

1 **Modeling land use changes and their impact on sediment load in a Mediterranean watershed**

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3 Romano Giovanni ¹, Abdelwahab Ossama.M.M ^{2,1}, Gentile Francesco¹.

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5 ¹ Department of Agricultural and Environmental sciences, University of Bari Aldo Moro, Bari, 70126,
6 Italy.

7 ² Department of Agricultural Engineering, Faculty of Agriculture, Cairo University, 12613, Giza,
8 Egypt.

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11 Corresponding Author:

12 **Ossama.M.M. ABDELWAHAB**

13 Department of Agricultural and Environmental sciences, University of Bari Aldo Moro

14 Bari, 70126, Italy.

15 E-mail: osama.mahmoud@agr.cu.edu.eg

16 Tel.: +39 080 54430592109

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24

25 **Abstract**

26 The aim of this study is to model potential changes in land use and evaluate their effects on
27 sediment load in a Mediterranean watershed, the Carapelle, in Southern Italy. For this purpose, a set
28 of Landsat Thematic Mapper (TM) images were processed to generate three different land use maps
29 for 1987, 2002, and 2011. The images were corrected for geometric distortion and atmospheric
30 interference before performing an unsupervised classification and decision expert system post
31 classification. The land use maps for 1987 and 2002, derived from the Landsat TM processing, were
32 analyzed using a Land Change Modeler (LCM) module to identify transitions from the first land cover
33 type to the second. The transitions were modeled using a multi-layer perceptron (MLP) neural
34 network to create transition potential maps, which provide the controls for subsequent dynamic land
35 use change predictions. The model produced a predicted land use map for 2011 using Markov Chain
36 analysis, which was validated with the actual 2011 land use map. Consequently, a land use scenario
37 (S1) for 2035 and 2050 was predicted, taking into account the current constraints and management
38 options. LCM was further used to define two additional scenarios (S2 and S3) both for 2035 and 2050
39 based on different land management options.

40 Finally, the Annual Agricultural Non-Point Source Pollution Model (AnnAGNPS) was used to
41 estimate the effect of the predicted land use changes on sediment load after model calibration, using
42 a five-year dataset registered at the Ordonà monitoring station.

43 The land use change analysis revealed low transformations from 1987 to 2011. Equally, land use
44 changes were low for the base scenario (S1) so moderate variations in sediment load were estimated.
45 The changes in land use were more significant for the additional scenarios (S2 and S3) and
46 consequently the model estimations underwent major variations, with a significant reduction of soil
47 erosion. The associated utilization of land use change analysis and AnnAGNPS modeling

48 demonstrates how land use management options can be adopted to reduce potential watershed
49 sediment load.

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51 **Key Words:** Land use change; Remote sensing; GIS; Sediment load; AnnAGNPS model

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53 1. Introduction

54 Land use and land cover (LULC) change is a complex process that can affect erosion and
55 sediment load rates in a watershed (Abdelwahab et al., 2014). Climate and several human activities
56 are capable of exacerbating LULC change (Pelacani et al., 2008; Leh et al., 2013) and the dynamics
57 of erosive processes. Severe alterations of LULC, due to increases or decreases in human population
58 and response of the population to economic opportunities (Lambin et al., 2001; Chung et al., 2011),
59 have numerous consequences for terrestrial and aquatic environments (Wilson and Weng, 2011). For
60 this reason, the understanding of recent land use changes and how these changes will occur in the
61 future is of fundamental importance (Rounsevell et al., 2006). This knowledge is crucial for decision
62 support procedures to identify appropriate land use policies (Romano et al., 2015), and for decision
63 makers, environmentalists and planners in the development of plans to tackle environmental issues
64 (Theobald and Hobbs, 2002; Maestas et al., 2003).

65 Many studies have been performed on the impact of land use changes on hydrology, water
66 quality, and erosion at the watershed scale (Jeppesen et al., 2009; Tu, 2009; Feng et al., 2010; Alatorre
67 et al., 2012; Wang et al., 2016). These studies found that the hydrological cycle and erosion processes
68 are closely connected to land cover changes. Other studies focused on the impact of urbanization on
69 hydrology (De Fries and Eshleman, 2004; White and Greer, 2006; Cuo, 2016), reporting that an
70 increase of human settlements causes a decrease in infiltration and an increase in runoff. Fewer studies
71 have addressed the combined effect of land use and climate changes on hydrology and surface water
72 quality, as well as on erosion (Asselman et al., 2003; Chang, 2004; Li et al., 2009; Tu, 2009). Some
73 of these works present responses that are simplified or not fully understood at the sub-watershed scale

74 (Wilson and Weng, 2011). Other studies focused on the impact of landuse changes on runoff and
75 sediment connectivity at a watershed scale (López-Vicente et al., 2013; Lizaga et al., 2017; Persichillo
76 et al., 2018).

77 An increasing number of studies have highlighted the importance of remote sensing multi-
78 temporal imagery in understanding landscape dynamics (e.g., Rawat and Kumar, 2015). Remote
79 sensing data, processed using geographic information system (GIS) software, have proven to be a
80 very useful tool in land use studies, especially to detect, map, and model land cover patterns occurring
81 in a given area over a determined period of time (Kahya et al., 2010; Rawat and Kumar, 2015). The
82 integration of remote sensing with specific GIS supported hydrological models can substantially
83 assist not only the investigation of land use changes, but also the influence of these changes on soil
84 degradation and river system quality.

85 Many studies (Lopez et al., 2001; Petit et al., 2001; Rounsevell et al., 2006) have focused on
86 predicting future land use composition, however, only a few have combined this analysis with
87 hydrological models to predict potential water quality and soil erosion impacts (Chung et al., 2011;
88 Praskievicz and Chang, 2011; Leh et al., 2013). For this purpose, a large number of hydrological
89 models are available, such as the Soil and Water Assessment Tool (SWAT) (Arnold 1998), ArcView
90 Generalized Watershed Loading Functions (AVGWLF) (Evans and Lehning, 2001), Hydrological
91 Simulation Program—Fortran (HSPF) (Bicknell et al., 1996), and Annualized Agricultural Non-Point
92 Source Pollution (AnnAGNPS) (Bingner et al., 2012). AnnAGNPS, in particular, was developed to
93 evaluate the impacts of agricultural non-point source pollution on water quality (Bingner et al., 2012).

94 In this study, we investigated the impact of LULC changes on sediment load in the Carapelle
95 watershed (Northern Puglia, Italy) with the combined use of satellite remote sensing, GIS, and
96 hydrological modeling. Given the extension of the Carapelle watershed (506 km²) and the complexity
97 of its land cover distribution, remote sensing was considered to be an essential tool for the extensive
98 study of land cover patterns in a realistic time frame at reasonable cost. Furthermore, the integration

99 with GIS provides a useful tool for data analysis, update, and retrieval (Chilar, 2000). The specific
100 objectives of the study are to:

- 101 • analyze historical and actual land use maps, starting with the preprocessing of Landsat 5 TM
102 images up until their classification and expert post classification;
- 103 • identify and validate the trend of land cover change (with the help of a change analysis
104 module), and predict a possible future scenario of land use (base scenario, S1), for years 2035
105 and 2050;
- 106 • individuate two additional land use scenarios (S2 and S3), both for years 2035 and 2050, based
107 on different management options, developed according to directives dictated by the European
108 Planning and European Agricultural Fund for Rural Development (EAFRD);
- 109 • evaluate the impacts of these predicted land use scenarios on sediment load at the watershed
110 and sub-watershed scale.

