

1 The effect of mineralogy, microstructure and firing temperature on the effective thermal  
2 conductivity of traditional hot processing ceramics

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## 20 **Abstract**

21 The present work analyses the effect of mineralogy, microstructure and firing temperature on the  
22 effective thermal conductivity of traditional hot processing ceramics. Samples prepared with two  
23 quartz-rich kaolinitic clays (BAR1 and BAR2), a fine kaolinitic clay (ARG) and a glaucophane-rich  
24 clay (SIF), were fired in the range between 950 and 1350 °C. The effective thermal conductivity is  
25 principally affected by the porosity of the body. Mullite further improves the thermal conductivity  
26 of BAR1 and BAR2 ceramics, while in ARG samples cristobalite is correlated with the increase in  
27 thermal conductivity. In SIF ceramics, the higher densification and the formation of spinel,  
28 pyroxene and hematite results in a higher conductivity compared to the other samples. The  
29 amorphous phase improves the ceramics' thermal conductivity since it seals voids between  
30 particles. In samples in which quartz exceeds 50 wt%, the ceramics' thermal conductivity decreases  
31 because of fissures and detachment zones formed after the  $\alpha$ - $\beta$  quartz phase transition. Finally,  
32 functional conclusions are drawn on traditional cooking pot and Medieval glass crucibles.

33

## 34 **Keywords**

35 Thermal conductivity, Glass crucibles, Cooking Pots, Sifnos, Firing temperature

36

## 37 **1. Introduction**

38

39 The production of ceramics by using natural clays was one of the most important steps for the  
40 human civilization. Due to its characteristics and properties, ceramics played a key role in the  
41 ancient society and were used for a large variety of applications such as transport and storage  
42 vessels, bricks, tiles, cooking pots, decorative objects, technical tools, metal or glass crucibles,  
43 pipes, etc. Their technological evolution was influenced by the use of the most suitable raw  
44 materials, clay processing and firing technology in order to achieve the desired thermo-mechanical  
45 properties, which eventually determined the functionality of a ceramic artefact.

46 According to Rice (2005), three different use categories can be distinguished in traditional ceramic  
47 vessels: storage (e.g. jar), transport (e.g. amphorae), and processing (e.g. crucibles or cooking pots,  
48 with heat; mortars, without heat). In the last two cases, the required physical and mechanical  
49 properties are more restrictive in terms of functionality.

50 For example, transport amphorae should have a high tensile strength and toughening in order to  
51 provide a steady containment for their content. Otherwise their failure could have caused the loss of  
52 the content and endanger of the entire cargo of a merchant ship (Hein and Kilikoglou, 2014;  
53 Kilikoglou and Vekinis, 2002; Kilikoglou et al., 1998; Tite, 2008). In the case of hot processing  
54 ceramics which were exposed to a heating source, the thermal conductivity is one of the most  
55 important physical properties to be considered because it affects the heat transfer in a medium and it  
56 is strictly connected to the thermal shock resistance (Kingery et al., 1976; Tite et al., 2001; Velde  
57 and Druc, 1999). According to the context of use, the heating source could be set outside the  
58 container (i.e. cooking pots, glass crucibles) or inside (i.e. furnaces or early metal crucibles). In the  
59 first case, the ceramic should have a high thermal conductivity in order to allow the heat transfer  
60 through the container walls and heating of its content; in the second case, the container should have  
61 low thermal conductivity in order to reduce heat losses (Allegretta et al., 2014). This is also  
62 required in the production of building ceramics in order to reduce energy consumption (Gensel,  
63 2015; Muñoz et al., 2014; Suctu, 2015).

64 In the last two decades, a lot of studies were published on the effect of raw materials on the thermo-  
65 mechanical properties of ceramics (Allegretta et al., 2014; 2015; Dondi et al., 2004; García-Ten et  
66 al., 2010a; 2010b; Hein et al., 2008; 2013; Hoard et al., 1995; Jordan et al., 2008; Kilikoglou et al.,  
67 1995; 1998; Lassinantti Gualtieri et al., 2010; Müller et al., 2010; in press; Vekinis and Kilikoglou,  
68 1998; Warfe, 2015). Porosity is one of the main parameters which affect the thermal properties of

69 the material. At temperatures below 1200 °C the presence of pores in the ceramic body lowers its  
70 thermal conductivity, whilst at higher temperatures the relevant contributions of the radiation and  
71 convection in heat transfer can improve the thermal conductivity (Kingery et al., 1976; Kohl, 1964;  
72 Litovsky and Shapiro, 1992; Litovsky et al., 1996, Fedina et al., 1997). Several studies  
73 demonstrated that the shape and the orientation of pores should be considered because elongated  
74 pores perpendicular to the heat flux reduce, more than spherical ones, the heat diffusion in the body  
75 (Cernuschi et al., 2004; Hasselman and Johnson, 1987; Hein and Kilikoglou, 2007). However, the  
76 consideration of either porosity or bulk density is insufficient for the study of thermal conductivity  
77 of a clay-based ceramic, as demonstrated by Dondi et al. (2004) and Lassinantti Gualtieri et al.  
78 (2010). In particular, Lassinantti Gualtieri et al. (2010) found a correlation between the effective  
79 thermal conductivity and some components of the natural clay used for the preparation of ceramics  
80 such as organic material, feldspar and clay content. They also noted that the fine size of the clay  
81 contributes to improving thermal conductivity, probably due to a better sintering level. Furthermore,  
82 the addition of some additives to the clay mixture can affect the thermal conductivity of the body.  
83 Tempering materials such as quartz or granite improve the thermal conductivity of the fired body up  
84 to certain content (10-15%), but when they exceed this limit, they produce the opposite result  
85 because both the mismatch in thermal expansion and the  $\alpha$ - $\beta$  quartz phase transition create a  
86 detachment zone around temper grains (rim porosity) and cracks in the body (Allegretta et al., 2014;  
87 Hein et al., 2008). The addition of limestone temper decreases the thermal conductivity of the  
88 ceramic in particular when the firing temperature is set above the carbonate decomposition  
89 temperature (Allegretta et al., 2014; García-Ten et al., 2010b). The presence of organic materials  
90 had a positive effect on the thermal insulation of ceramics (Lassinantti Gualtieri et al., 2010) even if  
91 different effects have been observed according to the type of organic material used (straw, seeds,  
92 etc) because they produce pores with different shapes (Hein et al., 2013).  
93 However, all these results are related to clay mixtures fired at temperatures (from 500 to 1000 °C)  
94 lower than those used for firing technical ceramics like glass crucibles (Eramo, 2004; 2006a). At  
95 higher temperatures (1200-1400°C), new phases form revealing different thermal properties. Also  
96 the porosity and the shape of the pores could change according to the viscosity of the melted part.  
97 High firing temperatures are reached in a few experimental studies (Michot et al., 2008) but in such  
98 cases the results are related to ceramic made with pure standard clay and not with natural clay.  
99 The present work aims to analyse the thermal conductivity of ceramics prepared with different clay  
100 mixtures fired in the range between 950 and 1350 °C. Some of these clays were used in the  
101 preparation of ancient technical ceramics. In particular, two coarse kaolinitic clays from Switzerland  
102 were used in the manufacture of Medieval glass crucibles (Eramo, 2006b), whereas a glaucophane-

