

IDENTIFYING SEDIMENT SOURCE AREAS IN A MEDITERRANEAN WATERSHED USING THE SWAT MODEL

Abstract

This study aims to evaluate the suitability of the Soil and Water Assessment Tool (SWAT) model in simulating runoff and sediment loss in the Carapelle (SE Italy), a typical Mediterranean watershed, where continuous measurements of streamflow and sediment concentration were collected over a five-year period, on a half-hour timescale, processed on a daily timescale. After sensitivity analysis, the model was calibrated, and validated for runoff and sediment. Statistics show generally satisfactory efficiency. To further improve sediment simulation performance, we used a seasonal calibration scheme, in which data recorded in the dry and wet seasons were used to calibrate sediments separately, on a seasonal basis. We also tested the model's capability in identifying the major sediment source zones, and river segments where there is sediment deposition. On the basin scale, the average water yield (186mm) corresponds to 27% of the total rainfall (686mm) and average annual sediment load was estimated to be $6.8 \text{ t ha}^{-1} \text{ yr}^{-1}$. On the sub-basin scale, a gradient of sediment yield was found that is characterised by a large difference among the upper ($7 \text{ to } 13 \text{ t ha}^{-1} \text{ yr}^{-1}$), central, and lower parts ($<1 \text{ t ha}^{-1} \text{ yr}^{-1}$) of the study area. Conversely, deposition in channel flow has its highest values in the central part of the watershed, where there is an alluvial plain. Winter wheat and olive landuse are the major source areas, in terms of sediment. This study confirms that the Mediterranean watershed is a fragile ecosystem, and measures are needed to mitigate soil depletion.

Keywords: SWAT model; sediment calibration; sediment yield; sediment source areas; Mediterranean watershed

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1. Introduction

Land degradation, in its various forms, is a common problem in Europe (Panagos *et al.*, 2014) and in many other parts of the world (European Commission, 2006; Jones *et al.*, 2012; García-Ruiz *et al.*, 2016). Although soil has a fundamental role in ecosystems and economies (Pimentel, 2006; Tibebe & Bewket, 2011), it is perceived to be abundant and, as its degradation is generally a slow process, it passes unnoticed. In order to increase awareness regarding the soil erosion problem and its impact on water quality, ecosystem services, biodiversity, and food production, the European Commission (2006) listed erosion among the soil risks in their Soil Thematic Strategy (http://ec.europa.eu/environment/soil/index_en.htm), and identified measures that the member states needed to take to combat soil threats.

Watershed management can play an important role in protecting soil and water (Nikolaidis *et al.*, 2013; Abdelwahab *et al.*, 2014; Bisantino *et al.*, 2015); however, before identifying specific conservation and best management practices (BMPs) in order to mitigate soil depletion, there is a need to quantify erosion and identify the source zones of such sediment in the watershed (Asres & Awulachew, 2010; Abdelwahab *et al.*, 2016; Vigiak *et al.*, 2016).

Sheet and rill erosion are the most widespread types of accelerated water erosion in Europe (Panagos *et al.*, 2015), constituting the principal cause of land degradation (García-Ruiz *et al.*, 2016). Soil sediments detached from the agricultural watershed landscape due to water erosion carry nutrients, fertilisers and chemicals that reach water bodies and lead to water quality impairment (Rickson *et al.*, 2014; Gamvroudis *et al.*, 2015). Additionally, the sediment regime exerts a great influence on aquatic and riparian ecosystems (Wohl *et al.*, 2015).

On a basin scale, sediment yield is the result of several factors controlling runoff generation and erosion processes, and it is strongly related to factors controlling the sediment dynamics in a catchment, including sediment generation, transport and deposition (Parsons, 2012). Indeed, the shape of a given hillslope, and its natural or artificial geomorphological features, may exert a substantial influence on erosion and deposition (Fryirs *et al.*, 2007), as well as on connectivity (Fryirs, 2013) and pathway development (Marchamalo *et al.*, 2016). The term ‘connectivity’ is used to describe the extent to which sediment generated on hillslopes is connected to a channel, by overland and subsurface flow, as well as the linkage of streamflow and sediment within a channel network (Hooke, 2003; Lesschen *et al.*, 2009; Medeiros *et al.*, 2010; Di Stefano & Ferro, 2017). Erosion and connectivity are complex and non-linear processes that involve a large number of factors that cannot be monitored directly. Spatial and temporal variability of physical processes causing erosion and sediment delivery poses a severe limitation both on field measurements and for up-scaling results of field measurements, especially in semi-arid landscapes (Marchamalo *et al.*, 2016). For these reasons, soil loss assessment is generally performed by means of models (Collins & Walling, 2004).

In recent decades, a large number of erosion models have been developed, operating at different time and spatial scales with various levels of complexity (Ferro & Porto, 2009; Cerdà *et al.*, 2010; Karydas *et al.*, 2014). Among these models are the Water Erosion Prediction Project (WEPP: Flanagan *et al.*, 2012), Annualized Agricultural Non-Point Source (AnnAGNPS: Theurer & Cronshey, 1998; Bingner & Theurer, 2005; United States Department of Agriculture - Agricultural Research Service [USDA-ARS], 2011), Agricultural Policy Environmental eXtender (APEX: Gassman *et al.*, 2010), European Soil Erosion Model (EUROSEM: Morgan *et al.*, 1998), Kinematic Runoff and Erosion Model (KINEROS2: Smith *et al.*, 1995), Pan European Erosion Risk Assessment (PESERA: Kirkby *et al.*, 2003), Revised Universal Soil Loss Equation (RUSLE2015: Panagos *et al.*, 2015), and the Soil and Water Assessment Tool (SWAT: Arnold *et al.*, 1998).

Although they are efficient as decision support tools, one of the limiting factors of most hydrological models is that they require a large number of spatially and temporally variable input data (Abouabdillah *et al.*, 2014). Additionally, model results are affected by uncertainties with regards to the conceptual model, input and parameterisation, which can complicate performance (Pappenberg

1 & Beven, 2006, Abbaspour *et al.*, 2007; Refsgaard *et al.*, 2007; Gotzinger & Bardossy, 2008; Yang
2 *et al.*, 2008; Abbaspour *et al.*, 2015)

3 The SWAT model is one of the most widely used semi-distributed models for evaluating
4 erosion and sediment transport processes, allowing simulation of dominant sediment sources (Oeurng
5 *et al.*, 2011; Bonumá *et al.*, 2012; Furl *et al.*, 2015; Krysanova & White, 2015; Vigiak *et al.*, 2015);
6 however, recent studies (Table I) have identified difficulties in simulating hydrology and sediment
7 load in regions under Mediterranean climates, according to the Koppen (1931) classification of:
8 Mediterranean basin, coastal California, southern Australia, South Africa and central Chile. The
9 absence of streamflow, which is frequently recorded in the river networks of these regions, is a critical
10 point in model hydrological simulations (De Girolamo *et al.*, 2017). Moreover, a few studies reported
11 SWAT applications to simulate sediment load in Mediterranean basins with temporary river systems
12 (Gamvroudis *et al.*, 2015), with particular reference to sediment modeling on a daily timescale
13 (Licciardello *et al.*, 2011). Generally, sediment calibration and validation is performed on a monthly
14 basis (Table I), and the results, which are presented on a yearly to monthly basis, show an
15 underestimation of sediment load. Nevertheless, in medium or small watersheds, a large difference
16 can be found between daily and monthly values, in terms of sediment load, therefore a monthly
17 timescale is not exhaustive for analysing erosion and sediment delivery processes in these watersheds.
18 Mediterranean rivers exhibit a specific hydrological regime, characterised by extremely low flow
19 with flash flood events (Bisantino *et al.*, 2010; Skoulikidis *et al.*, 2017), which complicates both
20 monitoring and modeling activities (Oueslati *et al.*, 2015; De Girolamo *et al.*, 2017). Although
21 measurements of streamflow and sediment concentration on a daily timescale are fundamental for
22 river research and watershed management, monitoring surface waters remains a challenge in the
23 Mediterranean region.

24 In this context, the aims of the present study were to: (i) evaluate SWAT model suitability in
25 simulating runoff and sediment loss in the Carapelle (SE Italy), a typical Mediterranean watershed,
26 where continuous measurements of streamflow and sediment concentration were collected over a
27 five-year period, on a half-hour timescale, successively processed on a daily timescale; (ii) identify a
28 strategy for improving sediment load simulation in streams characterised by extremely low flow; and
29 (iii) assess model capabilities in the evaluation of sediment connectivity, by identifying source zones
30 and sediment deposition along the channel in the watershed, in order to address where a program of
31 measures can be implemented to mitigate soil erosion.

32 Dealing with a streamflow and sediment load simulation in a typical watershed under
33 Mediterranean climate, we tried to analyse and discuss what water resources managers can expect
34 from hydrological models, and the problems that modelers have to acknowledge and overcome. The
35 approach tested in this paper for sediment calibration, as part of the global model calibration, despite
36 being specific to the SWAT model, is applicable to different models, and to other basins characterised
37 by extreme low flow and high temporal variability in streamflow, such as the Carapelle.

