

DEVELOPMENT OF A MULTI-PARAMETRIC CHARACTERISATION PROTOCOL FOR CHERT INVESTIGATION AND APPLICATION ON THE GARGANO PROMONTORY MINES

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Abstract

A nondestructive multiparametric protocol for chert investigations (NM-PCI) was developed to provide objective criteria for raw material procurements and provenance investigations of archaeological cherts. For this purpose, macroscopic and mesoscopic characteristics (structures, textures and paleontological contents) were used to describe the main visible chert features as well as colorimetric and geochemical data acquired with portable devices. Data handling was performed by means of cluster analysis multivariate statistics, with an appropriate selection of algorithms to process and visualize mixed data matrices (PAM, t-SNE). The protocol was applied to chert samples from the Gargano Promontory (Italy, Apulia) mining complex located in the districts of Peschici, Vieste and Mattinata. These mines, exploited for approximately four millennia, constitute an exceptional prehistoric record of mining archaeology and, above all, a key tool to understand the Neolithization process throughout the Adriatic region. A map of the primary and secondary chert sources of the Gargano Promontory was produced to manage field sampling of mine structures. Waste materials were selected for the geologic samples of the five mines. Due to their inaccessibility, the samples from two mines consisted exclusively of waste materials. The NM-PCI proved able to discriminate most of the analyzed mines and is well suited to provenance investigations of archaeological lithic industries. Compared to previous geochemical studies, the nondestructive method proposed here improves geological resolution, allowing the discrimination of cherts within the same formation.

1. Introduction

Prehistoric studies about the cultural and technological transformation of lithic industries constitute a key means of exploring many archaeological issues (e.g., Ambrose 2001; Pelegrin and Roche 2017). Among these lithic resources, chert is one of the most widely employed raw materials for artifact manufacture throughout prehistory and is commonly indicated in the archaeological literature as both flint and chert. Furthermore, prehistoric people must have been intensely interested in chert sources and in other siliceous rocks [e.g., Allard et al. 2008; Binder and Perlès 1990; Bressy 2003; Lèa 2004; Perlès 2004; Korlin and Weisgerber 2006 and the Proceedings of the International Symposiums on Knappable Materials held at Iași in 2013 and at Barcelona in 2015 edited in the Journal of Lithic Studies, 2014 (1:1) and 2016 (3:2), respectively]. Lithic resources are essential to many archaeologists attempting to understand past cultures from a sociocultural perspective.

Since lithic artifacts were produced almost exclusively by mechanical modification, no compositional change is assumed between the source and the finished artifact. Although stone can be considered the ideal material for provenance studies,

the identification of geological sources for chert artifacts is a difficult task. Flaked stone artifacts are among the most common finds in the archaeological record and are often made from materials that do not occur locally (e.g., obsidian and chert). They are the product of several distinct actions performed at different times and locations consisting of the procurement of the raw material, preparation of a core, chipping, use, and retouching (Tykot 2004).

1 Unlike obsidian and other materials with little visual variability, cherts from different sources are often
2 visually distinctive; thus, visual inspection alone has been used by archaeologists as a moderate identification
3 technique since the late 19th century (e.g., Damour 1865, de Chasteignier 1868). In more recent times,
4 Luedtke (1979) has stressed that visual attributes should not be overlooked and should be objectively
5 determined and quantified so that identifications can be clearly assessed by other scholars. Relative to
6 obsidian, chert is also a challenge for geochemists because it essentially contains only silicon and oxygen
7 and shows low to very low concentrations of other elements (Gauthier et al. 2012).

8 Macroscopic descriptions of chert lack objectivity and standardization in many archaeological reports.
9 Resulting data are often plagued by poor reliability and reproducibility, and they do not allow easy statistical
10 analysis. Archaeologists and researchers attempted to adopt standardized methods of analysis for geological
11 chert and artifacts as early as the first half of the 20th century (e.g., Saint-Venant, 1911; Deflandre 1935;
12 Brajnikov 1937; Krukowski 1939; Folk and Weaver 1952; Valensi 1960). Although new analytical methods
13 were adopted in later times (see below), the issue of the terminology, the analytical protocols, and the
14 compositional reference groups persists (Turq 2005; Shackley 2008).

15 A real terminology issue occurs regarding fine-grained siliceous sedimentary rocks. The term flint is
16 frequently used both as a synonym and as a varietal name for chert (Tucker, 2001; Boggs, 2009). Normally,
17 British geologists employed the flint term to indicate a particular type of chert characterized by a very fine-
18 grained (mostly black nodular chert) common in Cretaceous chalks; meanwhile, the term chert referred to the
19 light-colored, impure materials found elsewhere as nodules in limestones or in beds among shales. In
20 contrast, American geologists used the word chert as the general term for all sedimentary rocks composed
21 primarily of microcrystalline quartz and considered all other terms, such as flint, jasper, novaculite and
22 porcelanite, to be varieties of chert (Luedtke, 1992). Usually, in the geological record, cherty rocks are
23 subdivided into bedded types resulting from primary accumulation (e.g., radiolarites and diatomites) and the
24 nodular type of diagenetic origin (Tucker 2001; Greensmith 1989). For clarity, the term chert is adopted in
25 this work as a generic group name used for fine-grained siliceous sedimentary rocks in accordance with the
26 sensu lato of Tucker (2001) because of the lithological variety of the analyzed materials.

27 One of the phenomena involved in the so-called *Neolithization process* is the exploitation of chert mines (for
28 a recent chronological overview, see Díaz-del-Río, Consuegra 2018). In the Apulian Region, important
29 evidence for the Neolithization process was found in 1981 with the “Defensola A” mine discovery (Galiberti
30 2005). Subsequently, a mining archaeology project was carried out in the Gargano area, leading to the
31 detection of a large complex of at least 20 mining sites concentrated in the northeastern part of the
32 promontory (Basili et al. 1995; Tarantini et al. 2011; Tarantini and Galiberti 2011). This mining complex
33 was active from the early Neolithic (6th millennium BCE) to the early Bronze Age (2nd millennium BCE).
34 The mining activities carried out over four millennia, together with the large size of some mines and the
35 extraction skills required, contribute to making Gargano one of the main sites of chert supply in the central-
36 northern Mediterranean area.

The method proposed in this study aims to investigate a variety of features (macroscopic to microscopic, colorimetric and geochemical) on hand specimens and archaeological artifacts and to improve traditional approaches (visual comparison) in which the high similarities of chert raw materials led to inaccurate attributions and provenances.

The archaeological issue of chert sourcing from the mines of Gargano is considered as a case study to test the nondestructive multiparametric protocol for chert investigations (NM-PCI), primarily proposed by Tarantini et al. (2016), and here opportunely revisited (§ 5). Consequently, the main goal of this paper is to propose a standardized procedure that uses a mixed data matrix obtained from macroscopic to microscopic descriptions and from portable spectroscopic devices to run multivariate statistics for classification and inferences.

2. Chert sourcing: state of the art

As mentioned above, early works carried out to identify chert sources date back to the end of the 19th century and were essentially based on macroscopic description (i.e., color, morphoscopy, micropaleontology, petrography, and physical parameters), and only later was optical microscopy introduced (Fernandes 2012). In the 1970s, increased attention to sedimentary siliceous materials brought new methodological approaches. The first studies highlighted the importance of lithic sourcing to retrace prehistoric connection networks (Sieveking et al. 1972; Luedtke 1978). These investigations aimed at recognizing and differentiating specific geological chert sources and successively comparing archaeological artifacts to their resources (Sieveking et al. 1972; Luedtke 1979; Selivanova 1984; Shackley 2008).

In the field of archaeology, a macroscopic approach is commonly employed to describe visible properties of artifacts and to compare them with geological samples. Several authors have proposed different analytical strategies in order to identify key features of the chert artifacts useful to their sourcing. The identification of chert raw materials is a geological issue that has been approached through either geochemistry or petrography (e.g., Sieveking et al. 1972; Séronie-Vivien 1987; Bressy 2002; Fernandes and Raynal 2006; Tarantini et al. 2016).

Variations in geochemical patterns in cherty limestones of different geographical areas have been observed by Sieveking et al. (1970, 1972), who carried out quantitative analyses on sources from Great Britain and Western Europe by means of atomic emission spectroscopy (AES) and atomic absorption spectroscopy (AAS). These studies showed that the variations in the trace element contents of chert were mainly related to variations in the noncarbonate materials (clay and phosphate minerals, organic matter) and that the geochemical variations in certain trace elements were a function of the depositional environment of the chalk in which the nodules formed. The same chert artifacts used by Sieveking (1972) were subjected to neutron activation analysis (NAA) by Aspinall and Feather (1972) to characterize prehistoric chert mining sites. Their research highlights the wide variations in certain elements and the limits of provenance studies dictated by geological variability.

Luedtke (1978, 1979) addressed the problem of trace element variation within geologic sources of chert and the extent to which chemical variation may be congruent with visual variation, with reference to color,

1 texture and structure. Luedtke (1979) used the term “source” in a broad sense to indicate the area from which
2 the chert was originally obtained as raw material, including primary sources (bedrock deposits) and
3 secondary sources (glacial, stream, beach and talus slope deposits). The chemical variability existing within
4 formations contradicts the assumption that chert-bearing formations can be considered chemically
5 homogeneous, and systematic sampling procedures must be used to characterize each source. The
6 understanding of the variations within and between sources before the attribution of artifacts is of paramount
7 importance. The results obtained in these studies suggest that trace element analysis is a useful tool in
8 archaeology, but when the sources are very close in time and space, they can be chemically similar and
9 therefore scarcely distinguishable.

10 The monograph edited by Sieveking and Hart (1986) provided a collection of specialized studies that
11 represented a step forward in the scientific investigation of chert, where different contributions from both
12 Earth scientists and archaeologists contributed to defining a common ground in this research field. The
13 geological approach of Séronie-Vivien (1987), applied to the chert of the North Aquitaine carbonate
14 platform, showed that the morphology and textures of chert were connected to the sedimentary environment.
15 As the silicification process frequently preserves the original textural characteristics, Dunham’s classification
16 (1962) was applied to describe the textural properties of chert nodules. This work initially introduced in
17 prehistoric research a methodological approach based on geological terminology to describe chert samples
18 on the basis of macroscopic and microscopic observations. Microfacies of rocks and micropaleontological
19 contents were identified, and the combination of features allowed researchers to reconstruct the depositional
20 environments. However, even if the use of Dunham’s (1962) classification for limestone texture is quite
21 widespread in chert petrography (e.g., Séronie-Vivien et al. 1987; Bressy 2002; Fernandes and Raynal 2006),
22 note that its petrological meaning is not the same. In the case of chert, the diagenetic processes and the
23 possible mobilization of the sediment (e.g., bioturbation, slumping) can yield significant modifications in the
24 chert textures compared to the original ones, as present in the parent sediment (more on this topic in § 5.1).
25 The petrographic-mineralogical protocol proposed by Pawlikowski (1989) aimed to standardize petrological
26 investigations of raw materials: the procedure suggested a macroscopic description that includes determining
27 the color of the samples by the Munsell color chart (1915), the luster according to mineralogical terms and
28 definitions of transparency and fissility. Furthermore, a microscopic description was carried out on thin
29 sections, describing texture, structure and mineral composition. These three contributions represent the
30 fundamentals of the archaeometric study of chert because they propose a standardization of features
31 frequently considered subjective and not codified. Sarabia (1990) proposed a similar approach, with
32 macroscopic descriptions based on the geological age of the formations, the color (as measured with the code
33 by Cailleux and Taylor (1963)), the texture (as a function of touch and grain size), and the luster (discerned
34 in category), type and thickness of the cortex.

35 An important work on chert from different points of view is certainly that of Luedtke (1992). More
36 specifically, her research suggests a standard description of visible properties in order to facilitate
37 comparisons using formalized descriptions of geological, mineralogical and petrological features. This study

proposed for the first time a protocol for chert macroscopic description that involved the color measure by the Munsell color chart as a more accurate method to evaluate the chromatic features of chert. A method to measure the translucency of chert was proposed by Ahler (1983), although this visible property varies with the material's thickness. Luster is defined with qualitative terms, but Luedtke (1992) remarked the relevance of quantifying luster as an expression of both mineralogy and surface characteristics of a material. The observations of fracture surfaces (that could appear uneven, rough or smooth) are strictly connected with textural properties that are a function of porosity, the presence of inclusions, and microcracks around the grains. The gradual or abrupt variations in structure are defined with an appropriate terminology distinguishing between replacement and diagenetic features. Luedtke (1992) pointed out two possible outer layers; she defined cortex as only the outer layer that developed during the diagenetic process and recommended the term "weathering rind" in the case of the weathering process. This study proceeded by examining chert under a light microscope and scanning electron microscope. The information was collected at different scales, and correlations resulted between luster and texture (that provided information about grain size) and between fracture surfaces and color, frequently linked to occurrence of impurities. Luedtke (1992) argued that interrelations between various properties of chert allowed predicting some of the properties on the basis of the others. Although this protocol improved the archaeological practice with more reproducible observations and a suitable terminology, some features remained subjective (i.e., color and luster).

Hess (1996) highlighted that petrographic and geochemical signatures were essential in identifying the provenance of chert artifacts. Hess (1996) defined "source" as any outcrop of potentially useful lithic raw material and "quarry" as only outcrops exploited during prehistoric time that show visible signs of extraction. A source may be extremely variable in elemental composition; therefore, chert provenance analysis based on geochemistry is very difficult. Thus, changes in trace element concentrations are likely to occur due to weathering and heat treatments. Macroscopic and microscopic attributes as well as elemental concentrations were analyzed by Hesse (1996). Macroscopic descriptions included color, luster, fracture characteristics, light transmittance and the presence of every vein or inclusion. Based on Luedtke (1992), Hess described the nature of the deposit, the structure using Luedtke's terminology, and for the first time the color of the cortex measured with a Munsell chart as well as its variability on freshly fractured surfaces. Hess remarked that the use of petrographic and geochemical signatures for chert provenance analysis is unavoidable and demonstrated the limits of macroscopic examination. Macroscopic descriptions, as in Luedtke's study, showed observer subjectivity.

