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An alveolar recruiting maneuver followed by positive end-expiratory pressure improves lung function in healthy dogs undergoing laparoscopy

Caterina Di Bella, Luca Lacitignola, Salvatore Grasso, Paola Centonze, Antonella Greco, Rossella Ostuni, Antonio Crovace, Francesco Staffieri

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- 1 An alveolar recruiting maneuver followed by positive end-expiratory pressure improves lung
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- 3 Caterina Di Bella<sup>a</sup>, Luca Lacitignola, Salvatore Grasso<sup>b</sup>, Paola Centonze, Antonella Greco, Rossella
- 4 Ostuni, Antonio Crovace, Francesco Staffieri
- 5 Department of Emergency and Organ Transplantation, Section of Veterinary Clinics and Animal
- 6 Production, "Aldo Moro" University of Bari, Bari, Italy
- <sup>a</sup> PhD Curse in "Organs and Tissues Transplantation and Cellular Therapy", D.E.O.T., "Aldo Moro"
- 8 University of Bari, Bari, Italy
- 9 b Department of Emergency and Organ Transplantation, Section of Anesthesia and Intensive Care,
- 10 "Aldo Moro" University of Bari, Bari, Italy
- 11 Correspondence: Prof. Francesco Staffieri, Department of Emergency and Organ Transplantation,
- 12 University of Bari, SP 62 per Casamassima km 3-70010 Valenzano (Bari), Italy
- 13 E-mail: francesco.staffieri@uniba.it
- 14 tel: +390804679890
- 15 fax: +390804679817
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- 1 An alveolar recruiting maneuver followed by positive end-expiratory pressure improves lung
- 2 function in healthy dogs undergoing laparoscopy
- 3 Abstract
- 4 **Objective** To evaluate the effects of an alveolar recruiting maneuver (ARM) followed by 5 cmH<sub>2</sub>O
- 5 positive end-expiratory pressure (PEEP) in dogs undergoing laparoscopy.
- 6 **Study design** Prospective, randomized clinical study.
- 7 **Animals** Twenty dogs undergoing laparoscopic ovariectomy.
- 8 Methods Dogs were sedated with acepromazine and methodone intramuscularly, anesthesia was
- 9 induced with propofol intravenously and maintained with inhaled isoflurane. The following
- baseline ventilatory setting (BVS) were administered: tidal volume of 12 mL kg<sup>-1</sup>, inspiratory-to-
- expiratory ratio of 1:2, inspiratory pause 25% of inspiratory time, no PEEP and a respiratory rate to
- maintain end-tidal carbon dioxide tension between 5.3 and 7.3 kPa. Ten minutes after the
- pneumoperitoneum, 10 patients (RM) underwent a sustained inflation ARM followed by the BVS
- plus 5 cmH<sub>2</sub>O PEEP, while 10 patients (NO-RM) were left with the BVS throughout the entire
- 15 procedure. Gas exchange and respiratory system mechanics were evaluated before the
- pneumoperitoneum (PPpre), before the ARM (PP10), 30 minutes later (PP30) and 20 minutes after
- pneumoperitoneum discontinuation (PPpost20). Data were analyzed with the ANOVA test (p < 1
- 18 0.05).
- Results The Fshunt at PP30 and PPpost20 was lower (p < 0.001) in the RM (2.3  $\pm$  2.2 and 4.7  $\pm$  3.7
- %) than in the NO-RM (5.2  $\pm$  2.1 and 11.1  $\pm$  5.2 %), and PaO<sub>2</sub> at PP30 and PPpost20 was higher (p
- < 0.001) in the RM (67.3  $\pm$  4.2 and 60.1  $\pm$  9.4 kPa) than in the NO-RM (50.2  $\pm$  7.4 and 45.5  $\pm$  11.1
- kPa). Static compliance of the respiratory system at PP30 and PPpost20 was greater (p < 0.001) in
- 23 the RM (2.4  $\pm$  0.2 and 2.1  $\pm$  0.4 mL cmH<sub>2</sub>O<sup>-1</sup> kg<sup>-1</sup>) than in the NO-RM (0.9  $\pm$  0.4 and 1.2  $\pm$  0.2 mL
- 24  $\text{cmH}_2\text{O}^{-1}\text{kg}^{-1}$ ).
- 25 Conclusions and clinical relevance In dogs undergoing laparoscopy, an ARM followed by 5
- 26 cmH<sub>2</sub>O PEEP improves gas exchange and respiratory system mechanics.

27 Keywords dog, laparoscopy, oxygenation, alveolar recruitment



## Introduction

29	Laparoscopic and laparoscopic-assisted procedures are becoming increasingly available to
30	veterinary patients because they cause less tissue trauma, are associated with reduced postoperative
31	pain and rates of surgical site infection, and result in faster postoperative recovery compared with
32	traditional open surgery (Devitt et al. 2005; Mayhew et al. 2012; Milovancev & Townsend 2015).
33	Laparoscopy requires the formation of a working area within the peritoneal cavity, which is
34	commonly achieved by the insufflation of carbon dioxide (CO <sub>2</sub> ). However, the creation of CO <sub>2</sub>
35	pneumoperitoneum (PP) causes significant changes in respiratory and cardiovascular function
36	(Duke et al. 1996; Bailey & Pablo 1999; Carraretto et al. 2005; Park & Okano 2015). The most
37	critical events induced by CO <sub>2</sub> -PP are an increase in intra-abdominal pressure (IAP) and systemic
38	absorption of $CO_2$ at the abdominal level. An increase in arterial partial pressure of carbon dioxide
39	(PaCO <sub>2</sub> ) and a reduction in pH are typical acid-base disturbances associated with the systemic
40	absorption of CO <sub>2</sub> (Fukushima et al. 2011). Guidelines in human medicine and standard of care in
41	veterinary medicine indicate that a safe value for IAP is $\leq$ 15 mmHg (Neudecker et al. 2002; Tams
42	2011; Dorn et al. 2017). Most studies investigating the cardiovascular changes associated with
43	laparoscopic surgery in people and dogs report an increase in systemic vascular resistance (SVR),
44	mean arterial blood pressure (MAP) and myocardial filling pressures, accompanied by a fall in
45	cardiac index (CI), with little change in heart rate (HR) (Duke et al. 1996; O'Malley & Cunningham
46	2001; Carraretto et al. 2005).
47	The increase in IAP induces a 30–50% decrease in thoraco-pulmonary compliance and general
48	impairment of lung function due to the cranial shift of the diaphragm, which reduces functional
49	residual capacity and promotes the formation of pulmonary atelectasis and a ventilation/perfusion
50	mismatch in the most dependent areas of the lung as a result of compression of the pulmonary
51	parenchyma (Andersson et al. 2005; Carraretto et al. 2005; Nguyen & Wolfe 2005; Strang et al.
52	2010). Thus, in patients undergoing laparoscopy, the increased IAP is an additional factor
53	promoting the formation of atelectasis, in addition to the regular effects of general anesthesia