38
39 **Table I. A selection of relevant studies performed on the Mediterranean climatic region (classification of**
40 **Koppen, 1931), concerning sediment load simulation with the SWAT model (this paper included).**

Related case studies	Study area	Calibration and Validation Period and time step	Key results
Potter & Hiatt, 2009	California	Few a year grab sample measurements Calibration 2005 - 2007	Average annual sediment load 3.66 t ha ⁻¹ yr ⁻¹ SWAT model generally tends to underestimate the measured sediment PBIAS for three gauge station: + 52.6; +26.5 and +73.9
Gamvroudis <i>et al.</i> , 2014	Greece	Monthly time step Calibration 2010 - 2011	Average annual sediment yield 0.85 t ha ⁻¹ yr ⁻¹ In the two main flood event SWAT, simulate suspended sediment appropriately with a slight underestimation. PBIAS for two gauging station: +33.4 and +13.4
Nerantzaki <i>et al.</i> , 2015	Greece	Monthly time step Calibration: 2011 - 2014	Average erosion rate from 0.97 t ha ⁻¹ yr ⁻¹ to 1.6 t ha ⁻¹ yr ⁻¹ Model overestimation due to the fact that the majority of the observations had low values of sediment concentration PBIAS -57%
Peraza-Castro <i>et al.</i> , 2015	Northern Spain	Daily time step Calibration 2009 - 2012 Validation 2001 - 2009	Average annual sediment load 0.33 t ha ⁻¹ yr ⁻¹ Underestimation and overestimation during some flood events. The underestimation occurs for four events that according to Montoya-Armenta (2013).
Briak <i>et al.</i> , 2016	Northern Morocco	Monthly time step Calibration 1976 - 1984 Validation 1985 - 1993	Average annual sediment yield 55 t ha ⁻¹ yr ⁻¹ Generally SWAT tends to underestimate peak of sediment concentration PBIAS +7.12 for calibration; PBIAS +15.51 for validation
Gyamfi <i>et al.</i> , 2016	Southern Africa	Monthly time steps Calibration 1994 - 1995 Validation 1996 - 1997	Mean sediment yield for the Land use change scenario varies from 1.33 t ha ⁻¹ yr ⁻¹ to 4.46 t ha ⁻¹ yr ⁻¹ . Simulated sediment match fairly with the observed with an underestimation PBIAS + 27.36 for calibration; PBIAS +39.73 for validation
Chen <i>et al.</i> , 2017	California	Monthly time step Calibration 2003 - 2008 Validation 2009 - 2014	Model significantly overestimate sediment load during peak events with default Bagnold equation, but produced better results when the physically based Bagnold equation is used. PBIAS - 32 for Calibration; PBIAS 0 for Validation
This Work	Southern Italy	Daily and Monthly time step Calibration 2007-2008 Validation 2009 - 2010	Average annual sediment load 6.8 t ha ⁻¹ yr ⁻¹ SWAT model showed generally an overestimation of the dry season and an underestimation of the wet season

3 2. Materials and methods

4 2.1 Study area

5 The Carapelle watershed (Figure 1) is located in northern Apulia (SE Italy). The drainage area is 506
6 km² and the main channel length is 52.16 km. The watershed is characterised by a mean elevation of
7 466 m above sea level (asl), varying between 120 and 1089 m asl. The mean watershed slope is 8.2%,
8 and the mean slope of the main channel is 1.8%.

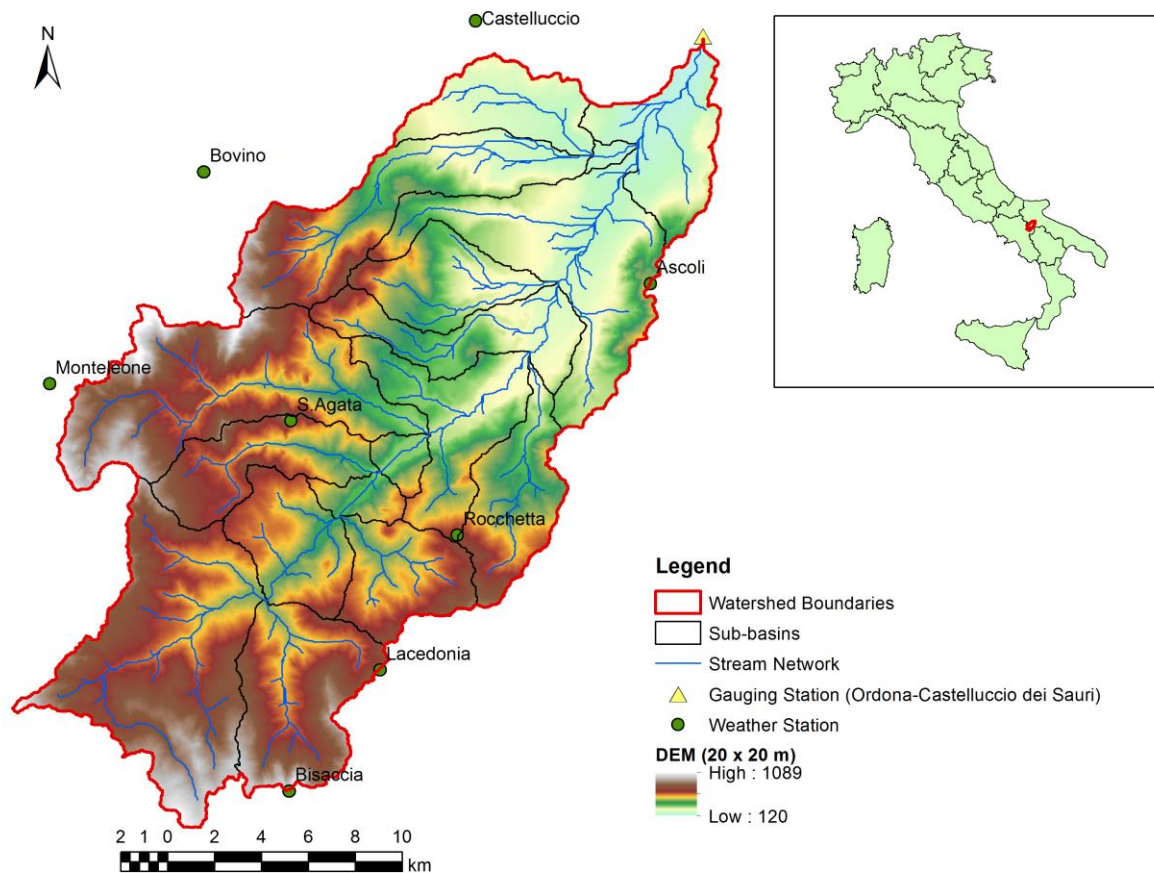
9 The river headwaters are in the neighboring Campanian Apennine region, and most of the upper
10 watercourse crosses the orographic system of the Daunia Hills (Abdelwahab *et al.*, 2013). The
11 channel is confined to the hilly part of the basin, and assumes a braided form in the alluvial plain,
12 where the coarser material is deposited. The hydrological regime is characterised by high variability
13 over a short time, with extremely low flow conditions during the summer months (June to September)
14 and high flow conditions recorded in winter and early spring.

15 Sheet wash and concentrated water erosion are the main active erosion processes in the area,
16 with no noticeable form of gully erosion. In addition, several landslides are present in the area, where
17 the geological units (clay-flysch: http://93.51.158.165/POR/map_default.phtml) are susceptible to
18 slope movement mainly related to rainfall events (Wasowski *et al.*, 2007). Bank erosion is also an
19 active process, especially in the upstream river reaches.

20 Mediterranean climatic conditions prevail in the watershed, with wet autumn/winter and dry
21 spring/summer seasons (Milella *et al.*, 2012). Precipitation ranges from 450 to 800 mm y⁻¹, and the
22 rainiest months are March and November, while August is the driest.

23 The monitoring station, which is located near the village of Ortona (41°17'50.347"N,
24 15°36'2.583"E), is equipped with two gauging systems. For measuring streamflow, the Puglia Region
25 Technical Service (National Hydrographic Service) provides an electromechanical and ultrasound
26 stage meter that registered data every half an hour. An infrared optical probe (Hach-Lange Solitax)
27 was used for measurements of suspended sediment concentration (SSC) at half-hour intervals. The
28 streamflow and SSC measurements were processed in order to obtain daily sediment loads over the
29 whole study period (2007-2011), with only a few weeks interruption for maintenance. A complete
30 description of the gauging station and equipment can be found in Gentile *et al.* (2010). The highest

1 SSC recorded in the study period is 47.83 g L^{-1} , corresponding to a peak flow of $19.82 \text{ m}^3 \text{ s}^{-1}$ (García-
2 Rama *et al.*, 2016).
3



4
5 **Figure 1. Study area: the Carapelle watershed (Apulia region, SE Italy).**
6
7

8 **2.2 Model configuration**

9 The SWAT2012 version with Arc-GIS interface (Winchell *et al.*, 2013) was run from 2007 to 2011,
10 using a daily interval, with two years of warm up (2005-2006). SWAT is a semi-distributed,
11 continuous hydrological model (Arnold *et al.*, 1993; Arnold *et al.*, 1998) that was developed for
12 assessing the long-term impacts of different conservation and management practices on water bodies
13 in ungauged catchments (Srinivasan *et al.*, 1998; Arnold *et al.*, 2012; Glavan *et al.*, 2013). The history
14 of the model can be found on <http://www.brc.tamus.edu/swat/> and a review of recent developments
15 and applications has been reported in Volk *et al.* (2016).

16 For simulation of the physical processes associated with water and sediment, the SWAT
17 model divides the watershed into sub-basins and, further, into hydrological response units (HRU) that
18 are areas with homogenous slope, land use, management and soil characteristics. In our study, the
19 watershed was discretised by setting the upstream drainage area, which is required to define the
20 beginning of a stream, to 2000 ha, resulting in 17 sub-basins. A percentage threshold of land use,
21 soil class, and slope were then set to 10%, 10%, and 20%, respectively, resulting in 87 HRUs. We
22 verified that, with these thresholds, only minor land uses and soils were eliminated, and that the
23 original proportion of land use and soil within each sub-basins was maintained. Extra caution was
24 used to ensure that areas with high potential erosion were not excluded from these thresholds.

25 Hydrological balance is considered to be the driving factor, as it affects all physical processes
26 in the watershed, including plant growth, chemicals and sediment routing (Arnold *et al.*, 2012). The
27 model simulates hydrology in two separate phases: the landscape phase, which controls the quantity

1 of water, sediment, nutrients and pesticides moving from each sub-basin towards the main stream;
2 and the in-stream phase, which controls the movement of water and sediments in the stream system
3 towards the watershed outlet. Surface runoff is estimated using the modified Soil Conservation
4 Service-Curve Number (SCS-CN) method (USDA-SCS, 1972), and Manning's equation is used to
5 predict stream velocity and discharge. Erosion in SWAT is computed using the Modified Universal
6 Soil Loss Equation (MUSLE: Williams, 1975), which determines sediment yield using the same
7 parameters as the original USLE, except that the rainfall erosion factor is replaced by a runoff factor.
8 The entire estimated amount of eroded sediment in the hillslope areas reaches the channel (Le Roux
9 *et al.*, 2013). The model considers most connectivity aspects in one simulation package, including
10 factors controlling upland sediment generation, channel transport, and sediment deposition (Collins
11 & Walling, 2014). For channel sediments, SWAT simulates the two dominant sediment transport
12 processes of degradation and deposition (Neitsch *et al.*, 2002), with a simplified version of the
13 Bagnold stream power relationship (Bagnold, 1977), where the maximum transport is based on the
14 peak channel velocity. The Hargreaves Method was chosen to evaluate potential evapotranspiration
15 (Hargreaves, 1975), since temperature and solar radiation values were available for the study area.