NAA and ICP-MS were used by Hess (1996) for chert provenance study in the Columbia Plateau, and the two techniques produced comparable results. The author suggested X-ray fluorescence (XRF) as a third possible analytical option for chert sourcing and indicated discriminant analysis as the statistics best suited for large-scale provenance analysis.

The approach of Malyk-Selivanova et al. (1998) to chert sourcing proposed geological sampling and analysis by NAA for the detection of trace element concentrations and descriptive statistics to process chemical data. Unweathered and unpatinated parts of artifacts should be selected for chemical analysis. The author

discerned between two indicators of provenance: *i*) ‘key signatures’, for the discrimination of cherts belonging to different stratigraphic formations or units (i.e., depositional environments) and *ii*) diagenetic-metamorphic signatures, useful to discriminate outcrops within the same stratigraphic unit (e.g., Sr, Rb, and Ba).

Bressy (2002) discerned between “primary sources”, when chert is within the host rocks, and “subprimary” and “secondary chert sources”, based on their depositional position and traces of mechanical transport on the surfaces. The macroscopic approach represents the core of Bressy’s (2002) research method. She proposed empirical observations combined with nondestructive petrographic analyses of the bulk samples under a stereomicroscope. This approach is based on the observation of the frequency, dimension and nature of inorganic inclusions, oxides, organic matter and micropaleontological contents. A similar study used macroscopic descriptions following the terminology proposed by the CIRCALP project (Affolter et al. 1999), which aimed to describe chert systematically and was carried out on fresh surfaces of geological samples. A more detailed terminology is proposed to define the chemical nature of the cortex. Since cortex and cortex/chert transitions can be a peculiarity of chert in a primary source, a detailed description is needed (e.g., thickness, color, type of transition). Moreover, the outer surface of secondary source chert is indicated as the “neo-cortex”. The changes in the weathered surface are consequences of exposure, transport and climate. This method does not consider color measurements and suggests a terminology to describe luster, fracture, patina and structures. Observations under a stereomicroscope complement the macroscopic descriptions, as micropaleontological contents may be visible and add useful discriminant information without destroying the sample; however, thin sectioning can be necessary to increase and clarify complex cases. Bressy (2002) also suggested applying the methods used for carbonate rocks, since cherty rocks result from diagenetic processes of carbonate rocks.

The observations with binocular loupes frequently allowed discernment of different microfacies. Textural properties were defined according to the Dunham classification (1962). Furthermore, a detailed description of each inclusion within the matrix and their structures allowed recognition of sedimentary *facies* and, when possible, proposition of a paleoenvironmental interpretation.

Moreover, the need to better discriminate chert’s age and *facies* found in the micropaleontological approach is an appropriate method, particularly relevant for archaeological studies (Bressy 2002). The first implementation of the method dates back to the 1980s from Masson’s work (1981); subsequently, major developments were contributed by Affolter (1994, 2002) and Bressy (2002) for provenance studies. The speed of characterization, nondestructivity and low cost of the methodology proposed by Bressy (2002) constituted fundamental progress in chert investigations. The disadvantages of this methodology are connected with the subjectivity of empirical descriptions and the impossibility of applying the method to patinated pieces. In Italy, this method has been used by Bertola (2011, 2012) for chert procurement in the Val di Non formations (Trentino, Italy).

Fernandes and Raynal (2006) retraced the complexity of occurrences observable on the surfaces of prehistoric artifacts at different scales using a petroarchaeological method in order to identify the sources of

raw materials and the locations of deposition of the objects analyzed. The method, which includes mineralogical, petrographical, micropaleontological and morphological approaches, aimed at reconstructing ‘silica evolutionary chains’, produced by genetic and postgenetic phenomena. The examination of the micromorphology of different types of neocortex (e.g., colluvium, recent or old alluvium) allowed them to recognize the features of each geological environment. The so-called ‘predepositional phase of the evolutionary chain’ explained the palaeogeographic position of chert before prehistoric modifications (i.e., primary, subprimary or secondary). Natural and anthropic surface features were detected using optical and scanning electron microscopy to characterize internal, endocortical and cortical portions. Fernandes and Raynal (2010) remarked that the internal zone is less subject to modifications compared to the more external portions.

Three possible textures (unimodal, bimodal and trimodal) were distinguished. Thus, it was possible to build an inventory of regional resources useful for comparing lithic artifacts with cherts from primary, subprimary or secondary deposits.

The nondestructive technique of energy dispersive X-ray fluorescence (ED-XRF), previously applied for the chemical characterization of obsidian artifacts (Hughes 1983, 1988; James et al. 1996; Shackley 1988, 1995), was adopted by Gauthier et al. (2012) to determine Canadian chert sourcing. To identify lithic procurement of Magdalenian Pyrenean sites, Sánchez de la Torre et al. (2017) carried out a geochemical characterization of two chert formations in the Pyrenees, which showed similar textural and micropaleontological features, using energy dispersive X-ray fluorescence (ED-XRF) and laser ablation inductively coupled plasma mass spectrometry (LA-ICP-MS) and found differences between the trace element concentrations detected with the two instruments.

In the last decade, portable X-ray fluorescence (pXRF) devices have been widely adopted in order to chemically characterize many archaeological materials (in the field and in the laboratory), including obsidian (Craig et al. 2007; De Francesco et al. 2008; Nazaroff et al. 2010; Sheppard et al. 2011; Forster and Grave 2012; Glascock 2012).

Although incomplete, this overview of the analytical strategies shows that a single methodology of general validity and acceptance by the scientific community, which is can provide accurate and precise data via preferably nondestructive investigation, is not yet available. A promising way to overcome the partial information derived from the single analytical techniques may be to merge together the obtained data in a multivariate statistical treatment to come closer to the real complexity of the material, as attempted in this work.

3. The mining complex of the Gargano Promontory

Deep mining evidence on the Gargano Promontory represents exceptional proof of the ancient Neolithic populations in the southern part of Italy (Muntoni 2012). The plethora of mining sites shows the ancient systematic exploitation of chert, thus highlighting the importance of the Gargano area in the trade of raw

materials during Neolithic phases (Di Lernia et al. 1997). From the earliest discoveries of mining structures performed by Rellini in the 1930s (Rellini et al. 1930-1931), several surveys have revealed many mining complexes distributed in the northeastern part of the promontory, in particular the Defensola mine, exploited since the beginning of the Neolithic (Galiberti 2005). Systematic mining research has been launched with the ‘Gargano Prehistoric Flint Mines Project’ (Di Lernia et al. 1990), which aimed to examine the mining phenomenon in the area in its entirety, historical and cultural implications included. A more detailed survey was conducted in 2005 by Tarantini (PhD thesis), who produced the most recent catalog of the prehistoric Gargano mines. The catalog collects and contextualizes all the known mine sites in this area, both geographically and chronologically (Tarantini and Galiberti, 2011).

The mining network was active from the early Neolithic to the early Bronze Age. Radiometric dating of Defensola A indicates that this site is the most ancient in the area (cal. 2σ 6010-5727 BCE) and in addition corresponds to the beginning of the Neolithization process in the southeastern part of the Italian peninsula. Conversely, the most recent date was obtained for the Cruci mine, active until the ancient Bronze Age (Tarantini et al. 2011; Tarantini and Galiberti 2011).

The mining activities carried out over four millennia, the large size of some mines and the skills required identified Gargano as the main area of chert supply in the central-northern Mediterranean region (Tarantini et al. 2016).

3.1 Geological setting

The Gargano Promontory belongs to the Apulia carbonate platform (ACP) and is mostly composed of thick layers of shallow-water carbonate and their respective slopes to basin counterparts formed between the Late Jurassic and Eocene (Bernoulli 1972; Bosellini et al. 1999; Borgomano 2000; Morsilli et al. 2004, 2017). The ACP represents a main paleogeographic element of the southern border of the Mesozoic Tethys Ocean. Due to its geological setting, this platform, as well as other peri-Adriatic platforms, is compared with the Bahamas Bank in terms of *facies* and size (Eberli et al. 1993; Bosellini et al. 1999). Moreover, this long-lasting carbonate succession, which is actually part of the undeformed foreland of the Southern Apennine thrust belt, is delimited on both sides by basinal deposits. Apennine thrust sheets cover the western margin, while the eastern margin crops out in the Gargano Promontory and in the Maiella Mountain and passes to the basinal successions of the Adriatic to Ionian Basins (Zappaterra 1994; Cobianchi et al. 1997). The Jurassic-Cretaceous sequences accumulated in distinct depositional environments from shallow-water *facies* of the inner platform and margin to the progressively deeper water successions that belong to the slope and basin settings (Morsilli and Bosellini 1997; Bosellini et al. 1999; Borgomano 2000; Morsilli et al. 2017). Eocene slope to basin *facies* are located mainly in the northeastern part of the Gargano Promontory between Peschici and Vieste and in a small outcrop near Mattinata (Fig. 1).

Numerous slope and basinal units include chert layers or nodules, but only a few exhibit evidence of prehistoric mining (e.g., Tarantini and Galiberti 2011). More specifically, chert layers and nodules are very abundant in the Upper Jurassic-Lower Cretaceous basinal limestones of the Maiolica Fm. (Tithonian-Aptian

p.p.) and the Upper Cretaceous-Paleogene Scaglia Fm. (Cenomanian to Danian). Scattered layers are also present in the Lower Cretaceous Marne a Fucoidi Fm. (Aptian p.p.- Albian) and in the Eocene slope to base-of-slope succession of the Peschici Fm. Apart from the mining sites discovered recently, chert layers also occur in other Cretaceous stratigraphic units, such as the Ripe Rosse, Mattinata, Monte Sant'Angelo and Monte Acuto Limestones, accumulated in slope and base-of-slope settings (Fig. 2).

According to the most recent studies (Morsilli 2011, 2016), a map of the primary and secondary chert sources of the Gargano Promontory was prepared, showing only the formations targeted by chert mining and sampling (Fig. 1).

A first attempt to characterize the geological cherts from northeastern Gargano with petrographic (OM, SEM), mineralogical (XRPD) and geochemical methods (XRF and ICP-MS) was made by Gelato (1994). Subsequently, the cherts from the mines of the Gargano Promontory were studied by the research team led by Alberto M. Palmieri (D'Ottavio et al. 2000; D'Ottavio 2001; Volterra et al. 2002; D'Ottavio and Palmieri 2005), who tried to chemically characterize each mine through ICP-AES analyses. The results of these studies are compared to the results of the NM-PCI method (§ 5). A more accurate allocation into geological formations is made in the case of Valle Guariglia I and Tagliacantoni (attributed respectively to the Scaglia Fm. and Peschici Fm. from bibliographic sources). The following discussion (§ 7) expands upon these issues, and the revised geological information can be found in Table 1. Table 2 includes data resulting from descriptions and measures from the NM-PCI method.

3.2. Mine typology and extractive districts

Isolated mining sites and mining complexes have been identified in the Gargano area; Carmine, Bosco della Risega, Tagliacantoni and Coppa di Rischio belong to the former group, consisting of a sequence of adjacent mining facilities. Mining complexes are instead composed of groups of mining sites excavated in a specific area marked by homogeneous geomorphology and topography (Tarantini and Galiberti, 2011). The mining complex of Vieste is located near the town of Vieste close to the coastline and covers a surface area of approximately 4 km². Evidence of mining investigated in this work has been identified in the hills of Intraseglio, where Defensola A is located, as well as the hills of Defensola, where Defensola C, Defensola B/P9, Defensola B/P8, and San Marco, with the mine of the same name, are located.

Holocene paleoenvironmental changes in the lower valley at the bottom of the hill have been detected (Caroli and Caldara, 2007; Caldara et al., 2008). The Peschici Fm. shows medium- and large-sized nodules in the pelagic *facies* positioned in the lower part and decreasing at the top, where resedimented deposits prevail. Mining structures are positioned at the bottom of sequences where the Peschici Fm. unconformably overlies the Scaglia Fm., causing some stratigraphic uncertainties, as in the case of Tagliacantoni (Tarantini and Galiberti, 2011). The mining complex of Peschici is located in the Peschici hinterland. Mining sites are positioned in the hills facing the Ulso valley, where the mines of Cruci, Valle Sbernia/Guariglia, Valle Guariglia I, and Mastro Tonno have been identified, while Martinetti is situated in a flat area at approximately an elevation of approximately 100 meters altitude (Tarantini and Galiberti, 2011).

Three main typologies of chert extraction have been indicated in the investigations by Tarantini and Galiberti (2011), denominated as type 'A', 'B' and 'C'. Type A is observed in compact limestone and has developed subhorizontal mining extraction described as "rooms and pillars". The mines Defensola A, Defensola C, San Marco and Martinetti belong to subtype A1, characterized by only horizontal entrances. The Arciprete mine represents subtype A2, with a combination of horizontal entrances and shaft mine entrances. Variations are observed in Tagliacantoni (subtype A1b) and Defensola B (subtype A1a) mines. Mining structures of type B are characterized by exclusively vertical shaft entrances, and three subtypes have been discriminated: *i*) subtype B1, with a vertical or slightly sloping shaft, as in the cases of Martinetti, Valle Guariglia I and Carmine; *ii*) subtype B2, with a vertical shaft ending in a large excavation, as in the cases of Coppa di Rischio and Martinetti; and *iii*) subtype B3, with several shafts ending in a connected subhorizontal excavation, as in the cases of Valle Sbernia/Guariglia and Bosco della Risega. Mining structures of type C are characterized by many vertical shafts, and later horizontal entrances are visible in Cruci, Martinetti, Mastro Tonno, Defensola B/P9, Coppa di Rischio and Tagliacantoni mines; frequently, the shape of the horizontal entrance is similar to a *dromos*.

4. Sampling strategy

The sampling strategy has developed in two stages. The first stage is related to the geological study of the territory, resulting in a source map of the Gargano Promontory, as described above. The second stage is related to field sampling. The geologic map of the Gargano area (Fig. 1) includes only the geological formations effectively or potentially exploited by prehistoric communities. Primary potential sources such as the Marne a Fucoidi, Monte S. Angelo and Monte Acuto Fms. reveal no evidence of mining, whereas secondary sources have been identified among coastal and continental Quaternary deposits.

Chert samples were collected mainly from nodules in primary position and frequently from those in subprimary positions. Mines intersected by the road or near outcrops of cherty levels allowed sampling in a systematic way in order to check lateral and vertical homogeneity of the chert-bearing formations, as in the cases of the Carmine, Tagliacantoni and several Maiolica mines.

