bioplastic applications as packaging material (European bioplastics, 2020; Coherent
68 Market Insights, 2018; Zhao, Cornish, & Vodovodtz, 2020). Recently, there is an increased
69 demand for biodegradable packaging materials, and, according to recent records, the
70 biodegradable plastics market is predicted to grow at an 8.4% compound annual growth rate
71 (CAGR) in the period 2016-2022, up to 16.8 million dollars by 2022 (MRFER, 2020). However,
72 biopolymer-based packaging materials are not widely used for food packaging, mainly because
73 of their limited mechanical, barrier, and processing properties as well as the high production
74 cost. To overcome such limitations, the use of biopolymer/clay composites has attracted
75 attention as an alternative to conventional polymers, due to the improvement in the mechanical
76 and physical film properties such as rigidity, stiffness or flexibility, durability, temperature and
77 moisture stability, and barrier effect against water, oxygen, and other gases (Fatyeyeva,
78 Chappey, & Marais, 2017). Moreover, the inclusion of clay bioactive compound hybrids (CBHs)

79 supplies additional properties (i.e., antimicrobial or antioxidant) to these films (Cirillo,
80 Kozłowski, & Spizzirri, 2018).

81 In Figure 1 the biopolymers, the clays, and the organic antimicrobials/antioxidants used for the
82 manufacture of biopolymers-clay hybrid composite materials are classified.

83 Hydrocolloids can be found as hydrosol or hydrogel, depending on the water content. Novel
84 biopolymers-clay hybrid composite materials for food packaging could be developed using
85 hydrogels, as reported in this review. Hydrogels are defined as colloidal gels, as they disperse in
86 water and present viscoelastic and structural properties. In the swollen state and when exposed to
87 certain pressures, their three-dimensional structure allows the absorption of target fluids without
88 structural changes (Batista et al., 2019). They could be sensitive to different pH, temperature,
89 pressure, or photo irradiation conditions. Moreover, hydrogels can be used as humidity
90 adsorbents, antimicrobial materials, or to modulate the release of antioxidant compounds (Batista
91 et al., 2019).

92 The aim of this review is the description and discussion of innovative, economically sustainable,
93 and time-saving methods for the manufacture of CBHs, and bio-composite films, as well as the
94 improvement of their physicochemical properties, determined by molecular interactions among
95 biopolymer matrix, clays, and bioactive compounds. Finally, selected applications as active or
96 intelligent packaging of these bio-composites for the food preservation and the food safety are
97 reported. Since the European Plastic Strategy promotes the environmental-friendly use of
98 different biopolymers, polymer blends, and additives (Elliot, Gillie, & Thomson, 2020), the
99 manufacturing of novel bio-composite films and their approval for food contact purposes is
100 expected. Therefore, in this review, the regulations related to food application of the
101 nanocomposite materials are reported.

102

103 **2. Biopolymers, clays, and bioactive compounds**

104 The bio-composite films described in this review are composed of the biopolymer matrix, the
105 clays, and the bioactive compounds loaded into the clay hybrids. Their description for the
106 development of bio-composite films is reported in the following sections.

107

108 *2.1 Biopolymers*

109 A plastic material is defined as a bioplastic if it is either biobased, biodegradable, or features
110 both properties. Biopolymers described in this review are a sub-class of biobased and
111 biodegradable bioplastics (European bioplastics, 2020). According to the method of production,
112 biopolymers can be polymers directly extracted from biomass of vegetable or animal origin, such
113 as polysaccharides, proteins, lipids, but also polymers produced by classical chemical synthesis
114 starting from renewable bio-based monomers such as poly (lactic acid) (PLA), or polymers
115 produced by wild or genetically modified microorganisms, such as poly(hydroxyalkanoate)s
116 (PHAs), poly(hydroxybutyrate)s (PHBs), bacterial cellulose, xanthan, gellan, pullulan.
117 However, depending on their source, biopolymers can be polysaccharides, proteins, lipids, or
118 aliphatic polyesters (for a review see Sivakanthan, Rajendran, Gamage, Madhujith, & Mani,
119 2020). Polysaccharides include starch, cellulose, chitosan, alginate and carrageenan, pectin and
120 gums or their derivatives.

121 Proteins and lipids have limited use for the biopolymers manufacturing. Protein films can be
122 classified according to the animal (gelatin, casein, whey, and collagen) or vegetable (zein, soy,
123 gluten) origin (Fig. 1). Beeswax and carnauba wax are the most used lipids for the manufacture
124 of emulsion coatings including clays, to be used in the food sector (Klangmuang & Sothornvit,
125 2016; Motamedi et al., 2018).

126 Aliphatic polyesters used for biopolymer manufacture are PLA, PHA, PHB, and some classes of
127 polyamides (PA 6.6 and PA 4.6) (Radzik, Leszczyńska, & Pielichowski, 2020). Aliphatic
128 polyesters are not hydrocolloids, but in some cases, they can be used in multilayer composites
129 based on these compounds. In particular, their good gas barrier properties, heat resistance, and

130 the possibility to be processed into different products including films, trays and coatings on other
131 biobased materials offer opportunities to be applied as food packaging materials (Ragaert et al.,
132 2019).

133 Among all biopolymers, hydrogels show promising features for the production of bio-polymeric
134 clay-reinforced materials. For instance, a Pickering emulsion hydrogel system using chitosan as
135 Pickering emulsifier, and Ca-alginate hydrogel beads as carrier was developed (Lim et al., 2020).

136 The chitosan particles remained adsorbed on the oil droplets upon release, showing potential
137 application as food delivery system (Lim et al., 2020). Recently, the possibility to stabilize the
138 Pickering oil-in-water emulsion through the clay addition was reported, as in the case of
139 alginate/MMT composite which thickened the continuous phase, created a gel-like environment
140 around the droplets, and enhanced their electrostatic force (Wang, Deng, Sung, & Yang, 2020).

141 Based on these results, bioactive antioxidant compounds could be loaded into hydrogel-clay
142 matrix to be released during food storage in the future.

143 Composite emulsion hydrogels could be prepared using a continuous phase of oil-in-water
144 concentrated emulsion templates, as demonstrated for gelatin (water phase)/cinnamon oil (oil
145 phase) hydrogel with antimicrobial activity (Wang et al., 2018), and whey protein
146 concentrates/high methoxy pectin hydrogel particles with improved oxidative stability (Cao et
147 al., 2020). These findings suggest the potential of the composite emulsion hydrogels to be used
148 as delivery systems of bioactive compounds. In the future, the application of hydrogels-clay
149 hybrids in the production of innovative bio-composites could become a trending research topic.
150 Their application in the food packaging could meet the demand of functional and tailored
151 biomaterials.

152

153 *2.2 Clays*

154 Film properties like melt strength, impact strength, thermal stability, and gas permeability of
155 biopolymers do not meet the demand for some food applications, especially for fresh products.

156 Therefore, the incorporation of nanosized reinforcement agents, like commercially available
157 clays, is a good strategy to improve these properties. To this aim, the layered silicates of natural
158 or synthetic origin mostly used are the montmorillonite, the halloysite, the silica, the Laponite®,
159 and the hydrotalcite. In addition, as recently reviewed by Pires, de Paula, Souza, Fernando, &
160 Coelho (2021) the inclusion of clays in biopolymeric films has several advantages due to their
161 low cost, availability, and good surface area. However, possible concerns related to potential
162 toxicity and migration from packaging material should be taken into account.