111

112 2. Material and methods

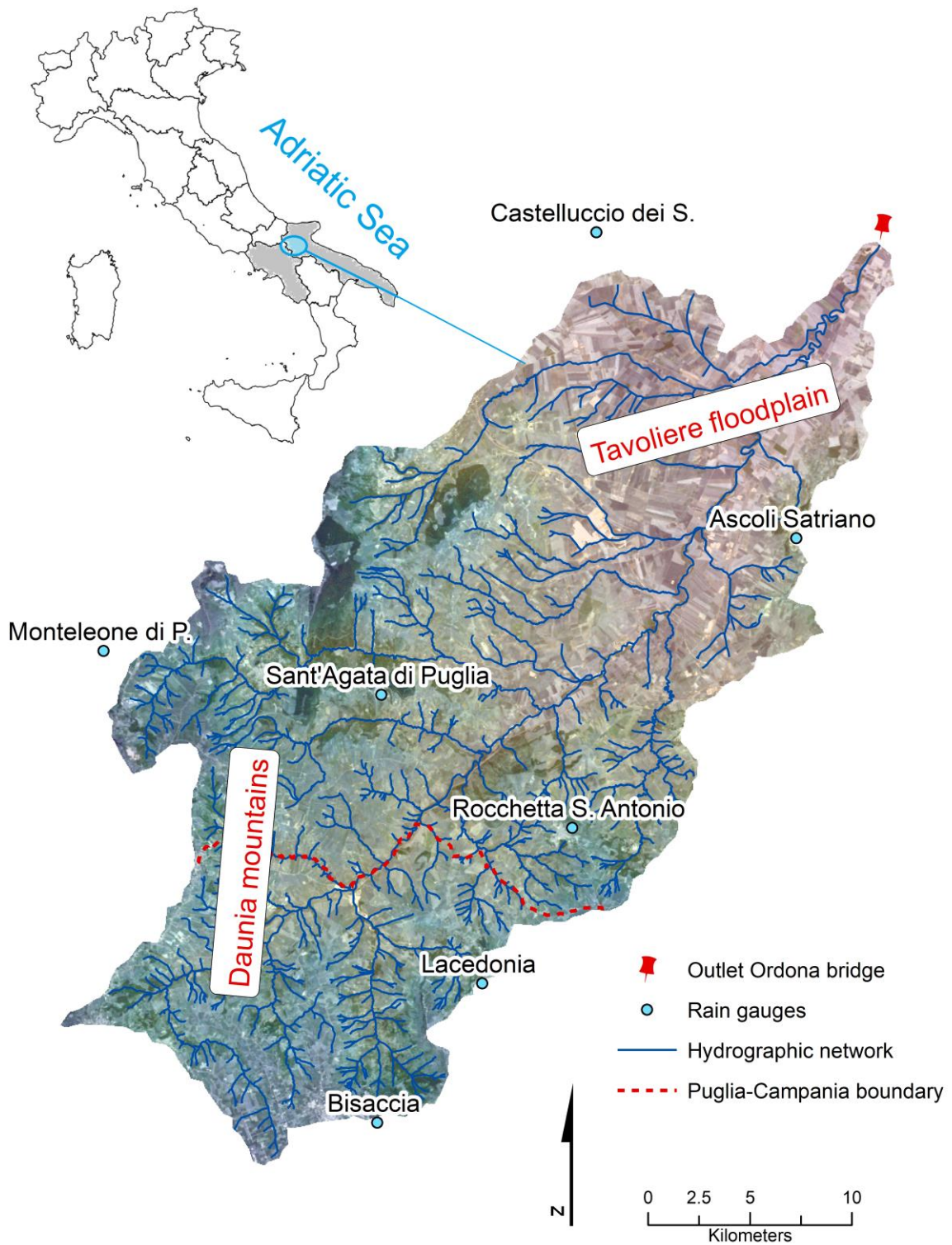
113 2.1. Study area

114 The study was conducted in the Carapelle watershed, located in Northern Puglia, Southern
115 Italy, and mouthed at the monitoring station of Ortona bridge (Fig. 1). The Carapelle torrent crosses
116 Northern Puglia and parts of the Campania region. The torrent furrows the Daunia Mountains and,
117 after crossing the Tavoliere Floodplain, flows into the Adriatic Sea. The hydrological regime is
118 torrential as flood events are associated with intense and short-term rainfalls (Bisantino et al., 2010).
119 The sediment transport is characterized by fine suspended materials and occurs mainly during the
120 flood events (Gentile et al., 2010). The climate is typical Mediterranean with warm, dry summers and
121 mild, moist winters; the average yearly rainfalls range from 450 to 800 mm y⁻¹, and the average
122 monthly temperatures range from 10 to 16 °C (Trombetta et al., 2016).

123 The watershed area is 506 km². Altitudes range between 120 and 1075 m a. s. l., while the
124 average slope is 8.2%. The mountainous areas of the watershed are subjected to considerable erosion,

125 as shown by the high rates of suspended sediment transport during flood events (García-Rama et al.,
126 2016). They are constituted by flysch formations, while the alluvial plain is characterized by clay-
127 sand Plio-Pleistocene sediments (Abdelwahab et al., 2016b). Soils in the area mainly belong to the
128 Entisols class with a fine clay-loam texture; the organic matter content is low and the fertility is
129 prevalently natural. The plain and low hilly areas are mainly used for wheat cultivation and, to a lesser
130 degree, olive orchards and agricultural crops, while deciduous oaks and hardwoods (*Quercus*
131 *pubescens* s.l. and *Quercus cerris* L.) cover the higher slopes together with coniferous, pasture, and
132 meadow (Aquilino et al., 2014). The area occupied by each land use and its percentage compared to
133 the total watershed area is reported in Tab. 1. A monitoring station that provides water discharge and
134 suspended sediment transport data is located at the watershed outlet (Gentile et al., 2008).

135 The study area has a very low population density (49 inhabitants per km²). Eight
136 municipalities fall within the watershed boundary, the most populated is Ascoli Satriano, with 6204
137 inhabitants, while Rocchetta Sant'Antonio is the least populated, with 1843 inhabitants (source:
138 ISTAT, 2017). The entire territory has a predominant agricultural vocation. Cereal cultivation (winter
139 wheat) is the main agricultural resource, and actually this trend is stopped from the first half of the
140 nineteenth century, which led to a continuous deforestation (Massafra and Salvemini, 2005). Trade
141 is scarcely developed throughout the area; the mining activity is limited to a site close to the outlet at
142 Ortona bridge. Even if several dams have been built on watercourses in northern Puglia (one on the
143 Fortore, seven on the Ofanto and one on the Celone), there are no dams along the Carapelle. A
144 decreasing trend of annual rainfall was observed in the area from 1921 to 2001. This trend is mainly
145 due to a series of scarce annual rainfalls from about 1980, with the 1988–1992 and 2000–2001 periods
146 as the driest (Polemio and Casarano, 2008).



147

148 Fig. 1 – The Carapelle watershed mouthed at the Ortona bridge monitoring station.

149

150 2.2. Landsat images processing

151 The Landsat 5 TM images were chosen for their appropriate spatial resolution and for being
152 the longest continuous record of image data observations available. The Landsat TM data and imagery
153 provided by the later series of Landsat sensors (MSS, ETM, ETM+, OLI) have been extensively used
154 for land cover analyses since the start of the Landsat program in 1972 (Kahya et al., 2010; Sexton et
155 al., 2013). The TM sensor images are available in six reflective bands with a spatial resolution of 30
156 m and thermal band of 120 m.

157 The images used in this study were acquired by Landsat TM sensors on May 19, 1987, June
158 22, 2002, and June 22, 2011, and downloaded using the EarthExplorer Get Data tool
159 (<http://earthexplorer.usgs.gov>) of the U.S. Geological Survey (USGS) Landsat web page. The images
160 were chosen from those taken during the spring season, before the crop harvest, and with complete
161 lack of cloud cover in order to meet the observation requirements revealing both natural and human-
162 induced land cover changes.

163 Each TM scene was corrected for geometric distortion using the TerrSet cubic convolution
164 resampling type with an RMS of about 0.2 pixels. Radiance corrections for atmospheric interference
165 were performed using the Chavez Cos(t) model. These corrections improved the comparison capacity
166 between images taken from different acquisition dates, as in the case study, and/or by different
167 Landsat sensors. The Cos(t) model incorporates all elements of the dark object subtraction method
168 (Jensen, 2005) for haze removal, as well as a procedure for estimating the effects of absorption by
169 atmospheric gases and Rayleigh scattering.

170 The input for the atmospheric correction consists of raw image data that represent, for L1
171 products, the radiance captured by the TM sensor as reflected solar energy, and rescaled into a 8-bit
172 digital number (Dn) that ranges between 0 and 255. Conversion from Dn back to a t-sensor spectral
173 radiance (L_{λ}) requires a set of calibration parameters such as acquisition date and time, sun elevation,
174 wavelength of band center, Dn haze, and the radiance value at Dn zero and Dn max (L_{min} and L_{max} ,

175 respectively). Alternatively, two band-specific rescaling factors, such as the slope (gain) and intercept
176 (bias) of the TM sensor instrumental regression, can be used (Chander et al., 2009). The output in
177 each case is an image of proportional reflectance that is expressed as a real number value between
178 0.0 and 1.0. Most of the data necessary for a full accommodation of the model are available on the
179 metadata file associated with the downloaded imagery.

180 A hybrid approach was used to classify the Landsat TM images. In an early stage, an iterative
181 self-organizing data analysis (ISODATA) classifier was implemented because the classification
182 accuracy obtained from per-pixel supervised methods did not allow an univocal identification of the
183 main types of land uses in the area. The reason for the low accuracy indices can be attributed to the
184 difficulty in exactly determining the several land cover types for the fragmented territorial patches in
185 the Carapelle watershed. Lastly, a decision expert post-classification was performed.

186 A set of aerial ortho-photos, displayed as a Web Map Service (WMS) from the Portale
187 Cartografico Nazionale (PCN) (www.pcn.minambiente.it) or Portale Cartografico Regione Puglia
188 (<http://www.sit.puglia.it/>), and the Corine Land Cover (CLC) maps were employed to assess the
189 accuracy of the TM image classifications. The request response includes one or more georeferenced
190 map images that can be displayed or queried in a browser application or GIS environment. CLC maps
191 and reports are available for download from the Copernicus Land Monitoring Service
192 (<http://land.copernicus.eu/pan-european/corine-land-cover>) and European Environment Agency
193 (<http://www.eea.europa.eu/publications/COR0-landcover>).