NM-PCI was applied to a total of 155 Gargano samples collected during the field sampling from 15 mine sites in the three mining districts of Peschici, Vieste and Mattinata. Geological and archaeological information about each sample is reported in Table 1.

For the mines of Arciprete and Mastro Tonno, only archaeological waste samples (Tarantini and Galiberti 2011) were analyzed, given the inaccessibility of the sites. Patinated samples were excluded from the analysis. A selection of examined materials is shown in Figures 3 and 4.

5. Multiparametric method (NM-PCI)

The proposed method aimed to obtain macro/microscopic and geochemical data using exclusively nondestructive procedures (Fig. 5).

1 In each analytical step, data of different types were collected through a formalized protocol to yield a mixed
2 data matrix of specific characteristics of chert: structure, color and principal structures, texture and chemical
3 composition. This strategy of data collection was functional for the subsequent multivariate statistical
4 treatment.
5

6 Archaeological approaches commonly compare the visual properties of chert artifacts and raw materials
7 (mainly local sources) in order to correlate them. Subjective descriptions of samples occur for the same
8 visible chert characteristics. These characteristics can often be observed from various geological units and
9 different geographic areas. The identification of geological sources for chert artifacts is then problematic
10 when using only visual identification, since the variation in physical properties can be large, sometimes even
11 within a single nodule (Luedtke 1979; Selivanova 1986). Moreover, the use of geochemistry for chert
12 sourcing is less distinctive than for obsidian sourcing due to petrological reasons. Instead, the simultaneous
13 use of a number of (semi)quantitative variables, processed with multivariate statistics, may be more effective
14 in provenance and techno-functional studies.
15

16 To gain the maximum information from samples, it is generally important to work on clean fresh surfaces.
17 Since weathered and patinated surfaces may obliterate visible structures of chert and alter chemical
18 composition, only unaltered samples were considered for the analyses.
19

20 **5.1. Data collection**

21 **5.1.1. Macroscopic description**

22 Prehistoric stoneworkers gained experience observing the visible properties of knappable rocks. The
23 selection of raw materials was in part guided by the ability to distinguish between good and poor quality raw
24 materials. The simultaneous study of geological and archaeological samples allows researchers to understand
25 which desired traits recur and which visible properties may have mainly influenced the choice. Since chert
26 generally shows a widely variable appearance, examination with the naked eye is fundamental to
27 recognizing its typical characteristics but can possibly lead to errors because of subjective descriptions and
28 inter-observer errors. To evaluate the frequency of each feature and to understand potential existing non-
29 accidental correlations between the variables, it is necessary to follow a standardized protocol of
30 measurement at different scales to isolate and objectively document the sample. The method proposed here is
31 based on the multiparametric protocol developed by Tarantini et al. (2016) and implemented with new
32 microscopic observations to better describe *microfacies* and micropaleontological features. The mixed data
33 matrix is structured in two parts that describe the *cortex* and the interior (s.s.) portions of the *chert* on
34 macroscopic and microscopic scales. When present, the cortex constitutes the outer portion, which is
35 frequently visible in many chert types. During the process of silicification, the cortex represents the transition
36 zone between the chert and the surrounding bedrock and is characterized by a distinct color and chemical
37 composition (Luedtke 1992). The cortex zone is described by five macroscopic parameters: *Thickness*,
38 *Nature*, *Induration*, *Surface* and *Boundary*. Since all analyzed cherts were primary, information about the
39 neocortex and secondary neocortex (Fernandes et al. 2007, Fernandes and Raynal 2010; Fernandes 2012) is
40

not considered in the description. The maximum thickness of the visible cortex is measured with a caliper and expressed in millimeters. The chemical nature of the cortex is determined by means of an acid test. A drop of 2% HCl solution deposited on the fresh cortex surface discriminates between its carbonate or siliceous nature. The induration is estimated with a steel penknife. If the fresh cortex surface is scratched, it is classified as *friable*; otherwise, it is *hard*. Three terms describe the outer surface of the cortex: *harsh*, *rough* or *smooth* to the touch. Finally, the boundary, which represents the visible limit of distinction between chert and cortex, is described as *sharp*, *clear* or *diffuse*, based on the clarity of the boundary. A sharp boundary is characterized by an abrupt change in color and texture that is visible between the cortex and the chert domain. In contrast, a faint change in color and texture distinguishes a diffuse boundary, whereas a clear boundary is an intermediate condition. The macroscopic description proceeds with chert observations of the *Subcortex*, *Structure* and *Fracture*. The subcortex, when present, is the transition zone between the cortex and the chert. Seven basic categories are identified for chert structure(s): *homogeneous*, *shaded*, *mottled*, *spotted*, *laminated*, *banded*, and *streaked*. This variable regards the overall appearance of the rock, including the color distribution, and may consider more than one basic structure. The observer must distinguish between matrix types and biogenic or inorganic grains ($\phi \geq 63 \mu\text{m}$, after Dunham, 1962), visible structures such as bedding and lamination, and a range of possible deformation of the primary structures caused by postdepositional processes. A *homogeneous* structure corresponds to a chert with a uniform appearance that contains an amount of grains $< 10 \text{ vol.}\%$. The remaining six categories can document, singularly or in combination, all the possible degrees of heterogeneity. Small spots of light color, distributed within the matrix and with sizes between 0.63 mm and 4 mm, identify a *spotted* structure. *Mottled* is a structure characterized by pale blotchy, marbled, uneven inclusions larger than four millimeters. When inhomogeneity occurs in the color of the matrix, exhibiting gradual transitions, chert can be defined as *shaded*. Based on the thickness of visible layers, the structure is identified as *laminated* if the layer thickness is less than one centimeter and *banded* if the thickness is greater than one centimeter. Finally, *streaked* indicates a structure with stretched, lighter and marbled heterogeneities.

The last variable that completes the macroscopic description is the *Fracture*, a physical property of minerals based on the strength of the crystal structure bonds (Klein et al. 1993) and observable in hand specimens, which describes the way minerals break when subject to mechanical stresses. Since chert is a siliceous rock, the quality of fracture is also a function of texture, structure and porosity (vugs and/or fissures). Fracture patterns can be identified as *conchoidal*, marked by smooth and curved surfaces with visible ripples, to *subconchoidal*, lacking ripples, and irregular or *uneven*.

5.1.2. Mesoscopic description

After the description with the naked eye, the observations continue at low magnification on wet surfaces of the samples by means of a stereomicroscope, according to the following standardized procedure. A thin film of water ensures a smoother surface by increasing light reflection from the upper surface, thus improving the

optical conditions. The chert is classified as *translucent* or *opaque* according to whether inclusions are distinguished or not, below the illuminated surface at 10X magnification.

The surfaces of each sample are accurately examined and matched with comparison charts (Matthew et al. 1991) to estimate the percentage of allochems and their size range.

Thus, a sample may be *well*, *moderately* or *poorly sorted* and with three possible amounts of particles. Sometimes, samples exhibit two different sorting or percentage classifications. In these cases, all the data are duplicated, and observations are split into two rows. At 40X magnification, the preserved fossil assemblages are identified (e.g., foraminifera, radiolarians and sponge spicules). A semiquantitative scale (0 - 3) is used to indicate the fossil abundance, where 0 indicates absence, 1 indicates a number of organisms equal to or less than three (traces), 2 indicates minor amounts (number of organisms between four and ten), and 3 indicates major amounts (number of organisms higher than ten).

5.1.3. Color

The measurement of the color(s) of the chert is performed with a noninvasive spectrophotometric technique. To obtain reproducible color measurements as continuous variables easy to process statistically, the protocol recommends acquiring color coordinates in the CIE L*a*b* color system (McLaren, 1976) from only the matrix portion, as it is more homogeneous. The three coordinates are L*, lightness (0-100); a*, red/green value (± 100); and b*, yellow/blue value (± 100). A portable spectrophotometer, Konica-Minolta CM-2600d, is employed to acquire color data in the CIE L*a*b* color system in the wavelength range from 360 nm to 740 nm with a wavelength step size of 10 nm. Color coordinates result from processing the measured reflectance spectra (Konica Minolta instruction manual). The colorimetric information provided for the NM-PCI is represented by the three values of L*, a* and b*, which avoids excess data.

The instrumental settings employed for the spectrophotometer were as follows: an aperture mask with a diameter of 6 mm in, appropriate to isolate small homogeneous portions of matrix on the sample, a D65 illuminant (natural daylight), and a standard observer at a 10° angle. Both SCI (specular component included) and SCE (specular component excluded) were acquired. UV radiation is excluded to avoid interference with color measurement due to fluorescence phenomena (Berger-Schunn 1994). Moreover, a regular calibration of the instrument was performed with a white standard and with a black body, in order to obtain 100% and 0% lines of reflectance. The sample surface was cleaned with a 2% HCl water solution before color acquisition, and each measurement was replicated three times in the same position of the chert and averaged. Sometimes the samples show two or more hues distinguishable by the naked eye. In this case, two or more series of color measurements were carried out. When a sample had a complex geometry, it was not always possible to take color measurements.

5.1.5. Geochemistry

Elemental analysis was carried out using a portable Thermo-NITON XL3t XRF spectrometer. The device was equipped with a Ag collimated source operating at a maximum of 2 W and an SDD detector. The spot

size was approximately 3 mm in diameter, and the resolution of the detector was lower than 160 eV. To quantify both light and heavy elements, a total of 120 s of real-time acquisition was used. Specifically, the sample was analyzed for 60 s at 40 kV and 50 μ A, for 30 s at 50 kV and 40 μ A and finally for 30 s at 20 kV and 100 μ A. At least two measures on the matrix of each sample were acquired. The concentrations of eight elements (Fe, K, Sr, Rb, Ni, Mn, As, and Ba) were determined. These elements presented only a few values below the limit of detection (LOD) and a good accuracy of measurement compared to the standards (TILL-4 by Canadian Certified Reference Material Project), as shown in Table 3. The LOD was determined as three times the standard deviation of the replicated blank signal (3σ method). All samples presenting a clear surface patina easily visible to the naked eye were excluded from chemical analysis. The XRF spectrometer was calibrated using a set of geological SARM (Service of Analyzes des Roches et des Minéraux, CRPG-CNRS, Vandoeuvre-les-Nancy, France) standards, including those in particular silicate rocks.

5.2. Data processing

5.2.1. Transformation

Following the data collection phase, a set of cases in a mixed data sheet was created. Cluster analysis (CA) is a technique of exploratory analysis that is very common in the case of *unsupervised learning* for examining unstructured multivariate data (Izenman 2008) and is frequently applied in archaeometric investigations (Baxter 1994). The purpose of clustering is to identify groups of observations by means of the measurement of proximity (dissimilarity distance or similarity between each pair of observations) in such a way that statistical units (i.e., cases) group into a cluster sharing a series of common features (Everitt et al. 2011). Generally, a data matrix includes a large number of cases greater than the number of variables, and the goal of multivariate statistics is to segment the starting data set into small, homogeneous subgroups or clusters of cases. To perform a clustering procedure, it is necessary to choose the appropriate proximity measure and the group-building algorithm in order to assign each case to a cluster so that the similarities between the cases of the same cluster are maximized and those between clusters are minimized (James et al. 2013). The software environment used to perform data processing was R (R Core Team 2018), a freeware package for statistical computing and graphics. The mixed data matrix obtained from NM-PCI consisted of categorical (e.g., texture, structure), ordinal (fracture, micropaleontological content) and continuous variables (color coordinates, elemental concentrations). To statistically process the categorical variables, they were transformed into binary variables, assigning the value 0 or 1 to each category according to its absence or presence in the sample. The most suitable clustering algorithm was chosen based on mixed datasets. Hierarchical clustering and K-means were excluded because they do not handle heterogeneous data or dissimilarity matrices. A similarity measure for mixed-mode data can be obtained by Gower's similarity coefficient:

$$s_{ij} = \frac{\sum_{k=1}^p s_{ijk} \delta_{ijk}}{\sum_{k=1}^p \delta_{ijk}}$$

where \overline{s}_{ij} expresses the similarity between two individuals i and j relative to a given k^{th} variable; the quantity $\overline{\delta}_{ijk}$ assumes a value equal to 1 when the comparison is accepted as valid and otherwise is set to 0. This index corresponds to the weighted average of the distances calculated for each variable and returns a number in the range between 0 and 1 expressing identity or complete dissimilarity, respectively. The complement of the similarity measure is the dissimilarity measure (Gower 1971).

In the case of binary and categorical variables, \overline{s}_{ijk} equals 1 when the same value is observed for the two individuals and equals 0 otherwise. If both variables are continuous, Gower (1971) suggested using the similarity measure: $\overline{s}_{ij} = 1 - |x_{ik} - x_{jk}|/R_k$, where R_k corresponds to the range of entries for the k^{th} variable (Everitt et al. 2011).

5.2.2. Multivariate statistics

The clustering algorithm chosen was the *partitioning around medoids* or PAM (Kaufman and Rousseeuw 1990), a revised form of the K-medoids algorithm (MacQueen 1967), which is also able to process dissimilarity matrices. This algorithm is an iterative clustering practice that detects objects called *medoids* to build clusters. A medoid constitutes the most centrally positioned object in a cluster, in contrast to centroids used in K-means clustering (MacQueen 1967). Since PAM minimizes the sum of dissimilarities instead of the sum of squared Euclidean distances, it is considered a “robust” method to treat anomalous data (i.e., outliers and missing values) (Izenman 2008; Borcard et al. 2011). Given the proximity matrix and an initial setup of k number of clusters, the algorithm works according to a building and then swapping strategy, which first randomly selects k in the data set and assigns each case to the nearest medoid; then, by iterative searches, the medoid of each cluster is substituted by another member of the cluster if the new non-medoid configuration minimizes the total dissimilarity of the cluster. The process is repeated until no changes in the medoids occur (Reynolds et al. 2006; Izenman 2008; Bhat 2014).