163 Montmorillonite (MMT) is the principal compound (*ca.* 90%) of the 2:1 clay bentonite. This
164 hydrated alumina-silicate-layered clay consists of two tetrahedral sheets fused to an edge-shared
165 octahedral sheet of aluminium hydroxide. MMT has a high slightly negative surface area, high
166 elastic modulus (178 GPa) as compared to other clays, is plate-shaped, and typically 1 nm in
167 thickness (Giannakas & Leontiou, 2018). The negative charge is balanced with exchangeable
168 cations, as Na⁺ and Ca²⁺ (natural sodium montmorillonite, Na-MMT, and natural calcium
169 montmorillonite, Ca-MMT). However, it is difficult to disperse homogeneously MMT in an
170 organic polymer phase, due to the hydrophilic nature of its surface. Therefore, the
171 hydrophobicity of MMT needs to be improved by means of the surface modification. This
172 modification is often carried out by cation exchange, leading to organically modified-MMT
173 (OMMT) with higher distance between the silicate layers. The most used modifiers are the
174 quaternary alkyl ammonium cations (Fatyeyeva et al., 2017).

175 Halloysite nanotubes (HNTs) are aluminosilicates with an outer surface of a silica sheet, and the
176 inner surface of an alumina sheet, rolled up resulting in a hollow tube. From a structural point of
177 view, HNTs have non-polar external surface and a polar inner part loading charged molecules.
178 The non-polar surface allows HNTs to be easily dispersed into many polymer systems,
179 increasing the stiffness of the biopolymers thank to their rigid nature. The main properties of the
180 HNTs are the porous structure, good mechanical properties and biocompatibility, the thermal and
181 acid/basic stability. The intercalation of organic compounds is favoured in the hydrated form.

182 The cycloaddition of azides and alkynes (click reaction) in the HNT cavity is one of the
183 modification procedures to produce bio-nanocomposite with HNT fillers (Darie –Niță & Vasile,
184 2018).

185 Silica, Laponite[®], and hydrotalcite are less used for food bio-nanocomposite manufacturing
186 compared to MMT and HNTs. Silicon dioxide, or silica, has been used in food applications in
187 the form called synthetic amorphous silica (SAS). The material is marked as the food additive
188 E551 and consists of primary particles, aggregates, and agglomerates. Only part of the particle
189 size distribution of SAS is less than 100 nm (Fruijtier-Pölloth, 2016). Laponite[®] is synthetic clay
190 with a disk-like shape, small size (1 nm in thickness), great ability to form transparent colloidal
191 dispersions, and high surface area (Fatyeyeva et al., 2017). Hydrotalcite (formerly layered
192 double hydroxide, LDH) is a lamellar inorganic material with large surface area, great thermal
193 and mechanical properties, and tendency to interchange their interlayer anions with other anions
194 such as larger organic and inorganic ones. The structure of LDH composes of brucite-like sheets
195 and the thickness of each sheet is about 0.5 nm (Mallakpour & Khodadadzadeh, 2020).
196 Layered silicates do not have antimicrobial activity but they interact with microbial cell
197 membranes due to their high electrostatic activity and, for this reason, they may be useful in
198 combination with antimicrobials (Azeredo et al., 2019).

199

200 *2.3 Bioactive compounds*

201 The incorporation of bioactive compounds into the packaging represents an interesting strategy
202 to avoid the use of preservatives or other additives directly on foods. In this manner, the active
203 packaging material could extend the shelf-life of foods, maintain their freshness and quality, and
204 improve the food safety. Among different natural bioactive compounds, essential oils (EOs),
205 extracts composed by different volatile compounds (e.g., rosemary, clove, thyme essential oil),
206 or essential oil compounds (EOCs) (e.g., eugenol, thymol, cinnamaldehyde) are the mostly used
207 antimicrobials to prepare biopolymer-CBHs films. EOs and EOCs are well known for their

208 antifungal (Pinto et al., 2020, 2021) and antibacterial (Bevilacqua, Speranza, Perricone,
209 Sinigaglia, & Corbo, 2017; Perricone, Arace, Corbo, Sinigaglia, & Bevilacqua, 2015) activity.
210 Clays can load different EOs or EOCs that can be released over time. They also act as a carrier to
211 disperse hydrophobic molecules into hydrophilic matrices as observed with marjoram essential
212 oil and clove essential oil in alginate and chitosan, respectively (Azeredo et al., 2019). In
213 addition, EOs or EOCs could display both antimicrobial and antioxidant properties (Garrido-
214 Miranda, Rivas, Pérez-Rivera, Sanfuentes, & Peña-Farfal, 2018).
215 The antimicrobial peptides (AMPs) of animal (Baruzzi et al., 2015) and microbial origin (Meira,
216 Zehetmeyer, Wemer, & Brandelli, 2017), and the organic acids are other natural compounds
217 used for the inclusion into bio-based packaging materials, and to prepare CBHs.
218 In addition to antimicrobials, antioxidants such as plant polyphenols can be successfully loaded
219 into clays. As recently found by Muráth, Szerlauth, Sebők, and Szilágyi (2020), the antioxidant-
220 clay hybrid could overcome the problem related to the low solubility of certain antioxidants in
221 water. Therefore, these hybrids can be included in hydrophilic biopolymers for food contact
222 purposes. Other natural antioxidants suitable for the inclusion into biopolymers are the hydro-
223 alcoholic extract from vegetable tissues. They can interact with the biopolymer matrix, such as
224 chitosan, through hydroxyl groups of polyphenols and the carbonyl groups of phenolic acids
225 (Souza et al., 2017).

226

227 **3. Development of clay bioactive compound hybrids and composite biopolymers**

228 The development of the bio-composite material usually starts with the production of CBHs, here
229 defined as clay material loaded with organic molecules (antimicrobials, antioxidants), and to be
230 included within the biopolymer matrix. Sometimes, the addition of the bioactive molecules
231 follows, or is concurrent with, the production of the biopolymer-clay composite material
232 (Giannakas, Stathopoulou, Tsiamis, & Salmas, 2020). In Table 1, the main production methods
233 of the CBHs and the composite biopolymers are reported.

234

235 *3.1 Development of biopolymer-clay composites*

236 The interaction of clay particles with the biopolymer matrix has a pivotal role to obtain
237 homogeneous films. As reported by Ramos et al. (2018), layered clays can be phase separated or
238 immiscible (microcomposite), intercalated, exfoliated, and disordered intercalated (partially
239 exfoliated) in the polymer matrix. Exfoliated structure allows the maximum reinforcement
240 because of the proper clay distribution, resulting in a large surface area (Fatyeyeva et al., 2017).
241 Moreover, the exfoliated structures show good barrier to water vapor, being suitable for food
242 packaging applications (Pires et al., 2021).

243 In Fig. 2, the train-loop-tail conformation of the polymer matrix (xanthan gum) at the clay
244 interface (kaolinite) is depicted. This conformation affects the water absorption capacity of the
245 biopolymer. Indeed, at low xanthan gum concentration, more loops cross-link between clay
246 particles, exposing a significant length of xanthan gum chains to the water molecules. This
247 exposure allows the gum to adsorb large amounts of water. On the contrary, at high xanthan gum
248 concentration, polymer chains saturate clay particles with the train conformation. The limited
249 exposed chain loops reduce the amount of water that the xanthan gum can adsorb (Sherif, Dilip,
250 & Dilip, 2019). Therefore, the amount of biopolymer added to the clay suspension drives the
251 water absorption capacity of the composite material.