103 rich clay from the Greek island of Sifnos is used for the production of cooking pots since the 17th  
104 century (Kyriakopoulos, 2015). In addition, a fine kaolinitic clay, already used by us in some  
105 previous works on thermo-mechanical properties of ceramics (Allegretta et al., 2014; 2015), was  
106 also tested in the present work in order to study the effect of clay particle size and composition on  
107 the thermal conductivity of the fired body. The thermal conductivity of these ceramics is discussed  
108 on the base of mineralogy, porosity and microstructures in order to consider all the possible  
109 variables changing during firing.

110

## 111 **2. Materials and Methods**

112

### 113 *2.1 Raw materials and ceramic preparation*

114

115 Four different clays were used for the preparation of the samples: two clays (BAR1 and BAR2)  
116 were sampled in Switzerland (Court, Ct. Bern), SIF was sampled on the Aegean island of Sifnos  
117 (Greece) and ARG is an Ukrainian clay distributed by Imerys Tiles Minerals Italia S.r.l. of Reggio  
118 Emilia - Italy. The clays were water sieved and the fraction with particle size greater than 2 mm was  
119 removed. After drying the powdered clays, 5 wt.% of water was added and ceramic disks of 30 mm  
120 of diameter and 7 mm of height were prepared using uniaxial pressing (25 MPa). This uniform  
121 pressure was applied in order to eliminate primary porosity and to avoid effects due to pore shape  
122 which could affect the thermal conductivity of the ceramic bodies (García-Ten et al., 2010a). The  
123 disks were left drying for 24 h at 100 °C and fired at 950, 1050, 1150, 1250 and 1350 °C using a  
124 rate of 150 °C/h and a soaking time of 1 h. As disks made from the SIF clay melted at temperatures  
125 above 1150 °C they were not considered in the paper.

126

### 127 *2.2 Analytical techniques*

128

129 Both clays and ceramics were analyzed via X-ray powder diffraction technique. Initial qualitative  
130 analyses of the clay fraction of each sample were performed on as-prepared, calcined (550 °C) and  
131 glycerol-treated oriented samples (Azaroff and Burger, 1958), using a  $\theta/2\theta$  PANalytical X'Pert pro  
132 MPD diffractometer and X'Pert Highscore (PANalytical, version 3.0) with a PDF2 reference  
133 database implemented in the software. XRPD data for quantitative phase analyses (QPA) were  
134 collected using a  $\theta/\theta$  PANalytical Empyrean diffractometer, equipped with a time multiple strip  
135 (RTMS) *PIXcel*<sup>3D</sup> detector. A 0.125° divergence slit, a 0.25° anti-scattering slit and a soller slit  
136 (0.02 rad) were mounted in the incident beam pathway. The diffracted beam pathway included a Ni

137 filter, a soller slit (0.02 rad) and an antiscatter blade (7.5 mm). A virtual step scan of the RTMS  
138 detector of  $0.026^\circ 2\theta$  was used. The data were invariably collected with high counting statistics  
139 (360 s/step) from carefully ground powders, using sideloaded sample holders. The QPA were  
140 performed using Rietveld refinements which were carried out means of the fundamental parameters  
141 based Rietveld program BGMN Version 1.8.6b (Bergmann et al., 1998). For fired samples, the  
142 quantitative phase analysis method using the Rietveld technique was combined with the internal  
143 standard method in order to quantify the amorphous phase (Bellotto and Cristiani, 1991; Gualtieri,  
144 1996; 2000; Gualtieri and Artioli, 1995; Gualtieri and Zanni, 1998) formed after the  
145 dehydroxilation of clay minerals. Corundum was thus added to the samples (10 wt.%) as internal  
146 standard and included in the refinements.

147 The following generalized refinement models were applied for the analyzed samples: background  
148 was modelled by a polynomial function with a different number of coefficients depending of the  
149 sample, i.e low degree of background polynomial in clay sample and high degree of background  
150 polynomial in fired samples; zero point (limits  $\pm 0.02^\circ$ ) and sample displacement ( $\pm 0.03$  mm) were  
151 always refined. Lattice parameters were refined for all phases with 'reasonable' interval restraints  
152 and spherical harmonics models were used to correct preferred orientation, which was observed  
153 especially for layer silicates. All the structures used for the Rietveld refinement were taken from the  
154 BGMN database with the exception of that of glaucophane (Papike and Clark, 1968); kaolinite and  
155 smectites were refined according to a disordered kaolinite and a Na-smectite structure model,  
156 respectively.