54	(Hedenstierna 2003; Staffieri et al. 2007). Perioperative atelectasis affects gas exchange and is
55	accepted as a major cause for the development of postoperative hypoxia (Tusman et al. 2012;
56	Staffieri et al. 2014).
57	Positive pressure ventilation is highly recommended in animals undergoing laparoscopy in order to
58	cope with the increased work of breathing related to the PP and to optimize lung ventilation for the
59	increased elimination of CO <sub>2</sub> (Bailey & Pablo 1999; Nguyen & Wolfe 2005). Alveolar recruitment
60	consists of the reexpansion of collapsed alveolar units, and recruitment strategies are aimed at
61	increasing the pressure distending the lung (transpulmonary pressure, $P_L$ ) to an extent that the latter
62	overcomes the opening pressure of the alveoli, thus causing their reexpansion (Tusman & Bohm
63	2010). Positive end-expiratory pressure (PEEP) is an essential component in the management of
64	alveolar collapse during anesthesia, its main physiological mechanisms being an increase in
65	functional residual capacity and $P_L$ , thus promoting alveolar recruitment (Slutsky & Hudson 2006;
66	Acosta et al. 2007). Moreover, PEEP has a unique role in stabilizing the unstable alveolar units at
67	end expiration, preventing the cycling collapse of the alveoli (de-recruitment) (Tusman & Bohm
68	2010). During PP, the cranial shift of the diaphragm and the elevated IAP further increase the
69	compressing forces on the pulmonary parenchyma, developing collapsed alveolar units with
70	elevated opening pressures. It is for this reason that such a condition needs higher distending
71	pressures, and the application of standard levels of PEEP may not be sufficient to overcome the
72	alveoli's opening pressures (Maracaja-Neto et al. 2009).
73	An alveolar recruiting maneuver (ARM) consists of the application of high airway pressure for a
74	limited period to completely distend the lung parenchyma. A "vital capacity" ARM consists of
75	insufflating the lungs to an airway pressure of 30 - 40 cmH <sub>2</sub> O for 20-40 seconds in order to re-
76	expand the entire lung (Staffieri et al. 2010). In standard laparotomic procedures in dogs, an ARM
77	followed by 5 cmH <sub>2</sub> O PEEP was adequate to resolve anesthesia-induced pulmonary atelectasis as
78	indicated by computed tomography studies (De Monte et al. 2013)

79	The aim of this study was to evaluate the effects of a vital capacity ARM followed by the
80	application of 5 cmH <sub>2</sub> O PEEP in dogs undergoing laparoscopy. The hypothesis was that the
81	recruiting strategy would improve lung function compared with a traditional ventilatory protocol.
82	To test our hypothesis gas exchange, respiratory system mechanics and hemodynamics were
83	monitored and compared at predetermined times during the procedure.
84	
85	Materials and methods
86	The study was approved by the Ethical Committee for Clinical Study in Animal Patients of the

The study was approved by the Ethical Committee for Clinical Study in Animal Patients of the Department Emergency and Organ Transplantation of the University of Bari (n. 03/2016). On the basis of the preliminary data obtained after the first eight cases (four for each group), a power calculation was performed for differences in arterial partial pressure of oxygen (PaO<sub>2</sub>) at 30 minutes after the beginning of PP (PP30). We performed the power calculation for a two-tailed t test with power of 0.95, an alpha error of 0.05 and an effect size of 1.66, using freely available software (G\*Power Version 3.0.10; University of Düsseldorf, Germany) (Faul et al. 2009). The results of this analysis suggested that a minimum of nine dogs per group would be sufficient to detect significant differences between groups.

Animals

Twenty mixed-breed, adult female dogs were selected for laparoscopic ovariectomy and included in the study after the owner provided informed written consent. All animals were clinically healthy based on the physical examination, complete blood count and serum biochemical analyses. Any dog presenting with systemic disease, cardiac arrhythmias, pregnancy or extreme aggression or one that was excessively geriatric, obese or debilitated was excluded from the study. The dogs were admitted 16 hours before surgery and fasted during the night with free water access until premedication.