16 The SWAT model generated several output files and results aggregated at different levels:
17 basin, sub-basin, river segment (reach), and HRU. The SWAT model does not consider the processes
18 of deposition during transport from the HRU to the channel. Hence, an entire sub-basin is identified
19 as a source of sediment; however, by processing the in- and out-variables generated by the model, it
20 is possible to identify the reaches where there is sediment deposition, and the critical HRUs in terms
21 of soil loss.

22 23 **2.3 Input data**

24 A Digital Elevation Model (DEM), with a resolution of 20×20 m, was used to delineate the watershed.
25 Land use data are based on a merge between the Land Use Map of Apulia and the Land Agricultural
26 Use Map of Campania, both with a resolution of 100 m, obtained from the geoportals of both regions.
27 The land use is largely represented by winter wheat (76%), with a lower fraction of deciduous forest
28 (7%), coniferous forest (4%), olive orchard (3.3%), rangeland (7%), and other land uses. All classes
29 were reclassified, according to the Corine Land Cover classes (European Environment Agency,
30 2006), and a SWAT code was then assigned to each land use to create the land use database.

31 Currently, soil data with high resolution, covering the whole basin, are not available. Hence,
32 the soil data attributes were extracted from the topsoil physical properties for European maps
33 (Ballabio *et al.*, 2016) that were provided by the European Soil Data Centre (ESDAC), based on the
34 Land Use and Cover Area Frame Statistical survey (LUCAS) data, a project aimed at collecting
35 homogenous data about the state of land use/cover across the European Union (Tóth *et al.*, 2013).
36 Through a GIS-based process of overlaying different maps (texture, coarse fragment, bulk density,
37 organic carbon, and available water capacity), a layer that gathered all soil characteristics was
38 obtained, with a resolution of 500 m. Five soil textures were identified, with clay loam texture
39 representing almost 48% of the watershed area. Soil profiles were identified using the Soil Profile
40 Analytical Database for Europe (SPADE/2: Hiederer *et al.*, 2006), creating a link between the
41 database and a map of soil polygons from the Soil Geographic Database of Europe (SGDBE).

42 Climate data (e.g. daily maximum and minimum temperatures, daily precipitation), acquired
43 by eight weather stations located in the watershed and its surroundings, were used as the input climate
44 data for the simulations. The management operation data, regarding winter wheat and olive, were
45 collected from field surveys and farmer interviews. Planting, harvesting, and tillage applications were
46 simulated for each cropping system with specific dates. For winter wheat, a four-year crop rotation
47 was adopted, with plowing (25-40 cm) in August, harrowing in October, and three fertilisations in
48 December, February, and April. In order to take into account the actual agricultural practices (deep
49 and up and down plowing), the SWAT2012.mdb was modified with a new value for depth (400 mm).
50 The crop was planted in November and harvested in July. For olive plants, on the other hand, three
51 shallow tillages (plowing and harrowing) occurred every two months, starting in April, two organic

1 fertilisations were applied in December and January, and the plants were harvested in November
2 ([Abdelwahab et al., 2016](#)).

7 **2.4 Model calibration and validation**

8 The automated software SWAT-CUP ([Abbaspour et al., 2015](#)) was used to perform a global
9 sensitivity analysis, in order to remove insensitive parameters from the calibration process. A t-test
10 was used to identify a measure of sensitivity (i.e. larger absolute values imply higher sensitivity) of
11 each hydrological and sediment parameters ([Arnold et al., 2012](#)), and *p*-values were used to determine
12 the significance of the sensitivity, by testing the null hypothesis where the coefficient is equal to zero
13 or, in other words, where the parameter has no effect (e.g. a *p*-value < 0.05 means that there is only
14 5% probability that results would generate a random distribution) ([Swiss Federal Institute of Aquatic
15 Science and Technology \[EAWAG\], 2013](#)). At the end of the sensitivity analysis, the 10 most
16 sensitive parameters for runoff and four parameters for sediment were chosen to be analysed
17 thoroughly in a model calibration process.

18 The observed data for runoff and sediment load were collected from 2007 to 2011, on a daily
19 timescale. In order to perform a runoff calibration and validation, the observed streamflow data were
20 split into two periods ([Gan et al., 1997](#)). Hence, the calibration was performed for the years 2007-
21 2008, with validation for the period 2009-2011. The years selected for calibration and validation are
22 representative of annual and inter-annual variability, in terms of rainfall, streamflow, and sediment
23 load. A very dry spring and summer were recorded in 2007 and 2010 (e.g. precipitation in August
24 0.47 mm in 2007; 0.72 mm in 2010). A very rainy November and December were recorded in 2008,
25 2009, and 2010.

26 Automatic calibration and parameter uncertainty analysis was performed for streamflow by
27 applying Sequential Uncertainty Fitting v.2 (SUFI-2) on a daily timescale, using SWAT-CUP to
28 search for parameter values that optimised an objective function, such as the [Nash & Sutcliffe \(1970\)](#)
29 efficiency (NSE) value ([Gupta et al., 1999](#)). Before starting the automatic calibration process, the
30 type of change to be applied to the parameter was selected ([EAWAG, 2013](#)). In particular, to reflect
31 physical factors such as soil type, land use, elevation, and their spatial variability, for CN and
32 available water capacity (SOL_AWC), it was chosen to maintain the spatial variability, and the initial
33 fixed value for HRU was multiplied by a 1+a given value (letter R in Table II). For the other
34 parameters, the initial fixed value was replaced by a given value (letter V in Table II).

35 Subsequently, the model was calibrated for sediment load, as recommended by several studies
36 ([Santhi et al., 2001](#); [Engel et al., 2007](#); [Arnold et al., 2012](#)). A manual calibration at daily intervals
37 was preferred in this case, due to the reduced number of parameters (four) evidenced by the sensitivity
38 analysis (Table II). The calibration was carried out for a period of two years (2007-2008) maintaining
39 fixed runoff parameters. By changing sediment parameters one at a time, and for the whole basin, a
40 range of values was considered for each parameter, and the best simulation was fixed, based on the
41 maximum objective function (NSE).

42 To evaluate the model efficiency, we used the coefficient of determination (R^2), NSE, and the
43 percent bias (PBIAS). The obtained R^2 values show the degree of collinearity between simulated and
44 measured data. The NSE determines the relative magnitude of the residual variance, compared to the
45 variance of the measured data. The PBIAS measures the average tendency of the simulated data to be
46 larger or smaller than the measured data ([Gupta et al., 1999](#)). Acceptable values are considered as
47 $NSE > 0.5$, $R^2 > 0.5$, and $PBIAS \pm 25$ for runoff and ± 55 for sediment, as suggested by [Moriassi et al.
48 \(2007\)](#). Other authors (e.g. [Zema et al., 2016](#)) considered a value of $NSE > 0.35$ to be satisfactory in
49 Mediterranean areas.

50 Another step was the evaluation of the model performance, by splitting all the daily and
51 monthly values into two periods, one corresponding to the wet season (from October to April) and

the other the dry season (from May to September). After that, a new calibration for sediment was performed on a seasonal basis.

3. Results

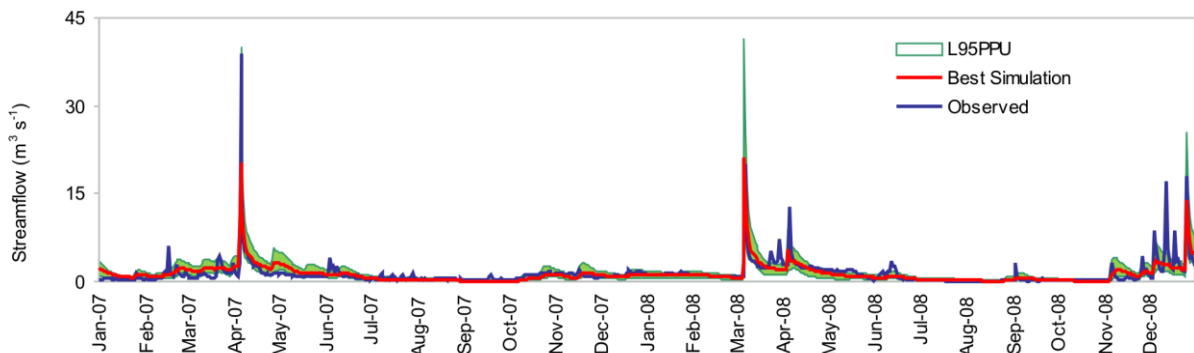
3.1 Modelling

The most sensitive parameters for runoff and sediment and the corresponding t-Stat and p-value used in the model calibration process are showed in Table II.

After 1000 iterations, SWAT-CUP identified a range of values for each parameter included in the sensitivity analysis, based on the maximum objective function, which in this case was the NSE. Using these ranges, SWAT-CUP simulated a 95% probability distribution (95PPU), which was calculated at 2.5% and 97.5% of the cumulative distribution of results (Abbaspour *et al.*, 2015), yielding the best fit value corresponding to the set of parameters that gives the best estimation curve (Table II). The results of the uncertainty analysis, using the SUFI-2, are shown in Figure 2, which illustrates the best simulation, the observed streamflow, and the 95PPU. As shown in Figure 2, the uncertainty interval is quite large under both high and low flow conditions. These results are consistent with the studies of Uhlenbrook *et al.* (1999) and De Girolamo *et al.* (2017).