5.2.3. Validation

Although the number of k clusters required for clustering was fixed in this work (15, according to the number of the studied mines), the silhouette function is used to evaluate the outcome of PAM on the data set. The clustering structure obtained after the partition process can be evaluated by means of a silhouette plot, which provides useful guidance to assess the quality of clusters, detects the misclassified cases and identifies the appropriate number of clusters for the data set under investigation (Rousseeuw 1987). The partition result \overline{C}_k distributes the cases into k clusters; for each i^{th} entry in the cluster, \overline{a}_i is calculated, which is the average dissimilarity of the i^{th} case to all other cases belonging to the same cluster. The cluster of belonging is excluded from calculations, and the average dissimilarity of the i^{th} case from all cases to all other clusters \overline{C} is also calculated as $\overline{d}(i, \overline{C})$; the smallest dissimilarity $\overline{b}_i = \min_{\overline{C}} \overline{d}(i, \overline{C})$ represents the neighbor cluster of

the i^{th} case. The silhouette width of the i^{th} entry is equal to $s_i = b_i - a_i / \max\{a_i, b_i\}$ and ranges from -1 to 1. When s_i is positive and close to 1, the object is well clustered. Conversely, when s_i is negative, the case is probably misclassified in the wrong cluster. When s_i is almost zero, it indicates that the i^{th} case lies between two clusters (Kaufman and Rousseeuw 1990; Izenman 2008). In the silhouette plot, all s_i for each cluster are ranked in decreasing order and shown with a bar plot. Furthermore, the average silhouette width of the entire clustering structure is displayed on the plot. This value is a suitable indicator of clustering result \bar{s}_k and can also be used to choose the value k clusters, while the silhouette coefficient $SC = \max_k \{\bar{s}_k\}$ helps to interpret the clustering structure (Kaufman and Rousseeuw 1990). The silhouette width is usually used to assess the goodness of clustering for internal clustering evaluation (Kassambara 2017), as the *Dunn index* (Dunn 1974), which defines the ratio between the minimal intracluster distance and the maximal intercluster distance; this value ranges in the interval and should be maximized to improve the goodness of clustering.

To compare the partitioned structure to a correct classification, external clustering validation practices are useful indicators to evaluate case classification, such as the *Rand index* (Rand 1971), which ranges from -1 (misclassification) to 1 (perfect agreement).

Given the large number of variables, the outcome must be visualized, and a very useful tool for dimensionality reduction is the t-distributed stochastic neighbor embedding (t-SNE). Through this method, it is possible to display the clusters in a two- or three-dimensional map, preserving local structures (Maaten and Hinton 2008).

When a plateau region occurs in the silhouette plot, the Dunn and Rand indices are compared to select the most suitable k value (§ 7).

Preprocessing of geochemical data ensures that data below the limit of detection (LOD) are replaced with a new value close to the LOD, which is approximately one-tenth of the lowest value measured for the variable. The script was run using R version 3.4.3. Four packages were used for data processing: *cluster* (Maechler et al. 2018) for the Gower similarity measure, PAM algorithm and silhouette width; *ggplot2* (Wickham 2016) and *Rtsne* (Krijthe 2015) for results visualization and *fpc* (Hennig 2014) for internal and external clustering validation. After loading the matrix in R, in order to build a statistical model based on heterogeneous data type, it is necessary to turn categorical variables into factors (each with specific factor levels). At this point, the column of labels is removed from the matrix, and dissimilarity measures are calculated by setting the metric equal to “Gower” in the *daisy* function.

6. Results

6.1 Petrography

6.1.1. Cortex

The outer layer is not always observable using archaeological tools, and thus, the features that characterize it are excluded from the statistical procedure in the provenance study. Geological or waste samples with a visible cortex in the study are 117, and the data are summarized for each mine in Table 4.

The mean thickness and the more frequent features per variable are presented in Table 4, separated with a slash when two features are equally frequent.

In summary, the data in Table 4 clearly show that the cortexes of the cherts from the Arciprete, Defensola A and San Marco mines are very similar, while those from the other mines of Defensola are characterized by a larger variability. Except for Defensola A, all these mining structures are located in the Peschici Fm., and approximately half of the samples show a subcortex. The cortexes of the samples from Maiolica are essentially devoid of subcortexes. Scaglia Fm. samples have thin cortexes.

6.1.2. Structure

Observations with the naked eye for each chert sample showed visible structures on the fresh surfaces. The list of visual descriptions is presented in Figures 6 and 7. Except for Defensola C, all Peschici Fm. mines showed variable numbers of homogeneous samples. Common structures were the spotted and mottled varieties as well as associations of such features with laminated and shaded ones. The streaked structures were infrequent. The chert of Tagliacantoni (Scaglia Fm.) was characterized by a larger variability of structures, with relatively abundant mottled and mottled-spotted structures. A completely different situation was observed for the Maiolica Fm., where most of the samples appeared mottled-spotted, and homogeneous samples were infrequent. In addition, there were frequent varieties of laminated and shaded samples. Occasionally, streaked and banded structures were also observed.

6.1.3. Texture

Sorting and percentages of inclusions observable under stereomicroscope were recorded for each sample with the goal of obtaining a trend for each mine (Table 2). Table 5 sums the results obtained.

All the mines of Defensola showed common textural features except for Defensola C, which differed for sorting, while San Marco had greater variability for both sorting and percentage of inclusions. The Scaglia Fm. mine of Tagliacantoni had poor sorting and an intermediate number of inclusions. All Maiolica Fm. mines showed poor sorting, while the percentages of allochems generally ranged between 10 and 50. The percentages of inclusions exceeded 50 for Mastro Tonno, Cruci and Valle Guariglia I. Furthermore, all Gargano samples were translucent; thus, *translucence* was not included in the data processing due to the homogeneity of information.

6.2. Micropaleontology

Stereomicroscopic observations executed on all the exposed surfaces of the samples allowed evaluation of the main biotypes preserved in the Gargano chert. Although various bioclasts have been recognized in many cases, the database of microscopic observations was filtered by choosing diagnostic criteria for each geological formation or mining structure examined. A detailed micropaleontological analysis on thin sections of the geological samples, which certainly would improve such recognition for an assessment of paleoenvironmental reconstruction, would be beyond the scope of this study. The non-destructive method

applied in this study provided identification of the main taxonomic groups occurring in the samples while preventing classification at a lower systematic rank. Within the analyzed samples, the microfossil content mainly consisted of radiolarians (mainly Spumellaria), sponge spicules and foraminifers (mainly benthic), as shown in Figure 14.

Mean abundances of biotypes of each mine showed an unequal distribution of radiolarians and foraminifers among the samples. Sponges spicules represented the most important component in Peschici Fm. mines, as well as foraminifers, although with variable abundances (Fig. 8), while radiolarians were sporadic or absent, excluding Tagliacantoni. Tagliacantoni seemed to be similar to the Scaglia and Maiolica Fms. in its radiolarian content. This trend changed for Maiolica mines, where radiolarians were a major component, followed by sponge spicules and foraminifers.

6.3. Geochemistry

Chemical analyses carried out on the matrix portion of each sample allowed the measurement of eight elements (Fe, K, Sr, Rb, Ni, Mn, As and Ba). In Figure 9, chemical composition trends are shown by means of line graphs connecting the medians determined in each mine for the selected elements. Median values were used instead of means since median values are less sensitive to outliers than mean values. The Peschici Fm. mines show quite similar distributions for all elements (Fig. 9A). In the same plot, the Tagliacantoni mine (Scaglia Fm.) diverges because of lower As values. Compared to those of the Peschici Fm., the chemical trends of the Maiolica Fm. mines were more complex. Valle Guariglia I and Valle Sbernia/Guariglia mines showed lower values for Ni, and Mastro Tonno presented lower values for Mn, while As was poorer in the Cruci mine. Usually, high contents of Ba characterized Maiolica Fm. mines, with the exception of Carmine and Mastro Tonno.

6.4. Surface features

6.4.1. Color

To evaluate color differences among the Gargano mines, the means of the three colorimetric coordinates for each mine were plotted as shown in Figure 10.

In general, noticeable grouped samples in the color space were characterized by low positive values of a^* and b^* and lightness values (L^*) lower than 50.

Commonly, the color trend of Gargano chert was mainly grayish to light yellow, and samples from the Maiolica Fm. appeared less variable. Numerous chert samples from the Peschici Fm. diverged from the aforementioned trend, having greater values of a^* and b^* (orange hues).

The Peschici Fm. mines had L^* mean values greater than 40, and only the two mines of Defensola A and C had darker samples.

Darker colors were associated with Maiolica Fm. mines, except for Mastro Tonno samples.

Red-orange color distinguished the Tagliacantoni mines, which are located at the stratigraphic limit between the Scaglia and Peschici Fms. Two mines in the Maiolica Fm. (i.e., Carmine and Martinetti) exhibited reddish chert.

The chert from Defensola B/P9 and Defensola C mines presented pale grayish colors.

Three mines showed a greater range of colors: Arciprete, Defensola B/P8 and San Marco. The mines in the Maiolica Fm. generally presented less variable colors, except for Carmine, Martinetti and Valle Guariglia I.

6.4.3. Fracture

Gargano mines generally showed samples with a conchoidal fracture, which made this chert a raw material of high quality for knapping. The cherts from the Cruci and Martinetti mines presented higher incidences of uneven fractures, probably as a consequence of tectonic events. These two mines included only a few samples with mottled-spotted-streaked structures. Arciprete and Defensola B/P9 included several samples with subconchoidal fractures. Arciprete and Defensola B/P9 showed more samples with laminated and shaded structures.

7. Discussion and conclusions

The mixed data matrix obtained by NM-PCI consists of 33 variables. Sixteen of them are binary, six ordinal and eleven continuous, for a total of 155 cases. A rearrangement of the data and a transformation were performed before proceeding with the multivariate statistics. Based on the dissimilarity matrix, the most similar pair of data are those of the Cruci mine, while the most dissimilar pair are two samples from the Tagliacantoni and San Marco mines. Running the cluster analysis procedure with PAM, the complex of data should be split into 15 (i.e., k) clusters and relative medoids, which correspond to the number of mines investigated. However, this choice does not mean that 15 is the best number of clusters for the data set. For this reason, the silhouette width was used as an internal validation tool to choose the most appropriate number of clusters.

The silhouette plot (Fig. 11) suggested twelve or thirteen groups (given the close high values of the silhouette width). For a more detailed discussion, the PAM algorithm was run two times with both thirteen and fifteen as k values, and the respective silhouette plots were compared. The Dunn and Rand indices were also calculated.

The Dunn index was unvaried for both solutions, while the silhouette index and Rand index increased when 13 clusters were chosen, and the number of misclassified cases decreased to two. Therefore, the clustering structure that best represented the mines data set was the one with $k = 13$. Each mine sample was allocated to the most similar medoid, and the final output showed thirteen clouds of points or clusters. Figure 12 shows the output of the routine; the ellipses of concentration include 95 percent of samples for each identified cluster; each mine is indicated with a different marker.

The crosschecking of the outcome highlights that nine mines were well identified; the mining complex of Defensola, Arciprete and San Marco is compact. Defensola C is isolated from other Vieste district mines

1 belonging to the Peschici Fm. Near the cluster of Defensola C, the samples from Tagliacantoni and Carmine
2 are arranged in two clusters. To the left of these two clusters, the cluster of Mastro Tonno is well centered,
3 while the clusters of Cruci and Valle Guariglia I overlap. The four Maiolica Fm. mines overlap (i.e., Bosco
4 della Risega, Coppa di Rischio, Martinetti and part of the Valle Sbernia/Guariglia samples), and the medoid
5 in this cluster is a sample from Bosco della Risega.
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8 Two blue ellipses (Fig. 12, clusters 11 and 3) contain a few scattered samples; in one case, these samples
9 belong to Defensola B/P9, and in the other case, the cluster includes a few samples from Cruci, Martinetti,
10 Valle Sbernia/Guariglia and only one from Valle Guariglia I (the medoid is a sample from Valle
11 Sbernia/Guariglia). The samples misclassified in the silhouette width are one from Tagliacantoni, attributed
12 to Carmine (yellow square closer to Carmine ellipse), and one from Cruci, which falls within the blue ellipse.
13 The mines that show greater variability are those spread in two clusters: Defensola B/P9, Martinetti and
14 Valle Sbernia/Guariglia. As a whole, the geological formations are well distinguished. The samples from the
15 Peschici Fm. are located on the right side of the graph, while Maiolica samples are grouped on the left side.
16 The Peschici Fm. is characterized by greater variability of chert features, making it possible to discriminate
17 individual mines, as in the case of the Defensola complex. On the other hand, cherts from the Maiolica mines
18 seem to be very similar, especially in the cases of Bosco della Risega and Coppa di Rischio. The strength of
19 the classification output is to define with a higher resolution the inner variability of the formations. Two
20 cases from Valle Guariglia I and Cruci, isolated from other Maiolica mines, can be explained by their
21 position close to the heteropic transition between the Maiolica and Marne a Fucoidi Fms. As evidenced by
22 the output, different features characterized this geological area.
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24 Similarly, the Tagliacantoni mine (attributed to the Peschici Fm. from bibliographic sources) is well isolated
25 from other Peschici mines, since it falls in the borderline area between the Peschici and Scaglia Fms. (see
26 Figs. 1 and 2). Very different chert features lead to attributing the Tagliacantoni mine to the Scaglia rather
27 than to the Peschici Fm. The Carmine mine, attributed to the Maiolica Fm., is geographically and
28 compositionally well isolated from other Maiolica mines investigated here (located in the higher part of the
29 same formation). This mine is the only one identified in the lower part of the Maiolica Fm. in the southern
30 part of the Gargano Promontory. Finally, variations in the sedimentary environmental conditions may
31 explain the isolated cases of Mastro Tonno and Defensola C. After the results obtained from the NM-PCI on
32 the cherts, the original geological attributions of the Valle Guariglia I, Cruci and Tagliacantoni mines were
33 reconsidered, and inter- and intraformational differences were highlighted with greater resolution.
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35 Generally, in each geological formation, specific structural and textural features were observed.
36 Homogeneous, spotted and mottled features were found within the Peschici Fm., mottled and mottled-
37 spotted within the Scaglia Fm. and mottled-spotted into the Maiolica Fm. Moderate sorting characterized the
38 Peschici Fm., and poor sorting characterized the Maiolica Fm.
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40 Defensola C (poor) and San Marco (well) diverged from these sorting trends. The percentages of inclusions
41 generally fell in the range between 10 and 50. Lower amounts were observed for San Marco and higher for
42 Cruci, Valle Guariglia I and Mastro Tonno.
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1 The color of Gargano chert investigated here is quite homogeneous, dominated by grayish tones. Peschici
2 Fm. chert appears to be lighter than Maiolica Fm. chert. Color variables make it possible to distinguish the
3 reddish-orange chert of the Tagliacantoni mine and Carmine from those of other mining facilities. The
4 geochemical trends of Gargano mines are partially different; Ba values separate the Peschici Fm. context
5 from that of the Maiolica Fm., but the Carmine and Mastro Tonno mines are exceptions. The higher values
6 of Fe observed for Tagliacantoni and Carmine explain the reddish color of the samples. Barium
7 concentrations are comparable with the results obtained by Volterra et al. (2002) for chert samples from
8 seven Gargano mines, while some variations in the potassium contents are found for Arciprete and Defensola
9 A, which show higher median values.