105 Anesthetic and surgical procedure

The anesthetic protocol comprised premedication with intramuscular (IM) administration of 10 $\mu g$
${\rm kg}^{-1}$ acepromazine (Prequillan; Fatro, Italy; 10 mg m ${\rm L}^{-1}$ ) followed after 15 minutes by 0.3 mg ${\rm kg}^{-1}$
methadone (Semfortan; Dechra, Italy; 10 mg mL <sup>-1</sup> ) IM. After an adequate level of sedation was
achieved, a cephalic vein was cannulated for the intravenous (IV) administration of drugs and
fluids. General anesthesia was induced with propofol (5 mg kg <sup>-1</sup> ) IV and maintained with inhaled
isoflurane and pure oxygen administered through a rebreathing system. When an adequate depth of
anesthesia was achieved, dogs were positioned in dorsal recumbence with a $15-20^\circ$ head-up tilt
(anti-Trendelenburg). All dogs were mechanically ventilated during the entire procedure in a
volume-controlled mode (Siemens Maquet Servo-I Ventilator) with a baseline ventilatory setting
(BVS) consisting of a tidal volume ( $V_T$ ) of 12 mL $kg^{-1}$ , an inspiratory-to-expiratory ratio of 1:2, an
inspiratory pause of 25% of inspiratory time and a PEEP of 0 cm $H_2O$ . The respiratory rate ( $f_R$
breaths minute <sup>-1</sup> ) was adjusted on the basis of the end-tidal carbon dioxide tension (PE´CO <sub>2</sub> ) level,
which was maintained between 40 and 55 mmHg (5.3 and 7.3 kPa). An arterial catheter (20-22
gauge) was placed in one of the palmar arteries for the hemodynamic monitoring and collection of
the arterial blood samples. During the entire procedure, the following physiological parameters
were monitored and recorded every 5 minutes: HR (beats minute <sup>-1</sup> ), invasive systolic arterial
pressure (mmHg), diastolic arterial pressure (mmHg), MAP (mmHg), $f_R$ , PE´CO <sub>2</sub> , peripheral
capillary oxygen hemoglobin saturation (%), peak airway pressure ( $P_{\text{peak}}$ , cm $H_2O$ ) and end-tidal
concentration of isoflurane (%).
The PP was created by insufflation of CO2 into the abdominal cavity via a Veress needle with a
common CO <sub>2</sub> insufflator (Endoflator, Karl-Storz, Germany) until the IAP reached 10 mmHg. At the
end of surgery (ovariectomy), PP was discontinued, the abdominal wall was sutured and dogs were
recovered from general anesthesia by standard care. Fluid therapy and heating support were
discontinued when dogs were fully awake and the rectal temperature was above 37.5 °C,

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130	respectively. The duration of surgery (from skin incision to last skin suture) and of the PP were
131	recorded in all cases.
132	
133	Study protocol
134	Ten minutes after induction of PP, dogs were randomly divided into two groups by using computer-
135	generated random numbers (Microsoft Excel). Ten animals (group RM) underwent a sustained
136	inflation ARM: lungs were inflated to an airway pressure of 40 cm H <sub>2</sub> O for 20 seconds. To perform
137	this recruitment maneuver, the ventilator was temporarily switched to a continuous positive airway
138	pressure mode that applied a pressure of 40 cm H <sub>2</sub> O for 20 seconds to the dog's respiratory system
139	(Staffieri et al. 2010). Thereafter, the BVS was resumed with the addition of 5 cmH <sub>2</sub> O PEEP and
140	maintained till the end of anesthesia. The remaining 10 dogs (group NO-RM) were ventilated with
141	the BVS throughout the entire procedure. Gas exchange, respiratory system mechanics and
142	hemodynamics were evaluated 5 minutes before the induction of PP (PPpre), 10 (PP10) and 30
143	(PP30) minutes after the beginning of PP, and 20 minutes after its discontinuation (PPpost20). At
144	PP10, measurements were performed immediately prior to the ARM in the RM group, with the
145	dogs still ventilated with the BVS.
146	
147	Gas exchange assessment
148	For gas exchange assessment, an arterial blood sample (1 mL) was collected from the arterial line
149	before measurement of respiratory mechanics to avoid any interference of the latter procedures with
150	the blood gases determination. Blood was immediately analyzed. Arterial blood pH (pH), $PaO_2$ and
151	PaCO <sub>2</sub> were measured and corrected for the body temperature of the dog at the time of sampling.
152	Arterial blood $O_2$ saturation (Sa $O_2$ , %) was calculated by the analyzer. The Pa $O_2$ :FI $O_2$ ratio was
153	calculated as an index of arterial blood oxygenation.
154	The estimated intrapulmonary blood shunt percentage (Fshunt) was calculated as follows (Araos et
155	al. 2012):

Fshunt =  $([Cc'O_2 - CaO_2]/[Cc'O_2 - CaO_2 + 3.5 \text{ mL dL}^{-1}]) \times 100$ 

where Cc'O<sub>2</sub> is the pulmonary end-capillary oxygen content, CaO<sub>2</sub> is the arterial oxygen content, and 3.5 mL dL<sup>-1</sup> is an approximate fixed value of the arterial-to-mixed venous oxygen content difference. Cc'O<sub>2</sub> and CaO<sub>2</sub> were calculated as follows:

160 
$$Cc'O_2 = Hb \times 1.31 \times Sc'O_2 + 0.0031 \times Pc'O_2$$

161 
$$CaO_2 = Hb \times 1.31 \times SaO_2 + 0.003 \times PaO_2$$

where Hb is the hemoglobin concentration (g dL<sup>-1</sup>), 1.31 is the oxygen-carrying capacity of hemoglobin (mL g<sup>-1</sup>), Sc'O<sub>2</sub> is the pulmonary end-capillary oxygen saturation, 0.003 is the solubility coefficient of oxygen in dog plasma (Haskins et al. 2005) and Pc'O<sub>2</sub> is the pulmonary end-capillary partial pressure of oxygen. The Pc'O<sub>2</sub> was assumed to be equal to PAO<sub>2</sub>. For PAO<sub>2</sub> > 100 mmHg (13.3 kPa), Pc'O<sub>2</sub> was assumed to be 100%; for PAO<sub>2</sub>  $\leq$  100 mmHg (13.3 kPa), Pc'O<sub>2</sub> was calculated from the actual PAO<sub>2</sub> via the same method. The difference between the arterial and the end-tidal partial pressure of CO<sub>2</sub> [P(a- E')CO<sub>2</sub>] was also calculated (Strang et al. 2009).