194 The GIS used for remote sensing elaborations and prediction of the future land use changes
195 was TerrSet (Clark Labs, 2015), developed by Clark University, USA. The computer simulation
196 model used to predict the most likely future land use configuration of the studied area was based on
197 potential transition techniques instead of regression models, which, despite application simplicity,
198 require a considerable amount of data. The transition potential modeling framework is based on the
199 stochastic Markov chain technique (Wu et al., 2006).

200

2.3. LULC change analysis and future land use prediction

The Land Change Modeler (LCM), a TerrSet application oriented to land conversion (Clark Labs, 2015), was used to perform a LULC change analysis between the 1987, 2002 and 2011 land uses. In a preliminary step, the LCM Change Analysis routine provided a set of tools for the analysis of gains and losses in land use classes, land cover persistence, and transitions between categories for the input map layers of 1987, 2002 and 2011. A total of 44 transitions took place between 2002 and 2011. According to their frequency distribution, transitions smaller than 8 ha were ignored and a total of 11 transitions were considered in the prediction runs.

A double stage approach was employed in developing future land use configurations. The classified land use maps for 1987 and 2002 were used as observed data for the calibration of the LCM Transition Potentials module. The LCM Change Prediction function was then employed to simulate the land use map for 2011, whereas the classified land use map for 2011 (Obs_11) served to verify the accuracy of the simulated map in the validation stage.

In order to derive the transition potential of each land cover class, a collection of environmental variables that potentially contributed to land use change was tested by exploring both their potential explanatory power and association strength. A set of six static and one dynamic explanatory variables were selected for the LCM transition potential modeling routine. Other environmental variables were excluded for their low potential power.

The static variables (e.g., drivers that remained unchanged over the simulation period), which encompass elevation, distances between natural and anthropic settlements (e.g., streams, roads and urban) and slope, evidence the likelihood of land cover class changes between 2002 and 2011. Evidence likelihood is an empirical probability of change for a qualitative map and is not primarily represented with numeric values. The dynamic variable is the distance from the areas where a transition from a land use class to another one takes place. It is a time-dependent driver that is recalculated at specific intervals during the prediction period (Eastman, 2006). Explanatory variables and the strength of their association with the distribution of land cover categories were defined by

227 computing the Cramer's *V* statistic (Ott et al., 1983). A multi-layer perceptron (MLP) neural network
228 was then used to model each transition potential, which resulted in a transition potential map for each
229 of the LULC changes.

230 The MLP dynamic process was performed with 4 + 4 classes, one hidden layer, a dynamic
231 learning rate, a momentum factor of 0.5, and 10,000 iterations. This created a random sample of pixels
232 that experienced each modeled transition, and an additional set of random samples for the persistent
233 cases. Based on the explanatory variables value at a given location, the neural network process
234 developed a multivariate function to predict the transition potential by using half of the samples to
235 train the model and the other half to test it. A consistent accuracy rate higher than 80 % and an equally
236 high skill measure were obtained between the training and testing datasets. Because of the high
237 accuracy values and sequential cross-tabulation results between observed and simulated maps for
238 2011, it was not deemed appropriate to modify the default MLP parameters.

239 The model transition potentials were utilized in the LCM Change Prediction module to
240 develop the simulated land use map for 2011 in order to validate a comparison with the observed
241 data, and then to predict future land cover maps for 2035 and 2050.

242 Based on the real trend of the land use changes that have been occurred between 2002 and
243 2011, the model predicted a first scenario (S1) for 2035 and 2050. In scenario S1, all land use classes
244 had their normal parameterization. The factors with few chances to improve the forecasting process
245 and/or that are hardly integrable with the biophysical factors, were neglected (Verburg et al., 2004).

246 Scenario S1 was developed using the Markov chain analysis, which is a random-stochastic
247 process that undergoes transitions from one state to another within a fixed time. The probability of
248 the transition to the next state, according to Markov theory, depends exclusively on the current state
249 features and not on the preceding sequence of events (Gamermann, 1997; Häggström, 2002; Kocabas
250 and Dragicevic, 2006).

251 LCM provides two models of change: 1) a soft prediction model which maps the areas that
252 are likely to be transformed and yields a map of vulnerability to change for each land use class; 2) a

253 hard prediction model, based on a competitive land allocation similar to a multi-objective decision
254 process (Clark Labs, 2015), which maps only the selection of areas with the higher suitability to
255 change and yields a single realization map.

256 Two additional scenarios (S2 and S3) were performed, for the same years 2035 and 2050,
257 taking into account incentives and constraints arising from socio-economic drivers. In scenario S2,
258 incentives were given for the abandonment of cereal cultivation. This scenario reflects the agronomic
259 regime (set-aside) introduced by European Union (EU) in 1988 (Regulation (EEC) 1272/88)
260 (<http://eur-lex.europa.eu/legal-content/en/ALL/?uri=CELEX:31988R1272>) with the aim of reducing
261 cereal cultivation and controlling the price reduction of agricultural products. Afterwards it was
262 focused on the environmental implications of the set-aside, considered an effective practice to
263 improve biodiversity on arable farmland, especially on a period of five years (Hester and Harrison,
264 2007). The set-aside is still in use in the Carapelle watershed, although as a practice for crop rotation
265 or for meeting the current UE incentives.

266 The S2 scenario was defined for cereal cultivation areas with a slope greater than 20%,
267 distance from urban settlements greater than 8 km, and distance from primary roads greater than 3
268 km, in order to minimize income reduction due to the modified cropping system. In the fields where
269 cereal is no longer cultivated, natural grass vegetation grows and with time becomes pastureland with
270 the presence of shrubs typical of Mediterranean maquis (Pignatti, 1995). Such agronomic practice
271 was implemented for the years 2035 and 2050 (S2_35 and S2_50 scenarios) in order to evaluate its
272 effects on the sediment load in the watershed.

273 In scenario S3, the incentives given in scenario S2 for the abandonment of cereal cultivation
274 are replaced with incentives for substituting cereals with deciduous forests. In this scenario, the
275 development of a 150 m wide riparian buffer along the main stream is also added in order to take into
276 account one of the major goals of the current European Agricultural Fund for Rural Development
277 (EAFRD) ([http://eur-lex.europa.eu/legal-](http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32013R1305&from=IT)
278 [content/EN/TXT/PDF/?uri=CELEX:32013R1305&from=IT](http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32013R1305&from=IT)) regarding restoring, preserving, and

279 enhancing ecosystems related to agriculture and forestry. This scenario was also implemented for the
280 years 2035 and 2050 (S3_35 and S3_50 scenarios).

281

282 [2.4. AnnAGNPS model description](#)

283 The AnnAGNPS model (Theurer and Cronshey, 1998; Bingner and Theurer, 2005; U.S.
284 Department of Agriculture (USDA)-Agricultural Research Service (ARS), 2006) is a distributed-
285 parameter model based originally on the single-event model AGNPS (Young et al., 1989). The
286 USDA-ARS and Natural Resources Conservation Service (NRCS) developed the original AGNPS
287 and AnnAGNPS to evaluate sediment and chemical delivery from an un-gauged agricultural
288 watershed up to 3000 km² (Bosch et al., 2001; Abdelwahab et al., 2014). The model is a continuous
289 simulation, batch-process computer program where the watershed is divided into many homogeneous
290 (with respect to soil type, land use, and land management) drainage areas (cells), connected to each
291 other by a network of channels and reaches. Runoff volume, sediment, and nutrient and pesticide
292 rates are routed from their origins in upland drainage areas through the defined channel network to
293 the watershed outlet (Bingner and Theurer, 2005; Abdelwahab et al., 2013).

294 The input data required by the AnnAGNPS model are of two major types: a daily climate
295 record and a description of the physical characteristics and management of the watershed. The
296 climatic data requirements for simulations include daily precipitation, maximum and minimum air
297 temperatures, average daily dew point temperature, wind direction and speed, and sky cover or solar
298 radiation. The second type of input data comprises morphological parameters, agricultural practices,
299 and crops and soil data. The hydrologic runs of the model are based on a simple water balance
300 approach that includes interception, evaporation, surface runoff, evapotranspiration, subsurface
301 lateral flow, and subsurface drainage (Yuan et al., 2006). The Soil Conservation Service (SCS) curve
302 number (CN) technique (USDA, 1972) is used to determine surface runoff and peak flow rate, while
303 the revised universal soil loss equation (RUSLE) (Renard et al., 1997) is used to predict sheet and rill
304 erosion. The sediment delivery ratios of total sediment are determined using the hydro-geomorphic

305 universal soil loss equation model (Theurer and Clarke, 1991). Sediment transport in streams is
306 computed using a modified Einstein equation, and the sediment transport flow capacity is estimated
307 using the Bagnold (1966) equation (Bingner and Theurer, 2005). Lastly, soil moisture balance is
308 calculated on a sub-daily time step using a simple constant-time step procedure (Abdelwahab et al.,
309 2016).