252 According to Alcântara and Darder (2018), two strategies can be followed for the composite
253 production. In the blocks assembly approach, the biopolymers and the inorganic units are
254 assembled together to obtain the nanostructured bio-composite, whereas in the molecular
255 assembly approach the clay hybrids can be synthesized in the presence of the biopolymer.
256 The mostly used methods for the biopolymer-clay production follow the block assembly
257 approach. In this respect, Alcântara, Darder, Aranda, and Ruiz-Hitzky (2020) have compared the
258 ion-exchange, the co-precipitation, and the calcination-rehydration reaction method for the
259 production of zein-LDH hybrids. In the latter, the dehydrated layered double oxide is obtained

260 through calcination. Then, the oxide is mixed with the solution of zein at pH above 11, as in the
261 case of ion-exchange and co-precipitation methods. Results show that the co-precipitation
262 method and the calcination-rehydration reaction determine partial, or complete, intercalation of
263 zein into LDH, through electrostatic interactions with the clay (Alcântara et al., 2020). An
264 innovative hybrid preparation method was developed by Alcântara, Darder, Aranda, and Ruiz-
265 Hitzky (2016). The authors prepared a zein/MMT hybrid through the adsorption of the zein
266 phases, previously segregated in absolute ethanol, to which a water/ethanol suspension of the
267 clay is added (Alcantara et al., 2016).

268 The production methods can be classified in dry and wet processes. Wet processes include the
269 solvent casting and the solution intercalation methods. Dry processes include the melt extrusion,
270 the injection molding, the compression molding, and *in situ* polymerization (layer-by-layer,
271 electrospinning, simple coating) (Ramos et al., 2018; Zubair & Ullah, 2020). The solvent casting
272 method (wet process) is the most used to produce biopolymer/clay/bioactive composites, such as
273 gelatin/MMT/ginger essential oil (Alexandre, Lourenço, Bittante, Moraes, & do Amaral Sobral,
274 2016), karaya gum/cloisite/cinnamaldehyde (Cao & Song, 2019), starch/HNT/AMPs (Meira et
275 al., 2017), k-carragenan/MMT/*Zataria multiflora* extract (Nouri et al., 2020), and
276 chitosan/PVA/MMT/lactate on a polyethylene support (Zhang et al., 2017). This wet process
277 needs three steps to form the films, including solubilisation, casting, and drying. The clay is
278 usually dispersed in water or water/ethanol solutions to open clay stacks. The biopolymer is
279 added to the clay solution, in presence of a plasticizers such as glycerol (Cao & Song, 2019) or
280 triethyl citrate (Rodriguez et al., 2019), at 70-80 °C under magnetic stirring. The film forming
281 solution is then sonicated, degassed, and casted onto a glass or plastic plate to form a thin film
282 (Kashiri, Maghsoudlo, & Khomeiri, 2017), and dried at 20-25 °C and relative humidity (RH) of
283 50% to reach the steady state (Cao & Song, 2019). Ortiz, de Moraes, Vicente, Laurindo, &
284 Mauri (2017) produced a large-scale soy protein film by the tape casting method, another wet

285 process in which the film-forming solution is deposited on a support with a doctor blade device,
286 controlling the suspension thickness.

287 In the solution intercalation method, clay and biopolymer are dispersed in the solvent separately.
288 Then, the solutions are mixed, also with the help of the ultrasonication. The full exfoliation of
289 the clay and better biopolymer/clay dispersion can be achieved compared to other methods (Li et
290 al., 2013; Zubair & Ullah, 2020). Once the solvent is removed, by evaporation or freeze-drying,
291 the material is then shaped by compression molding or extrusion.

292 As regards the dry processes, Campos-Requena et al. (2017) produced thermoplastic starch
293 (TPS) added with MMT and carvacrol or thymol by the melt extrusion process. The
294 starch/plasticizer blend was mixed with MMT/EOCs slurry. The mixture was then processed in a
295 twin-screw extruder, hot pressed, and cooled (Campos-Requena et al., 2017). Poly (butylene
296 succinate)/LDH/l-ascorbate composite was produced by the compression molding method
297 (Marek et al., 2020). In this process, the mixture is thermally compressed between pre-heated
298 stainless-steel platens covered with aluminium foil. In protein-based polymers, these conditions
299 lead to the protein denaturation. The cooling of the mixture controls its formation by the
300 development of new interactions such as covalent, ionic, hydrogen bonding and hydrophilic
301 interactions (Zubair & Ullah, 2020). The films are then peeled from the aluminium foil layers
302 after cooling at room temperature.

303 As far as the *in-situ* polymerization methods are concerned, the simple coating and the
304 electrospinning are the most used approaches. A coating composed by carnauba
305 wax/MMT/orange peel essential oil can be directly applied on the surface of oranges (Nasirifar,
306 Maghsoudlou, & Oliyaei, 2018). Bugatti, Vertuccio, Viscuso, and Gorrasi (2018) developed a
307 bio-based polyamide-11/HNT/lysozyme composite by electrospinning. In this procedure, the
308 solution containing polyamide-11, HNT-lysozyme filler, and hexafluoroisopropanol is injected
309 in a syringe connected to an electrode. Fibrous mats are collected on a ground aluminium
310 collector. In our opinion, this procedure could be extended in the future to other biopolymers

311 such as polyamide 6.6 and 4.6 (PA 6.6 and PA 4.6), produced by fermentation and microbial
312 transformation.
313 Recently, Wang et al. (2021) successfully produced a chitosan/HNT/tea polyphenols film by
314 means of 3D printing. Although authors concluded that material characteristics, process
315 parameters, and post-processing methods need further investigation, this technology provides a
316 new strategy for the film processing.

317

318 *3.2 Clay-bioactive compound hybrids (CBHs)*

319 Several protocols have been described for the preparation of CBHs. By a molecular point of
320 view, the organic guest molecule can be intercalated or adsorbed onto the clay surface (Lobo-
321 Sánchez et al., 2018). Complexation interactions are often hydrogen bonding, electrostatic,
322 hydrophobic and π - π interactions between the molecule and the clay surface. These interactions
323 are driven by the pH, and the cation exchange capacity of the clay surface. In addition to the
324 acid-base chemistry, the conformation of the molecule across the nanopores (parallel, tilted, or
325 vertical conformation), the exfoliation phenomenon, the surface area, and the hydrophilic
326 character play a key role to determine the sorption rate (Nakhli et al., 2017).

327 The conformation of the polymer matrix also affects the adsorption of bioactive molecules by the
328 composite material. Generally, as the polycation charge density of the biopolymer decreases, its
329 conformation at the clay surface shifts towards 'loops and tails', and the composite surface is
330 more hydrophobic (less charged monomers). Hence, the adsorption of non-ionic molecules by
331 such composites increases due to both higher hydrophobicity and a more dangling conformation.
332 Conversely, anionic molecules are preferentially adsorbed onto composites with high charge
333 density through electrostatic interactions (Kohay, Bilkis, & Mishael, 2019). The inclusion of the
334 guest molecules (EOs, EOCs, AMPs) into the clay-hybrid during shear mixing is the most used
335 preparation method (Meira et al., 2017; Pires, Souza, & Fernando, 2018; Tenci et al., 2017). In
336 this procedure, the clay and a solution of the organic compound are mixed in a fixed ratio. Then,

337 the dispersion of the clay in the suspension is promoted by means of the ultrasonication. The
338 following centrifugation and evaporation allow the removal of the non-adsorbed compound
339 (Meira et al., 2017; Tenci et al., 2017). For EOCs, Tenci et al. (2017) compared the preparation
340 of clay-carvacrol hybrids by the adsorption in saturation conditions or by the shear mixing. The
341 first consists in the exposure of clay surface in a sealed thermostatic environment saturated with
342 the EOC vapours for 2 to 5 days (Tenci et al., 2017). The shear mixing method determined
343 higher loading capacity of carvacrol than adsorption in saturation conditions, with the highest
344 values observed for the fibrous PHC, followed by the tubular HAL and finally by the lamellar
345 MMT (Tenci et al., 2017).