14 Abundances of radiolarians and foraminifers vary significantly between the Peschici and Maiolica Fms.
15 Sponge spicules are very plentiful for all Peschici Fm. mines (minor amounts at Defensola B/P9), while the
16 Maiolica Fm. shows greater variability of spicules (major amounts for Mastro Tonno and Carmine, minor
17 amounts for Cruci and Valle Sbernia/Guariglia, and traces for Bosco della Risega, Coppa di Rischio and
18 Martinetti). Radiolarians and sponges are abundant in Tagliacantoni (Scaglia Fm.), with minor amounts of
19 foraminifers.

24 Structural features, micropaleontological contents and geochemical data individually allow the separation of
25 two main groups: the mines of the Peschici Fm. from those of the Maiolica Fm. Each group shows a strong
26 overlap of mines, and only a few mines are characterized by specific traits: Defensola C for structure,
27 Tagliacantoni and Martinetti for structure and color, and Carmine for structure, color and
28 micropaleontological contents. The use of PAM provides insight into the mixed data structure at the level of
29 each mine's inner variability. Concentration ellipses allow the separation of nine mines out of the fifteen
30 examined, whereas strong similarities caused the overlapping of some Maiolica Fm. mines.

36 Structure, texture, geochemistry and micropaleontology have strong weights on the separation between the
37 Peschici and Maiolica Fms. The Scaglia Fm. appears to have intermediate features between those of the
38 Maiolica and Peschici Fms. Color coordinates isolate Tagliacantoni and Carmine from all other mines. The
39 Peschici Fm. shows greater inner variability than the Maiolica Fm., where four mines are grouped in the
40 same cluster. Structure, sorting and percentages of inclusions separate Defensola C and San Marco from
41 other Vieste district mines and Valle Guariglia I, Cruci and Mastro Tonno from other Peschici district mines.
42 Compared to the cluster analysis results of Volterra et al. (2002) obtained from seven Gargano mines—
43 Coppa di Rischio, Valleguariglia (namely, Valle Sbernia/Guariglia), Martinetti, Defensola A, Defensola B,
44 Arciprete and Tagliacantoni—using geochemical data exclusively (Al, Ba, K, Mg, Na, P, and Ti), our NM-
45 PCI method separated with greater resolution specific deposits such as Tagliacantoni. The application of the
46 NM-PCI method to Gargano cherts shed light on the distinctive features of the chert mines and helped solve
47 problems about the stratigraphic positions of some mines.

56 The modifications made to the multiparametric protocol introduced by Tarantini et al. (2016) implemented
57 new features (i.e., ED-XRF, texture and micropaleontological data) and a multivariate statistical

methodology to process mixed data (PAM algorithm). The results obtained in this case study allowed a first evaluation of the NM-PCI protocol.

The NM-PCI protocol aims at improving the traditional methodologies used in the archaeological field (e.g., naked eyes and microscopic descriptions), generally coupled with geochemical characterization of chert samples. Scientific efforts were focused on the standardization of analytical procedures and the improvement of description strategies for visible appearance and petrographical features, based on portable nondestructive devices measuring color and geochemical composition. Moreover, specific statistical strategies allowed merging the information gathered from different categories of data for a more effective representation of the data structure and classification.

The strength of the approach proposed here lies in the nondestructivity of the procedure employed, the rapidity of data acquisition and the low cost of analyses, in line with archaeological requirements. The analytical protocol can be applied *in situ*, with portable laboratory equipment such as digital microscopy, stereomicroscopy, spectrophotometry and pXRF instrumentation, providing rapid data acquisition in the field or in the laboratory. The appreciable results obtained for the Gargano mining context motivate the implementation of NM-PCI as a promising tool for provenance studies of archaeological cherts. Additional multivariate statistical techniques could be used to compare the results of investigations. Over 60% of the examined mines were distinguishable from one other, with the remainder overlapping in two clusters. A more in-depth observation of microfossils (e.g., distinction between planktonic and benthic foraminifera) could improve the separation of mines, and an estimation of additional trace element concentrations with other chemical methods (e.g., LA-ICP-MS or LIBS) could be useful to improve the resolving power of cluster analysis.

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TABLE CAPTIONS:

Table 1: Summary of the archaeological and geological data of the analysed samples.

Table 2: Multi-parametric results of the NM-PCI protocol. Abbreviations: h = homogeneous; sh = shaded; m = mottled; sp = spotted; l = laminated; b = banded; st = streaked; c = conchoidal; s = subconchoidal; u = uneven; Trl = translucence; ND = non determined; Rad = radiolarians; Spi = sponge spiculae; For = foraminifers; FeOx = iron oxides; Org = organic matter..

Table 3: pXRF analysis of TILL-4 reference material and the limit of detection (LOD) of the selected elements. The concentrations are expressed in mg/kg, except for K and Fe.

Table 4: More frequent features of the cortex identified in the chert from the Gargano mines. In parenthesis, the percentage of the presence of subcortex in the samples per each mine population.

Table 5: More frequent sorting and fraction of the chert samples per each mine population.

FIGURE CAPTIONS:

Figure 1: Excerpts from the geological map of the Gargano promontory and position of the chert mines here investigated.

Figure 2: Chronostratigraphic scheme of the Jurassic to Eocene stratigraphic units cropping out in the Gargano Promontory. 1- Inner Platform facies; 2- Marginal facies; 3 and 4- slope to base of slope facies; 5- basinal facies; 6- hiatus; 7- position of chert layers and nodules associated to the mines here investigated (after Morsilli et al. 2017).

Figure 3: Samples from the mines of the Peschici district. Bosco della Risega (1 and 2), Valle Sbernia/Guariglia (3), Martinetti (4), Coppa di Rischio (5 and 6), Valle Guariglia I (7 and 12), Tagliacantoni (8 and 9) Cruci (10 and 11) and Mastro Tonno (13). Sample 13 was photographed at different scale.

Figure 4: Samples from the mines of the Vieste and Mattinata districts. Defensola B/P8 (1 and 2), Defensola C (3 and 4), Carmine (5 and 6), San Marco (7), Defensola B/P9 (8 and 9), Defensola A (10 and 11) and Arciprete (12 and 13). Samples 10, 11, 12 and 13 were photographed at different scale.

Figure 5: Flowchart of the NM-PCI method.

Figure 6: Chert structures of Peschici and Scaglia fms.

Figure 7: Chert structures of Maiolica Fm.

Figure 8: a-b) waste sample of Defensola A mine; c-d) waste sample of San Marco mine. Foraminifers and sponges spicules are well visible in both cases. e-f) waste sample of Valle Guariglia I mine. Common poor sorting is well visible in figure f. Two red radiolarians are evident in microphotographs g and h.

Figure 9: Median values and geochemical patterns of Peschici Fm and Scaglia Fm mines (A) and Maiolica Fm mines (B).

Figure 10: The mean and the standard deviation of each colour coordinate (L^* , a^* , b^*) of the chert from fifteen mines examined. (Ar: Arciprete; DA: Defensola A; D/P9: Defensola B/P9; D/P8:Defensola B/P8; DC: Defensola C; SM: San Marco; Tc: Tagliacantoni; BR: Bosco della Risega; CR: Coppa di Rischio; MT: Mastro Tonno; Mr: Martinetti; VS: Valle Sbernia/Guariglia; Cm: Carmine; Cc: Cruci; VG: Valle Guariglia I).

Figure 11: Silhouette widths of the outcome of PAM. It is observed that the higher values of silhouette width correspond to 12 and 13 clusters.

Figure 12: Output of PAM classification. The investigated mines are distributed in 13 clusters as indicated by concentration ellipses (95% of samples) and relative number (see text). Each glyphs indicate a specific mine.

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Figure 1

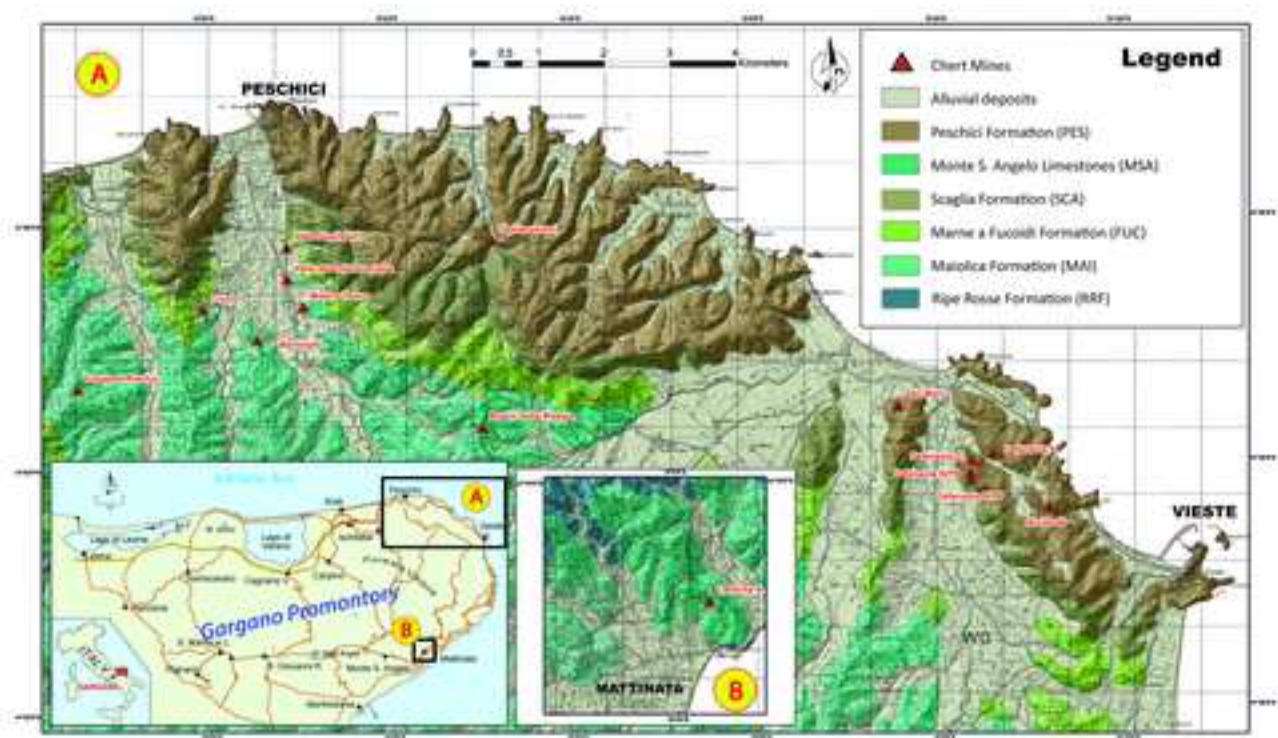


Figure 2

[Click here to access/download;Figure;Fig 2.tif](#)