310 2.5. AnnAGNPS model data setup

311 Topographic characteristics of the watershed were identified using a 20-m resolution digital
312 elevation model (DEM), provided by the Shuttle Radar Topographic Mission carried out by NASA
313 and NGA. The watershed topographic evaluation and watershed parameterization were performed
314 using the topographic parameterization program (TOPAZ). A subset of TOPAZ, TOPAGNPS,
315 includes TOPAZ modules used for AGNPS. TOPAGNPS processes a raster DEM to: identify and
316 measure topographic features; define surface drainage, flow direction, and flow paths; subdivide
317 watersheds along drainage divides into sub-watersheds; quantify the drainage network; calculate
318 channel parameters; and estimate representative sub-watershed parameters (Garbrecht and Martz,
319 1995). By setting the critical source area (i.e., minimum drainage area below which a permanent
320 channel is defined) and minimum source channel length to 50 ha and 250 m, respectively, 1177 sub-
321 watersheds (cells) and 477 streams (reaches) were generated. This watershed delineation achieved
322 the best spatial description of the watershed because it counts all soil physical, topographical, and
323 management characteristics and, at the same time, leads to a reasonable amount of computation.

324 Soil characteristics were extracted from the agro-ecological characterization of the Puglia
325 region project named ACLA2 (scale 1:100,000), which is aimed at the agro-ecological
326 characterization of the region based on field observations, laboratory tests, and the interpretation of
327 aerial photos and satellite images (Caliandro et al., 2005). The soil types in the watershed have
328 different texture classes with approximately 90% of the total watershed area composed of loam, silty
329 clay loam, and sandy clay loam soils (Milella et al., 2012). The soil hydraulic properties (i.e., water

330 content at wilting point, field capacity, and saturated hydraulic conductivity) were obtained using the
331 pedotransfer functions of Saxton and Rawls (2006).

332 The RUSLE factors LS and C were internally calculated by the model as functions of
333 topography and management, whereas the R factor was calculated after Ferro and Porto (2000). Data
334 from eight rain gauges located in the watershed and its surroundings included in the Puglia civil
335 protection agency network were used to identify the rainfall distribution in the watershed. The average
336 annual rainfall ranges from 553 mm in 2007 to 871 mm in 2010, with an average annual value of 670
337 mm. Rainfall is intense between September and April, with March being the rainiest month (average
338 annual value = 92 mm) while August is the driest (average annual value = 6 mm). The SCS synthetic
339 hyetograph Type I, which best describes Mediterranean conditions (Bisantino et al., 2015), was
340 considered during simulation to represent the rainfall distribution in the watershed (USDA_NRCS,
341 1986). Other climate input data, including minimum and maximum temperatures, dew point, wind
342 speed, and solar radiation, were obtained from the Puglia civil protection agency.

343 The winter wheat crop parameters were derived from the AnnAGNPS model's associated
344 RUSLE database, whereas the management practices applied in the watershed were carefully
345 identified through field surveys and farmer interviews. A four-year crop rotation is typically adopted
346 in the watershed, where winter wheat is cultivated for three years followed by a forage crop (e.g. red
347 clover). Winter wheat is typically sowed in November and harvested in July. Discharge records and
348 sediment transport data, used for the AnnAGNPS model calibration, were provided by a monitoring
349 station located at the watershed outlet (Gentile et al., 2008).

350 Visual examination and statistical indices were used to assess the model performance. The
351 most common statistical index was R^2 , whose drawback is the tendency to mask even very poor
352 fittings.. The Nash and Sutcliffe efficiency (NSE) (1970) is based on the ratio of the residual variance
353 to the data variance. This value estimates the extent to which a plot of simulated values against
354 observed data approximates a 1:1 line. The percent bias (PBIAS) assesses how much the model over-
355 or underestimates the data.

356

357 **3. Results and discussion**

358 **3.1. Landsat images classification and model validation**

359 Following the unsatisfactory results of the supervised classification, as reported in section 2.2,
 360 a hard classification of the TM data was performed for all spectral bands, except thermal band 6. In
 361 a later stage, the results of the hard classifier were used in post-classification by a decision expert
 362 system. Eleven land use classes (Table 1) were produced after cross-tabulation with the CLC maps
 363 followed by a patch-by-patch comparison with the PCN aerial ortho-photos.

364 The accuracy of the classified maps was checked on a pixel basis using a stratified random
 365 sampling method and 70 samples were selected for each land cover category. The data collected from
 366 the classified land cover maps were compared with the reference data corresponding to the real land
 367 use conditions. Image classification accuracies ranged between 90% and 92%, and exceeded the
 368 minimum threshold established for remotely sensed images reported by Congalton (1991).

369

370 Table 1 – Land use land cover area measurements for 1987, 2002, and 2011.

Categories	1987		2002		2011		Δ 1987-2011	
	km ²	%	km ²	%	km ²	%	km ²	%
Urban and rural residential	5.76	1.15	7.48	1.49	9.53	1.90	3.78	65.60
Highway	1.31	0.26	1.30	0.26	1.30	0.26	-0.01	-1.03
Water	3.54	0.70	3.51	0.70	3.55	0.71	0.01	0.31
Coniferous forest	3.83	0.76	3.87	0.77	3.88	0.77	0.05	1.22
Deciduous forest	28.25	5.62	29.07	5.79	29.43	5.86	1.19	4.20
Mixed forest	5.92	1.18	5.74	1.14	5.74	1.14	-0.18	-3.10
Mediterranean maquis/Shrubs	18.33	3.65	17.61	3.51	16.59	3.30	-1.74	-9.47
Meadow/Pasture/Fallow	22.23	4.42	17.75	3.53	15.42	3.07	-6.81	-30.63
Olive	10.22	2.03	10.36	2.06	10.21	2.03	0.00	-0.03
Cereal cultivation	392.25	78.07	395.79	78.77	396.63	78.94	4.38	1.12

Agricultural crop	10.83	2.15	9.99	1.99	10.17	2.02	-0.65	-6.04
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371

372

373 The precision of the simulated map was assessed visually with the LCM validation module,
 374 and quantitatively by performing a cross-tabulation with the real 2011 land use data map. The results
 375 of the confusion matrix point out an overall accuracy of about 0.93 and a Kappa Index of Agreement
 376 (KIA) of about 0.97. The user’s accuracy ranged between 0.778 and 0.9977 (with the exclusion of
 377 the highway land use class), whereas the producer’s accuracy ranged between 0.8237 and 1 (Table
 378 2).

379

380 Table 2 – Accuracy indices obtained after cross-tabulation between classified (Obs_11) and projected
 381 2011 land use maps.

Categories	KIA	User’s accuracy	Producer’s accuracy
Urban and rural residential	0.7742	0.7780	0.8691
Highway	0.9993	0.9993	1.0000
Water	0.9872	0.9873	0.9995
Coniferous forest	0.9977	0.9977	1.0000
Deciduous forest	0.9776	0.9789	0.9759
Mixed forest	0.9876	0.9878	0.9997
Mediterranean maquis/Shrubs	0.9127	0.9157	0.8874
Meadow/Pasture/Fallow	0.8245	0.8298	0.8237
Olive	0.9802	0.9806	0.9572
Cereal cultivation	0.9396	0.9874	0.9853
Agricultural crop	0.8993	0.9002	0.9675