346 Although the preparation of LDH-EO hybrids is still limited explored, the co-precipitation
347 method is currently used (Bugatti et al., 2019; Lobo-Sánchez et al., 2018). Zn and Al nitrate
348 solutions are dropped with EO solution in ethanol at pH 10. The resulting slurry is sonicated in
349 an ultrasonic vessel to crystallize LDH. The solid is then precipitated, washed, and dried (Lobo-
350 Sánchez et al., 2018).

351 The reduction of the length and the increase of the inner diameter of the clay particles can
352 increase the loading capacity of the bioactive compound. Indeed, Makaremi et al. (2017)
353 revealed higher loading efficiency of salicylic acid into shorth-tubular HNT with high inner
354 diameter values, than long-tubular HNT with low inner diameter.

355

356

357 **4. Physicochemical properties of the clay-reinforced bio-composites**

358 The inclusion of clay into the biopolymer matrix can improve some physicochemical properties
359 such as barrier, mechanical, and thermal properties, as summarized in Table 2. The following
360 section describes the physicochemical and structural characterization of the bio-composites,
361 highlighting the interactions between the polymer matrix and the clays. In addition,
362 biodegradability, compostability, and release properties of these films are also reported.

363

364 *4.1 Barrier properties*

365 The barrier properties of the polymers are associated with their inherent ability to modulate the
366 permeation of substances with low molecular weight, such as gases. Clay nanoparticles are
367 naturally impermeable and improve the polymer barrier properties by creating the tortuous path
368 that delays the diffusion of vapour or water molecules through the polymer matrix (Fatyeyeva et
369 al., 2017).

370 The incorporation of clove essential oil (CEO) and HNT into the chitosan polymer significantly
371 reduced the water vapour permeability, the moisture content, and the water adsorption of the
372 film, as a function of the clay concentration (Lee, Kim, & Park, 2018). Dairi, Ferfera-Harrar,
373 Ramos, and Garrigós (2019) reported that the bio-composite cellulose acetate/Ag-MMT/thymol
374 showed higher oxygen transmission rate than cellulose film. This phenomenon was ascribed to
375 the presence of predominantly intercalated layered silicate than exfoliated ones (Dairi et al.,
376 2019). However, the addition of the clay could overcome the increase in oxygen permeability,
377 due to the plasticizing effect of the thymol addition (Dairi et al., 2019). Sun et al. (2019)
378 produced a chitosan/bentonite/poplar extract film with reduced water vapour permeability,
379 oxygen permeability, and water solubility compared with chitosan/poplar extract films. Authors
380 postulated that these properties might be attributed to the hydrogen bonding and covalent
381 bonding between the chitosan network and Poplar extract (composed by hemicellulose-derived
382 sugars and lignin) (Sun et al., 2019). Similarly, chitosan and HNTs showed a synergistic effect in
383 reducing the moisture uptake, water solubility, and water swelling degree of agar films. These
384 effects are probably caused by the H-bonds formation between the polymer matrix and the
385 HNTs, and the electrostatic interactions between sulphates groups of agar chain and the
386 protonated amino group of chitosan (Huang et al., 2020). Overall, the addition of clays into the
387 biopolymer matrix reduces the water vapor and oxygen permeability of the bio-composite films,
388 thanks to their conformation and molecular interaction with the hydrocolloids.

389

390 *4.2 Mechanical properties*

391 Mechanical properties are of great importance for food packaging application, and mechanical
392 durability is expected from bio-composite films. The maximum tensile stress, i.e., the maximum
393 stress a material can stand before it breaks, increased in methylcellulose/MMT/carvacrol film as
394 function of the MMT added in the polymer matrix. At the same time, the maximum tensile
395 strain, the maximum ratio of extension to original length, was reduced with increasing MMT
396 concentration. The MMT addition also increased the film Young's modulus, namely the
397 parameter expressing the stiffness of the material. This reinforcing effect is due to the high
398 aspect ratio and high specific surface area of MMT (Tunc, Duman, & Polat, 2016). Wang, Kang,
399 Zhang, Zhang, and Li (2017) developed a soy protein/tannic acid-coated MMT film with higher
400 tensile strength and Young's modulus than those of the pristine film. The authors, following the
401 ATR-FTIR characterization of the film, explained the improvement of the mechanical properties
402 through the strong interactions between the amino or thiol groups of soy protein polymer and
403 tannic acid-MMT hybrid (Wang et al., 2017). Similarly, an improvement of the Young's
404 modulus and tensile strength was found for a multilayer bio-composite film based on
405 chitosan/PVA/thiabendazolium-MMT in comparison to pristine film (El Bourakadi et al., 2019).
406 The addition of clays into a hydrogel matrix can improve the rheological, mechanical, and
407 thermal properties of the bio-composite. A protein-clay hydrogel was prepared using an aqueous
408 suspension of Laponite® nanosheets added with sodium polyacrylate and elastomeric proteins
409 rich in arginine. The resulting hydrogel showed enhanced mechanical properties thanks to the
410 interaction of arginine-rich proteins with clays, and cross-linking of the nanosheets into
411 hydrogels (Lv, Duan, & Li, 2019). The addition of MMT into xanthan gum-based hydrogel was
412 useful to tune up the rheological properties of the hydrogel. In particular, the addition of 2% w/w
413 of clay produced a consolidated matrix and MMT aggregation (Garcia-Hernandez,
414 Lobato-Calleros, Vernon-Carter, Sosa-Hernandez, & Alvarez-Ramirez, 2017). In conclusion, the

415 inclusion of clays into the biopolymeric films improves their mechanical properties, increasing
416 stiffness and tensile strength.

417

418 *4.3 Optical properties*

419 Optical properties of the bio-composite film have a direct impact on the appearance of the
420 packed food product, as well as affect the possibility to incorporate non-destructive sensor
421 systems that allow the direct detection of the microbiological status or oxygen leakage during the
422 shelf-life of the products (Kelly, Santovito, Cruz-Romero, Kerry, & Papkovsky, 2020).

423 Therefore, optical parameters such as opacity, UV-light transmission, and colorimetric
424 parameters (a^* and b^* values) are evaluated after clay-bioactive hybrid addition into the
425 biopolymer matrix. The addition of the essential oils such as rosemary essential oil into the
426 chitosan matrix produced more saturated colour (higher chroma), opaque and yellowish films
427 (Souza et al., 2018). ~~The EO droplets interrupt the continuous polymer matrix, and their~~
428 ~~interaction with water molecules modifies the refractive index of the chitosan, increasing its~~
429 ~~opacity.~~ Moreover, the rosemary essential oil addition turned the material to a yellow colour, and
430 reduced UV-light transmittance, protecting the packed food towards light-mediated oxidation
431 processes. However, the clay addition did not affect optical properties of the film, suggesting that
432 the optical properties were modified by the EO incorporation (Souza et al., 2018).

433 This finding was also confirmed in k-carragenan/MMT/*Zataria multiflora* extract (Nouri et al.,
434 2020) nanocomposite film in which the reduction of the UV-light transmittance and the increase
435 of the opacity were correlated only with the essential oil concentration included in the polymer
436 matrix. Recently, the addition of nanoclay and catechin-lysozyme into the rice flour/gelatin
437 matrix decreased the light transmission in the UV and the visible range, but significantly
438 increased a^* (redness) and b^* (yellowness) values of the film (Pattarasiriroj, Kaewprachu, &
439 Rawdkuen, 2020). Generally, the reduction of UV-light transmission, the increase of opacity,

440 and changes in colorimetric parameters of the bio-composite film, seem more related to the
441 bioactive compound inclusion than the clay addition.