157 The particle size distribution of the four clays was studied by water sieving for the fraction between  
158 2000 - 32  $\mu\text{m}$ , and sedimentation applying Stoke's law for particles size less than 32  $\mu\text{m}$  (Tickell,  
159 1965).

160 In order to study the ceramic microstructures, backscattered electron (BSE) micrographs were  
161 acquired on graphite-coated samples using a ZEISS LEO 50XVP scanning electron microscope  
162 (SEM), operating at 15 kV. X-ray maps were obtained with a X-MaxN 80  $\text{mm}^2$  SDD detector and  
163 Aztec software (Oxford Instruments).

164 The open porosity was estimated by water immersion (EN 993-1).

165 Chemical analysis was conducted using a Rigaku Supermini 200 WDXRF equipped with a Pd-  
166 anode working at 50 kV and 4 mA. The WDXRF was calibrated using geological standards by  
167 SARN (Service d'Analyses des Roches et des Minéraux) and the loss of ignition (LOI) was  
168 estimated.

169 The thermal conductivity ( $k$ ) of the polished ceramic disks was estimated using a modified Lee's  
170 disk apparatus, a device which has proven to be suitable for the reproducible determination of this

171 physical property in insulating materials (Allegretta et al., 2014; Hein et al., 2008; 2013). The two  
172 brass disks used as heating and detector disk have a diameter of 30 mm and were connected to two  
173 thermocouples linked to a data logger in order to record their temperatures. The heating temperature  
174 was set at 120, 220 and 370 °C and the thermal conductivity was estimated at the equilibrium using  
175 the Fourier's equation:

176

$$177 \quad (T) = \dot{q}_{loss}(T) \frac{x}{A(T_1 - T_2)}$$

178

179 where  $x$  and  $A$  are the thickness and the surface area of the specimen,  $T_1$  and  $T_2$  are respectively the  
180 temperatures of the heating and detector disks when the system has reached equilibrium.  $\dot{q}_{loss}$  is the  
181 heat loss of the detector disk, which has been estimated by recording the temperature curve of the  
182 heated disk left for cooling. Since this work aims to analyse the parameters affecting the effective  
183 thermal conductivity and does not want to focus its attention on the thermal conductivity of the  
184 solid phase only, no correction with pore volume fraction using Maxwell's or Landauer's relations  
185 were applied.

186

## 187 **3 Results**

188

### 189 *3.1 Clay characterisation*

190 The mineralogical composition of each clay fraction, as determined by XRPD data and Rietveld  
191 refinements, is reported in Table 1, with relative refinement agreement factors. Standard deviation  
192 values of weight percents with a 3- $\sigma$  correction are reported in order to give a more realistic  
193 confidence interval for this kind of complex systems. The chemical composition and the particle  
194 size distribution of the clay samples are reported in Tables 2 and Table 3, respectively.

195 The sample ARG, corresponding to the same clay used in three our previous studies (Allegretta et  
196 al., 2014; 2015; 2016), is mainly composed of kaolinite (~55 wt%) with minor illite/mica, quartz,  
197 smectite and traces of anatase, rutile and alunite. It is a considerably fine clay as 80 % of the  
198 sediment shows particle size lower than 2  $\mu\text{m}$ .

199 The clay samples BAR1 and BAR2 are both composed principally by quartz (up to ~75 wt%),  
200 kaolinite (19 and 21 wt%, respectively) and very small quantities of micas and calcite (less than 4  
201 wt%). In sample BAR2 also traces of hematite were found. Both BAR1 and BAR 2 are  
202 characterised by a consistent sandy component (respectively 65 and 55 %) and the rest is equally  
203 distributed between the silt and clay fraction. The clay sample from Sifnos consists of glaucophane

204 (up to 46 wt%) and minor components of quartz, micas, epidote, and kaolinite, whereas plagioclase,  
205 dolomite, garnet, rutile and hematite are present in very low amounts. More than 40 % of the SIF  
206 clay is in the range of sand, while silt and clay fraction are respectively attested at 27 and 32 %. SIF  
207 clay is characterized by higher contents of K<sub>2</sub>O, Na<sub>2</sub>O, MgO, CaO, as well as a relevant  
208 concentration of Fe<sub>2</sub>O<sub>3</sub> (11.45 %) with respect to ARG, BAR1 and BAR2 clays (Table 3).

209

### 210 *3.2 Ceramic characterisation*

#### 211 *3.2.1. Phase analysis from XRPD*

212 The results of the Rietveld quantitative phase analyses of the fired samples are reported in Table 4.  
213 Values of Rwp range from 3.71 % to 9.14 % (Table 4), testifying for the refinement goodness.

214 In the ceramics made from the ARG clay sample, the principal crystalline phase is quartz and the  
215 amount of this phase remains almost constant up to the temperature of 1150 °C. At of 950 °C the  
216 amorphous, clearly visible by a broad hump in the background in the range of 15-30° and a spinel-  
217 type phase, forms from decomposition of clay minerals. Moreover, a spinel-type phase, indicated by  
218 the three broad reflections at about  $d = 2.39, 1.98$  and  $1.40 \text{ \AA}$ , and traces of a weakly crystallized  
219 mullite (protomullite or primary mullite) are detected at this temperature, as reaction products of  
220 metakaolinite. In agreement with assessments reported in Allegretta et al., (2016) the spinel type  
221 phase was interpreted as a  $\gamma\text{-Al}_2\text{O}_3$  spinel phase with very limited Si-for-Al substitution. In samples  
222 fired at 950 °C and 1050 °C, the amount of protomullite does not exceed 10 wt%, whereas the  
223 content of this phase, as well as its crystallinity, increases significantly at higher firing temperatures  
224 (Table 4). The concentration of  $\gamma\text{-Al}_2\text{O}_3$  spinel does not change from 950°C to 1050 °C, but  
225 decreases significantly at 1150 °C, until disappearing completely at 1250 °C, with the simultaneous  
226 formation and increase of the well crystalline mullite and the formation of cristobalite. The  
227 concentration of  $\gamma\text{-Al}_2\text{O}_3$  spinel does not change from 950°C to 1050 °C, but decreases significantly  
228 at 1150 °C, until disappearing completely at 1250 °C, with the simultaneous formation and increase  
229 of the well crystalline mullite and the formation of cristobalite.