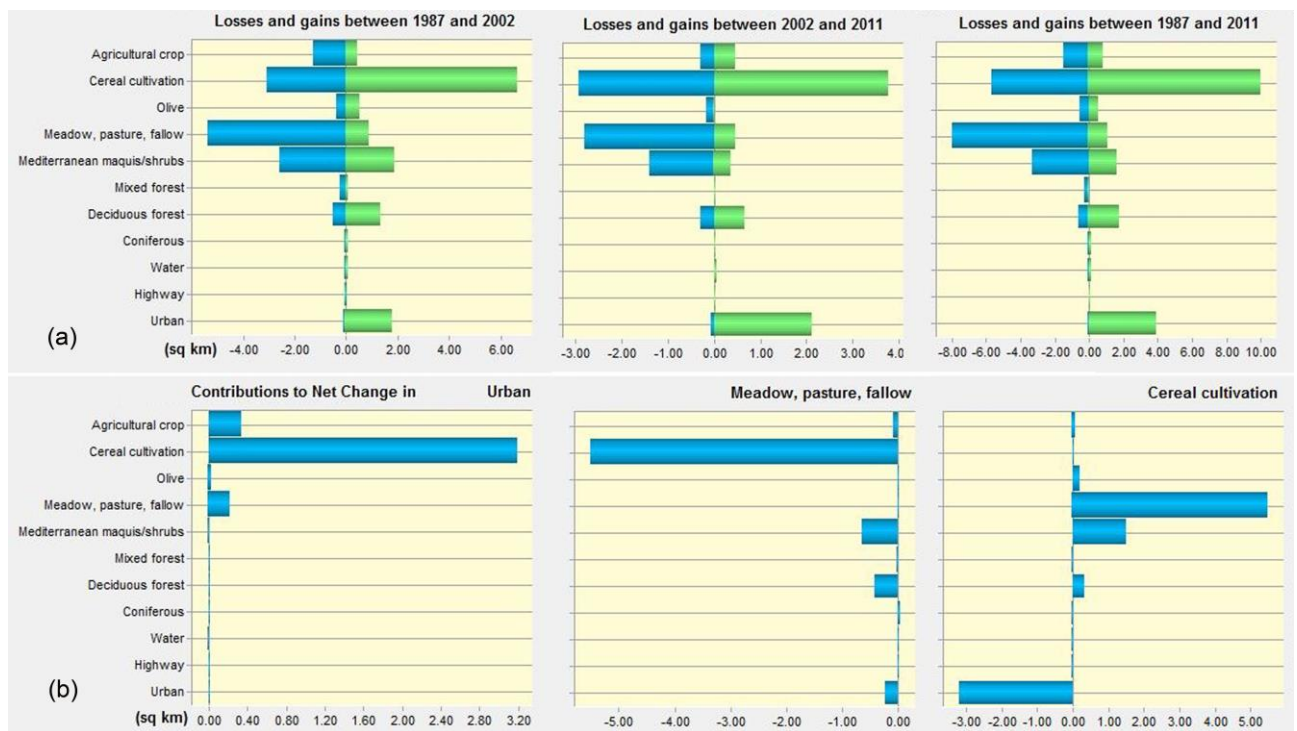
382

383 The obtained KIA values (Table 2), according to Pontius and Millones (2011), show an almost
 384 perfect agreement (KIA>0.8) for all classes, except for the urban areas (substantial agreement) where
 385 transformations were likely less correlated to the biophysical variables selected but might require the
 386 incorporation of socio-economic and political drivers. The results show an acceptable performance
 387 of the land use prediction model.

388

389 3.2. LULC change analysis between 1987 and 2011

390 Land cover classification maps for the Carapelle watershed were produced for each of the
 391 years 1987, 2002 and 2011. For each land use class and for the three observation years, surface area
 392 values (Table 1) and losses/gains (Fig. 2a) were detected using LULC change analysis. The results
 393 show that urban and rural residential areas and cereal cultivation increased respectively by 3.78 km²
 394 and 4.38 km² from 1987 to 2011; Mediterranean maquis decreased by 1.74 km², while meadow,
 395 pasture and fallow decreased by 6.81 km². Urban areas grew steadily from 1987 to 2002 and from
 396 2002 to 2011, whereas cereal cultivation experienced an increase mainly from 1987 to 2002. Large
 397 transformations in the other land use typologies were not observed, except for a moderate deciduous
 398 forest increase, corresponding to 1.19 km², mostly from 1987 to 2002 (Table 1).



399

400 Fig. 2 – (a) LULC losses and gains between 1987, 2002, 2011; (b) Contributions to net change in
 401 urban; meadow, pasture, fallow; cereal cultivation.

402

403 The proportion of urban areas increased mainly at the expense of cereal, agricultural, and
 404 pasture areas, with the highest contribution from cereal crops (3.19 km²), as showed by Fig. 2b. The

405 reduction in meadows/pasture area was lost at the favor of cereal, Mediterranean maquis, and
406 deciduous forest areas, with the highest contribution for cereal areas (5.50 km²). Although cereal
407 cover decreased by more than 3 km² for urban areas, this accounted for only 0.64% of the watershed
408 area and its surface increased between 1987 and 2011 by contributions from meadows, maquis (1.49
409 km²) and deciduous forest (0.32 km²).

410 A careful analysis of the data revealed a lack of a well identifiable trend for the period. The
411 agricultural vocation of the territory seems unchanged and does not demonstrate the impact of
412 planning tools aimed at developing any of the land use type in the area or of some new types. Hence,
413 the observed fragmentation in the territory land use and associated changes are mainly related to
414 individual activities.

415

416 3.3. Predicted LULC change analysis

417 Land use in the S1 scenario estimates surface variations for the watershed land use classes,
418 both in 2035 and 2050 (Table 3). The S2_35 and S3_35 scenarios show that the size of land use
419 classes involved by the socio-economic incentives (e.g., cereal cultivation and
420 meadow/pasture/fallow for S2, cereal cultivation and deciduous forest for S3) change consistently
421 (Table 3). Cereal cultivation decreases, in both S2_35 and S3_35, by 23.05 and 25.59 % respectively
422 in relation to the 2011 spatial extent, whereas meadow/pasture/fallow and deciduous forest areas
423 increase their surface proportion in S2 by 23.32 % and a very slight reduction (0.98 %) in S3 (Table
424 3). Other land use classes also show slight surface variations in S2 and S3.

425 Land use change analysis between 2011 and 2050 confirms the same trend previously
426 predicted for 2035 (Table 3). With the exception of slight countertrends among some land use classes,
427 spatial gains and losses simulated for 2050 appear slightly higher than the respective values indicated
428 for 2035.

429 The land use maps for S1_35 and S1_50 show significant development of urban areas where
430 all land use classes had normal parameterization without incentives and constraints (Fig. 3 and Fig.

431 4). Pronounced development of meadow is observed in the cereal cultivation lands in S2, and the
 432 development of deciduous forest along the riparian areas and in the cereal cultivation lands in S3, as
 433 imposed by the respective incentives (Fig. 3 and Fig. 4). Cereal cultivation is the dominant land use
 434 in scenario S1 with a very slight reduction in area in 2035 and 2050. For the other two scenarios,
 435 areas occupied by cereal cultivation were reduced in the S2_35 and S3_35 scenarios, as previously
 436 reported, and by 22.78 % and 25.32 % for the S2_50 and S3_50 scenarios, respectively.

437

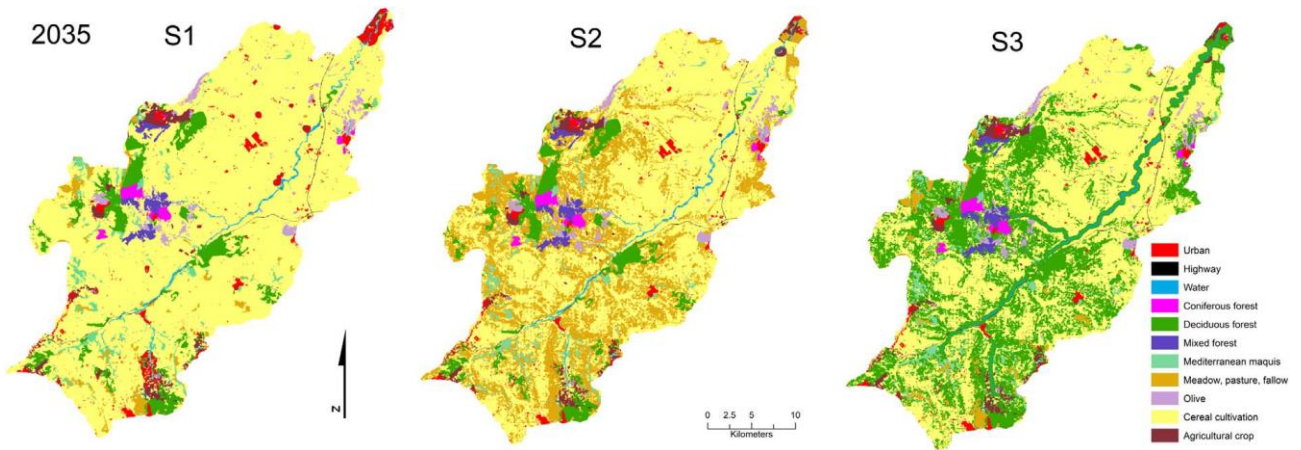
438 Table 3 – Land use areas in 2011, and predicted land use percent change (Δ) of the different scenarios
 439 (S1, S2, S3) through the periods (2011-2035) and (2011-2050)

Categories	2011	Δ 2011-2035			Δ 2011-2050		
		S1	S2	S3	S1	S2	S3
Urban and rural residential	1.90	1.05	-	-	1.69	-	-
Highway	0.26	-	-	-	-	-	-
Water	0.71	-	-	-	-	-	-
Coniferous forest	0.77	-	-	-	-	-	-
Deciduous forest	5.86	0.19	0.19	27.55	0.28	0.28	27.92
Mixed forest	1.14	-	-	-0.003	-	-	-0.003
Mediterranean maquis/Shrubs	3.30	-0.50	-0.50	-0.77	-0.76	-0.76	-1.04
Meadow/Pasture/Fallow	3.07	-0.92	23.32	-0.98	-1.30	23.21	-1.34
Olive	2.03	-0.05	-0.05	-0.15	-0.09	-0.09	-0.19
Cereal cultivation	78.94	0.13	-23.05	-25.59	0.04	-22.78	-25.32
Agricultural crop	2.02	0.10	0.10	-0.05	0.15	0.15	-0.03

Scenario S1: the land use maps in 2035 and 2050 were predicted based on the real trend of the land use changes during the observation period (2002-2011).