Evaluation of respiratory system mechanics

and expiration, respectively (Gottfried et al. 1985).

For each dog, gas flow was measured with a heated pneumotachograph connected to a differential pressure transducer placed between the Y-piece of the ventilator circuit and the endotracheal tube. The pneumotachogram was linear over the experimental range of gas flows. Tidal volume and minute ventilation ( $\dot{V}$ E, L minute<sup>-1</sup> kg<sup>-1</sup>) were obtained by numerical integration of the flow signal. Values of the airways pressures (cmH<sub>2</sub>O) were measured proximally to the endotracheal tube with a pressure transducer. To estimate the static mechanical properties of the respiratory system, we performed an end-inspiration and an end-expiration automatic airway occlusion (3- to 5-second duration each) at each study time via operation of the appropriate control of the ventilator that closes the inspiratory and the expiratory branches of the circuit system at the end of an inspiration

181 The level of PEEP applied to the respiratory system was measured as the airway plateau pressure during the end-expiratory occlusion. The static compliance of the respiratory system, Cst,RS 182 (adjusted for the dog's body weight), was calculated as follows: 183  $Cst_{RS}$  (mL cmH<sub>2</sub>O<sup>-1</sup> kg<sup>-1</sup>) = (V<sub>T</sub>/[ $P_{plat}$  – PEEP])  $\div$  body weight 184 where  $P_{\text{plat}}$  (cmH<sub>2</sub>O) is the value of the airway pressure during the 4-second end-inspiratory 185 occlusion. 186 For further data analysis, values of the aforementioned variables were displayed and collected on a 187 188 personal computer through a 12-bit analog-to-digital converter board at a sample rate of 200 Hz. The pneumotachograph and the transducers used to measure flow and pressures underwent two-189 190 point calibration before the beginning of each experiment. 191 Hemodynamic evaluation 192 193 The arterial catheter (20–22 gauge) was connected to a transducer with a dedicated saline-filled line and zeroed at the right atrial level. A square wave test was performed at each time point before data 194 collection. Cardiac output (CO, L minute<sup>-1</sup>), SVR (dynes × seconds cm<sup>-5</sup>), MAP and HR were 195 196 evaluated with a pressure recording analytical method (PRAM, Most Care; Vytech, Italy) at each 197 study time. The PRAM is a pulse contour method, which estimates stroke volume and other 198 hemodynamic parameters from the analysis of the arterial pulse waveform (Romagnoli et al. 2009) and it has been recently validated in dogs (Briganti et al. 2018). The CI in L minute<sup>-1</sup> m<sup>-2</sup> was 199 calculated by using the following formula: CI = CO/BSA, where BSA (body surface area) = weight 200 201  $\times$  0.6667/10 (Muir 2007). 202 203 Statistical analysis 204 Statistical comparisons (MedCalc version 9.2.1.0) of respiratory mechanics, gas exchange and 205 hemodynamic data were performed between and within each group for the four study times. Data

were tested for normal distribution by the Shapiro-Wilks test and are presented as mean  $\pm$  standard

deviation (SD). Data analysis was performed by using repeated-measures one-way analysis of variance; if significant, Tukey's test was applied for post hoc comparison between the different experimental conditions. A *p* value less than 0.05 was considered statistically significant.

210

211

- Results
- 212 The procedure was performed in all animals without any complications. The two groups were
- similar in terms of body weight (NO-RM =  $18.4 \pm 7.8$  kg; RM =  $21.3 \pm 7.2$  kg), age (NO-RM = 3.2
- $\pm 1.2 \text{ years}$ ; RM = 3.5  $\pm 1.2 \text{ years}$ ), duration of PP (NO-RM = 42.3  $\pm 5.8 \text{ minutes}$ ; RM = 46.8  $\pm 8.3 \text{ minutes}$
- 215 minutes) and surgery (NO-RM =  $52.3 \pm 9.2$  minutes; RM =  $55.4 \pm 9.2$  minutes).

- 217 Gas exchange parameters
- The mean ± SD of the gas exchange parameters at each study time are reported in Table 1. PaO<sub>2</sub>
- and PaO<sub>2</sub>:FIO<sub>2</sub> in the NO-RM were similar among study times. The same parameters in the RM
- group at PP30 (p < 0.001) and PPpost20 (p < 0.05) were higher than the PPpre value in the RM
- group and the corresponding values in the NO-RM group (p < 0.001) (Figure 1). The PaO<sub>2</sub> and
- PaO<sub>2</sub>:FIO<sub>2</sub> in the RM group were higher at PP30 than at PP10, with a mean percentage variation of
- +29.2  $\pm$  14.4%, which was larger than the variation in the NO-RM group (-3.7  $\pm$  5.5%). Fshunt in
- both groups was lower than at PPpre at PP10 and PP30 (p < 0.001), as well as at PPpost20 in the
- 225 RM group (p < 0.001). At PP30 and PPpost20, the Fshunt was smaller in the RM (p < 0.001) than
- in the NO-RM group at the same times (Figure 2). Fshunt in the RM group was smaller at PP30
- than at PP10, with a mean percentage variation of -68.1  $\pm$  25.4%, which was larger than the
- variation in the NO-RM group at  $+2.8 \pm 2.6\%$ . In both groups, PaCO<sub>2</sub> and PE´CO<sub>2</sub> were higher at
- PP10 and PP30 than at PPpre (p < 0.001). The arterial to end-tidal CO<sub>2</sub> gradient increased
- significantly (p < 0.001) at PP10 in both groups, whereas it was lower at PP30 in the RM group (-
- 231 50.3  $\pm$  14.3%; p < 0.001) than at PP10, and its value remained the same in the NO-RM group (p <
- 232 0.001) (Figure 3).