230 The amorphous content slightly decreases from 950 °C to 1150 °C and then increases limitedly up  
231 to 1350 °C. Through this interval the nature of the amorphous phase changes, as from 1250 °C  
232 onward a vitreous phase starts to form as will be better described in the discussion paragraph. Such  
233 extended vitrification is inversely correlated with quartz content (Fig. 3C).

234 In samples BAR1 and BAR2 quartz is the only crystalline phase present up to the firing  
235 temperature of 1050 °C

236 in addition to amorphous mainly formed from kaolinite decomposition. No distinct reflection of  
237 mullite or broad humps of spinel-type phase were detected in the powder patterns. At 1150 °C the

238 amorphous content drops drastically in both BAR1 and BAR2 ceramics, in coincidence with the  
239 crystallization of mullite and cristobalite. The amount of mullite in these samples remains almost  
240 constant from 1150 to 1350 °C, whereas cristobalite increases progressively in this temperature  
241 range (from 2.8 to 13.4 wt% in BAR1 and from 3.5 to 17.8 wt% in BAR2). The ceramics prepared  
242 from the Sifnos clay show a rather complex mineralogical composition with spinel, pyroxene,  
243 hematite and plagioclase, representing the main newly formed phases. The amounts of these phases  
244 do not show significant variations in the temperature range from 950 to 1150 °C, with the exception  
245 of hematite and spinel which show a limited increase with the firing temperature, exceeding the  
246 estimated standard deviation values. The content of the amorphous range from 53 to 57 wt%, with  
247 limited differences from sample to sample within the range of the experimental error. Mg-Al and  
248 Fe-Al spinels and pyroxenes are the main products of glaucophane and garnet decomposition. The  
249 increase of hematite amounts in fired samples compared to the original clay could be related to the  
250 oxidation of poor crystalline Fe oxy-hydroxides not detected in XRPD of raw clay. The  
251 decomposition of original epidote could have contributed to formation of plagioclase, pyroxenes  
252 and hematite.

253 The small increase of quartz observed in all the samples fired at 950 °C compared to the original  
254 clay, may be explained as the result of a passive increase due to calcination of clay minerals and  
255 calcite, but also by a difficult of the Rietveld approach to quantify low crystalline phases,(e.g.  
256 metakaolinite), which do not present intense diffraction lines. This justify the use of standard  
257 deviation values of weight percents with a 3- $\sigma$  correction (Table 4), which we consider more  
258 realistic for the present data.

259

### 260 *3.2.2 Microstructure and porosity*

261 The microstructures observed under the scanning electron microscope account for relevant  
262 differences among samples from the four series of ceramic tests. Pore shape and distribution are  
263 heavily influenced by particle size distribution of the clay.

264 The BSE images of ARG clay test pieces fired at 950 °C, 1150 °C and 1350 °C clearly show an  
265 evolution of size, shape and distribution of pores, as consequence of clayey matrix dehydroxilation  
266 and cracking of coarse quartz grains as well. Highest densification was reached at 1150 °C, whilst  
267 diffused small rounded pores in the range between 2 and 10  $\mu\text{m}$  were observed at 1350 °C (Fig. 1).  
268 BAR1 and BAR2 series show similar microstructures. Coarse quartz grains are the prevalent  
269 portion of the ceramic body and no densification occurred up to 1150 °C (Fig. 1), whereas at higher  
270 firing temperature the decrease of the porosity (Table 5) indicates the occurrence of densification.  
271 Between 1150 °C and 1350 °C the limestone fragments from the original clay of BAR2 produced



272 isolated Ca-rich domains which evolved in partial melting of the ceramic body, giving closed pores.  
273 SEM observations reveal some tridymite crystallised from the melt around quartz grains (Fig. 2),  
274 but the amount of tridymite nuclei is too low to be detected from XRPD.

275 In the samples of SIF series the original presence of sheet silicates and platy particles give  
276 elongated open porosity at 950 °C, which decreased progressively at higher firing temperatures  
277 becoming more rounded and spherical. Incipient melting of feldspars, micas and amphiboles is  
278 already present at 950 °C and some bloating up to 1150 °C. Coarse quartz cracking is observed, but  
279 negligible rim porosity (Fig. 1).

280

### 281 3.4 Thermal Conductivity

282 As a general trend our data (Table 5) show that the increase of the firing temperature involves an  
283 improvement of the effective thermal conductivity ( $k_{eff}$ ). However, some exceptions and  
284 peculiarities come out from the different ceramic bodies. In the samples of the ARG series, a  
285 continuous increment of  $k_{eff}$  is observed up to 1150 °C passing from 0.63-0.67 to 1.17-1.22 W/m·K.  
286 At higher firing temperatures, the effective thermal conductivity remains constant. A slight increase  
287 in thermal conductivity, when the test temperature passes from 120 °C to 370 °C, is also observed  
288 in all the ARG ceramics.

289 The BAR1 and BAR2 series of ceramics show an increase in  $k_{eff}$  with firing temperature, with the  
290 exception of samples fired at 1150 °C, which exhibit a reduction of the thermal conductivity to 0.64  
291 and 0.73 W/m·K, respectively, thus reaching values comparable with those recorded for ceramics  
292 fired at 950 °C. In samples fired at 1250 and 1350 °C, the thermal conductivity increases again  
293 achieving values ranging from 0.99 to 1.20 W/m·K for BAR1, and from 1.09 to 1.37 W/m·K for  
294 BAR2. In samples from both the BAR1 and BAR2 series, the thermal conductivity reduces with the  
295 test temperature, with the unique exception represented by samples fired at 1150 °C, which show an  
296 increase of  $k_{eff}$  passing from 120 to 370 °C.