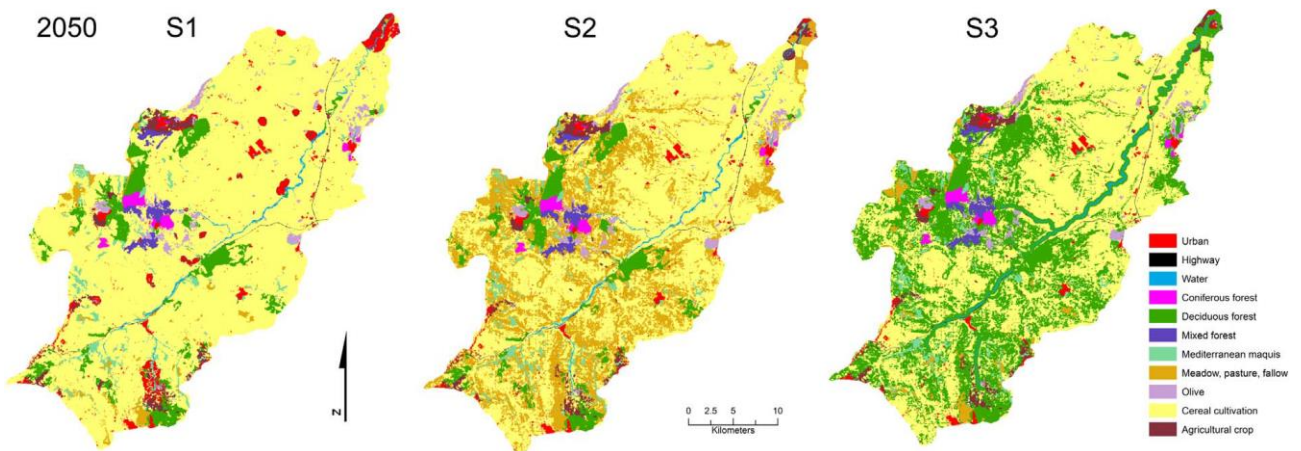
Scenario S2: the land use maps in 2035 and 2050 were predicted taking into account incentives for the abandonment of cereal cultivation in the marginal areas (set-aside).

Scenario S3: the land use maps in 2035 and 2050 were predicted taking into account incentives for replacing cereal cultivation, in the marginal areas, with deciduous forests and the development of a 150 m wide riparian buffer along the main stream.



440

441 Fig. 3 – Carapelle watershed land use maps for scenarios S1, S2, and S3 in 2035.



442

443 Fig. 4 – Carapelle watershed land use maps for scenarios S1, S2, and S3 in 2050.

444

445 3.4. AnnAGNPS calibration

446 3.4.1 Runoff

447 The accumulated totals for observed runoff and sediment load for each simulation year
 448 through the period 2007–2011 were compared with their corresponding simulated values. The main
 449 objective when calibrating the model was to constrain the sediment component in order to study the
 450 impact of the land use change on soil erosion. As it is well known that the hydrological component
 451 of the model is the driving factor for all model simulations, this component was calibrated first. Many
 452 studies that used AnnAGNPS (Yuan et al., 2001; Baginska et al., 2003; Shrestha et al., 2006;
 453 Licciardello et al., 2007; Polyakov et al., 2007; Das et al., 2008; Parajuli et al., 2009; Taguas et al.,
 454 2009; Bisantino et al., 2015) reported CN as the most sensitive input parameter for surface runoff

455 prediction. Before calibration, the initial CN were set for each land use category as proposed by the
456 SCS (1986).

457 On an annual scale, the model had a tendency to underestimate runoff before calibration (31%
458 less than observed runoff). In order to improve the match between the observed and simulated runoff,
459 CN values were increased (+10, +5, +2%) for each land use category. AnnAGNPS was run several
460 times using the modified CN values each time and the statistical analysis was then recalculated. The
461 best simulated values were obtained when CN values were increased by 2% of the initial values,
462 showing a total runoff quantity of 167 mm year⁻¹, which was nearly 6% less than the observed value
463 (177 mm year⁻¹). These results are in agreement with a study by Shamshad et al. (2008) in Malaysia
464 where statistical analysis showed that the runoff volume produced good results ($R^2 = 0.92$, $E = 0.85$)
465 when CN values were increased by 2%. The statistical indices show good model estimation for runoff.
466 In general, the positive PBIAS value of 15.17 shows a slight runoff underestimation on the annual
467 scale.

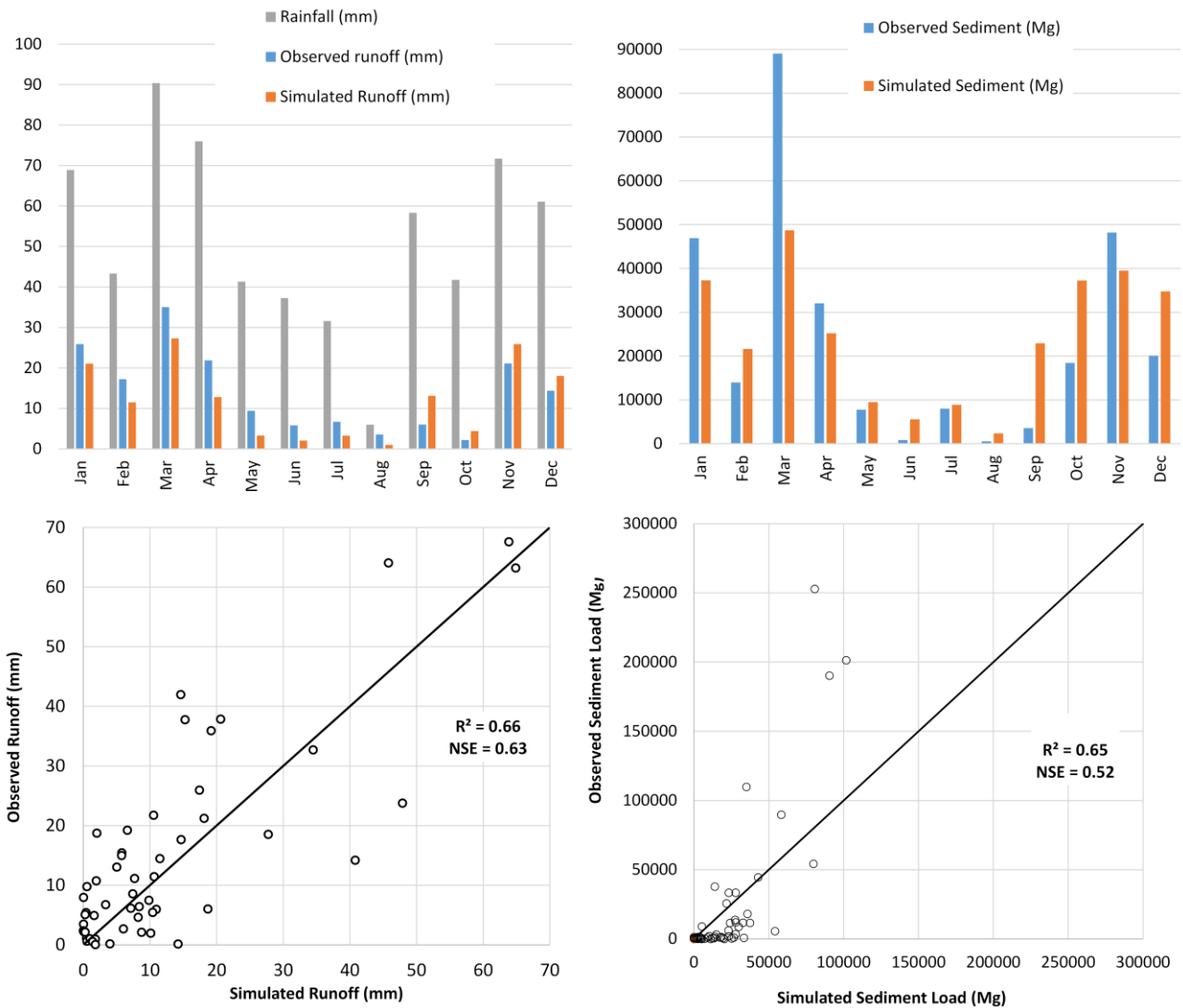
468 The simulated and observed runoff were also compared on a monthly scale. According to
469 Moriasi et al. (2007), the statistical indices demonstrated satisfactory model performance in
470 estimating runoff, while the PBIAS value (15.17) showed a model runoff underestimation. The
471 comparison between observed and simulated runoff on a monthly scale is shown in Fig. 5 where it
472 can be seen that AnnAGNPS under-predicts runoff for every month except for September, October,
473 November, and December. In light of the fact that in July, August, September, and October, fields
474 are not covered by winter wheat and that tillage operations were applied, the underestimation could
475 be explained with the model sensitivity to the cover crop conditions (Yuan et al., 2001). Chahor et al.
476 (2013) used the AnnAGNPS model to simulate runoff and sediment loads in a smaller Mediterranean
477 agricultural watershed (207 ha) located in the region of Navarre (Spain) and showed that the model
478 satisfactorily simulated surface runoff with calibration at monthly and annual scales ($NSE = 0.75$,
479 0.63 and $R^2 = 0.79$, 0.78 , respectively).