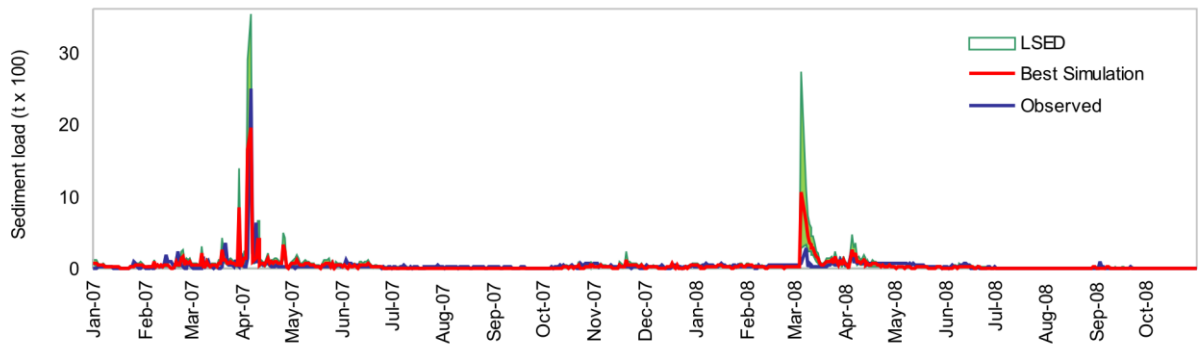
Table II. SWAT sensitivity analysis results, default range of parameters and best-fit calibration. Letter R is for relative change (initial parameter is multiplied by 1+ a given value in calibration). Letter V is for replacement (initial parameter is replaced by a given value).

Parameters	Description	t-Stat	p-Value	Range	Best fit
Runoff					
V_ESCO.hru	Soil Evaporation compensation factor	174.459	0.000	0.83-1.00	1.000
R_CN2.mgt	Curve Number	145.900	0.000	0.04-0.11	0.080
V_ALPHA_BF.gw	Baseflow alpha factor	88.771	0.000	0.38-0.75	0.460
V_GWQMN.gw	Threshold depth of water in shallow aquifer	1.399	0.162	0.19-0.40	0.300
V_GW_REVAP.gw	Groundwater "revap" coefficient	0.934	0.351	0.03-0.14	0.060
V_CH_N2.rte	Manning's "n" value for main channel	-4.374	0.000	0.01-0.03	0.010
V_CH_K2.rte	Effective hyd. Cond. In the main channel	-17.760	0.000	38.70-42.90	40.910
V_GW_DELAY.gw	Groundwater delay time	-33.269	0.000	31.11-70.37	35.600
V_OV_N.hru	Manning's "n" value for overland flow	-42.025	0.000	7.51-12.54	12.510
R_SOL_AWC.sol	Soil available water storage capacity	-61.333	0.000	0.39-0.57	0.560
Sediment					
ADJ_PKR.bsn	Peak rate adjustment factor for sediment routing in the sub-basin	0.087	0.931	-	1.400
LAT_SED.hru	Sediment concentration in groundwater flow	-0.643	0.520	-	250.000
SPCON.bsn	Maximum amount of sediment reentrained during channel sediment routing	-0.672	0.502	-	0.003
BIOMIX.mgt	Biological mixing efficiency	-0.785	0.432	-	0.500



1 **Figure 2. Observed daily streamflow, 95% model uncertainty, and best simulation at the outlet. Calibration**
2 **period: 2007-2008.**

3
4 The daily sediment calibration (2007-2008) results (i.e. best fit simulation and uncertainty
5 interval) are reported in Figure 3. Daily sediment validation was carried out for a three-year period
6 (2009-2011).
7



8 **Figure 3. Daily observed and simulated sediment, model uncertainty, and best simulation at the outlet. Calibration**
9 **period: 2007-2008. Daily sediment calibration for period 2007-2008.**

10
11 The goodness of fit between the modeled and observed data for the calibration period was
12 evaluated using the NSE, R^2 and PBIAS indices. Statistical index values are shown in Table III, both
13 for the calibration and validation periods. The values obtained for daily streamflow and sediment
14 calibration showed satisfactory model efficiency, according to [Moriassi et al. \(2007\)](#), while those
15 [obtained for the validation period should be considered not satisfactory according to the same Authors](#)
16 [\(Table III\)](#). On a monthly timescale the values can be considered satisfactory according to [Moriassi et](#)
17 [al. \(2007\)](#) and [Zema et al. \(2016\)](#) both for calibration and validation.
18

19 To better investigate results obtained from the calibration and validation, all daily values were
20 split into two periods, one corresponding to the wet season (October to April) and the other to the dry
21 season (May to September). All were then statistically reconsidered. The results (Figure 4) revealed
22 that the model tends to perform better in the wet season, compared to the dry season, both for runoff
23 and sediment simulations. In particular, upon evaluating the model performance on a monthly scale,
24 runoff showed good performance (NSE = 0.7, $R^2 = 0.6$, PBIAS = 3.6) in the wet season, while it was
25 unsatisfactory in the dry season (NSE = -0.3, $R^2 = 0.7$, PBIAS = -43.3), for which an overestimation
26 was revealed. After an accurate analysis of the dry period, which included rainfall, measured and
27 simulated streamflow, it was evident that the low performance was mainly due to few flood events
28 occurred in 2010 due to convective rainfalls. In these cases, to enhance SWAT simulation results,
29 [Moon et al. \(2004\)](#), [Kalin & Hantush \(2006\)](#) suggest to use Next-Generation Weather Radar
30 (NEXRAD) precipitation. Unfortunately, in Carapelle watershed these kind of data were not
31 available. However, a check was done to evaluate the performance of the model without these three
32 events. The results in terms of statistics were satisfactory, hence based on this evidence a calibration
33 based on a seasonal scheme was not carried out.

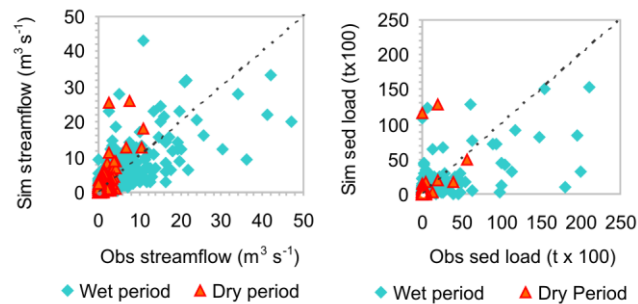
34 Monthly sediment simulations showed similar good results in the wet season (NSE = 0.6, R^2
35 = 0.7, PBIAS = -28.2). In the dry period, the model performance was unsatisfactory (NSE = 0.1, R^2
36 = 0.2, PBIAS = +69.0); however, in this case, an underestimation of the data was indicated.

37 Based on these results, a new calibration of sediment load was performed, differentiating
38 between the dry and wet seasons. In particular, as the streamflow varied from extremely low (0.010
39 $m^3 s^{-1}$) to high ($60 m^3 s^{-1}$), a combination of two different values of the linear parameter for calculating
40 the maximum amount of sediment that can be re-entrained during channel sediment routing (SPCON)
41 (Table II) for the dry and wet periods was found to perform better channel sediment routing in the
42 Carapelle river system. The initial range of this parameter (0.0001-0.01) was restricted to a smaller
43 interval of variability (0.0008-0.005). In particular, while the best value for the whole hydrological

1 year, in terms of statistical performance, was 0.003, two different optimal values were identified for
 2 the dry (0.0008) and wet (0.005) seasons. With this strategy, the results improved, in terms of
 3 statistical indices, as listed in Table III, while it was found that, by using the values 0.0008 and 0.005,
 4 for the whole period, PBIAS was +77.78 and -53.65, respectively.

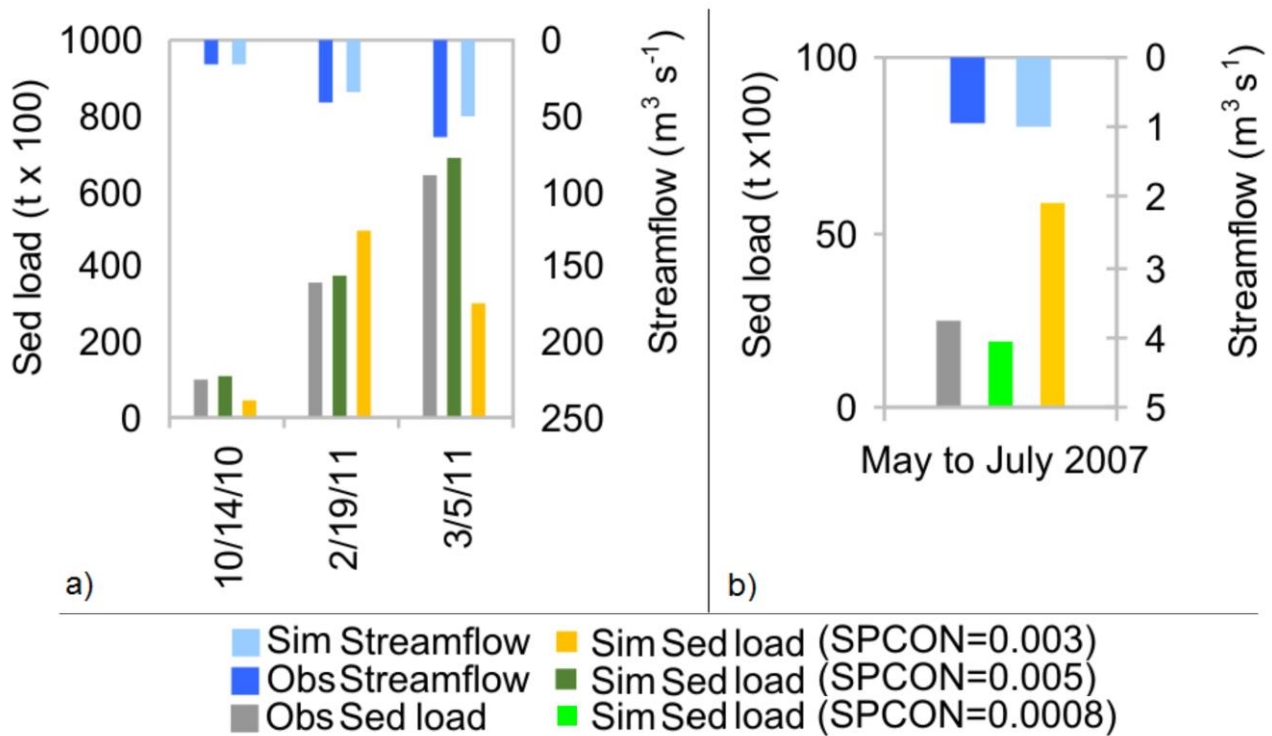
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 6 **Table III. Model performance statistics for annual calibration/validation and for seasonal calibration (wet/dry
 7 periods).**