442

443 *4.4 Thermal properties*

444 The addition of CBHs into the biopolymer can improve the thermal stability of the
445 nanocomposite film. The addition of MMT and citric acid into a whey protein isolate (WPI) film
446 was found to reduce the mobility of the polymer chains and, consequently, the thermal stability
447 as demonstrated by high initial degradation temperature values of the composite film (Azevedo,
448 Silva, Pereira, da Costa, & Borges, 2015). The reduction of the glass transition temperature upon
449 incorporation of MMT nanoparticles was attributed to the plasticization effect of the intercalated
450 compound dispersed in the WPI matrix (Azevedo et al., 2015). TGA analysis revealed that
451 WPI/MMT/citric acid showed higher decomposition temperature and lower mass loss variation
452 than WPI film, therefore more stable to the thermal decomposition (Azevedo et al., 2015).
453 Similar results were obtained by Makaremi et al. (2017) with pectin/long tubular HNT film
454 which showed higher residual matter at 700 °C, and higher temperature at maximum weight loss
455 rate than pectin film.

456 The addition of sepiolite to carboxymethyl cellulose (CMC) hydrogel increased the thermal
457 stability of the biopolymer, as revealed by the increase of the glass transition temperature and the
458 melting temperature (Palem et al., 2021). The results described in this section show higher
459 stability to the thermal decomposition of biopolymer-CBHs films than pristine films.

460

461 *4.5 Release properties*

462 The ability of a bio-composite material to release functional molecules, such as antimicrobials or
463 antioxidants, into food they are in contact with, is commonly referred as release properties. A
464 release study using chitosan/HNT/clove essential oil film and ethanol solutions as simulants (95,
465 50, and 10% v/v), showed that the highest amount of essential oil was released in 50% ethanol

466 solution, probably due to the swelling of the film by the water molecules as well as the high EO
467 solubility in ethanol. The clay-reinforced film showed higher amounts of released EO in
468 comparison to chitosan/EO film, except for the first stages of contact (Lee et al., 2018). The
469 main environmental parameter affecting the release of bioactive compounds from biopolymer-
470 clay composite films is the storage temperature. Indeed, as demonstrated by Biddeci et al.
471 (2016), the release of menthone, the main EOC of peppermint essential oil, from
472 pectin/HNT/cucurbit-6-uril/peppermint essential oil film into 50% v/v ethanol solution was
473 lower at 4 °C than 25 °C. The release profile followed a first order exponential kinetic but, at
474 both temperatures, a not complete release was detected (Biddeci et al., 2016).

475 Release properties of bioactive compound-clay hybrid are also characterized. Recently, Cheng et
476 al. (2019) studied the release behaviour of p-hydroxybenzoic acid from LDH clay into 10%
477 ethanol as simulant. The release of intercalated p-hydroxybenzoic acid from LDH was
478 incomplete and occurred in a slower rate, compared to pure acid, as a consequence of the LDH
479 intercalation and the protonation of anions. In addition, the release was fitted with a zero-order
480 model, which indicates that the release mechanism is a combination of diffusion and LDH
481 dissolution (Cheng et al., 2019). Conversely, two first order desorption processes fitted the
482 experimental data related to the release of salicylic acid from LDH (Ghezzi et al., 2018). In
483 conclusion, the controlled release of the bioactive compound included in the clay-reinforced
484 biopolymer is not always achieved, being affected by the storage conditions and the interaction
485 with the clays.

486

487 *4.6 Biodegradability and compostability*

488 Bio-based clay hybrid composite materials are characterized by different level of
489 biodegradability and compostability, depending on the clay concentration, additives used for the
490 manufacture, and the biopolymer origin. For instance, the addition of clays into composite films
491 can improve the biodegradation rate, thanks to the formation of imperfect crystals, whereas the

492 polymer additives such as oleic acid or glycerol monooleate seem to have limited effect on the
493 biodegradation time (Cesur, Koroğlu, & Yalçın, 2018). An increase in the biodegradation degree
494 is observed in PLA/cloisite films added with thymol or cinnamaldehyde in comparison to
495 PLA/cloisite film, due to the plasticizer effect of these EOCs. Indeed, these small molecules
496 increase polymer chain mobility, speeding up the hydrolytic degradation process (Villegas et al.,
497 2019). As far as the compostability of the material is concerned, biodegradable materials could
498 be not compostable due to toxic effects of clay hybrids added in the composite formulation
499 (Gutiérrez, Toro-Márquez, Merino, & Mendieta, 2019). Indeed, compost enriched with
500 increasing concentration of TPS/organomodified-MMT/Jamaica flower extract powder
501 negatively affected the length of lettuce primary root. However, the use of natural MMT, or
502 other clay modifications did not impair the percentage of the primary root elongation (Gutiérrez
503 et al., 2019). Given these findings, biodegradability of the bio-composite film is not affected
504 after the clay addition, whereas a limited compostability rating could be obtained using
505 biopolymer-CBHs materials.

506

507 **5. Bioactivity of bio-composite films**

508 The following section describes the antimicrobial and antioxidant activities, and the food
509 packaging applications of bio-based CBHs composite materials (Table 3). In addition, the
510 development of novel bio-composites as intelligent packaging will be proposed.

511

512 *5.1 Antimicrobial activity of bio-composites*

513 The clay hybrids used as fillers of biopolymers can result in materials endowed with
514 antimicrobial activities thanks to the inclusion of antibacterial or antifungal compounds from
515 different sources. Essential oils and their compounds are the most used natural antimicrobials to
516 be included in clay hybrids. Alboofetileh, Rezaei, Hosseini and Abdollahi (2018) reported that
517 the film forming solution containing sodium alginate, montmorillonite and marjoram essential

518 oil partially inhibited *Escherichia coli*, *Listeria monocytogenes*, *Bacillus cereus*, and
519 *Staphylococcus aureus*. However, the films prepared from the abovementioned solutions showed
520 a reduced antimicrobial activity, probably caused by the loss of the concentration of the active
521 compounds during the film drying. Conversely, cassava starch/bentonite/cinnamon essential oil
522 films showed promising antibacterial activity against *E. coli*, *Salmonella typhimurium*, and *S.*
523 *aureus*. At 2.5% cinnamon oil, the zone of inhibition ranged from 10 mm (*S. aureus*) to 15 mm
524 (*E. coli*) (Iamareerat, Singh, Sadiq, & Anal, 2018). Rodriguez et al. (2019) compared the
525 antibacterial activity against *E. coli* of cellulose acetate/MMT/cinnamaldehyde films produced
526 by casting solution and melt-compounding extrusion techniques. The antibacterial test performed
527 according to the ASTM E2149–13 standard (ASTM, 2013), highlighted the higher antibacterial
528 activity of melt-compounding films compared to those prepared by casting solution, up to day 7
529 (Rodriguez et al., 2019). Recent findings showed the possibility to develop hydrogel-based
530 antibacterial composites. Indeed, the composite hydrogel PVA/sodium alginate/modified
531 LDH/thyme essential oil showed limited antibacterial activity against *S. aureus* and *P.*
532 *aeruginosa* (Boccalon et al., 2020), as well as the emulsion hydrogel composed by alginate and
533 CEO displayed antibacterial activity against *E. coli* and *S. aureus* (Wang et al., 2018).
534 As regards the sensitivity of bacteria to biopolymer/clay/essential oil films contact, it is possible
535 to define a sensitivity scale. Some authors report higher resistance of Gram-positive bacteria than
536 Gram-negative bacteria (Kashiri et al., 2017), whereas other groups found higher sensitivity of
537 Gram-positive bacteria than Gram-negative ones (Alboofetileh et al., 2018; Iamareerat et al.,
538 2018). These controversial results could be due to the different chemical composition of the
539 essential oil included into the biopolymer matrix as well as the different releasing behaviour of
540 the antimicrobial compounds.