480

481 *3.4.2 Sediment load*

482 Default model runs showed a tendency to overestimate sediment load before calibration. To
483 optimize sediment estimation, a calibration was carried out by adjusting the RUSLE-P factor. Renard
484 et al. (1997) reported that the RUSLE-P factor can have a value between 0.4 and 0.6 in watershed
485 conditions under different slope percentages. The best results were obtained for $P = 0.5$. The Manning
486 coefficient was also increased for cropland and rangeland by 50% in order to reduce the sediment
487 overestimation. After calibration, sediment load prediction was improved at an annual scale. The
488 average annual amounts were $6.1 \text{ Mg ha}^{-1} \text{ y}^{-1}$ and $5.8 \text{ Mg ha}^{-1} \text{ y}^{-1}$ for simulated and measured sediment
489 load, respectively, corresponding to a 4% difference. Statistical indices show satisfactory model
490 performance on an annual time scale ($R^2 = 0.61$, $\text{NSE} = 0.41$, $\text{PBIAS} = -0.02$).

491 On a monthly scale, the highest sediment loads were concentrated during November,
492 December, January, March, and April because of intensive rainfall events occurring during those
493 months (Fig. 5). The model capability of estimating sediment load on a monthly basis (Fig. 5) showed
494 satisfactory results ($R^2 = 0.65$, $\text{NSE} = 0.52$, $\text{PBIAS} = -0.02$) according to Moriasi et al. (2007). This
495 finding is in agreement with a study by Taguas et al. (2009), in which sediment at the monthly scale
496 also showed satisfactory values ($\text{NSE} = 0.6$, $R^2 = 0.8$). Chahor et al. (2013) calibrated the AnnAGNPS
497 model for sediment load simulation. The model was capable of simulating the sediment load at an
498 annual scale with a difference of less than 1% for calibration and 7% for validation. However,
499 comparison between predicted and observed sediment loads on a monthly and seasonal basis showed
500 unsatisfactory results ($\text{NSE} = 0.13$, $R^2 = 0.2$).



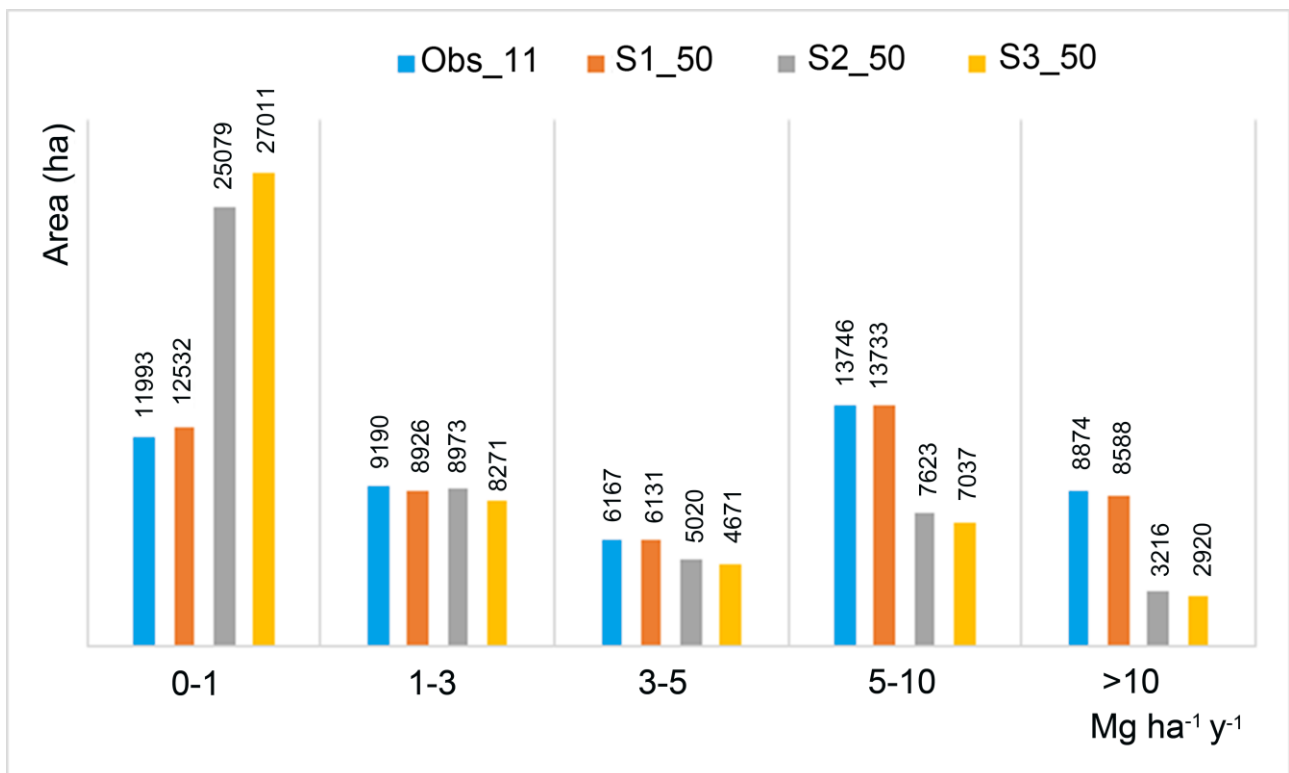
501
 502 Fig. 5 – Monthly observed and simulated Runoff and sediment loads.

503
 504 **3.5. Future land use scenarios and their impact on sediment load**

505 The AnnAGNPS model was used to study the impact on sediment load of three future
 506 scenarios (S1, S2, S3) for each year (2035, 2050) to be compared with the observed land use in 2011
 507 (Obs_11). The average annual runoff was calculated for each scenario. Scenarios S1_35 and S1_50
 508 achieved a very slight change in runoff, in which scenario S1_35 showed an increase by 0.3% and
 509 S1_50 showed a decline by -0.2%. Scenarios S3_35, S3_50, and S2_35 showed almost similar results
 510 as runoff was reduced by approximately 18.8%, 18.9%, and 19.1%, respectively. For sediment load
 511 at the watershed outlet, the results show that the average annual sediment loads increased by 0.3%
 512 and 0.1% in scenarios S1_35 and S1_50, respectively.

513 The remaining four scenarios showed an average annual sediment reduction that ranged from
 514 21.9% to 23.6%. The highest reduction was seen in the cases of S3_50 and S3_35 with reductions by
 515 23.6% and 23.4%, respectively. The sediment load was reduced to 4.63 Mg ha⁻¹ y⁻¹ in scenario S3_50
 516 compared with 6.07 Mg ha⁻¹ y⁻¹ in Obs_11. The three scenarios for the year 2035 show a strong
 517 similarity with those for 2050. This fact could be due to the very slight change in the land use
 518 configuration from 1987 to 2011, which in turn has affected the land change model prediction of
 519 future scenarios. This stability in the land use configuration of the watershed was also seen in 2035
 520 and 2050, which did not lead to substantial influence on the hydrological or sediment component of
 521 the AnnAGNPS model.

522 The AnnAGNPS results were used to evaluate soil erosion rates at the sub-watershed scale
 523 under different land use configurations predicted by LCM. The soil erosion values estimated for the
 524 2011 and 2050 scenarios were reclassified into five classes based on the degree of severity (Fig. 6).
 525 As expected, erosion rates were not subject to large variations from the Obs_11 to S1_50 scenarios.
 526 The mean value observed is 5.34 Mg ha⁻¹ y⁻¹ for 2011, and 5.24 Mg ha⁻¹ y⁻¹, for S1_50. In both cases,
 527 the maximum erosive value is about 21.47 Mg ha⁻¹ y⁻¹.



528

529 Fig. 6 – Soil erosion classes for Obs_11 and S1_50, S2_50, and S3_50 scenarios.

530

531 Significant variations were observed for the S2_50 and S3_50 scenarios. For these two
532 scenarios, the soil erosion class distributions show a significant reduction of the mean soil erosion
533 values ($2.75 \text{ Mg ha}^{-1} \text{ y}^{-1}$ and $2.52 \text{ Mg ha}^{-1} \text{ y}^{-1}$, respectively) due to a sharp increase in the lower
534 classes, as well as a decreasing distribution from zero to highest values, with a maximum observed
535 erosive value of about $16.54 \text{ Mg ha}^{-1} \text{ y}^{-1}$. The average estimated soil erosion for S3_50 is slightly
536 lower than S2_50 ($-0.23 \text{ Mg ha}^{-1} \text{ y}^{-1}$), which is likely due to the fact that deciduous forest protects
537 soil better than pasture and that in S3_50, there is a wooded area along the buffer zone of the main
538 stream. It is believed that the difference between the soil erosion estimated for S3_50 and that for
539 S2_50 is quite small because the pasture in the latter scenario provides sufficient soil protection.
540 Furthermore, the action of deciduous forest in the buffer zone is low since it replaces the cereal
541 cultivation mostly in flat areas rather than in areas with higher slopes.