Daily	0.6	0.6	-1.0	0.4	0.4	2.0
Monthly	0.9	0.8	12.5	0.6	0.6	-14.3
Sediment						
Daily	0.6	0.6	-1.5	0.2	0.2	29.5
Monthly	0.7	0.5	-0.6	0.7	0.7	-5.3
Wet			Dry			
Runoff						
Daily	0.5	0.5	1.6	0.4	-1.4	-39.8
Monthly	0.6	0.7	-3.6	0.7	-0.3	-43.3
Sediment						
Daily	0.5	0.5	17.3	0.2	-3.7	-140.1
Monthly	0.7	0.6	-28.2	0.2	0.1	69.0
Sediment with new calibration						
Wet (SPCON 0.005)			Dry (SPCON 0.0008)			
Daily	0.5	0.6	-38.4	0.5	0.5	33.9



15 **Figure 4. Simulated daily streamflow versus observed daily streamflow for the wet (NSE = 0.45, R² = 0.5, PBIAS = +1.58) and dry (NSE = -1.42, R² = 0.35, PBIAS = -39.83) seasons (a); simulated daily sediment load versus observed daily sediment load for the wet (NSE = 0.5, R² = 0.5, PBIAS = +17.26) and dry (NSE = -3.73, R² = 0.2, PBIAS = -140.06) (b) seasons.**

16 In order to evaluate the efficiency of the new calibration, all the flood events were analysed
 17 in terms of peak discharge and sediment load. In Figure 5a three events with different intensities (14th
 18 October, 2010; 19th February, 2011; 5th March, 2011) are represented. By using SPCON = 0.003 (best
 19 value for whole period); the observed sediment load is generally underestimated. As the Figure 5a
 20 shows, observed sediment load was 10341 t, 35914 t, and 64281 t in October, February, and March,
 21 respectively, while simulated load was 4245 t, 49294 t, and 30508 t, respectively. In the same way,
 22 by using a SPCON = 0.005, sediment load for the three events became 11306 t, 37226 t, and 68875
 23 t, respectively. Analysing the dry period (Figure 5b) (from May to July, 2007), it is evident that
 24 observed sediment load is overestimated, by using the best value for the whole period. An
 25 improvement of fit was obtained by using the value calibrated specifically for the dry period (SPCON
 26 = 0.0008).



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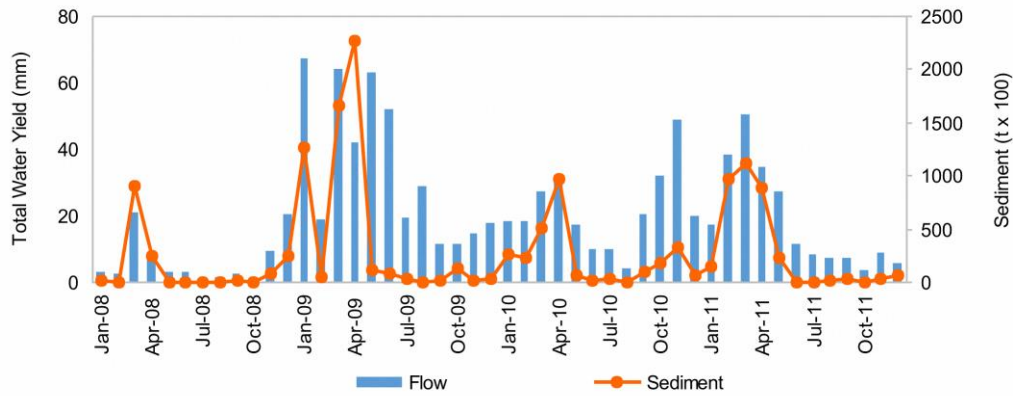
Figure 5. a) Observed and simulated streamflow for three events; observed and simulated sediment load from the whole hydrological year value (SPCON = 0.003) and seasonal calibration value for channel routing (SPCON = 0.005). b) Observed and simulated streamflow for three months, observed and simulated sediment load from the whole hydrological year value (SPCON = 0.003) and seasonal calibration value for channel routing (SPCON = 0.0008).

3.2 Streamflow and sediment load

Analysis of the model results for the five-year study period on a basin scale show that only 17% of rainfall (119 mm of the average yearly value of 686 mm) reaches the river network through surface runoff, and 85% (500 mm) is lost via evapotranspiration, which is a value similar to those obtained from other studies in the same region (Romanazzi *et al.*, 2015). A total water yield, considered as the sum of surface runoff, lateral flow, and groundwater contribution net of transmission losses, of 186 mm was simulated, corresponding to 27% of the total rainfall, while the average annual sediment loading was $6.8 \text{ t ha}^{-1} \text{ yr}^{-1}$.

The pattern of sediment load at the outlet of the watershed follows the pattern of streamflow (Figure 6). Sediment dynamics in the Carapelle watershed show a winter (December to April) dominant erosion pattern, caused by rainfall events. At the outlet, about 60% of the average annual discharge, and nearly 90% of the annual sediment load, are transported in the wet season (October to April). Meanwhile, during the dry months (May to September), sediment loading is very low ($\leq 0.2 \text{ t ha}^{-1}$). High inter-annual variability was simulated in sediment loads, ranging from $3.18 \text{ t ha}^{-1} \text{ yr}^{-1}$ to $12.1 \text{ t ha}^{-1} \text{ yr}^{-1}$, as a consequence of different climatic conditions recorded in 2008 and 2009, with yearly rainfalls of 553 mm and 829 mm, respectively.

The hillslope sediment delivery ratio (SDR) was computed for the whole basin as the ratio of sediment yield to the stream at the outlet, divided by the gross erosion occurring on the hillslopes. SDR is generally interpreted as transport efficiency of sediment from the hillslopes to the stream network (Ferro & Porto 2000; Lu *et al.*, 2006). In the study area, the average annual SDR assumes a value of 0.3, ranging from 0.19 to 0.42 for the driest and wettest years, 2008 and 2009, respectively.



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2 **Figure 6. Comparison between streamflow and sediment load.**

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4 **3.3 Sediment source areas**

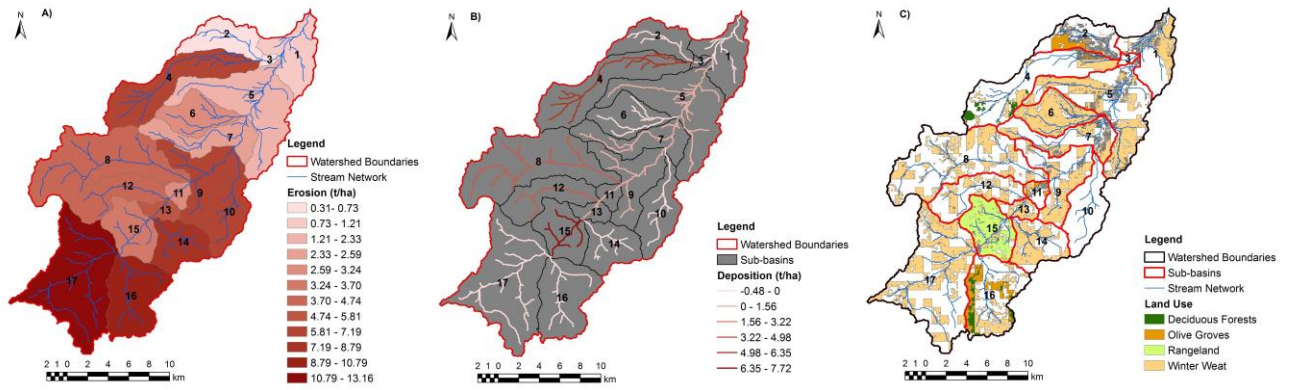
5 Analysing the model results on a sub-basin scale, a high difference in soil loss among the upper,
6 central, and lower parts of the study area can be observed (Figure 7a). The upstream area is
7 characterised by high erosion rates ($7\text{-}13 \text{ t ha}^{-1} \text{ yr}^{-1}$), which slowly decrease along the downstream
8 sub-basins. The morphology has a great influence on erosion. Indeed, sub-basins showing the highest
9 annual erosion (e.g. sub-basins 16, 17) are characterised by steep slopes, while low values of soil loss
10 ($<1 \text{ t ha}^{-1} \text{ yr}^{-1}$) are simulated in the flat sub-basins (e.g. sub-basins 1, 2, and 3).

11 Figure 7b shows, with respect to deposition in the reaches, the highest value, simulated in the
12 central part of the Carapelle basin, which is downstream of the steeper reaches, where there is a first
13 alluvial plain (sub-basin 15). Here, the river assumes a braided course, and there is deposition of the
14 coarser material. The mountainous part of the basin shows an absence of, or very low, deposition
15 ($<0.15 \text{ t ha}^{-1} \text{ yr}^{-1}$).

16 Furthermore, the principal land uses, as identified by the model in the HRU analysis, as well
17 as the rainfall, slope, and soil types, were related to the sediment yield in order to identify the main
18 source areas (Table IV). The result of this analysis evidences that the mean annual specific sediment
19 loss is mostly conditioned by rainfall and slope. For instance, the model simulates a sediment loss for
20 winter wheat ranging from $0.1 \text{ t ha}^{-1} \text{ yr}^{-1}$ to $15 \text{ t ha}^{-1} \text{ yr}^{-1}$. It seems that soil texture exerts a minor
21 influence, especially in the upper part of the basin. This is due to the fact that soils, classified as clay
22 and clay-loam in the mountainous part of the basin, show similar properties. The highest value of soil
23 loss is predicted for the winter wheat crop, with a sediment loss of $15.38 \text{ t ha}^{-1} \text{ yr}^{-1}$, under average
24 annual rainfall of about 900 mm, along a steep slope (15%). As expected, forest and rangeland show
25 lower values of sediment loss.