541 As far as the antifungal activity of these bio-composite films is concerned, Pola et al. (2016)
542 found that cellulose acetate/MMT/oregano essential oils films inhibited the growth of *Alternaria*
543 *alternata*, *Rhizopus stolonifer*, and *Geotrichum candidum* by both direct and vapour contact.

544 Similarly, PHB/TPS/OMMT/eugenol films inhibited the mycelium growth of *Botrytis cinerea* by
545 direct contact (Garrido-Miranda et al. 2018). Other antimicrobial compounds used to prepare
546 CBHs are the cationic peptides and the organic acids. Carboxymethyl cellulose-based
547 nanocomposites reinforced with MMT and ϵ -poly-L-lysine showed appreciable antimicrobial
548 activity against *E. coli*, *S. aureus*, *B. cinerea* and *R. oligosporus*, reaching 90% of growth
549 inhibition at 12.5% ϵ -poly-L-lysine (He et al., 2020). Recently, a gelatin-based composite
550 including LDH and p-hydroxy benzoic acid showed inhibitory activity (inhibition zone of 15-16
551 mm) against *S. aureus* and *Candida albicans* (Cheng et al., 2019).

552 Other plant extracts can also be included into biopolymer-reinforced matrix to produce
553 antimicrobial packaging. For instance, the inclusion of *Nigella arvensis* extract into
554 chitosan/OMMT nanocomposite determined antibacterial properties, close to that of the
555 gentamicin, against Gram-negative and Gram-positive bacteria (İlk, Şener, Vural, & Serçe,
556 2018).

557 The biopolymer used to prepare the bio-composite can be antimicrobial itself as in the case of
558 chitosan. The widely accepted mode of action is the interaction with negatively charged surface
559 components of microorganisms, causing extensive alterations to the cell surface, and leading to
560 leakage of intracellular substances that results in cell death (Irastorza, Zarandona, Andonegi,
561 Guerrero, & de la Caba, 2021). The composite polycaprolactone/MMT/chitosan using glycerol
562 monooleate as additive showed antibacterial activity against *E. coli*, *P. aeruginosa* and *C.*
563 *albicans*. Pristine chitosan films inhibited only the growth of *E. coli* (Cesur et al., 2018).

564 The antibacterial and antifungal activity of the CBHs or the bio-composite films can be modelled
565 following different approaches. For instance, heat flow curves of the metabolic activity of *P.*
566 *fluorescens* IMA 19/5, exposed to HAL/salicylic acid (20 mM) hybrid, showed a single
567 exponential growth phase followed by a single exponential decay (Ghezzi et al., 2018). The
568 radial growth of fungi in contact with starch/HAL/oregano essential oil films can be modelled
569 through a modified Gompertz model. The comparison of Gompertz parameters allowed defining

570 *Fusarium* spp. strains as the most resistant, followed by *Rizophus* spp., and finally *Aspergillus*
571 spp. strains (Aguilar-Sánchez et al., 2019).

572 In some cases, the antimicrobial activity of the bio-composite with the CBHs is comparable to
573 that of the pristine film (Iamareerat et al., 2018; Rodriguez et al., 2019). However, de Souza, dos
574 Santos, da Silva Torin, and dos Santos Rosa (2020) demonstrated higher antibacterial activity of
575 the biopolymer with the inclusion of the CBHs than that displayed by the antimicrobial
576 compound into the polymer, as revealed for the TPS/MMT/carvacrol film against *E. coli*.

577 As far as the anti-biofilm activity of these bio-composites is concerned, limited results are
578 available for their application in the food sector. Ambrogi et al. (2017) developed a
579 chitosan/MMT/chlorhexidine composite to prevent microbial colonization in wounds. The film
580 showed good anti-biofilm activity against *S. aureus* and *P. aeruginosa* strains, independently by
581 the concentration of chlorhexidine loaded into the film, suggesting a possible anti-biofilm
582 activity of the chitosan/MMT film. This activity could be the result of the interference with the
583 cell-cell communication mechanisms (quorum sensing), as reported for the cellulose/OMMT
584 composite material (Demircan, Ilk, & Zhang, 2017). The use of bio-composites able to reduce
585 the biofilm development on food packaging materials is expected to gain attention in the future.
586 Therefore, further research on this topic will be appreciated by the scientific community.

587

588 *5.2 Antioxidant activity of bio-composites*

589 The antioxidant activity of bio-composite materials including clay hybrids is mainly due to the
590 scavenging activity displayed by specific natural compounds, such as EOCs or plant
591 polyphenols. As reported by Alexandre et al. (2016), the composite gelatin/MMT/ginger
592 essential oil showed a 2,2-diphenyl-1-picrylhy-drazyl (DPPH) scavenging activity of 0.35 ± 0.12
593 $\mu\text{mol Trolox equiv/g}$ of dried film.

594 High antioxidant activity was found for the PHB/TPS/MMT/eugenol film, which showed 92% of
595 radical scavenging activity in DPPH assay, close to 95% displayed by 3 mM ascorbic acid as

596 positive control (Garrido-Miranda et al., 2018). Conversely, a lower radical scavenging activity
597 (41 %) using DPPH assay was detected for the pectin/HNT/cucurbituril/peppermint essential oil
598 (Biddeci et al., 2016).

599 A bio-hybrid material containing porous starch, HNT and the antioxidant fucoxanthin was
600 developed by Oliyaei, Moosavi-Nasab, Tamaddon and Fazaeli (2020). The heat and light
601 sensitive fucoxanthin showed a gradual release during time. Its inclusion in HNT and porous
602 starch showed high retention rate following exposure to light or 50 °C during 4 weeks of storage
603 (Oliyaei et al., 2020). These results could lead to the development of bio-composite films as
604 antioxidant releasing systems. Alginate/sepiolite/myrtle berries extract films showed higher
605 antioxidant activity, as revealed by ABTS assay, than pristine films and with long stability over
606 one year of storage (Cheikha, Martín-Sampedro, Majdoub, & Darder, 2020). The
607 chitosan/HNT/tea polyphenol film showed appreciable antioxidant activity (DPPH radical
608 scavenging activity of 75%) but, with the increase of HNT content the antioxidant activity
609 decreased. The authors postulated that this phenomenon was determined by the aggregation of
610 HNT particles which, in turns, produced cracks on the surface of the film and the loss of tea
611 polyphenols into the chitosan matrix (Wang et al., 2020).

612 Recently, Muràth et al. (2020) characterized the antioxidant activity of LDH/ellagic acid hybrids
613 treated with different aqueous miscible organic solvents (AMOS). Among different AMOS,
614 ethanol treatment was found to enhance the antioxidant activity of LDH/ellagic acid, as revealed
615 by DPPH and cupric reducing antioxidant capacity (CuPRAC) assays. On the basis of these
616 results, authors postulated that this hybrid could be used in bio-composite in contact with foods
617 sensitive to lipid oxidation.

618

619 *5.3 Bio-composites for food preservation and food safety*

620 Several applications of bio-composite materials, reinforced with clays, and including
621 antimicrobial and antioxidant compounds have been explored for foods of both vegetable and
622 animal origin.