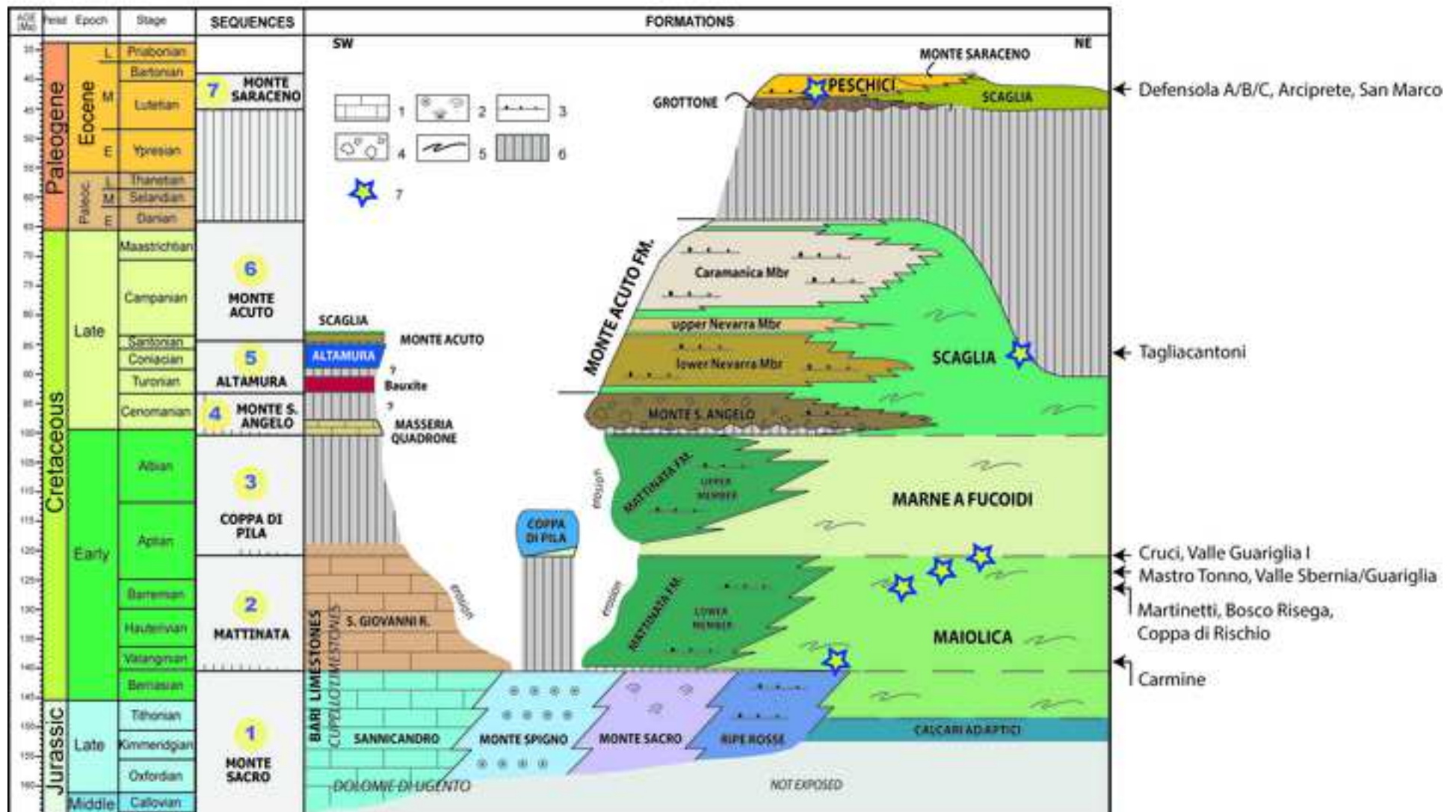


Figure 3

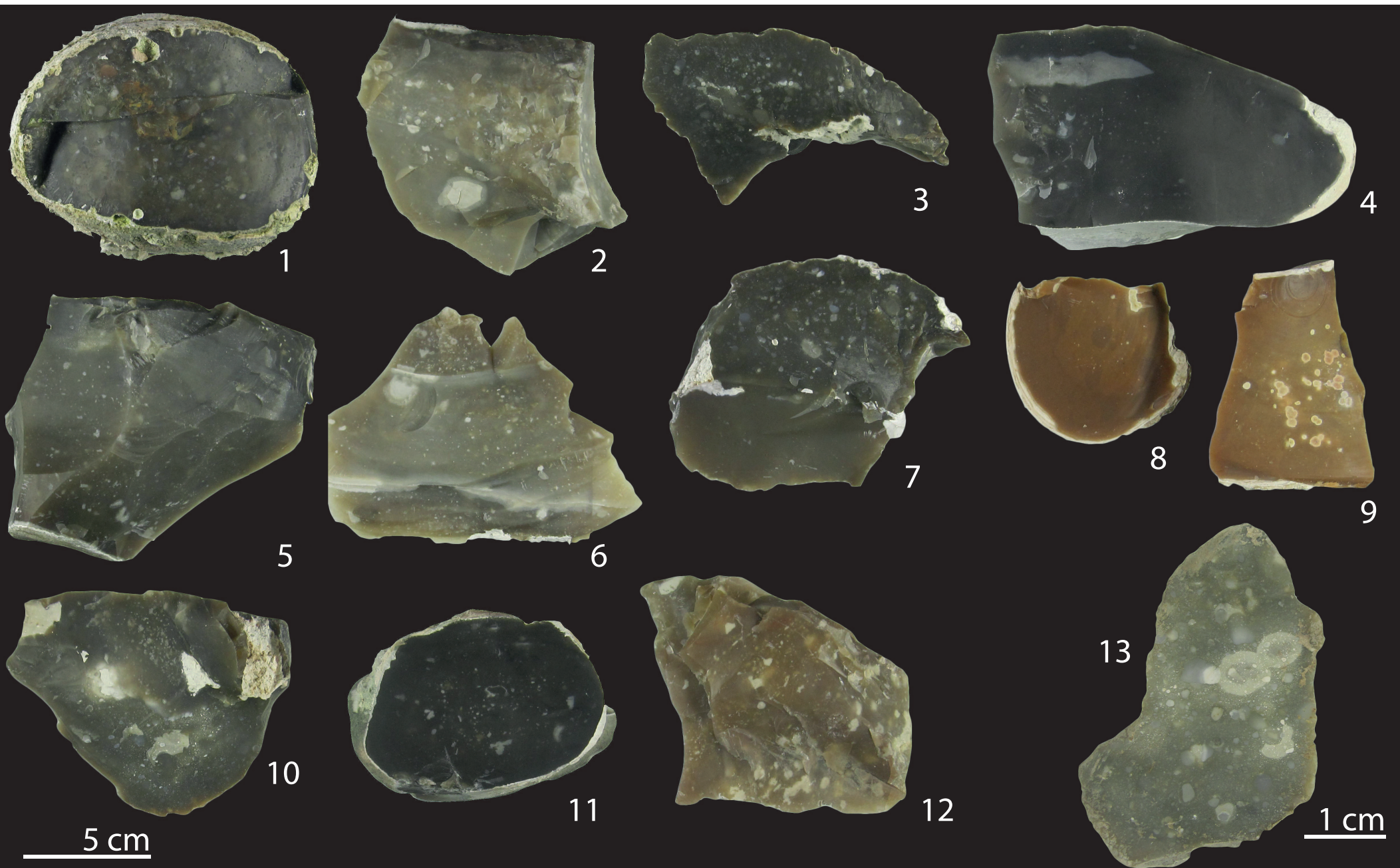


Figure 4



Figure 5

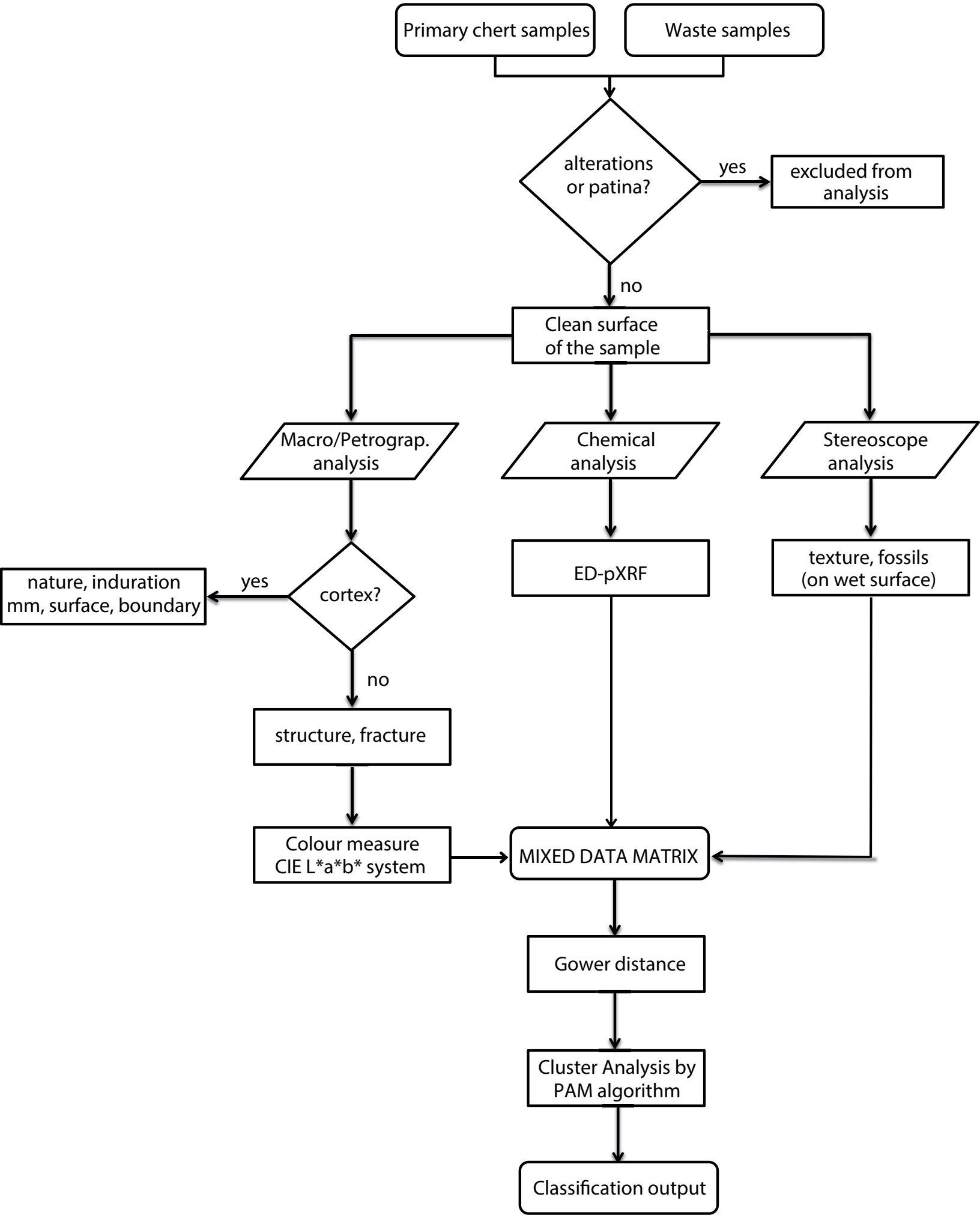
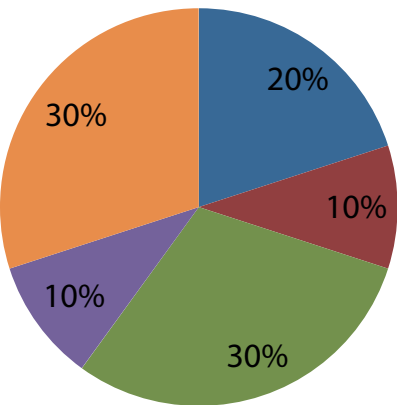
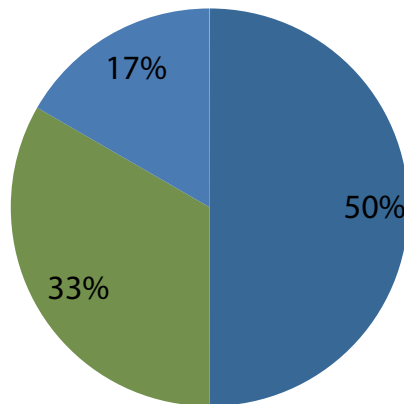


Figure 6

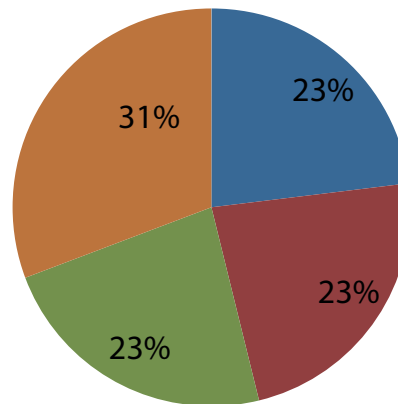
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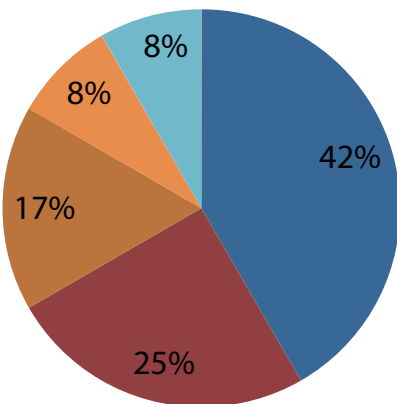
Defensola A



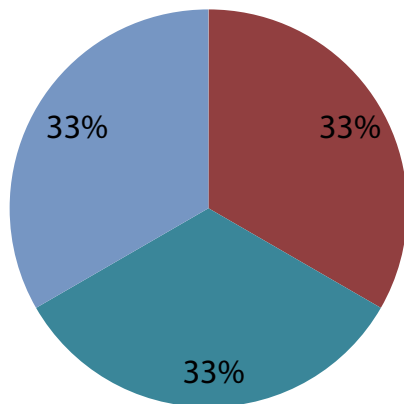
Defensola B/P8



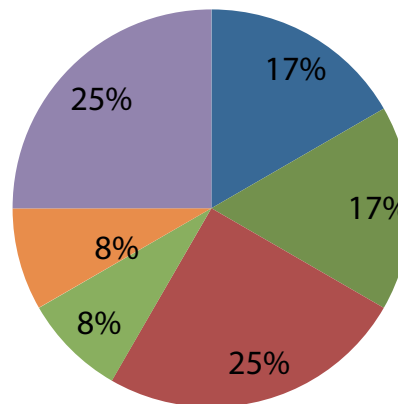
San Marco



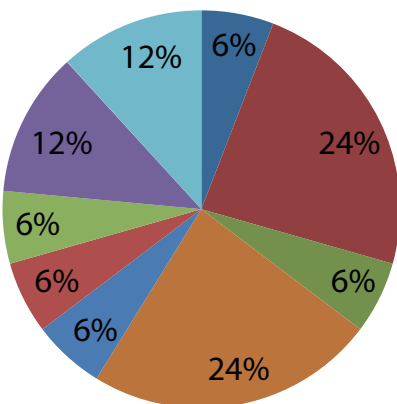
Defensola C



Defensola B/P9



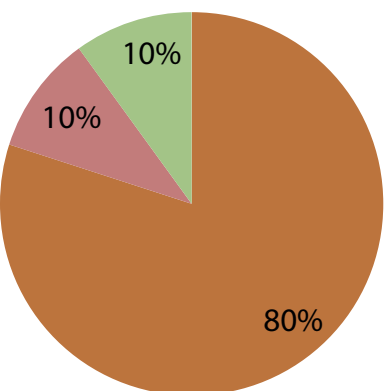
Tagliacantoni



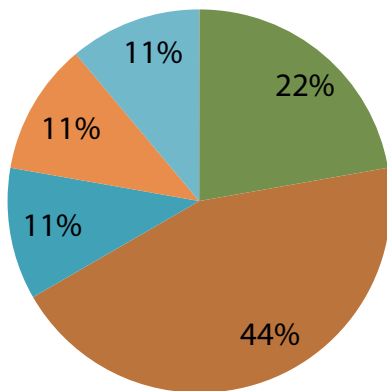
- homogeneous
- mottled
- spotted
- streaked
- mottled-laminated
- mottled-spotted
- mottled-streaked
- shaded-laminated
- shaded-mottled
- shaded-spotted
- spotted-banded
- spotted-laminated
- mottled-laminated-streaked
- mottled-spotted-laminated
- mottled-spotted-streaked
- shaded-mottled-laminated
- shaded-mottled-spotted
- shaded-mottled-streaked
- shaded-spotted-laminated