297 Finally, ceramic prepared with the SIF clay are the most conductive ones. When fired at 950 °C,  
298 they reach 1.03-1.07 W/m·K, a value of thermal conductivity observed only at higher temperatures  
299 in the case of ceramic prepared with the other clay mixtures. At the firing temperature of 1050 °C,  
300 the thermal conductivity reaches its maximum and remains constant at 1150 °C. Also in this case,  
301 the increase of the test temperature results in a reduction of the thermal conductivity which becomes  
302 relevant for SIF\_1150 which passes from 1.56 to 1.45 W/m·K.

303

## 304 4 Discussion

305

#### 306 *4.1 Analysis of the factors which affect the effective thermal conductivity of ceramics*

307 The change of firing temperature produces several changes in the ceramic disks in terms of  
308 porosity, mineralogy and microstructures and the variation of these factors influences the effective  
309 thermal conductivity of ceramic bodies. A general view of these changes as a function of the firing  
310 temperature is shown in Figure 3. The nature of the clay and the firing temperatures influence  
311 considerably the open porosity, as well as the shape and distribution of pores. The overall impact of  
312 the porosity on the effective thermal conductivity is higher than that produced by amorphous and  
313 crystalline phases. As a matter of fact, thermal conductivity and porosity have opposite trends  
314 (Figure 3), indicating that the increase in firing temperature reduces the open porosity and improves  
315 the thermal conductivity of the ceramics.

316 Plotting the thermal conductivity versus the porosity (Fig. 4), a correlation coefficient of 0.67 is  
317 found, even if different clay mixtures are considered. These results are in accordance with previous  
318 literature (Dondi et al., 2004; García-Ten et al., 2010a; Lassinantti Gualtieri et al., 2010) showing a  
319 direct correlation between thermal conductivity and bulk density. Secondary porosity formed during  
320 firing is negligible for ARG samples and becomes progressively more important in BAR and SIF.  
321 Whilst ARG and SIF clays show incipient densification between 1050 and 1150 °C, and 950 and  
322 1050 °C respectively, BAR clays show densification only at  $T > 1150$  °C. At this temperature, local  
323 melting in Ca-rich micro-domains gives closed porosity (Fig. 1) and decreases of apparent porosity  
324 (Table 5).

325 In addition to the porosity, mineralogical changes play an important role in the achievement of  
326 specific thermal properties. The correlation between thermal conductivity and mineral phases  
327 developed during firing are shown in Figure 5. Figure 5A indicates that the thermal conductivity  
328 increases with increasing percentage of the amorphous phase in the samples. Although very weak,  
329 the observed trend could be explained considering that the amorphous phase, strictly related to the  
330 firing temperature, closes the pores creating a continuum among crystalline phases. Furthermore,  
331 according to Smith and al. (2003) the thermal resistance at the grain boundary between two solid  
332 phases of different nature is higher than the resistance produced in continuous single-phase  
333 materials (i.e. the amorphous phase). These factors seem thus to contrast an opposite effect related  
334 to the lower thermal conductivity of the amorphous compared to that of the minerals from which it  
335 forms (Kingery et al., 1976)

336 For similar firing temperatures, the formation of the amorphous is not uniform in all the samples, as  
337 it depends by the amount of clayey matrix and its composition: in ceramics formed by BAR1 and  
338 BAR2 clays, which showed not more than 23 wt% of clay minerals, the amorphous phase does not  
339 exceed the 25 wt% (Table 4, Fig. 3A and B), whereas in samples from ARG and SIF clays (Table 4,

340 Fig. 3C and D), containing 83 wt% and 88 wt% of aluminosilicate, respectively, a greater amount of  
341 the amorphous is formed (from 40 to 57%). Moreover, higher vitrification is observed in SIF clay  
342 owing to its more complex chemical composition and the lower eutectic compared to those of ARG  
343 clay. The evolution of amorphous contents in kaolinite-based samples (i.e. ARG, BAR1 and BAR2)  
344 between 950 °C and 1350 °C can be explained with three main reaction steps: 1) complete  
345 dehydroxylation of phyllosilicates (950 – 1050 °C); 2) part of the amorphous derived from the  
346 dehydroxylation of phyllosilicates is transformed in new crystalline phases (e.g. protomullite,  
347 cristobalite, spinels) (1050 – 1150 °C); 3) quartz grains start to melt as consequence of fluxes  
348 present in the matrix (1150 -1350 °C). While in the step 3 ARG body tends to stabilize amorphous,  
349 BAR1 and BAR2 crystallizes cristobalite (Fig. 3). Actually, mullite and cristobalite are the main  
350 newly formed crystalline phases in kaolinite-based fired bodies (ARG, BAR1 and BAR2 ceramics).  
351 Both mineral phases have a high thermal conductivity which is 4.7 W/m·K for mullite (Brea et al.,  
352 2005) and 6.2 W/m·K for cristobalite (Kunugi et al., 1972) while traditional ceramics are in the  
353 range of 0.3-1 W/m·K (Allegretta et al., 2014; Dondi et al., 2004; García-Ten et al., 2010a; 2010b;  
354 Hein et al., 2008; Lassinantti Gualtieri et al., 2010). It suggests that the formation of mullite and  
355 cristobalite further contributes to the improvement of the effective thermal conductivity in the  
356 above kaolinite-based fired bodies (Michot et al., 2008; Bournet et al., 2015) over the increase due  
357 to densification. However, they play different roles according to the ceramic system in which they  
358 form and, hence, to the starting clay mixture. In ARG samples obtained from a very fine clay type  
359 containing about 55 wt% of kaolinite, the formation of a weakly crystalline mullite (about 6 wt%) is  
360 associated with the formation of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> and amorphous silica at 950 °C. From 1150 °C onward, a  
361 well crystalline mullite is formed in the samples, i.e. secondary mullite, and the amount of this  
362 phase increases significantly with the firing temperature (Table 4), together with the strong  
363 reduction of porosity (from 0.32 to 0.08), the disappearance of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>, the decrease of the  
364 amorphous phase and the crystallisation of few cristobalite (lower than 3 wt%). In ARG samples the  
365 main cause for the increase in the effective thermal conductivity is the densification of the body  
366 (porosity goes from 0.32 to 0.01), but a further contribution to the  $k_{\text{eff}}$  improvement is given by the  
367 formation of mullite, as demonstrated by the correlation plot in Figure 5B. In the case of BAR  
368 samples, no correlation is observed between the thermal conductivity and mullite, which show  
369 almost constant contents from 1150 °C to 1350°C (Fig. 3A and B), but in these samples the  
370 cristobalite content increases progressively passing from about 3 wt% to about 18 wt% (Fig. 3A and  
371 B) in the same firing range. By plotting the amounts of cristobalite with the values of the thermal  
372 conductivity (Fig. 5C), a fair correlation is observed in BAR1 and BAR2 ceramics ( $R^2 = 0.60$ ),