623 Poultry meat wrapped in chitosan/MMT-Na/rosemary essential oil film showed a reduction of 3
624 log cfu/g in total mesophilic aerobic bacteria load, and of 2.3 log MPN/g in coliforms compared
625 to unwrapped meat (Pires et al., 2018). As regards the lipid oxidation, starting from day 7 and
626 until day 15 of cold storage, the malonaldehyde content of the meat samples wrapped in active
627 film was significantly ($P \leq 0.05$) lower than that detected in unwrapped samples. These positive
628 effects were attributed to the Fe-chelating activity of chitosan, the oxygen barrier effect of the
629 film, and the antioxidant activity of the essential oil (Pires et al., 2018). Later, the same research
630 group found that this composite delayed the meat discolouration of poultry meat, and the
631 increase of pH values (Souza et al., 2019). Pork meatballs packed in cassava
632 starch/bentonite/cinnamon essential oil film showed total bacterial count at 25 °C below the
633 acceptable level recommended by the FDA (10^6 cfu/g) until 96 h, whereas this limit was
634 exceeded in meat samples packed in plastic bags or starch film (Iamareerat et al., 2018).

635 Bio-composite films were also evaluated to extend the shelf-life of fish products (Alboofetileh,
636 Rezaei, Hosseini, & Abdollahi, 2016; Echeverría, López-Caballero, Gómez-Guillén, Mauri, &
637 Montero, 2018; Dias et al., 2019). In particular, rainbow trout samples wrapped in
638 alginate/MMT/majoran essential oil film and stored for 15 days at 4 °C, showed a reduction of 1
639 log cfu/g in inoculated *L. monocytogenes* load, and a total volatile base nitrogen (TVB-N)
640 content never exceeding the limit of acceptability of 25 mg N/100 g flesh (Alboofetileh et al.,
641 2016). Tuna fillets covered with the bio-composite soy protein/MMT/clove essential oil showed
642 a significant ($P \leq 0.05$) reduction of *Pseudomonas* spp. load, reduced malonaldehyde content,
643 and low triglycerides degradation and ketones accumulation in comparison to fillets covered
644 with the polyethylene film (Echeverría et al., 2018). The protection towards lipid oxidation was
645 also found by Dias et al. (2018) in salmon wrapped in chitosan/MMT/ α -tocopherol film,

646 showing low levels of malonaldehyde (acceptable level of 2 mg/Kg) until the day 6 of cold
647 storage (Dias et al., 2018).

648 Bio-composite films are also used to extend the shelf-life of fruits and vegetables. As regards
649 strawberries, TPS/MMT/EOCs film caused 50% reduction of *B. cinerea* mycelial growth, with a
650 IC₅₀ value of 5.9 g kg⁻¹ for the thymol-carvacrol mixture (Campos-Requena et al.,2017), as well
651 as carboxymethyl cellulose/MMT/ε-poly-L(lysine) coating material inhibited mould
652 development up to 7 days at room temperature (He et al., 2019)

653 Blood oranges coated with carnauba wax/MMT, with or without the addition of orange peel
654 essential oil, showed higher juice antioxidant activity and higher content of vitamin C after 100
655 days of storage, than fruit coated with wax without MMT addition (Nasirifar et al., 2017). The
656 bio-composite films can be used to preserve quality parameters of fresh-cut fruits. As reported by
657 Azevedo et al. (2018), fresh-cut apples packed in whey protein isolate/MMT/citric acid showed
658 strong reduction of the enzymatic browning and maintained colour characteristics during cold
659 storage. This effect was induced by the anti-browning effect of citric acid and the improvement
660 of gas barrier properties after MMT addition (Azevedo et al., 2018). Recently, the application of
661 Konjac glucomannan/carrageenan/nano-silica films during cold storage of white mushrooms
662 reduced the browning index, and delayed the weight loss and softening (Zhang, Wang, Wang, &
663 Cheng, 2019). Authors proposed a possible explanation for these results, considering the silicon–
664 oxygen bond in the composite film that could affect the absorption, dissolution, diffusion, and
665 release of carbon dioxide and oxygen, inhibiting the respiration and ethanol evolution (Zhang et
666 al., 2019).

667

668 *5.4 Bio-composites as intelligent packaging systems*

669 To date, few reports related to the development of intelligent biopolymer-clay composites have
670 been published. For this reason, the research related to the development of intelligent bio-
671 composites using clay as reinforcing agents deserves further investigation.

672 In a recent work, Asdagh and Pirsa (2020) developed a pectin/nanoclay composite film, loaded
673 with *Carum copticum* essential oils and β -carotene, to protect butter from oxidation. In this
674 composite film, β -carotene changed the film color from orange to yellow in response to
675 oxidizing agents, providing a visual indicator of butter quality (Asdagh & Pirsa, 2020). A
676 pectin/nanoclay/methylene blue film was also developed for the measurement of vitamin C
677 (accuracy over 90%) in kiwi, orange and tangerine juice. The bio-composite film colour changes
678 showed high sensitivity and selectivity to ascorbic acid concentration (Pirsa, 2020).

679 The development of bio-composite films including clays and natural pH-sensing vegetable
680 extracts attracted great attention during recent years. The colour changes of the packaging,
681 associated with pH value variations, could be associated with frauds, noncompliance of the cold
682 chain, or freshness of the food and can be used to develop time-temperature indicator (TTI)
683 systems (Pereira, de Arruda, & Stefani, 2015). An early work of Gutiérrez, Ponce and Alvarez
684 (2017) showed the production of pH-sensitive nanoclay hybrids. In particular, natural and
685 modified MMTs were added with blueberry extract, rich in pH-sensitive anthocyanins, to
686 produce a hybrid packaging with antioxidant activity and intelligent behaviour (Gutiérrez et al.,
687 2017). Unfortunately, the inclusion of these hybrids into TPS matrix exposed the blueberry
688 anthocyanins to high-temperature extrusion conditions, losing the pH-sensitive properties in the
689 composite films (Gutiérrez & Alvarez, 2018). The same research group developed a
690 TPS/MMT/Jamaica flower extract film (JFE), using natural or modified MMT/JFE pH-sensitive
691 clays. The addition of the fillers produced stronger H-bonding interactions between JFE and the
692 TPS matrix, improving the hydrophilic properties of the surfaces (Gutiérrez et al., 2019).

693 Another work described the production of a chitosan/PVA/bentonite/black carrot anthocyanins
694 film, in which, the addition of clay hybrids improved the thermal stability of the pH-sensing
695 material (Koosha & Hamed, 2019). These results highlight that novel processing conditions
696 should be developed to preserve the pH response of clay hybrids, or to confer other intelligent
697 properties to these films.

698 Other intelligent systems are the optical oxygen sensors (OOSs), a class of oxygen sensors based
699 on luminescence quenching (Cruz-Romero, Santovito, Kerry, & Papkovsky, 2019). The most
700 used luminescent probes are metal porphyrin dyes that can be adsorbed onto clay minerals in
701 order to overcome porphyrin leaching problems, increase thermal stability, and prevent
702 porphyrin aggregation. In particular, Čeklovský and Takagi (2013) successfully loaded
703 palladium porphyrins onto the synthetic clay mineral Sumecton SA, showing good sensitivity of
704 the hybrid material under aerobic conditions. A porphyrin-LDH/poly (butylene succinate)
705 composite film with photoactive properties was also developed (Káfuňková et al., 2010).
706 Overall, these results could support the development of biopolymer-based porphyrin-clay
707 composite films with optical oxygen-sensing properties. These intelligent films could be used for
708 the packaging of foods sensitive to oxidation processes (e.g., fat foods, fresh meat).
709 In addition to biopolymers, the hydrogel matrix could be also used as sensing material for food
710 safety purposes. Indeed, Feng et al. (2020) developed a thermosensitive hydrogel combining
711 starch, alginate, poly-(N-isopropylacrylamide), and kaolin. The thermosensitive hydrogel was
712 able to release non-toxicogenic *Aspergillus flavus* spores to be used as biocontrol agent against
713 peanut aflatoxin contamination. This innovative hydrogel could be applied in the future during
714 grain storage to reduce mycotoxins contamination.