Figure 7

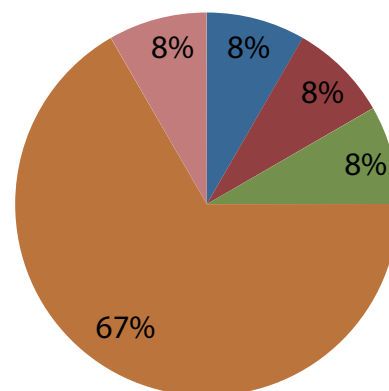
Bosco della Risega



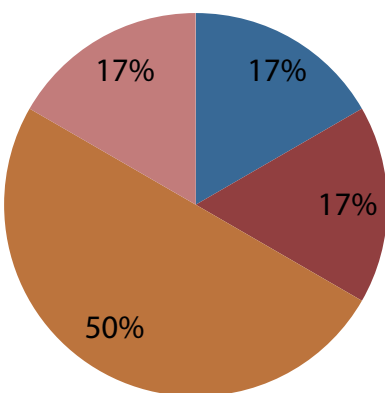
Mastro Tonno



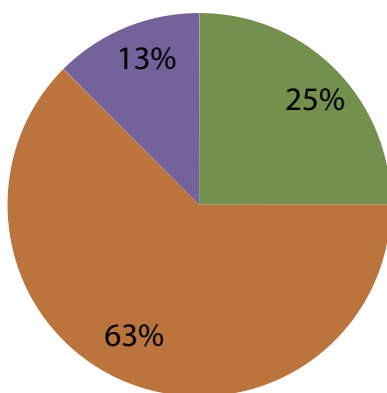
Valle Guariglia I



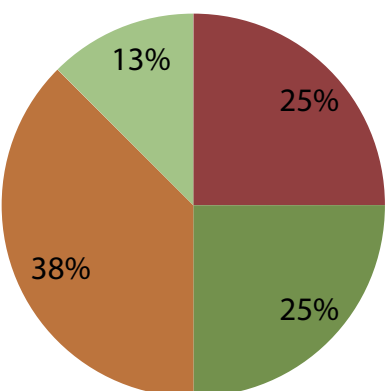
Coppa di Rischio



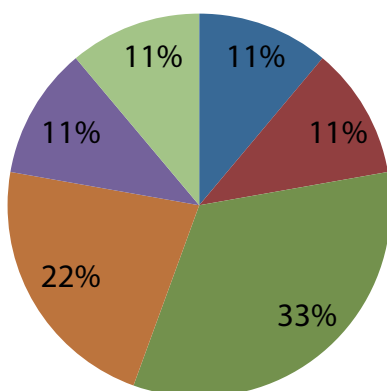
Valle Sbernia/Guariglia



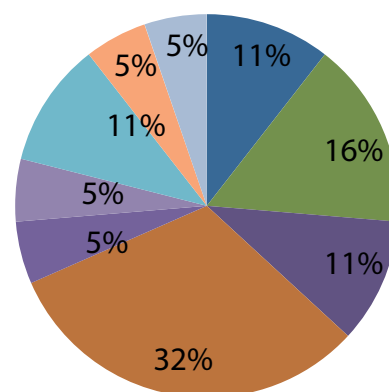
Cruci



Martinetti



Carmine



- homogeneous
- mottled
- spotted
- streaked
- mottled-laminated
- mottled-spotted
- mottled-streaked
- shaded-laminated
- shaded-mottled
- shaded-spotted
- spotted-banded
- spotted-laminated
- mottled-laminated-streaked
- mottled-spotted-laminated
- mottled-spotted-streaked
- shaded-mottled-laminated
- shaded-mottled-spotted
- shaded-mottled-streaked
- shaded-spotted-laminated

Figure 8

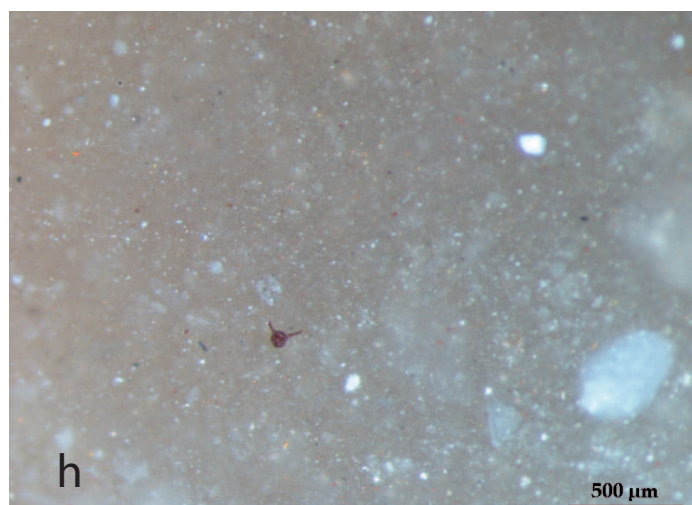
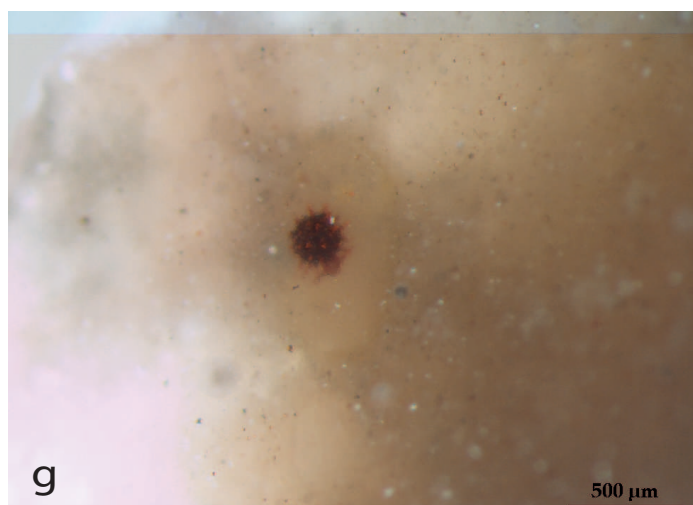
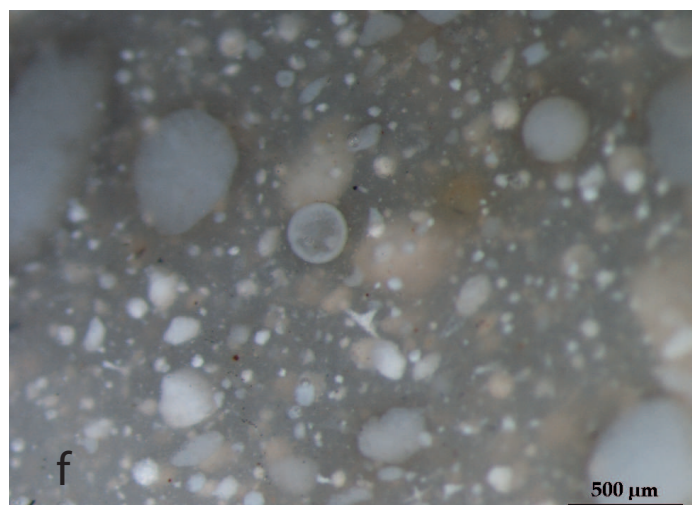


Figure 9

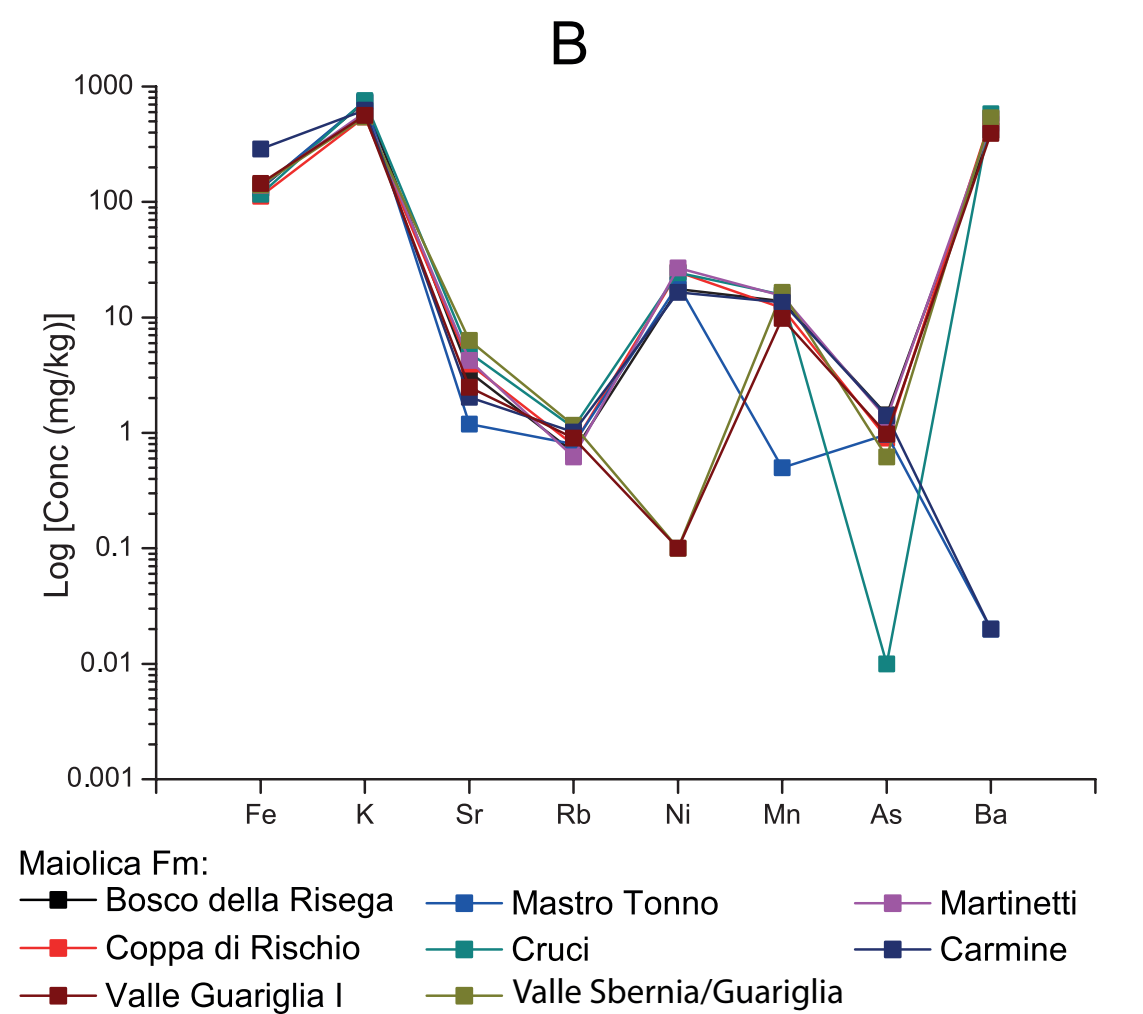
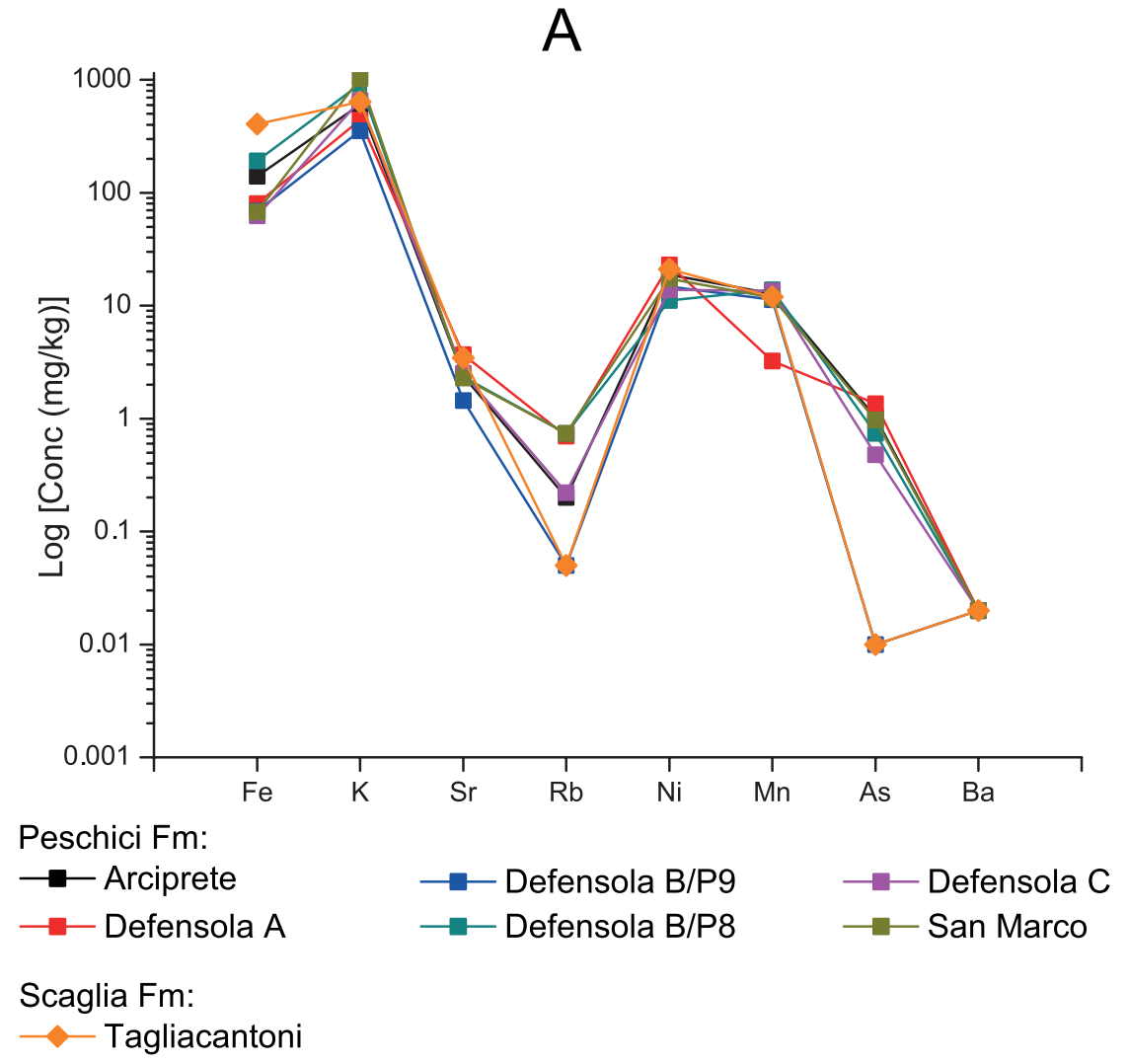