542 Scenarios S2_50 and S3_50 show a substantial reduction of the sites with high erosion rates
543 compared with Obs_11 and S1_50. Such a territorial context favors the planning of management
544 practices that affect a lower number of sediment source areas. Consequently, the effectiveness of
545 erosion control intervention may be optimized by targeting fewer risky erosion sites.

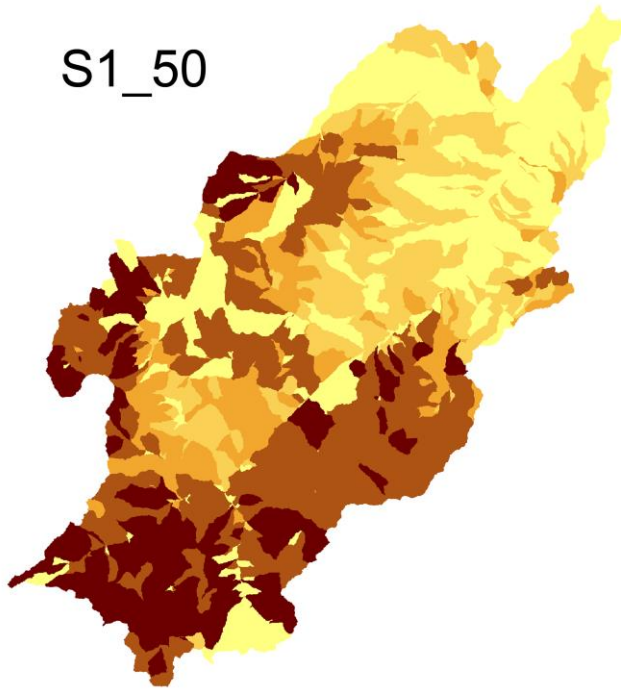
546 The S1_50 class with the lowest erosion (from 0 to $1 \text{ Mg ha}^{-1} \text{ y}^{-1}$) experiences an areal increase
547 of 5.02%, compared with the same erosion class of Obs_11. For S2_50 and S3_50, the increase was
548 110.14% and 126.35%, respectively. In the other classes (1–3, 3–5, 5–10, $>10 \text{ Mg ha}^{-1} \text{ y}^{-1}$), a
549 reduction of area was observed from 2011 to 2050 for all scenarios considered. In the $1\text{--}3 \text{ Mg ha}^{-1} \text{ y}^{-1}$
550 class, the area reduction was low, less than 10% for S3_50, which is the scenario that demonstrates
551 the greatest reduction for this class. The reduction was about 24.3% in the third class ($3\text{--}5 \text{ Mg ha}^{-1} \text{ y}^{-1}$
552 class) for the S3_50 scenario. The area reduction was more significant for the last two classes (5–10 and
553 $>10 \text{ Mg ha}^{-1} \text{ y}^{-1}$). In the first case ($5\text{--}10 \text{ Mg ha}^{-1} \text{ y}^{-1}$), percentages range from 0.1% in S1_50 to 44.55%

554 in S2_50, and up to 48.41% in S3_50. The reduction percentage was even more accentuated for the
555 last class ($>10 \text{ Mg ha}^{-1} \text{ y}^{-1}$), which reached 67.10% for S3_50.

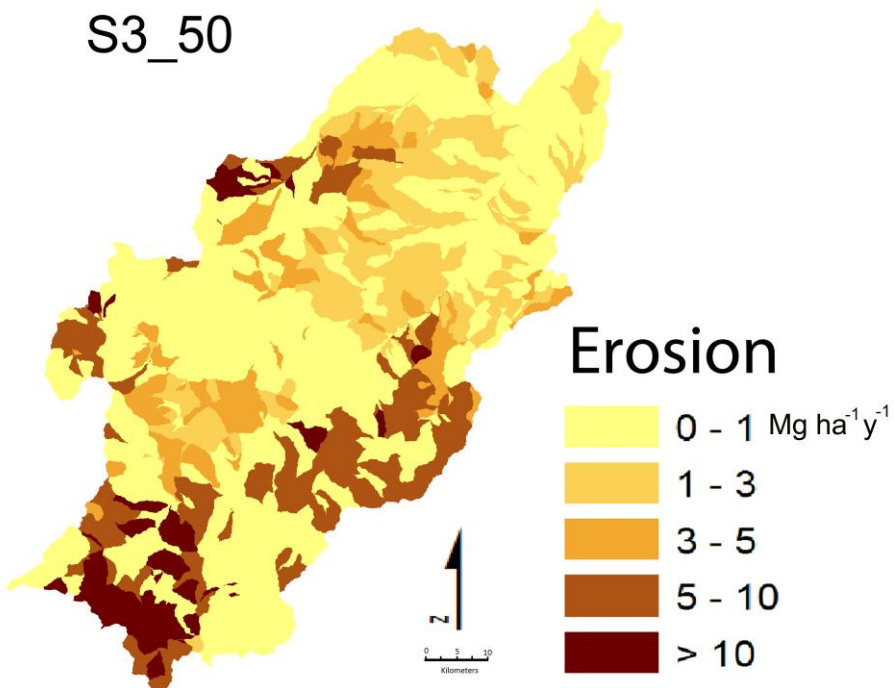
556 It is interesting to compare the spatial distribution of different erosion classes for scenarios S1_50
557 and S3_50, as shown in Fig. 7. The substitution of cereal cultivation in the marginal areas of the
558 watershed with deciduous forest results in a reduction of the higher erosion classes (5–10 and >10
559 $\text{Mg ha}^{-1} \text{ y}^{-1}$) in scenario S3_50, especially in the mountainous areas with higher slopes. In particular
560 if we consider the watershed areas with an altitude greater than 500 m a.s.l. and a slope greater than
561 10%, the surface occupied by the two higher erosion classes (5–10 and $>10 \text{ Mg ha}^{-1} \text{ y}^{-1}$) is 131.29
562 km^2 in scenario S1_50 and 51.47 km^2 in scenario S3_50; if we consider only the highest erosion class
563 ($>10 \text{ Mg ha}^{-1} \text{ y}^{-1}$) the surface is 65.32 km^2 in scenario S1_50 and 21.32 km^2 in scenario S3_50).

564 This suggests that a widespread use of deciduous forest on high slope areas would be an
565 appropriate management practice in such territorial context.

S1_50



S3_50



566

567 Fig. 7 – Predicted erosion potential map for S1_50 and S3_50 scenario

568

569 **Conclusions**

570 The aim of this study is to identify the potential effects of land use changes on spatial

571 distribution and entity of soil erosion in the Carapelle watershed. A long-term land use analysis

572 performed from 1987 to 2011 using Landsat imagery and LCM, reveals the poor propensity of the
573 area to experience large changes in the studied period. This trend is confirmed considering the
574 predicted land use in 2035 and 2050 (scenario S1), based on a Markov's chain analysis. Two
575 additional land use scenarios (S2 and S3) were then developed, including in the LULC analysis two
576 different management options of the watershed, which are based on the regional socio-economic
577 trends and EAFRD guidelines.

578 Taking into account the results of the land use analysis, the AnnAGNPS distributed model
579 was used to investigate the rate and distribution of soil erosion in the watershed. The high erosion
580 rates estimated in 2011 were not subject to significant variations in the S1 scenario. In this scenario,
581 soil erosion reaches high levels ($>10 \text{ Mg ha}^{-1} \text{ y}^{-1}$) in many sites, which can cause a considerable degree
582 of loss in soil fertility. Significant positive variations were conversely observed for the S2 and S3
583 scenarios since a relevant reduction in the sediment load was estimated. Even if both management
584 options were useful in reducing the higher rates and areal distribution of soil erosion in the watershed,
585 in scenario S2 the replacement of winter wheat with fallow responds exclusively to political and
586 economic directives. Scenario S3, conversely, has a more complex effect, as the replacement of
587 winter wheat with deciduous forest and riparian buffers generates also positive effects for the
588 environment since it allows the development of more complex vegetation series with higher
589 biodiversity.

590 The results obtained highlight the importance of modeling the impact of future land use
591 changes on soil erosion. This is especially true in cases, like this Mediterranean agricultural
592 watershed, where the erosion rates are actually high and the integrated use of land use change analysis
593 and erosion modeling confirms this trend in the future. The methods described here are applicable by
594 researchers and planners to identify which management options can be adopted to reduce soil erosion
595 risk in such fragile environments, taking into account the distribution of soil erosion at a watershed
596 scale as well as environmental, political and economic constraints.

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