373 suggesting that cristobalite gives a contribution to the increase of thermal conductivity, in addition  
374 to the densification.

375 The contribution to thermal conductivity of the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> spinel, which was a distinct newly formed  
376 phase in ARG systems in the temperature range from 950 °C to 1150 °C, cannot be discussed at this  
377 place as no thermal data about thermal conductivity of this phase are available in literature. The  $\alpha$   
378 form of Al<sub>2</sub>O<sub>3</sub> is very conductive ( $k = 35$  W/m·K at room temperature) but the thermal conductivity  
379 of this phase cannot be assumed for the  $\gamma$  form (Bansel and Zhu, 2005; Bakshi et al., 2008).

380 Quartz is present in all samples as relic phase. Its effect on the thermal conductivity is described in  
381 Figure 5D. Despite the thermal conductivity of quartz (7.2 W/m·K according to Kinugi et al., 1972)  
382 is higher than that of clay-based ceramics (0.3 - 1 W/m·K), no positive correlation between the  
383 quartz content and the  $k_{eff}$ . of the ceramic tests is observed. Looking at Figure 5D, two different  
384 situations could be described: 1) ceramics with a quartz content between 50 and 80 wt% (i.e. BAR1  
385 and BAR2 bodies) and 2) ceramics which contain up to 20 wt% of quartz (i.e. ARG and SIF  
386 samples). In the first case, the correlation between quartz content and ceramic thermal conductivity  
387 is negative ( $R^2 = 0.55$ ). This can be explained considering two aspects. Firstly, the starting raw  
388 materials are poor in clay minerals (20 - 23 wt%) which can fill the spaces between quartz particles  
389 and keep them together after sintering. Secondly, quartz undergoes a structural transition from  $\alpha$ -to-  
390  $\beta$ -quartz at 573 °C. The reaction takes place with volume expansion and is reversible when the  
391 temperature returns below 573 °C. This change in volume produces fractures and fissures in the  
392 matrix and detachment zones around quartz particles, which acts as thermal barriers reducing the  
393 thermal conductivity of the ceramic (Allegretta et al., 2014; 2015; Bragança et al., 2006; De Noni Jr  
394 et al., 2008). In the case of ceramics fabricated from ARG and SIF clays, no correlation can be  
395 observed because of the higher densification and the formation of high conductive minerals, such as  
396 mullite in ARG ceramics and spinel, pyroxenes and hematite in SIF bodies. Moreover, as reported  
397 in literature (Allegretta et al., 2014; García-Ten et al., 2010b) the presence of low percentage of  
398 quartz (below 15-20 wt%) can improve the thermal conductivity of the body notwithstanding the  
399 occurrence of the  $\alpha$ - $\beta$  quartz phase transition.

400 The ceramics prepared with the clay sampled from Sifnos are more conductive than other ceramics.  
401 SIF clay is less refractory than the others due to the higher content in fluxes (Table 2) and this  
402 produces a high densification which can be considered completed (the porosity is 0.04) at 1150 °C  
403 while in ARG, BAR1 and BAR2 it starts from this temperature. In fact, the SIF ceramic fired at 950  
404 °C reaches a thermal conductivity (1.03 - 1.07 W/m·K) which is recorded only at 1050 °C for ARG  
405 ceramics and at 1250 °C for BAR1 and BAR2 samples. Moreover, in SIF bodies, high conductive  
406 minerals form after firing. Spinel and pyroxenes are the main newly formed phases in these

407 ceramics and have a thermal conductivity of 9.5 and 4.3 W/m·K (Horai and Simmons, 1969),  
408 respectively, and can give a contribution to the improvement of conductivity of these samples with  
409 respect to those prepared with the other kaolinitic clays (Fig. 3D). Hematite, whose content in SIF  
410 ceramics increases with increasing of firing temperature, is another mineral which has a high  
411 thermal conductivity (11.3 W/m·K according to Horai and Simmos (1969) and may influence  
412 positively the overall thermal conductivity of the SIF ceramic bodies. Hematite is also detected as  
413 traces in ceramics prepared with BAR1 and BAR2 samples, thus its influence on the thermal  
414 conductivity of these ceramics can be considered negligible.  
415 Finally, plagioclase forms in SIF ceramics but its amount (9.5-13.6 wt%) combined with its thermal  
416 conductivity (1.7-2.0 W/m·K according to Horai and Simmos (1969)) is not expected to have any  
417 significant effect on the overall ceramic thermal conductivity.

418

#### 419 *4.2 Functional implications*

420 On the base of the results presented in this work, some functional considerations can be done, in  
421 particular for the ceramic produced with SIF, BAR1 and BAR2.