26 Figure 7c, which is colour-coded by land use, shows all the critical HRUs in the sub-basins
27 that are characterised by a mean annual sediment yield greater than the threshold value of 1.40 t ha^{-1}
28 yr^{-1} set by Verheijen *et al.* (2009). This threshold value represents tolerable soil erosion for conditions
29 prevalent in Europe, for which a deterioration or loss of one or more soil functions does not occur. In
30 the same figure, the white HRUs are characterised by tolerable erosion values.

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Figure 7. a) Average annual sediment yield on sub-basin scale; b) average annual sediment deposition in channel; c) location of critical HRUs in sub-basins as a function of land use.

Table IV. Mean annual sediment yield for each sub-basin as a function of land use, rainfall, soil type, and slope.

Subbasins	Land use	Rainfall (mm)	Soil type	Slope (%)		Sediment Yield (t ha ⁻¹ yr ⁻¹)	
				min	max	min	max
1	Winter wheat	578.68	Silty-Clay-Loam/Clay-Loam	0.23	7.18	0.05	1.67
	Olive Groves	578.68	Silty-Clay-Loam/Clay-Loam	8.38	9.20	2.07	2.34
2	Winter wheat	612.28	Silty-Clay/Clay-Loam	0.28	6.45	0.08	1.41
3	Winter wheat	578.68	Silty-Clay-Loam/Clay-Loam	0.25	6.36	0.05	1.06
	Deciduous Forests	847.4	Clay/Clay-Loam	25.08	27.05	1.7	7.49
4	Winter wheat	847.4	Silty-Clay/Silty-Clay-Loam/Clay-Loam	0.25	15.07	0.26	13.83
	Olive Groves	847.4	Clay-Loam	15.04		8.22	
5	Winter wheat	578.68	Silty-Clay/Clay-Loam	4.52	9.48	0.72	2.77
6	Winter wheat	592.84	Clay/Silty-Clay	11.49	11.89	3.08	3.36
7	Winter wheat	578.68	Clay/Silty-Clay/Clay-Loam	7.94	11.40	1.98	3.45
	Rangeland	592.84	Clay/Clay-Loam	26.85	30.85	0.36	0.79
8	Deciduous Forests	592.84	Clay-Loam	28.90		0.11	
	Winter wheat	592.84	Clay/Clay-Loam	16.65	17.46	5.76	6.76
9	Winter wheat	702.8	Clay/Silty-Clay/Clay-Loam	10.19	16.25	5.33	10.61
	Winter wheat	702.8	Clay/Clay-Loam	12.78	14.41	6.59	8.59
10	Deciduous Forests	702.8	Clay/Clay-Loam	19.10	23.97	0.22	0.68
	Winter wheat	702.8	Silty-Clay-Loam/Clay-Loam	0.14	15.61	0.13	8.75
11	Rangeland	702.8	Clay-Loam	18.49		0.25	
	Deciduous Forests	702.8	Clay-Loam	21.62		0.25	
12	Beushes and srhubs	702.8	Clay-Loam	0.12	19.76	0.13	0.16
	Winter wheat	592.84	Clay/Clay-Loam	13.30	16.75	3.52	6.27
13	Deciduous Forests	592.84	Clay-Loam	33.40		0.13	
	Beushes and srhubs	592.84	Clay/Clay-Loam	25.87	26.45	0.07	0.25
14	Olive Groves	592.84	Clay/Clay-Loam	23.82	26.36	3.21	3.42
	Deciduous Forests	702.8	Clay-Loam	23.11		0.27	
15	Beushes and srhubs	702.8	Clay-Loam	22.49		0.17	
	Winter wheat	702.8	Clay/Clay-Loam	12.64	16.31	5.58	10.3
16	Rangeland	702.8	Clay/Clay-Loam	18.27	18.45	0.25	0.34
	Winter wheat	702.8	Clay/Clay-Loam	15.08	15.22	9.68	9.74
17	Rangeland	592.84	Clay/Clay-Loam	14.60	19.25	0.13	0.3
	Winter wheat	592.84	Clay/Clay-Loam	13.21	14.26	4.19	4.28

	Rangeland	719.08	Clay/Clay-Loam	21.65	23.00	8.02	13.63
16	Deciduous Forests	719.08	Clay-Loam	23.87		1.41	
	Winter wheat	719.08	Clay/Clay-Loam	16.92	18.59	10.67	13.99
	Olive Groves	719.08	Clay-Loam	19.37		12.64	
17	Rangeland	898.88	Clay/Clay-Loam	18.53	20.22	0.57	0.64
	Winter wheat	898.88	Clay/Clay-Loam	14.14	15.08	13.23	15.38

4. Discussion

4.1 Modelling streamflow

The results obtained reveal that the SWAT model is able to predict runoff in the Carapelle basin, despite the complexity of the catchment area and limited availability of input data. The automatic procedures (SUFI-2 in SWAT-CUP) used to calibrate the hydrological processes proved to be successful assistance tools, despite the numerous parameters that should be taken into account.

It has been found that the most sensitive hydrological parameters are closely related to evapotranspiration (i.e. soil evaporation compensation factor) and surface runoff processes (i.e. curve number). Hence, it can be stated that the streamflow regime in the Carapelle basin is dominated by surface runoff, while the baseflow has a minor influence on total water yield. Our study confirms the results of similar studies in southern Italy (De Girolamo *et al.*, 2017, Licciardello *et al.*, 2017).

Streamflow simulation performances, analysed with statistical indices (R^2 , NSE, PBIAS) on a daily time interval, showed better results for the calibration period, compared to the validation period where only the PBIAS value can be considered very good, while the R^2 and NSE values are unsatisfactory; however, when analysing the obtained results on a monthly scale, and using the performance thresholds suggested by Moriasi *et al.*, (2007), SWAT showed a satisfactory performance in simulating streamflow. These results are in agreement with several studies, which reported that model simulations are poorer using daily time intervals, with respect to monthly or yearly ones (Fernandez *et al.*, 2005; Grizzetti *et al.*, 2005; Engel *et al.*, 2007; De Girolamo *et al.*, 2015).

To better understand the performance of the model, a more detailed analysis was performed, in order to have information about which periods are simulated with greater or lesser success. What emerged from this analysis is that the SWAT model tends to better predict streamflow in the wet season, compared to the dry season. In particular, streamflow is generally over-predicted in the dry season. This statement is also confirmed by several studies that have reported a discrepancy between observed and simulated streamflow in extremely low flow conditions (Muleta *et al.*, 2012). Guse *et al.* (2013) identified groundwater and evapotranspiration parameters as the main reasons for the low performance in the dry season. Moreover, it is well known that temporary rivers are one of the most unstable river systems, and among the most intensively endangered by hydrological fluctuations (Larned *et al.*, 2010). For these watersheds, the capacity of hydrological models in simulating extremely low flow conditions has been discussed (Kirkby *et al.*, 2011; De Girolamo *et al.*, 2015).

It should be also considered that the reliability of the data, especially that for rainfall, used in the model simulations plays a relevant role in model results. A lack of direct correspondence between rainfall and observed streamflow was found both in the calibration and in the validation periods. In particular, some peaks of flow were recorded in the absence of measured rainfall events. This discrepancy can be due to errors in measurements (rainfall or streamflow), or to convective rainfall events localised in small areas around the stations, or gaps in the time-series. In addition, the number of gauging stations and their locations, which in our study were not spatially well-distributed across the basin, can have a great influence on model performance. Moreover, we have verified the presence of missing data in rainfall data series. To fill the gaps, we used the weather generator module, included in the SWAT model, which estimates the missing data through equations based on weather parameter statistics of the monitoring stations. However, although the method works well in filling the gaps, it

1 is expected that the estimated rainfall could be different from the true values and, consequently,
2 simulated peak flows may not match measured values. A large number of statistical techniques is
3 available to fill the gaps in rainfall time-series (Barca *et al.*, 2016). For the Mediterranean climate, a
4 more reliable method for filling the gaps than that used by the SWAT model could be the ‘weighted
5 similarity index’, which is based on the similarity of some factors between stations
6 (geomorphological and statistical correlation). These methods require time-series of climatic data
7 recorded at several gauging stations, both inside and outside the basin, which were not available for
8 our study.

9 According to Strauch *et al.* (2012), another method to enhance SWAT simulation results is to use
10 radar data precipitation, while, White *et al.* (2009) used the seasonal calibration scheme. The best
11 strategy should be selected case by case after a critical analysis of the study area and the simulation
12 results. In this study, radar data are not available and the discrepancies between simulated and
13 observed streamflow in the dry period were mainly due to few events caused by convective rainfall,
14 therefore a seasonal calibration scheme for streamflow was not carried out.

15 16 **4.2 Modeling sediment load**

17 Generally, the SWAT model has proven to simulate runoff better than sediment load. The range of
18 values obtained in sediment calibration and validation is nevertheless satisfactory (Moriassi *et al.*,
19 2007) and similar to those reported by Zabaleta *et al.* (2014), Beeson *et al.* (2014), and Almendinger
20 *et al.* (2014). There may be different reasons for low model performance in simulating sediment load.
21 Many authors have complained about problems related to measured sediment data, such as the need
22 for a large record to test the model profoundly (Bonumá *et al.*, 2014), deficiency of data authenticity
23 (Bieger *et al.*, 2014), and poor statistical accuracy, due to the small magnitude of sediment load (Lu
24 *et al.*, 2014). In this study, as already reported by Bieger *et al.* (2014), the coarse resolution of DEM,
25 soil and land use data, in addition to problems in the transferability of the MUSLE approach (Williams
26 & Berndt, 1977) could be a reason for poor sediment yield simulation. Moreover, the SWAT model
27 does not simulate bank erosion (Abouabdillah *et al.*, 2014), which is an active process in the study
28 area. On the other hand, point sources of sediment (i.e. mass movements) and connectivity remain
29 difficult to describe in most models (De Vente *et al.*, 2006). Indeed, several landslides have been
30 enumerated, caused by the geotechnical properties of the units present in the area (clay-flysch). The
31 activity of landslides is characterised by remobilisation of slope movement, related to rainfall events,
32 which are the most relevant triggers of landslides (Wasowski *et al.*, 2007). It should be kept in mind
33 that, besides all previously given reasons that could lead to model inefficiency, especially in semi-
34 arid zones (Douglas-Mankin *et al.*, 2010), hydrological models experience prediction uncertainty due
35 to their own structure, input data, and parameters (Refsgaard *et al.*, 2007).