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234	Respiratory system mechanics
235	The mean $\pm$ SD of the respiratory system mechanics parameters at each time of the study are
236	reported in Table 2. The $P_{\rm peak}$ and $P_{\rm plat}$ at PP10 and P20 were higher ( $p < 0.05$ ) than PPpre in both
237	groups, as well as at PPpost20 ( $p < 0.05$ ) in the RM group. At PP30 and PPpost20, $P_{\rm peak}$ was higher
238	in the RM group than in the NO-RM group ( $p < 0.05$ ). Static compliance of the respiratory system
239	was lower than PPpre at PP10 and PP30 in the NO-RM group ( $p < 0.01$ ). In the RM group, Cst, <sub>RS</sub> ,
240	compared with PPpre, was lower at PP10 ( $p < 0.01$ ) and higher at PP30 and PPpost20 ( $p < 0.05$ ). At
241	PP30 and PPpost20, Cst,RS was higher in the RM group than in the NO-RM group (Figure 4). The
242	mean percentage variation of Cst, $_{RS}$ between PP30 and PP10 was greater ( $p < 0.001$ ) in the RM
243	group (+145.2 $\pm$ 38.1%) than in the NO-RM group (+21.4 $\pm$ 14.6%). The $f_R$ and $\dot{V}_E$ were higher ( $p$
244	< 0.01) at PP10 and PP30 than at PPpre in both groups.
245	
246	Hemodynamic parameters
247	The mean $\pm$ SD of the hemodynamic parameters at each study time are reported in Table 3. Heart
248	rate, MAP, CI and SVR did not show significant differences between the two groups at any study
249	time (Table 1). Heart rate increased significantly at PP10 compared with PPpre in the NO-RM
250	group. Cardiac index was lower at PP10 compared with PPpre in both groups.
251	
252	Discussion
253	The main findings of this study are that the derangement of respiratory function induced by PP in
254	healthy dogs under general anesthesia can be reversed with a vital capacity ARM followed by the
255	application of 5 cmH <sub>2</sub> O PEEP. The lung recruitment strategy tested in this study improved
256	oxygenation and respiratory system mechanics compared with those of the control group. Our
257	results confirm that PP in dogs significantly impairs respiratory function, with an average 34%

reduction of Cst, RS and increased airway pressures ( $P_{peak} + 32\%$ ;  $P_{plat} + 41\%$ ),  $PaCO_2$  (+29%) and

259	$P(a-E')CO_2$ (+29%) 10 minutes after the induction of PP in the entire study population, similar to
260	what has been found in human patients (Duke et al. 1996; Cinnella et al. 2013). Oxygenation
261	seemed to be affected in the opposite direction by PP, as indicated by the slight improvement in
262	PaO <sub>2</sub> :FIO <sub>2</sub> (+7%) and significant reduction in FShunt (-34%) at PP10 compared with those at
263	PPpre. This seeming paradox has already been documented and investigated in the literature on
264	animal models and human patients: despite an increase in the formation of atelectasis during PP
265	(Andersson et al. 2005), venous admixture may not increase and oxygenation may not decrease
266	(Odeberg & Sollevi 1995; Andersson et al. 2002). In attempting to understand this mechanism,
267	Strang et al. (Strang et al. 2010) demonstrated that improved gas exchange and oxygenation is
268	caused by the redistribution of blood flow away from collapsed lung tissue during PP, resulting in a
269	better ventilation/perfusion match. A likely, but not yet proven, explanation is enhanced hypoxic
270	pulmonary vasoconstriction, possibly mediated via increased PaCO <sub>2</sub> (Loeppky et al. 1992; Ho et al.
271	1995). During CO <sub>2</sub> -PP, PaO <sub>2</sub> :FIO <sub>2</sub> and shunt do not correlate with the amount of lung collapse,
272	precluding arterial oxygenation as a predictor of the amount of atelectasis. On the other hand, P(a-
273	E')CO <sub>2</sub> has been shown to have a strong correlation ( $r^2 = 0.92$ ) with lung collapse, making this
274	parameter an alternative for the estimation of atelectasis during PP (Strang et al. 2009). In our dogs,
275	P(a- E´)CO <sub>2</sub> increased similarly in both groups at PP10, indicating an increase in lung collapse
276	(Figure 3). At PP30, the same parameter diverged between the two groups, with a substantial
277	reduction to levels similar to PPpre in RM, most likely as an effect of the recruiting strategy applied
278	in these animals. The increase in PaO <sub>2</sub> :FIO <sub>2</sub> , PaO <sub>2</sub> and Cst, <sub>RS</sub> and the reduction of FShunt at PP30
279	compared with that at PP10 also confirmed the effectiveness of the ARM strategy in promoting
280	alveolar recruitment and thus improving oxygenation. The fact that the same parameters in NO-RM
281	at PP30 stayed at the same levels as PP10 confirms that the improvement in lung function observed
282	in the RM group was an effect of the ARM strategy, excluding any time influence. To our
283	knowledge, this is the first study that used $P(a-E')CO_2$ in a clinical setting and indirectly proved the
284	usefulness of this parameter in monitoring lung collapse and recruitment during CO <sub>2</sub> -PP.