422 Since Siphinian cooking pots were usually fired in the range between 800 and 950 °C (Spataro et  
423 al., 2015), a comparison with sample SIF\_950 can be done. To fulfill its function, a cooking pot  
424 should enable the passing of the heat from outside to inside in order to heat up its content and  
425 should withstand its thermal and mechanical loads and possible thermal shocks (Allegretta et al.,  
426 2014; Tite et al., 2001; Velde and Druc, 1999).

427 The thermal conductivity of SIF\_950 is higher than that measured in other ceramics fired at the  
428 same temperature and comparable thermal conductivities are recorded in ARG ceramics at 1050 °C  
429 and BAR1-BAR2 bodies fired at 1250 °C. SIF\_950 is also more conductive than other traditional  
430 ceramics prepared with different raw materials which fired at 1000 °C (Dondi et al., 2004; García-  
431 Ten et al., 2010a; 2010b; Lassinanti Gualtieri et al, 2010; Michot et al., 2008). This is mainly due  
432 to the higher densification of the matrix and, in second instance, by the formation of spinel,  
433 pyroxenes and hematite which do not form in the other systems. Since, SIF\_950 is more conductive  
434 than other ceramics, the thermal energy transfer through the pot walls is easier and heat up its  
435 content. If fired at higher temperature, SIF ceramics are 50% more conductive than SIF\_950, which  
436 is particularly suitable for directly heating foodstuff in an oven or on a fire. On the other hand high  
437 thermal conductivity might be a disadvantage for sustaining heat inside a cooking pot, once it is  
438 removed from the heat source, even though in this case also the heat capacity of the material has to  
439 be considered.

440

441 A high thermal conductivity improves the thermal shock resistance ( $R_{ci}$ ) which is given by:

442

$$443 \quad R_{ci} = k\sigma_f(1 - \mu) / E\alpha$$

444

445 where  $k$  is the thermal conductivity,  $\sigma_f$  is the fracture strength,  $\mu$  is the Poisson ratio,  $E$  is the  
446 Young's modulus and  $\alpha$  is the linear thermal expansion coefficient of the ceramic (Kingery et al.,  
447 1976; Tite et al., 2001). A further improvement of the thermal shock resistance is given by the  
448 consistent amorphous content, the ceramic porosity, the shape of pores, and the particle size of the  
449 clay. The amorphous phase has a very low linear thermal expansion coefficient compared with that  
450 of mineral phases (Kingery et al., 1976). The presence of 53.8 % of amorphous phase, combined  
451 with a moderate amount of quartz (15.1 %), makes these ceramics less sensible to thermal gradients  
452 than other materials, such as BAR1 and BAR2 ceramics, where the consistent amount of quartz can  
453 cause some problems due to differential expansion or shrinkage (De Noni Jr et al., 2009; 2010). The  
454 high sintering level, favored by the complex chemical composition of the clayey matrix, improves  
455 both the fracture strength and the Young's modulus of the ceramic body (Allegretta et al., 2015).  
456 The low open porosity with respect to that reported for other ceramics in literature (Dondi et al.,  
457 2004; García-Ten et al., 2010a; 2010b; Lassinantti Gualtieri et al, 2010) could contribute to the  
458 improvement of these material properties. According to the Griffith's mechanical theory, the  
459 elongated shape of the pores in SIF\_950 (Fig. 1) should reduce the entity of the stress in the  
460 proximity of the flaw. Moreover, porosity could improve the toughness of the ceramic. This means  
461 that the ceramic could fail under a critical mechanical load in a stable or semi-stable way and the  
462 fracture will propagate through the body step by step avoiding an instant break. There is also a  
463 correlation between toughness and the thermal shock resistance by minimizing crack propagation  
464 which is very important for technical ceramics that are used in contact with a heating source (Tite et  
465 al., 2001). All these characteristics can explain why SIF clay was and is still used as raw material  
466 for the production of cooking pots on the island of Sifnos (Greece) and, in particular, why Sifinian  
467 ceramics were exported to other Aegean islands, where, however, emigrated Sifinian potters  
468 produced ceramics with local clay (Kyriakopoulos, 2015).

469 The exploitation of BAR clays as refractory earth in the Berner Jura has historical and  
470 archaeometrical evidences (Amweg, 1941; Eramo, 2006a; Gerber et al., 2012). Such clayey sands  
471 provided a good refractory behaviour in service conditions for crucibles and refractory elements of  
472 the forest glass melting furnaces (Eramo, 2005; 2006a). Purest clayey sands have bulk chemical  
473 compositions of  $\text{SiO}_2$  and  $\text{Al}_2\text{O}_3$  up to 99 %, with an eutectic of about 1600 °C (Aramaki and Roy,  
474 1962). The main function to fulfil for the refractory elements and the glass melting crucibles is to

475 withstand to high temperatures (1300 and 1500 °C) of operative conditions, without melting or  
476 break. Such high temperatures implied thermal transfer essentially by heat radiation and low  
477 thermal conductivity of the crucible body and the furnace structure was necessary to hinder heat  
478 dissipation (Eramo, 2005; 2006c). Instead of cooking pots, crucibles are not subjected to high  
479 thermal gradients in operative conditions, thus resistance to thermal shock was not determinant.  
480 The analysis of BAR1 and BAR2 fired at 1350 °C shed light on some important aspects.  
481 BAR1 and BAR2 fired at 1350 °C show open porosity of about 10% and thermal conductivity  
482 reaches 1.13 W/m·K, a value lower than that of other kaolinite-based ceramics fired at the same  
483 temperature (e.g. ARG\_1350). Even though quartz content was around 75 wt.%, it does not  
484 improved the thermal conductivity. The diffused presence of secondary porosity significantly  
485 affected the conductivity of the body “isolating” the high conductive quartz grains, as inferred by  
486 the closeness of measured k values of the body to amorphous phase (about 1 W/m·K). It should be  
487 considered that the conductivity determined at 370 °C is not comparable with those existing at  
488 temperatures above 1200 °C and close to the solidus of the ceramic body, where the heat transfer  
489 occurs mainly by electromagnetic radiation. The thermal conductivity for fire-clay refractories in  
490 the temperature range between 1300 and 1500 °C may vary from 1.2 to 1.5 W/m·K (Aliprandi,  
491 1987). Although the high amount of quartz does not allow an extensive and complete densification  
492 even at 1350 °C, its fine size give more stability to the ceramic body, minimising the thermal  
493 expansion stresses.