36 For sediments, the most sensitive parameter is the peak rate adjustment factor (ADJ_PKR) for
37 sediment routing in the sub-basin. This result is expected, as most of the sediment load is transported
38 during floods. Additionally, the linear parameter for calculating the maximum amount of sediment
39 that can be re-entrained during channel sediment routing (SPCON) was also found to be sensitive. In
40 the seasonal calibration, a combination of two different values for this parameter, for the dry (0.0008)
41 and wet periods (0.005), was found and implemented in order to improve channel sediment routing
42 in the Carapelle river system. This is because, when the multiplication coefficient of the peak channel
43 velocity in the Bagnold equation (SPCON) assumes a high value, the maximum transport capacity
44 (i.e. concentration limit) increases. At the beginning of each time interval, the SWAT compares the
45 inflow sediment concentration to the concentration limit. If the inflow concentration exceeds the limit,
46 deposition occurs until a maximum sediment concentration is reached. The seasonal calibration can
47 be justified for use in the dry season because the river network is a continuum where completely dry
48 river segments and perennial reaches coexist, and flow conditions are very dissimilar from those of
49 the wet period (De Girolamo *et al.*, 2017). On the other hand, the results show that, with this approach,
50 simulated and observed sediment loads are in very good agreement for flood events and for the dry
51 period. Hence, we can say that it is necessary to go beyond statistical performance and select the best

1 set of parameters, taking into account the processes acting in the basin and the final objectives of the
2 work. In this case, expert knowledge can be fundamental in making the conceptual model more
3 realistic. Finally, our results show that the daily timescale adopted in this study is relevant for studying
4 streamflow and sediment load regimes when the duration of flood events is a few days (or hours), as
5 in the Carapelle basin, where the average monthly values were potentially not representative. The
6 seasonal calibration scheme has already been used in SWAT for runoff (Lèvesque *et al.*, 2008; Guse
7 *et al.*, 2013; Zangh *et al.*, 2015), and our results verify that this approach can be used also for
8 improving the performance of sediment simulation.

10 **4.3 Sediment source areas**

11 On a basin scale, the SWAT model simulated an average sediment yield of $6.8 \text{ t ha}^{-1} \text{ yr}^{-1}$.
12 These results are in line with those presented by Van Rompaey *et al.* (2005) for watersheds with
13 similar characteristics in southern Italy; however, a large difference was found within the basin with
14 regard to soil loss, ranging from 0 to $15 \text{ t ha}^{-1} \text{ yr}^{-1}$. This estimation is higher than what was reported
15 for the northern areas of the Apulia region by Panagos *et al.* (2015), who found erosion rates ranging
16 from 5 to $10 \text{ t ha}^{-1} \text{ yr}^{-1}$ by applying the RUSLE equation. It has been speculated that this difference is
17 due to the different data resolution used in these two studies.

18 Through analysis of soil loss on the sub-basin scale, a gradient was identified between the
19 highest values in the mountainous part of the basin (subs 16 and 17) and the lowest values in flat
20 areas (subs 1, 2, and 3). As an important role in soil erosion is played by the slope (Licciardello *et al.*,
21 2017), upstream sub-basins are characterised by higher values of slope, compared to the downstream
22 areas. Slope can also be considered a key factor in the case of deposition, such that higher values are
23 concentrated in the central part of the watershed, where the slope is lower than the upstream area.

24 Based on this analysis, there are some sub-basins that apparently show anomalous results,
25 such as 4 and 15. The former has both high erosion and deposition rates, as it is characterised by an
26 upstream area with a high slope and flat downstream area. As a result, most of the sediment generated
27 upstream is deposited in the same reach. On the other hand, sub-basin 15, although being located in
28 the upstream area of the watershed, is also located downstream of the steeper reaches, and is
29 characterised by an alluvial plain. For this reason, it has high deposition and medium erosion rates.

30 It is known that low-slope areas limit sediment transport connection, in contrast to how an
31 increase in the slope improves the connectivity (Borselli *et al.*, 2008). It can, therefore, be stated that
32 the Carapelle watershed has a middle area, where reaches receive and store many sediments, which
33 causes a poor connectivity between the upstream area and the outlet. Generally, comparison between
34 erosion and deposition maps reveals that SWAT is able to determine the sediment source locations,
35 both in sub-basins and HRUs (Figure 7), as well as in the sink zones located in reaches. Moreover,
36 the SWAT model, once efficiently calibrated and validated, can be used in scenario analysis to assess
37 connectivity modifications in sediment migration modelling due to land use changes (Le Roux *et al.*,
38 2013).

39 Soil formation rates are generally very low. For example, 100 to 400 years are needed to
40 develop one centimetre of topsoil in Europe. Verheijen *et al.* (2009) found a rate of soil formation of
41 $1.40 \text{ t ha}^{-1} \text{ yr}^{-1}$ (0.056 mm yr^{-1}). This study shows that land degradation in the Carapelle basin is a
42 problem, indeed the soil loss ($\sim 7 \text{ t ha}^{-1} \text{ yr}^{-1}$, corresponding to 0.24 mm yr^{-1}) is higher than this value.
43 This means that soil is being lost much faster than the rate of renewal, and that soil erosion is
44 effectively irreversible, with potentially high environmental and economic impacts.

45 At the level of HRUs, land cover is a very significant factor (Licciardello *et al.*, 2017), in
46 addition to slope and precipitation, required to determine which areas have a high risk of erosion
47 within sub-basins. The map in Figure 7c and Table IV show that, in the Carapelle watershed, winter
48 wheat and olive groves are the major source areas. As expected, forest and rangeland represent the
49 land use producing the lowest soil losses for each class of soil and slope. There are only a few forest
50 and rangeland areas that produce sediment higher than the indicated threshold (i.e. $> 1.40 \text{ t ha}^{-1} \text{ yr}^{-1}$).
51 Winter wheat (76% of the total area) requires tillage in autumn, leaving soils unprotected for most of

1 the wet season (Trombetta *et al.*, 2016). Additionally, erosion is facilitated by deep, and up and down,
2 ploughing, which is quite common in this basin. In order to correctly reproduce the deep and up and
3 down ploughing, the SWAT2012.mdb database was modified, substituting the default value of depth
4 of plough (moldboard plough 2 way) with a new one (400 mm). Moreover, high values of CN (from
5 82 to 87) were used to simulate the unprotected soil conditions. Indeed, to take into account the
6 presence of vegetation in forests and rangeland areas, low values of CN were used (from 65 to 72).
7 Rainfall characteristics and soil type (mainly clay-loam), contributed to the erosion, as well as
8 landslide activities. Hence, the combined analysis of Figure 7 and Table IV is very significant for
9 determining the sources of sediments and their locations within sub-basins. Moreover, they are useful
10 instruments in helping to prioritise the implementation of BMPs in the watersheds (Betrie *et al.*,
11 2011).

12 This study confirms that Mediterranean watersheds are fragile agro-ecosystems because soil
13 essentially constitutes a non-renewable resource (López-Vicente *et al.*, 2013). Hence, to mitigate the
14 impact of agriculture on soil depletion, BMPs have to be considered by the policy-makers of regional,
15 national, and European Union institutions. A combination of agricultural (e.g. direct sowing of wheat
16 with no tillage operation) and environmental measures (e.g. reforestation of the riparian buffers) may
17 reduce soil erosion from the watershed (Abdelwahab *et al.*, 2014). For this purpose, analysis of
18 sediment source zones and deposition areas is crucial, as shown by Dickinson *et al.* (1990), who
19 reported that there is an economic advantage to identifying the areas that have a higher potential to
20 deliver sediment, with the aim of prioritising the implementation of control measures, and to facilitate
21 planning for sustainable land management.

22
23

24 **Conclusions**

25

26 This study reports the results of the SWAT model application in simulating streamflow and sediment
27 yield, and identifying sediment source areas in the Carapelle watershed. We intend this to be a
28 contribution to help improve techniques for calibrating hydrology and sediment load in watersheds
29 under Mediterranean climates, where the high variability of rainfall and hydrological regime makes
30 it difficult to reproduce low flow and sediment accurately. The results of the present work show that
31 the SWAT model is able to assess hydrological and sediment. On the other hand, the study confirms
32 the problems associated with characterising the complexity and range of the environmental variables
33 of these basins, as already reported in the literature. The automatic procedure used for calibrating the
34 hydrological processes proved to be a successful assistance tool; however, it generally over-predicts
35 streamflow in the dry season. The model tends to better predict streamflow in the wet season, and it
36 has proven to simulate runoff better than sediment load. The statistical performance in a global
37 calibration of sediment load, on a monthly timescale, is satisfactory; however, on a daily timescale,
38 the results are unsatisfactory for the validation period. Hence, to further improve sediment
39 performance here, a combination of two different values of the Bagnold's equation parameter were
40 proposed for the channel sediment routing, for the wet and dry seasons, respectively. With this
41 strategy, the performance of the model is acceptable for both wet and dry periods, also on a daily
42 timescale, and major flood events are well predicted for streamflow and sediment load. In the study
43 area, the results show that erosion was mainly a winter process, and the major sources of sediment
44 are those sub-basins characterised by steep slopes, where sediment mainly originates from winter
45 wheat fields. The results also show that soil is being lost faster than the rate of replenishment, and
46 that the soil erosion process is irreversible in the Carapelle basin. To mitigate the impact of agriculture
47 on soil depletion and land degradation, conservative agricultural practices, and positive
48 environmental measures have to be considered by policy-makers. For this purpose, the SWAT model
49 is a useful tool because it permits the identification of areas that are at high risk of erosion and where
50 different management options can be implemented for sustainable land management.