Several recruitment strategies have been evaluated in human patients during laparoscopy with
variable results. The application of a fixed PEEP value (5-10 cmH <sub>2</sub> O) has been proven to have
limited or null effects on respiratory system mechanics and oxygenation (Meininger et al. 2005;
Almarakbi et al. 2009). A single ARM produced a transient improvement (10-20 minutes) in
oxygenation and respiratory system mechanics. These effects, similar to those of our study, lasted
longer when low levels of PEEP were applied after the ARM (Almarakbi et al. 2009; Cinnella et al.
2013). On the basis of the open lung concept, a recruiting strategy should provide a temporary
elevated $P_L$ to open the collapsed alveoli, which thereafter can be kept open by lower pressure
levels from the application of PEEP (Tusman & Bohm 2010). The recruitment strategy used in our
dogs was demonstrated to be effective in promoting lung recruitment and in improving oxygenation
and respiratory system mechanics in dogs undergoing laparoscopy. Cinnella et al. (Cinnella et al.
2013) demonstrated, in human patients, that the strategy applied in this study recruits the collapsed
lung units and keeps them open by increasing PL. Moreover, they were able to prove that such a
recruitment strategy significantly improved chest wall mechanics. Our study was limited to the
monitoring of the cardiovascular and respiratory parameters up to 30 minutes after the recruitment
maneuver during PP; thus, we cannot guarantee that, for longer laparoscopic procedures, the
beneficial effects of the recruitment strategy would persist. Almarakbi et al. (Almarakbi et al. 2009)
showed that a ventilatory strategy comprising an ARM repeated every 10 minutes was associated
with better intraoperative oxygenation and compliance.
The data collected in this study also confirmed that in dogs, the hemodynamic changes associated
with CO <sub>2</sub> -PP appear to be phasic, the adaptation mechanisms that occur in the first 10–20 minutes
tending to compensate for the elevated IAP in healthy cardiovascular patients (O'Malley &
Cunningham 2001; Zuckerman & Heneghan 2002). The development of elevated intrathoracic
pressures and their impact on the hemodynamics of patients is one of the major limiting factors for
the application of recruitment strategies (Tusman & Bohm 2010). Our results show that in healthy
dogs, this may not be the case; nonetheless, we suggest always monitoring the major cardiovascular

311	parameters of patients during a recruiting maneuver and being ready to support cardiovascular
312	function with fluids and/or drugs if major side effects occur (marked hypotension and/or
313	bradycardia). The human literature suggests that adequate cardiovascular stabilization is usually
314	sufficient to counterbalance the hemodynamic effects of a recruitment strategy (Tusman & Bohm
315	2010).
316	Interestingly, our results demonstrated that the effects of intraoperative recruitment persisted after
317	the resolution of PP (PPpost20), a time in which the RM group had better oxygenation and
318	respiratory system compliance than occurred in the NO-RM group. Laparoscopy promotes further
319	formation of atelectasis, a condition that has been proven to persist in the postoperative period and
320	affect oxygenation and the incidence of pulmonary complications in human patients (Lindberg et al.
321	1992)). Similarly, Karsten et al. (Karsten et al. 2014) were able to demonstrate with electrical
322	impedance tomography that an intraoperative recruitment strategy improves pulmonary ventilation
323	distribution during the postoperative period in patients undergoing laparoscopic surgery. Thus, we
324	may speculate that, even if was not specifically investigated in our study, an intraoperative
325	recruitment strategy during PP reduces postoperative pulmonary atelectasis and thus should reduce
326	the incidence of postoperative hypoxemia and other pulmonary complications in dogs. Further
327	studies are required to confirm this hypothesis.
328	The limited number of animals included in this study can explain the greater variability observed
329	for some parameters [Fshunt, Cst,RS, P(a-E')CO <sub>2</sub> ] in the RM group. Accordingly, our results need to
330	be confirmed on a larger scale of clinical cases.
331	In conclusion, this study demonstrated that a vital capacity alveolar recruiting maneuver followed
332	by 5 cmH2O PEEP improves lung function during and after CO <sub>2</sub> -PP in healthy dogs undergoing
333	laparoscopy. Moreover, the results of the study confirmed that P(a- E´)CO <sub>2</sub> can be a useful
334	parameter for monitoring alveolar collapse and recruitment during laparoscopy.

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Table 1 The mean  $\pm$  standard deviation of the gas exchange parameters in 20 dogs undergoing laparoscopic surgery mechanically ventilated with (RM group, n = 10) or without (NO-RM group, n = 10) an alveolar recruiting maneuver (ARM) followed by the application of 5 cmH<sub>2</sub>O PEEP. Data were collected before the creation of the pneumoperitoneum (PP, PPpre), 10 (PP10) and 30 (PP30) minutes after the beginning of the PP, and 20 minutes after its discontinuation (PPpost20). The ARM was performed immediately after the collection of the data at PP10. \*p < 0.05 between the two groups at the same study time; #p < 0.05 compared with PPpre in the same group; °p < 0.05 compared with PP10 in the same group.

Parameter	Group	PPpre	PP10	PP30	PPpost20
	NO DM	368.8 ± 84.9	392.3 ± 60.5	376.8 ± 56.2	341.6 ± 83.4
PaO <sub>2</sub>	NO-RM	(49.1 ± 11.3)	$(52.3 \pm 8.1)$	$(50.2 \pm 7.4)$	(45.5 ± 11.1)
mmHg (kPa)	RM	359.8 ± 67.2	394.3 ± 37.7	505.4±31.7#°*	450.9 ± 70.6#*
	KIVI	$(47.9 \pm 8.9)$	(52.5 ± 5.1)	(67.3±4.2)	$(60.1 \pm 9.4)$
	NO-RM	411.2 ± 34.3	490.5 ± 75.6	471.3 ± 70.3#	361.7 ± 37.5#
PaO <sub>2</sub> :FIO <sub>2</sub>	NO-KM	$(54.8 \pm 4.5)$	(65.3 ± 10.1)	$(62.8 \pm 9.3)$	$(48.2 \pm 4.9)$
mmHg (kPa)	RM	449.7 ± 84.1	492.8 ± 47.2	631.7±39.6#°*	563.6 ± 88.3#*
	N.VI	(59.9 ± 11.2)	$(65.7 \pm 6.2)$	$(84.2 \pm 5.2)$	(75.1 ± 11.7)
Fshunt	NO-RM	10.01 ± 4.3	5.1 ± 1.6#	5.2 ± 2.1#	11.1 ± 5.2
(%)	RM	10.9 ± 4.9	7.6 ± 2.8#	2.3 ± 2.2#°*	4.7 ± 3.7#*
SpO <sub>2</sub>	NO-RM	98.5 ± 0.46	97.6 ± 1.3	97.5 ± 1.6	96.9 ± 1.2
(%)	RM	97.6 ± 1.4	96.3 ± 2.2	99.3 ± 0.2	99.1 ± 0.4
PaCO <sub>2</sub>	NO-RM	44.2 ± 7.5	59.8 ± 11.2#	61.3 ± 9.3#	49.3 ± 7.4
mmHg (kPa)		$(5.8 \pm 0.9)$	$(7.9 \pm 1.4)$	(8.1 ± 1.2)	$(6.5 \pm 0.9)$
mmig (Kra)	RM	49.8 ± 5.4	64.4 ± 7.3#	55.6 ± 5.8#	53.2 ± 9.6
		$(6.6 \pm 0.7)$	$(8.5 \pm 0.9)$	$(7.4 \pm 0.7)$	$(7.0 \pm 1.2)$