Figure 10

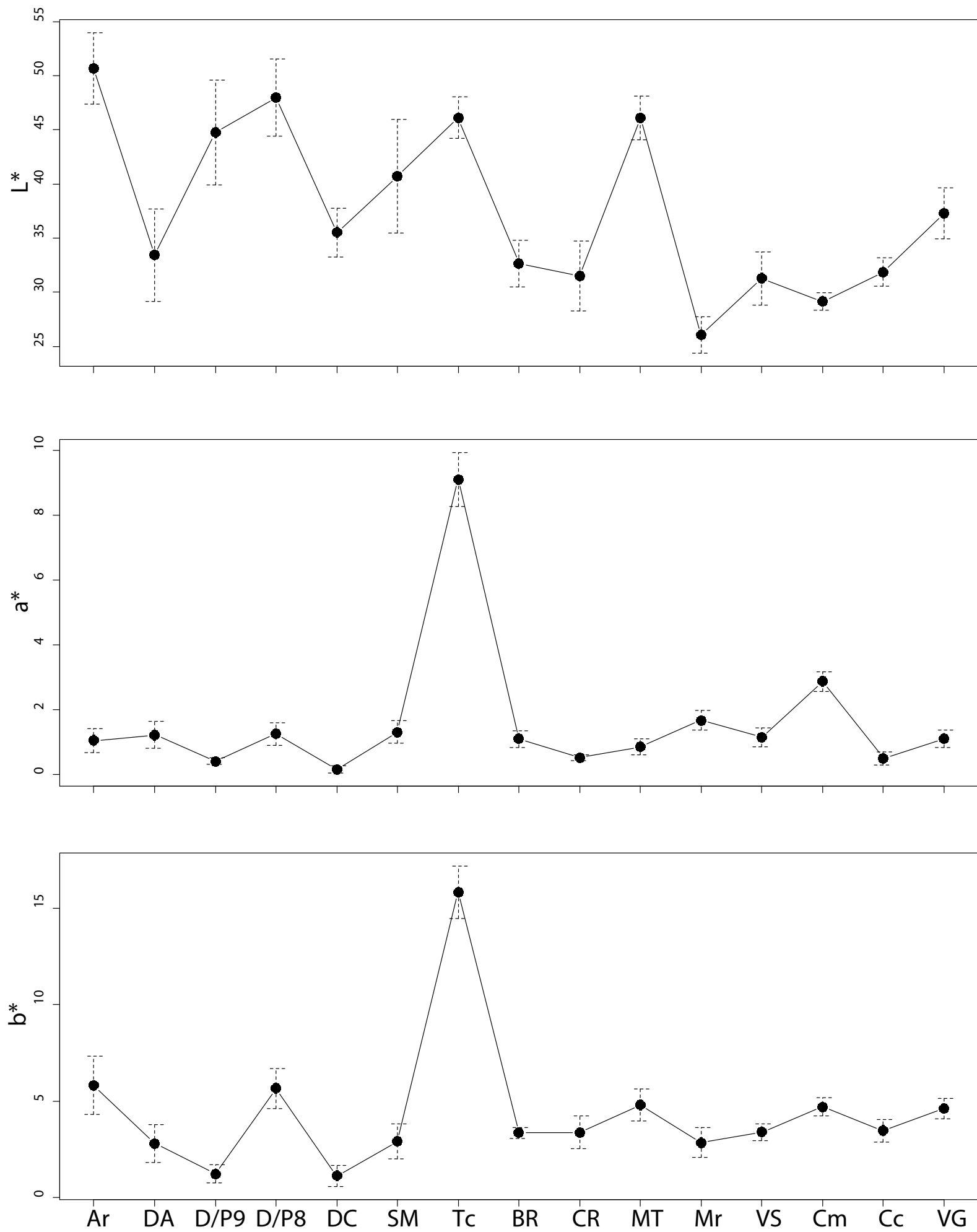


Figure 11

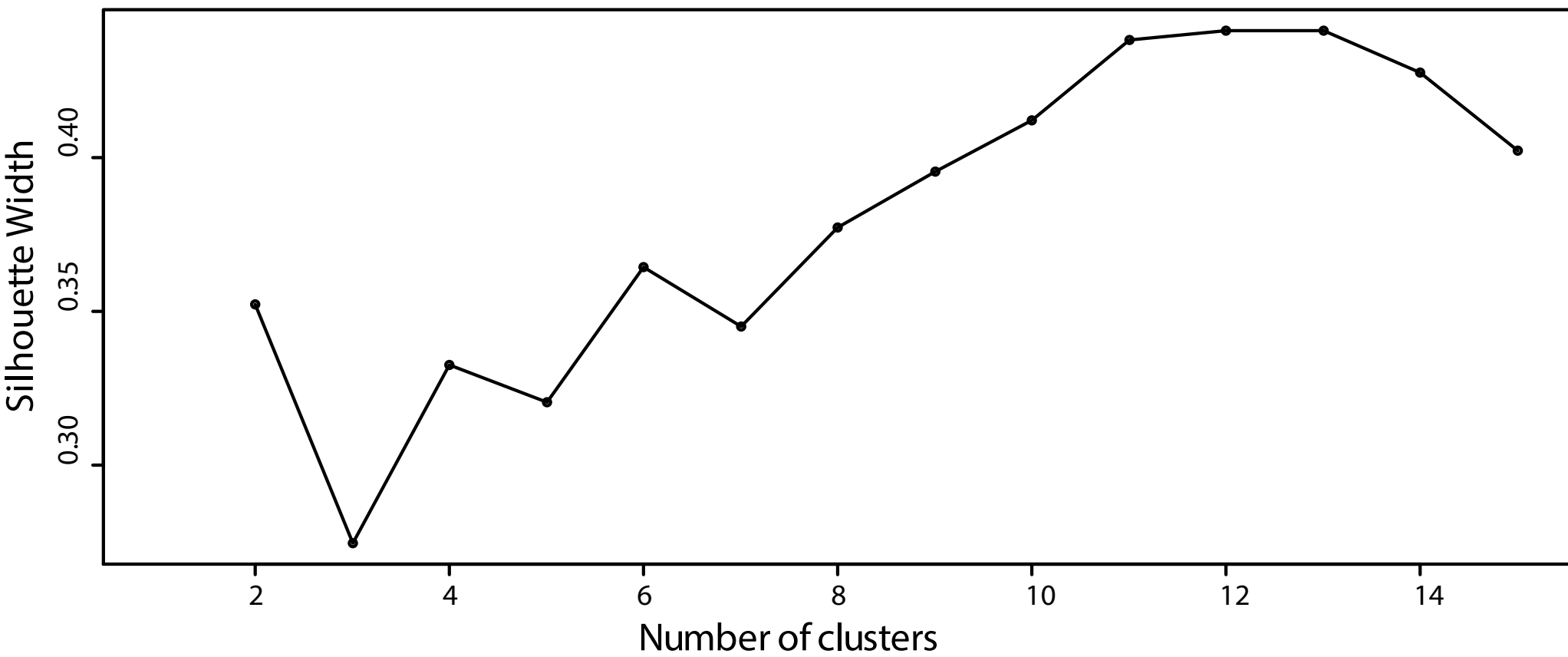
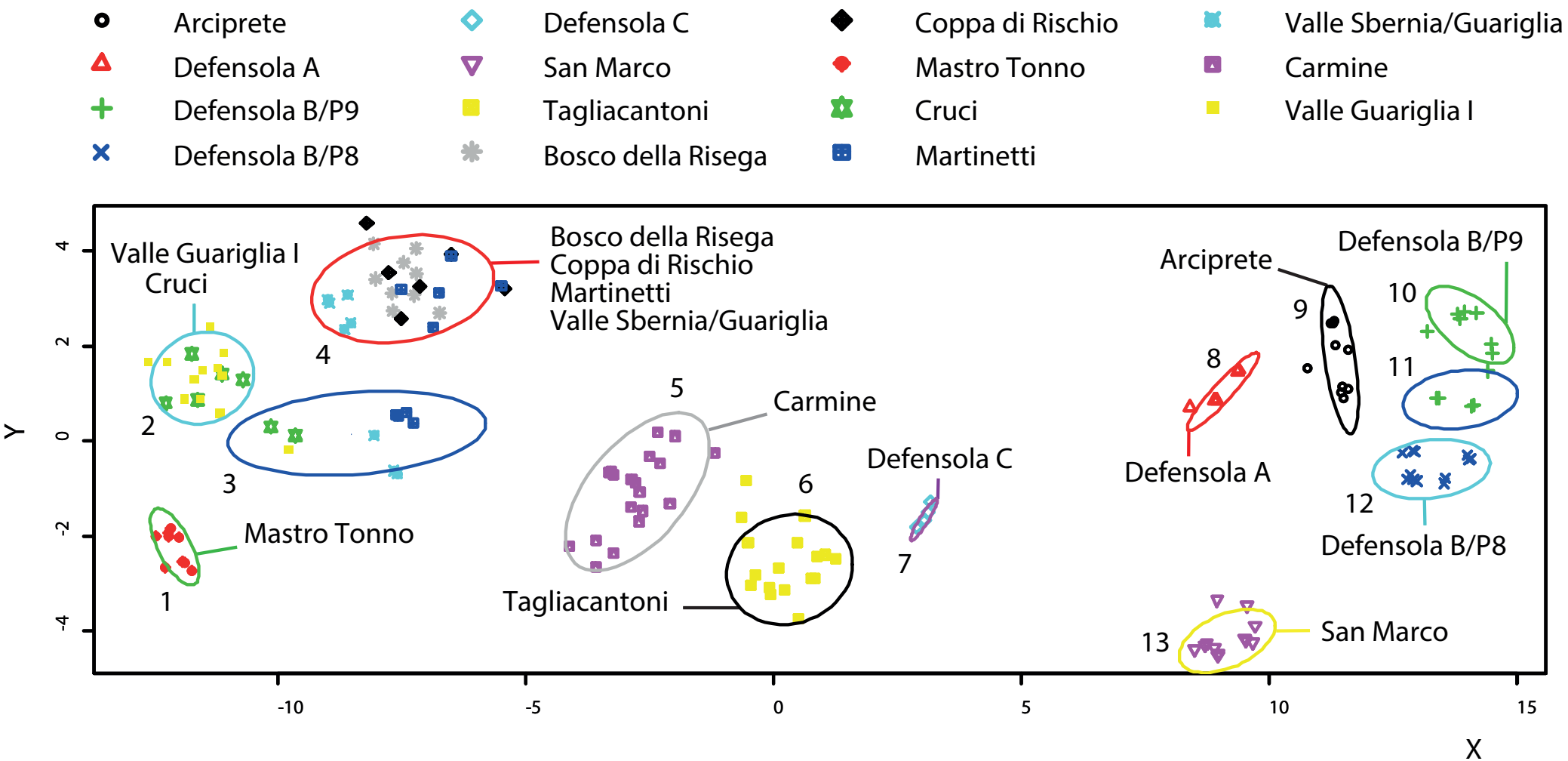


Figure 12





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Supplementary Material
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Supplementary Material
Tab. 2.xlsx

Table 3: pED-XRF analysis of TILL-4 reference material and the limit of detection (LOD) of the selected elements.The concentrations are expressed in mg/kg, except for K and Fe.

Certificate			pED-XRF		
Element	Concentration	Std. Dev.	Concentration	Std. Dev.	LOD
K	2.7 (%)	0.1	2.73 (%)	0.01 (%)	50
Fe	3.97 (%)	0.15	3.47 (%)	0.01 (%)	8
As	111	6	113	1	1
Ba	395	37	407	4	68
Mn	490	77	441	6	10
Ni	17	3	20	5	10
Rb	161	15	149	3	1
Sr	109	11	118	1	1

Table 4

Table 4: More frequent features of the cortex identifid in the chert from the Gargano mines. In parenthesis, the percentage of the presence

Mine	Samples (n)	Mean thickness (mm)	Nature	Induration	Surface	Boundary	Subcortex
Arciprete	8	3	siliceous	hard	smooth	sharp	present (50%)
Defensola A	5	4	siliceous	hard	smooth	sharp	absent
DefensolaB/P9	11	2	siliceous/calcareous	hard/friable	smooth/rough	clear	present (63%)
DefensolaB/P8	8	6	calcareous	hard/friable	rough	sharp/diffuse	present (50%)
Defensola C	6	7	calcareous	friable	rough	clear/sharp	present (66%)
San Marco	7	5	siliceous	hard	smooth	diffuse/clear/sharp	present (57%)
Bosco della Risega	9	4	calcareous	friable	rough	sharp	present (11%)
Carmine	14	4	calcareous	friable	rough	sharp	present (14%)
Coppa di Rischio	6	3	calcareous	friable	rough	sharp	present (17%)
Cruci	4	2	calcareous	friable	rough	sharp	present (25%)
Martinetti	3	6	siliceous/calcareous	friable	hard/rough	sharp	absent
Mastro Tonno	7	2	calcareous	friable	rough	sharp	absent
Valle Guariglia I	9	1	calcareous	friable	rough	sharp	present (11%)
Valle Sbernia/Guariglia	8	3	calcareous	friable	rough	sharp	absent
Tagliacantoni	12	2	calcareous	friable	rough	sharp	present (8%)

Table 5: More frequent sorting and fraction of the chert samples per each mine

Mine	Sorting	Fraction (%)
Arciprete	moderate	10-50
Defensola A	moderate	10-50
DefensolaB/P9	moderate	10-50
DefensolaB/P8	moderate	10-50
Defensola C	poor	10-50
San Marco	well	<10
Bosco della Risega	poor	10-50
Carmine	poor	10-50
Coppa di Rischio	poor	10-50
Cruci	poor	>50
Martinetti	poor	10-50
Mastro Tonno	poor	>50
Valle Guariglia I	poor	>50
Valle Sbernia/Guariglia	poor	10-50
Tagliacantoni	poor	10-50