51

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4**Table I. A selection of relevant studies performed on the Mediterranean climatic region (classification of Koppen, 1931), concerning sediment load simulation with the SWAT model (this paper included).**

Related case studies	Study area	Calibration and Validation Period and time step	Key results
Potter & Hiatt., 2009	California	Few a year grab sample measurements Calibration 2005 - 2007 Monthly time step	Average annual sediment load 3.66 t ha ⁻¹ yr ⁻¹ SWAT model generally tends to underestimate the measured sediment PBIAS for three gauge station: + 52.6; +26.5 and +73.9 Average annual sediment yield 0.85 t ha ⁻¹ yr ⁻¹
Gamvroudis <i>et al.</i> , 2014	Greece	Calibration 2010 - 2011	In the two main flood event SWAT, simulate suspended sediment appropriately with a slight underestimation. PBIAS for two gauging station: +33.4 and +13.4
Nerantzaki <i>et al.</i> , 2015	Greece	Monthly time step Calibration: 2011 - 2014	Average erosion rate from 0.97 t ha ⁻¹ yr ⁻¹ to 1.6 t ha ⁻¹ yr ⁻¹ Model overestimation due to the fact that the majority of the observations had low values of sediment concentration PBIAS -57%
Peraza-Castro <i>et al.</i> , 2015	Northern Spain	Daily time step Calibration 2009 - 2012 Validation 2001 - 2009	Average annual sediment load 0.33 t ha ⁻¹ yr ⁻¹ Underestimation and overestimation during some flood events. The underestimation occurs for four events that according to Montoya-Armenta (2013).
Briak <i>et al.</i> , 2016	Northern Morocco	Monthly time step Calibration 1976 - 1984 Validation 1985 - 1993	Average annual sediment yield 55 t ha ⁻¹ yr ⁻¹ Generally SWAT tends to underestimate peak of sediment concentration PBIAS +7.12 for calibration; PBIAS +15.51 for validation
Gyamfi <i>et al.</i> , 2016	Southern Africa	Monthly time steps Calibration 1994 - 1995 Validation 1996 - 1997	Mean sediment yield for the Land use change scenario varies from 1.33 t ha ⁻¹ yr ⁻¹ to 4.46 t ha ⁻¹ yr ⁻¹ . Simulated sediment match fairly with the observed with an underestimation PBIAS + 27.36 for calibration; PBIAS +39.73 for validation
Chen <i>et al.</i> , 2017	California	Monthly time step Calibration 2003 - 2008 Validation 2009 - 2014	Model significantly overestimate sediment load during peak events with default Bagnold equation, but produced better results when the physically based Bagnold equation is used. PBIAS - 32 for Calibration; PBIAS 0 for Validation
This Work	Southern Italy	Daily and Monthly time step Calibration 2007-2008 Validation 2009 - 2010	Average annual sediment load 6.8 t ha ⁻¹ yr ⁻¹ SWAT model showed generally an overestimation of the dry season and an underestimation of the wet season

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10**Table II. SWAT sensitivity analysis results, default range of parameters and best-fit calibration. Letter R is for relative change (initial parameter is multiplied by 1+ a given value in calibration). Letter V is for replacement (initial parameter is replaced by a given value).**

Parameters	Description	t-Stat	p-Value	Range	Best fit
Runoff					
V_ESCO.hru	Soil Evaporation compensation factor	174.459	0.000	0.83-1.00	1.000
R_CN2.mgt	Curve Number	145.900	0.000	0.04-0.11	0.080
V_ALPHA_BF.gw	Baseflow alpha factor	88.771	0.000	0.38-0.75	0.460
V_GWQMN.gw	Threshold depth of water in shallow aquifer	1.399	0.162	0.19-0.40	0.300
V_GW_REVAP.gw	Groundwater "revap" coefficient	0.934	0.351	0.03-0.14	0.060
V_CH_N2.rte	Manning's "n" value for main channel	-4.374	0.000	0.01-0.03	0.010
V_CH_K2.rte	Effective hyd. Cond. In the main channel	-17.760	0.000	38.70-42.90	40.910
V_GW_DELAY.gw	Groundwater delay time	-33.269	0.000	31.11-70.37	35.600
V_OV_N.hru	Manning's "n" value for overland flow	-42.025	0.000	7.51-12.54	12.510
R_SOL_AWC.sol	Soil available water storage capacity	-61.333	0.000	0.39-0.57	0.560
Sediment					
ADJ_PKR.bsn	Peak rate adjustment factor for sediment routing in the sub-basin	0.087	0.931	-	1.400
LAT_SED.hru	Sediment concentration in groundwater flow	-0.643	0.520	-	250.000
SPCON.bsn	Maximum amount of sediment reentrained during channel sediment routing	-0.672	0.502	-	0.003
BIOMIX.mgt	Biological mixing efficiency	-0.785	0.432	-	0.500

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1 **Table III. Model performance statistics for annual calibration/validation and for seasonal calibration (wet/dry**
 2 **periods).**
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	Calibration			Validation		
	R ²	NSE	PBIAS	R ²	NSE	PBIAS
Runoff						
Daily	0.6	0.6	-1.0	0.4	0.4	2.0
Monthly	0.9	0.8	12.5	0.6	0.6	-14.3
Sediment						
Daily	0.6	0.6	-1.5	0.2	0.2	29.5
Monthly	0.7	0.5	-0.6	0.7	0.7	-5.3
Wet			Dry			
Runoff						
Daily	0.5	0.5	1.6	0.4	-1.4	-39.8
Monthly	0.6	0.7	-3.6	0.7	-0.3	-43.3
Sediment						
Daily	0.5	0.5	17.3	0.2	-3.7	-140.1
Monthly	0.7	0.6	-28.2	0.2	0.1	69.0
Sediment with new calibration						
Wet (SPCON 0.005)			Dry (SPCON 0.0008)			
Daily	0.5	0.6	-38.4	0.5	0.5	33.9

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Table IV. Mean annual sediment yield for each sub-basin as a function of land use, rainfall, soil type, and slope.

Subbasins	Land use	Rainfall (mm)	Soil type	Slope (%)		Sediment Yield (t ha ⁻¹ yr ⁻¹)	
				min	max	min	max
1	Winter wheat	578.68	Silty-Clay-Loam/Clay-Loam	0.23	7.18	0.05	1.67
	Olive Groves	578.68	Silty-Clay-Loam/Clay-Loam	8.38	9.20	2.07	2.34
2	Winter wheat	612.28	Silty-Clay/Clay-Loam	0.28	6.45	0.08	1.41
3	Winter wheat	578.68	Silty-Clay-Loam/Clay-Loam	0.25	6.36	0.05	1.06
	Deciduous Forests	847.4	Clay/Clay-Loam	25.08	27.05	1.7	7.49
4	Winter wheat	847.4	Silty-Clay/Silty-Clay-Loam/Clay-Loam	0.25	15.07	0.26	13.83
	Olive Groves	847.4	Clay-Loam	15.04		8.22	
5	Winter wheat	578.68	Silty-Clay/Clay-Loam	4.52	9.48	0.72	2.77
6	Winter wheat	592.84	Clay/Silty-Clay	11.49	11.89	3.08	3.36
7	Winter wheat	578.68	Clay/Silty-Clay/Clay-Loam	7.94	11.40	1.98	3.45
	Rangeland	592.84	Clay/Clay-Loam	26.85	30.85	0.36	0.79
8	Deciduous Forests	592.84	Clay-Loam	28.90		0.11	
	Winter wheat	592.84	Clay/Clay-Loam	16.65	17.46	5.76	6.76
9	Winter wheat	702.8	Clay/Silty-Clay/Clay-Loam	10.19	16.25	5.33	10.61
	Winter wheat	702.8	Clay/Clay-Loam	12.78	14.41	6.59	8.59
10	Deciduous Forests	702.8	Clay/Clay-Loam	19.10	23.97	0.22	0.68
	Winter wheat	702.8	Silty-Clay-Loam/Clay-Loam	0.14	15.61	0.13	8.75
11	Rangeland	702.8	Clay-Loam	18.49		0.25	
	Deciduous Forests	702.8	Clay-Loam	21.62		0.25	
12	Beushes and srhubs	702.8	Clay-Loam	0.12	19.76	0.13	0.16
	Winter wheat	592.84	Clay/Clay-Loam	13.30	16.75	3.52	6.27
13	Deciduous Forests	592.84	Clay-Loam	33.40		0.13	
	Beushes and srhubs	592.84	Clay/Clay-Loam	25.87	26.45	0.07	0.25
14	Olive Groves	592.84	Clay/Clay-Loam	23.82	26.36	3.21	3.42
	Deciduous Forests	702.8	Clay-Loam	23.11		0.27	
15	Beushes and srhubs	702.8	Clay-Loam	22.49		0.17	
	Winter wheat	702.8	Clay/Clay-Loam	12.64	16.31	5.58	10.3
16	Rangeland	702.8	Clay/Clay-Loam	18.27	18.45	0.25	0.34
	Winter wheat	702.8	Clay/Clay-Loam	15.08	15.22	9.68	9.74
17	Rangeland	592.84	Clay/Clay-Loam	14.60	19.25	0.13	0.3
	Winter wheat	592.84	Clay/Clay-Loam	13.21	14.26	4.19	4.28
18	Rangeland	719.08	Clay/Clay-Loam	21.65	23.00	8.02	13.63
	Deciduous Forests	719.08	Clay-Loam	23.87		1.41	
19	Winter wheat	719.08	Clay/Clay-Loam	16.92	18.59	10.67	13.99
	Olive Groves	719.08	Clay-Loam	19.37		12.64	
20	Rangeland	898.88	Clay/Clay-Loam	18.53	20.22	0.57	0.64
	Winter wheat	898.88	Clay/Clay-Loam	14.14	15.08	13.23	15.38

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