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PE'CO <sub>2</sub> mmHg	NO-RM	38.2 ± 4.9	46.1 ± 9.3#	46.4 ± 6.9#	43.8 ± 6.5
(kPa)		$(5.1 \pm 0.6)$	$(6.1 \pm 1.2)$	$(6.1 \pm 0.9)$	$(5.8 \pm 0.8)$
	RM	45.1 ± 4.2	50.2 ± 8.8#	50.1 ± 5.4#	47.1 ± 8.9
		$(6.1 \pm 0.5)$	$(6.7 \pm 1.1)$	$(6.7 \pm 0.7)$	$(6.2 \pm 1.1)$
	NO-RM	6.3 ± 1.2	12.5 ± 2.2#	16.6 ± 6.5#	7.5 ± 3.4
P(a- E')CO <sub>2</sub>	NO-KM	$(0.8 \pm 0.1)$	$(1.6 \pm 0.3)$	$(2.2 \pm 0.8)$	$(0.9 \pm 0.4)$
mmHg (kPa) RM	рм	$4.8 \pm 6.2$	14.4 ± 7.7#	5.6 ± 3.9°*	6.1 ± 2.2
	KW	$(0.6 \pm 0.8)$	(1.9 ± 1.1)	$(0.7 \pm 0.5)$	$(0.8 \pm 0.3)$
рН	NO-RM	$7.25 \pm 0.02$	$7.19 \pm 0.06$	$7.18 \pm 0.05$	$7.25 \pm 0.07$
	RM	$7.25 \pm 0.05$	$7.15 \pm 0.09$	7.15 ± 0.07	$7.28 \pm 0.11$

PaO<sub>2</sub>, arterial partial pressure of oxygen; PaO<sub>2</sub>:FIO<sub>2</sub>, ratio of arterial oxygen partial pressure to

inspired oxygen fraction; Fshunt, estimated intrapulmonary shunt; SpO<sub>2</sub>, peripheral capillary

oxygen hemoglobin saturation; PaCO<sub>2</sub>, arterial partial pressure of carbon dioxide; PE´CO<sub>2</sub>, end-

tidal carbon dioxide partial pressure; P(a-E´)CO<sub>2</sub>, arterial to end-tidal CO<sub>2</sub> gradient.

Table 2 The mean  $\pm$  standard deviation of the respiratory system mechanics parameters in 20 dogs undergoing laparoscopic surgery mechanically ventilated with (RM group, n=10) or without (NO-RM group, n=10) an alveolar recruiting maneuver (ARM) followed by the application of 5 cmH<sub>2</sub>O PEEP. Data were collected before the creation of the pneumoperitoneum (PP, PPpre), 10 (PP10) and 30 (PP30) minutes after the beginning of the PP, and 20 minutes after its discontinuation (PPpost20). The ARM was performed immediately after the collection of the data at PP10. \*p < 0.05 between the two groups at the same study time; #p < 0.05 compared with PPpre in the same group; °p < 0.05 compared with PP10 in the same group.

Parameter	Group	PPpre	PP10	PP30	PPpost20
Ppeak	NO-RM	$10.2 \pm 2.2$	13.6 ± 2.9#	13.8 ± 3.2#	$10.4 \pm 2.1$
(cmH <sub>2</sub> O)	RM	$9.2 \pm 1.8$	$11.4 \pm 2.8 \#$	16.9 ± 3.5#*	12.1 ± 1.6#*
Pplat	NO-RM	$9.4 \pm 2.5$	$13.1 \pm 3.1 \#$	$13.6 \pm 3.2 \#$	$9.6 \pm 2.5$
(cmH <sub>2</sub> O)	RM	$8.1 \pm 1.1$	10.1 ± 1.6#	$14.5 \pm 3.4 \#$	11.2 ± 1.2#
Cst, <sub>RS</sub>	NO-RM	$1.4 \pm 0.7$	$0.8 \pm 0.1 \#$	$0.9\pm0.4\#$	$1.2\pm0.2$
(mL cmH <sub>2</sub> O <sup>-1</sup> kg <sup>-1</sup> )	RM	$1.7 \pm 0.6$	$1.1 \pm 0.2 \#$	$2.4 \pm 0.2 \#^{\circ} *$	2.1 ± 0.4#*
$f_{ m R}$ (breaths	NO-RM	$12.3 \pm 1.5$	$22.5 \pm 2.1 \#$	$23.2 \pm 2.1 \#$	$13.2 \pm 2.5$
minute <sup>-1</sup> )	RM	$14.2 \pm 3.5$	$21.3 \pm 3.1 \#$	24.2 ± 1.4#	$13.2 \pm 2.2$
$\mathbf{\dot{V}_{E}}$	NO-RM	$0.17 \pm 0.02$	$0.21 \pm 0.05 \#$	$0.22 \pm 0.04 \#$	$0.23 \pm 0.06 \#$
(L minute <sup>-1</sup> kg <sup>-1</sup> )	RM	$0.16 \pm 0.04$	$0.17 \pm 0.03$	$0.24 \pm 0.09 \#$	$0.25 \pm 0.05 \#$

Ppeak, peak airway pressure; Pplat, plateau airway pressure; Cst,<sub>RS</sub>, static compliance of the respiratory system;  $f_R$ , respiratory rate;  $\dot{V}_E$ , minute volume.