494 Few impurities of carbonates or Fe oxides and hydroxides in the ceramic body of the glass melting  
495 crucibles may compromise the functionality of the ceramic, lowering the eutectic point of the  
496 refractory materials or contaminating the molten glass. Some impurities, as in the case of BAR1 and  
497 BAR2, can be tolerated in the refractory elements of the furnaces, not in contact with the molten  
498 glass.

499

## 500 **5 Conclusions**

501 The effective thermal conductivity of the ceramics analysed in the present study is affected by three  
502 main factors: the porosity, the amorphous phase and the crystalline phases. Porosity is subject to the  
503 starting clay mixture and firing conditions and it implies different pore structures in terms of shapes,  
504 sizes and distributions. In all the ceramics samples, the impact of porosity on thermal conductivity  
505 is quantitatively higher than that cohering with amorphous and crystalline phases. The formation of  
506 the amorphous phase improves the ceramic thermal conductivity since it closes pores and spaces  
507 between particles which act as thermal barriers. The formation of crystalline phase affects the  
508 thermal conductivity of the ceramic body according to the system taken into account. In fact, both

509 mullite and cristobalite form in ARG, BAR1 and BAR2 ceramics. But, in the case of ARG samples,  
510 the only mullite improves the thermal conductivity, while in BAR1 and BAR2 the crystallization of  
511 cristobalite is directly correlated to the improvement of ceramic thermal conductivity. Regarding to  
512 SIF samples, the formation of high conductive mineral phases like spinel, pyroxenes and hematite  
513 make these ceramics more conductive than the others. For this reason, probably, traditional cooking  
514 pots made with SIF clays were traded through the whole Eastern Mediterranean region, even if  
515 Sifinian potters lived in these islands and produced the same pots with local clay (Kyriakopoulos,  
516 2015). The temperatures used to test the thermal conductivity of BAR1 and BAR2 samples cannot  
517 be comparable to those used to glass production, even if the thermal conductivities reported in the  
518 range 1300-1500 °C (Aliprandi, 1987) do not bias from those measured on 1350 °C-fired samples  
519 (0.99-1.13 W/m·K). However, the study of their thermal conductivity combined with the  
520 mineralogical and microstructural data allowed to understand the importance of some aspects such  
521 as the role of quartz and cristobalite in the thermal conductivity of these materials. Further tests on  
522 BAR1 and BAR2 ceramics are planned at higher temperatures, comparable to those used in the  
523 glass production, in order to investigate the role of ceramic characteristics, such as porosity,  
524 mineralogy and microstructure, in operation conditions at which a larger contribution of heat  
525 transfer processes, like radiation and convection, can be expected.

526

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538

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695 **Table caption list**

696 **Table 1** Mineralogical composition (wt%) of the investigated clays as determined by XRPD data  
697 and Rietveld refinements.

698

699 Footnotes: Mineral abbreviations after Whitney and Evans (2010): quartz (Qz), illite (Illt),  
700 kaolinite (Kln), glaucophane (Gln), epidote (Ep), calcite (Cal), smectite (Sme), plagioclase  
701 (Pl), hematite (Hem), K-feldspar (Kfs), rutile (Rt), alunite (Alu), anatase (Ant), garnet (Grt),  
702 dolomite (Dol). E.s.d with a 3-sigma correction are in parenthesis.

703

704 **Table 2** Chemical composition and loss of ignition (LOI) of the clay used for the production of  
705 ceramic disks. Data are reported in wt%.

706

707 **Table 3** Particle size distribution of the studied clay samples. Data are reported in wt%.

708

709 **Table 4** Mineralogical composition (wt%) of the fired ceramic bodies as determined by XRPD  
710 data and Rietveld refinements

711

712 **Table 5** Open porosity ( $p$ ) and effective thermal conductivity ( $k_{eff}$ ) of the fired ceramics.

713

714 Footnotes: Mineral abbreviations after Whitney and Evans (2010): quartz (Qz), calcite  
715 (Cal), plagioclase (Pl), hematite (Hem), rutile (Rt), anatase (Ant), mullite (Mul), cristobalite  
716 (Crs) garnet (Grt), dolomite (Dol), spinel (Spl), pyroxene (Px), amorphous phase (Am).  
717 E.s.d with a 3-sigma correction are in parenthesis.

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725 **Figure caption list**

726 **Figure 1.** Back-scattered electron micrographs of the ceramic prepared with ARG, BAR1 and  
727 BAR2 fired at 950, 1150 and 1350 °C and with SIF clay fired at 950, 1050 and 1150 °C.

728

729 **Figure 2.** Back-scattered electron (BS) image and silicon (Si), aluminium (Al) and calcium (Ca)  
730 distributions in BAR2 fired at 1350 °C which put in evidence the formation of trydimite in the  
731 glassy part around quartz particles.

732

733 **Figure 3** Evolution of mineralogy, porosity and thermal conductivity with firing temperature in  
734 ceramic prepared with BAR1 (A), BAR2 (B), ARG (C) and SF (D) clays.

735

736 **Figure 4** Correlation between thermal conductivity and the porosity of the ceramics fired at  
737 different temperatures.

738

739 **Figure 5** Correlations between thermal conductivity and the amount of amorphous (A), mullite (B),  
740 cristobalite (C) and quartz (D).