715

716 **6. Regulation and safety issues**

717 This section aims to describe the current regulation on bio-composite materials, and emerging
718 food safety issues related to the use of clay hybrids and/or biopolymers. The bio-composites
719 herein described consist of a biopolymer including natural or synthetic clay, eventually loaded
720 with a bioactive molecule. Since several clays belong to the nanomaterials class, safety issues
721 might arise with their use. In this context, the use of bio-composite materials for food packaging
722 is covered by regulations on nanomaterials. However, different definitions of nanomaterials are
723 available based on different regulatory frameworks. Moreover, the European Union (EU) and

724 USA follow different approaches for the approval of nanomaterials in the food packaging sector.
725 The EU definition of nanomaterial has been established by the Commission recommendation of
726 18 October 2011 (European Commission, 2011b). In addition, engineered nanomaterials are
727 considered novel foods and, in this case, they are covered by the Regulation (EU) 2015/2283
728 (European Commission, 2015). Nanomaterials, defined as material that has one or more
729 dimensions of the order of 100 nm or less, used in food contact materials must be explicitly
730 authorized on the basis of the “Regulation on plastic materials and articles intended to come into
731 contact with food” (European Commission, 2011a). In the Annex I of this Regulation, the
732 additives (e.g., clays) or polymers admitted for the production of plastic materials in contact with
733 food are reported. For instance, bentonite, hydrotalcite, eugenol, tannic acid, sorbic acid, pectin,
734 Arabic gum, beeswax, cellulose, starch, alginate, gelatin, and casein are included in this list.
735 Specific provisions for nanomaterials are also required under the Regulation (EU) No 528/2012
736 related to biocidal products (European Commission, 2012).
737 The specific definitions differ in the size, the material structure, the material source and
738 characteristic properties. Several recommendations for the nanomaterial regulation update were
739 proposed: the nanomaterial definitions should be clarified by avoiding ill-defined terms and by
740 including clear thresholds, the 50% by number threshold should be replaced by a threshold of
741 1% by weight to make the definitions workable with current particle analysis methods.
742 Furthermore, nano-specific regulations require adaptation and harmonization, and the product
743 manufacturers should be responsible for the nanomaterials’ origin (Miernicki, Hofmann,
744 Eisenberger, von der Kammer, & Praetorius, 2019). According to the EU regulations,
745 nanomaterials in contact with food must also be indicated in the food label (Rauscher,
746 Rasmussen, & Sokull-Klüttgen, 2017). On the basis of novel food regulation and biocidal
747 products regulation reported above, a specific risk assessment of nanomaterials is required.

748 On the contrary, the FDA approach does not consider the nanosize of the material, but only its
749 chemical and biological nature. Therefore, if a substance has already been approved for the use
750 as food packaging in its bulk form, it can also be used in its nanoform (Fatyeyeva et al., 2017).
751 Food safety issues related to nanomaterial packaging can be the release of nanoparticles, metals,
752 or the toxicity of the material. Bott and Franz (2019) exposed nanocomposites materials to
753 thermal, chemical and mechanical stress followed by mechanical abrasion of their surface. They
754 observed that even under dynamic stress conditions, Laponite[®], with high potential to be released
755 from a polymer matrix, is not release in its nano-form. As recently reviewed by Bandyopadhyay
756 and Ray (2019), the migration of LDH or MMT from PLA-based films ranges from 0.1 to 32 mg
757 dm⁻², depending on the material and the simulant used in the migration test.

758 The soy protein isolate/MMT/clove essential oil film subjected to solubility test in water showed
759 a great release of Si, dependent by the MMT concentration, without an increase of Si content in
760 tuna fillets packed with this film (Echeverría, López-Caballero, Gómez-Guillén, Mauri, &
761 Montero, 2016). The inclusion of clove essential oil hindered the release of Si from the films,
762 suggesting the interaction between MMT, protein, and the EO (Echeverría et al., 2018).

763 On the contrary, salmon fillets packed with a chitosan/MMT/ α -tocopherol film showed Mg
764 content (up to 1.6 mg/100 g salmon) higher than that detected in salmon samples not exposed to
765 MMT (Dias et al., 2018).

766 As regards the toxicological evaluation of nanomaterials reinforced with clays, the *in vitro*
767 toxicity of clay minerals is evaluated through basal cytotoxicity assays using human or rodents
768 cell lines. Common toxicity responses are the oxidative stress generation, the genotoxicity, the
769 inflammation, or the cell death. Several clays (LDH and MMT) showed *in vitro* cytotoxic
770 effects, but not mutagenic activities. However, PLA/clay composites were found to be safe for
771 use in food packaging (Bandyopadhyay & Ray, 2019). *In vivo* studies are limited in comparison
772 to *in vitro* studies but, generally, they showed no toxic effects of the nanocomposite material. In
773 addition, clays such as MMT showed low systemic toxicity in human and animals, being suitable

774 for inclusion in food packaging materials (Pires et al., 2021). However, a case-by-case
775 toxicological evaluation of nanomaterials in contact with food is suggested (for a review see
776 Maisanaba et al., 2015).
777 Recently, Saha et al. (2018) reported that cellulose acetate butyrate/MMT film is compatible
778 with human blood up to the concentration of 20 mg/mL, not affecting plasma lactate
779 dehydrogenase concentration, an important marker of cytotoxicity, and plasma free haemoglobin.
780 Overall, current regulation on nanomaterials and data on food safety risks highlight the need for
781 a global harmonization of rules and definitions as well as the development of specific risk
782 assessment plans on the basis of the packaging material, food type, and storage conditions.

783

784 **7. Conclusions**

785 In conclusion, the development of bio-composite films using hydrocolloids as biopolymer, clays
786 as reinforcement agents and natural antimicrobials and antioxidants, offers the possibility to
787 obtain active biodegradable and, sometimes, compostable packaging. These bio-composite films
788 may be able to exhibit improved barrier, mechanical, thermal, optical, and release properties.
789 These characteristics are the result of the molecular interactions among biopolymer matrix, clay
790 surface and target molecule loaded into clay hybrids. Furthermore, these clays can be suitably
791 modified with specific compounds endowed of antimicrobial or antioxidant activity. In this
792 scenario, the replacement of chemical preservatives with natural additives is perceived to be
793 safer from the consumer. Therefore, the use of bio-based active and/or intelligent packaging, also
794 including specific biosensors, represents a sustainable approach to extend the shelf-life of food
795 products and to improve the food safety. The research on the production of hydrogel-based bio-
796 composites for the food sector is expected to continue towards films with multiple and novel
797 functionalities (e.g., pH, oxidative damage and spoilage-sensitive packaging systems or able to
798 release bioactive compounds). Further research on risk assessment issues should be promoted in
799 order to sustain harmonic regulation on bio-composite materials.

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1240 Figure captions

1241 **Figure 1.** Biopolymers, clays, and organic compounds used for bio-composite films preparation.

1242

1243 **Figure 2.** Train-loop-tail conformation of polymer adsorbed onto the clay surface.