Table 3 The mean  $\pm$  standard deviation of the hemodynamic parameters in 20 dogs undergoing laparoscopic surgery mechanically ventilated with (RM group, n = 10) or without (NO-RM group, n = 10) an alveolar recruiting maneuver (ARM) followed by the application of 5 cmH<sub>2</sub>O PEEP. Data were collected before the creation of the pneumoperitoneum (PP, PPpre), 10 (PP10) and 30 (PP30) minutes after the beginning of the PP, and 20 minutes after its discontinuation (PPpost20). The ARM was performed immediately after the collection of the data at PP10. \*p < 0.05 between the two groups at the same study time; #p < 0.05 compared with PPpre in the same group.

Parameter	Groups	PPpre	PP10	PP30	PPpost20
HR	NO-RM	$88.6 \pm 25.3$	103.1 ± 22.2#	$100.9 \pm 20.8$	$103 \pm 24.3$
(beats minute <sup>-1</sup> )	RM	$82.2 \pm 18.5$	$92.7 \pm 11.2$	$93.4 \pm 13.3$	$92.3 \pm 8.2$
MAP	NO-RM	$77.5 \pm 12.2$	$92.1 \pm 25.2$	$88.7 \pm 25.5$	$84.5 \pm 21.1$
(mmHg)	RM	$76.2 \pm 6.1$	$91.5 \pm 9.6$	$82.7 \pm 17.5$	$70.6 \pm 2.8$
CI	NO-RM	$3.3 \pm 1.2$	2.4 ± 0.8#	$3.1 \pm 1.1$	$3.2 \pm 1.3$
(L minute <sup>-1</sup> m <sup>-2</sup> )	RM	$3.1 \pm 1.2$	$2.4 \pm 1.8 \#$	$3.1 \pm 1.6$	$3.1 \pm 1.6$
SVR	NO-RM	2584.5±854.5	3105.6±1167.9	2833.3±1082.5	2719.0±890.5
$(\mathbf{dyn} \times \mathbf{second} \times$	RM	3233±1958.9	3817.4±844.4	2755.8±1189.5	2993±1357.3
cm <sup>-5</sup> )					

HR, heart rate; MAP, mean arterial pressure; CI, cardiac index; SVR, systemic vascular resistances

480	Figure 1 Graphical representation of the mean ± standard deviation values of the PaO <sub>2</sub> :FIO <sub>2</sub> in 20
481	dogs undergoing laparoscopic surgery mechanically ventilated with (RM group, $n = 10$ ) or without
482	(NO-RM group, $n = 10$ ) an alveolar recruiting maneuver (ARM) followed by the application of 5
483	cmH <sub>2</sub> O PEEP. Data were collected before the creation of the pneumoperitoneum (PP, PPpre), 10
484	(PP10) and 30 (PP30) minutes after the beginning of the PP, and 20 minutes after its
485	discontinuation (PPpost20). The ARM was performed immediately after the collection of the data at
486	PP10 (arrow). * $p < 0.05$ between the two groups at the same study time; # $p < 0.05$ compared with
487	PPpre in the same group.
488	
489	Figure 2 Graphical representation of the mean ± standard deviation values of the estimated
490	intrapulmonary shunt (Fshunt) in 20 dogs undergoing laparoscopic surgery mechanically ventilated
491	with (RM group, $n = 10$ ) or without (NO-RM group, $n = 10$ ) an alveolar recruiting maneuver
492	(ARM) followed by the application of 5 cmH <sub>2</sub> O PEEP. Data were collected before the creation of
493	the pneumoperitoneum (PP, PPpre), 10 (PP10) and 30 (PP30) minutes after the beginning of the PP,
494	and 20 minutes after its discontinuation (PPpost20). The ARM was performed immediately after the
495	collection of the data at PP10 (arrow). $*p < 0.05$ between the two groups at the same study time; $#p$
496	< 0.05 compared with PPpre in the same group.
497	
498	Figure 3 Graphical representation of the mean ± standard deviation values of the arterial to end-
499	tidal CO <sub>2</sub> gradient [P(a- E´)CO <sub>2</sub> ] in 20 dogs undergoing laparoscopic surgery mechanically
500	ventilated with (RM group, $n = 10$ ) or without (NO-RM group, $n = 10$ ) an alveolar recruiting
501	maneuver (ARM) followed by the application of 5 cmH <sub>2</sub> O PEEP. Data were collected before the
502	creation of the pneumoperitoneum (PP, PPpre), 10 (PP10) and 30 (PP30) minutes after the
503	beginning of the PP, and 20 minutes after its discontinuation (PPpost20). The ARM was performed
504	immediately after the collection of the data at PP10 (arrow). * $p < 0.05$ between the two groups at

the same study time; $\#p < 0.05$ compared with PPpre in the same group; $^{\circ}p < 0.05$ compared with
PP10 in the same group.
Figure 4 Graphical representation of the mean $\pm$ standard deviation values of the static compliance
of the respiratory system (Cst,RS) in 20 dogs undergoing laparoscopic surgery mechanically
ventilated with (RM group, $n = 10$ ) or without (NO-RM group, $n = 10$ ) an alveolar recruiting

beginning of the PP, and 20 minutes after its discontinuation (PPpost20). The ARM was performed

creation of the pneumoperitoneum (PP, PPpre), 10 (PP10) and 30 (PP30) minutes after the

immediately after the collection of the data at PP10 (arrow). \*p < 0.05 between the two groups at

maneuver (ARM) followed by the application of 5 cmH<sub>2</sub>O PEEP. Data were collected before the

the same study time; #p < 0.05 compared with PPpre in the same group









